

The IIA Sacramento Chapter Annual Report

June 1, 2022 – May 31, 2023



The Institute of
Internal Auditors

Message from Our Chapter President

As summer approaches and another Chapter year comes to a close, I want to say that it has been a privilege to serve our membership as President. Thank you for trusting me and allowing me to lead our fantastic Chapter for two years. I could not have done it without our Chapter Officers, Board of Governors, and Volunteers, without whom this Chapter would not be possible. Your commitment to our profession has genuinely made a difference in the lives of many young people and professionals. Over these last two years, we've been able to give away thousands of dollars in scholarships and provide hundreds of hours of mentoring to deserving young professionals from Sacramento State University, who has been a fantastic partner to us. In addition, our innovative programming has served as a model for many other chapters throughout the country.

Hilary Cabodi has been a dedicated Officer of this Chapter for many years, and I am certain she will do a phenomenal job as President, as she was a catalyst for many of the great things our Chapter has accomplished in recent years.

I can't express enough appreciation for all of the incredible support I've received over the last two years. Thank you.

Jason Stepnoski

President

IIA. Sacramento Chapter



The Institute of
Internal Auditors
Elevating Impact

Contents

2022-2023 Chapter Leadership

2022-2023 Chapter Achievements

- Advocacy
- Community Involvement
- Programming
- Academic Relations

Leadership Development

Membership Feedback

2023-2024 Chapter Leadership

FY2023 Chapter Leadership

Our Chapter Officers, Board Members, Committee Chairs and Committee Members have volunteered significant time to chapter advocacy, membership, programs, and events during the 2022-2023 chapter year. Thank you for your contributions to the chapter and members.

Chapter Officers

President – Jason Stepnoski
President Elect – Hilary Cabodi
Vice President – Donna Parker
Secretary – Dr. Grace Mubako
Treasurer – Dan Washburn
Membership – Meghann Stedman
Certifications – Dell Coats

Board of Governors

Carol Olney
Christian Okoye
Da Mey
Heather Lyons
Kevin Shaw
Linus Li
Liza Leynes
Lynn Bashaw – Past President
Mabel Lun
Maria Gregorio

Academic Relations Committee Members

- Aileen Wong
- Antonio Madrigal
- Mashariki Lawson
- Tyson Smith (CSUS Student Chapter President)

Programming Committee Member

- Gigi Gillen

Recruitment

- Paula Rivera

Social Media

- David Gray

Treasury

- Chau Cao

If you are interested in volunteering, please contact us with your interest at:

chapter66@iia chapters.org.



The Institute of
Internal Auditors
Elevating Impact



Chapter Achievements 2022 – 2023



Advocacy



The Institute of
Internal Auditors

Internal Audit Foundation

The Internal Audit Foundation is an essential global resource for advancing the profession.

Mission

Leveraging donor generosity, we support the development of a competent global body of internal audit practitioners that enhances organizational governance, and enhances and protects value.

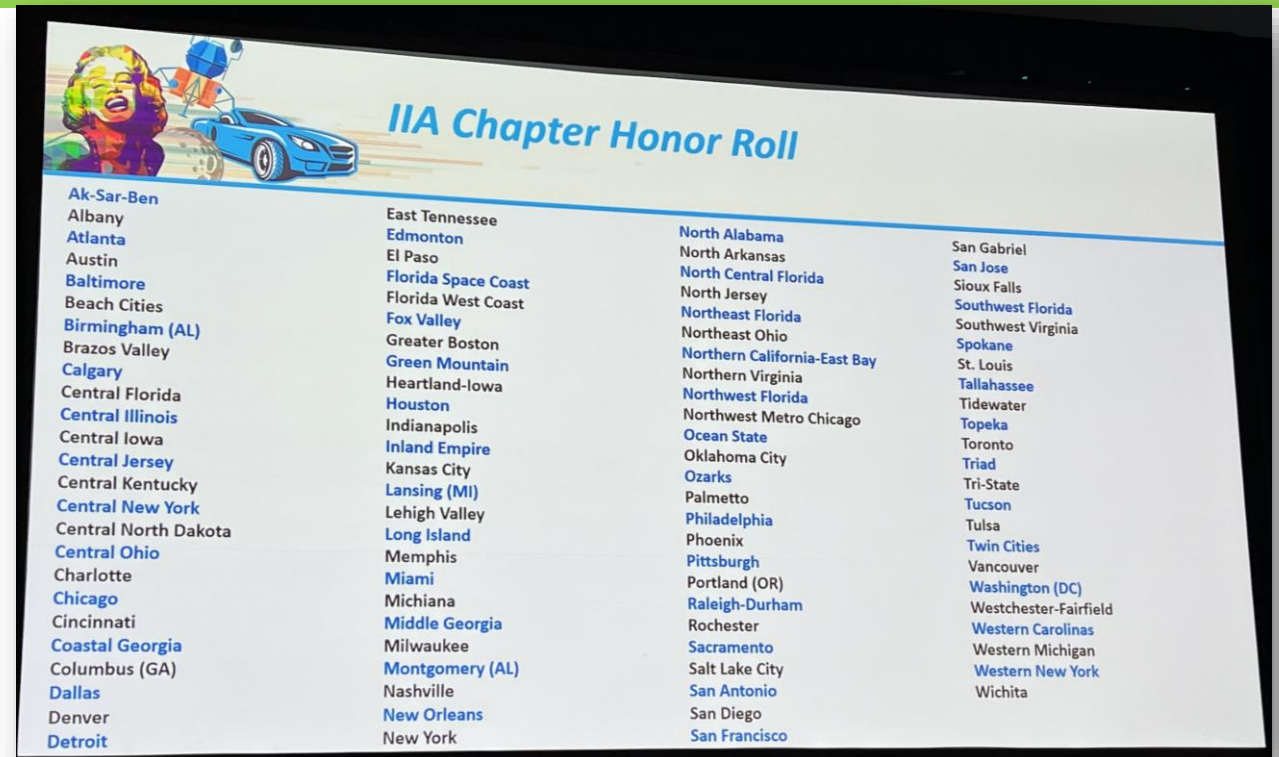
Strategic Focus

The Foundation fulfills its mission with a focus on developing financial resources to support:

- Globally focused research initiatives that advance and chronicle the evolution of the profession
- Access to competency-enhancing resources for internal audit practitioners worldwide.
- Development of a diverse, highly skilled next generation of internal audit practitioners.

Source: www.theiia.org/internal-audit-foundation

Our Sacramento Chapter received special recognition by donating to the IA Foundation for 3 years in a row.



Community Involvement



The Institute of
Internal Auditors

Junior Achievement

Junior Achievement (JA) is a national organization, dedicated to help young people build the knowledge and skills to plan for their future and make smart academic and economic choices.

- They bring together community leaders to help educate and mentor young people at local JA areas.
- Our Chapter has a long-standing partnership with JA of Sacramento to cross-advocate our mission.
- Kevin Shaw and Donna Parker (JA's Planning Committee) actively participate in JA's initiatives.
- We sponsored PlayForeJA event (\$2,500); 12 IIA board members and friends also attended the social networking event.
- We help advocate IIA values thru community work at Junior Achievement with community leaders from Regional banks, IT companies, state agencies, small businesses, large companies such as ATT, CPA firms, insurance companies, etc.



Programming



The Institute of
Internal Auditors

Programs and Seminars

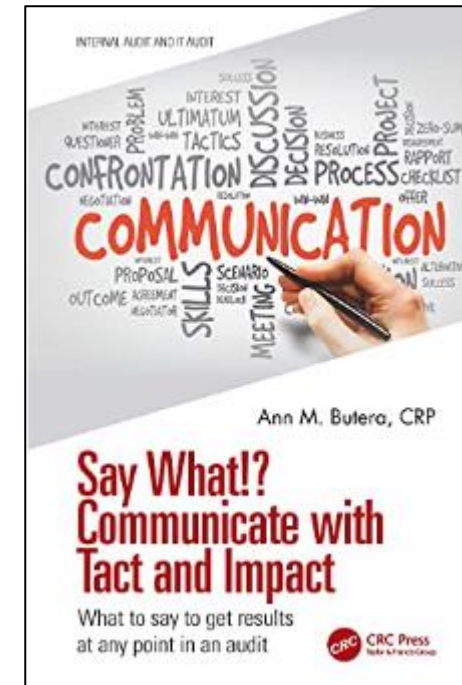
- Offered 46 CPEs to members consisting of a mix of virtual and live programs and seminars focused on auditing, quality, ethics, and professional development
- Delivered The IIA Red Book: International Professional Practices Framework and The IIA Quality Assurance Reviews and Leading Best Practices seminars to reinforce current quality standards
- Hosted an in-person CAE Roundtable with 20 local internal audit leaders to discuss challenges and solutions for hot topics including cybersecurity, ESG and talent management
- Organized The New Standards: Elevating the Internal Audit Profession for the Future presented by Paul Sobel, Chairman Emeritus of COSO on May 19, 2023
- Partnered with the West Region District 2 (East Bay, Hawaii, Northern Nevada, Sacramento, San Francisco and San Jose) to deliver a 2-day virtual seminar

Thank you to our
2022-2023 venue
sponsors CalPERS
and Clifton Larson
Allen



“Say What?! Communicate with Tact and Impact”

Our second annual book club was hosted virtually by author and IIA Speaker, [Ann Butera](#)



Internal Audit Awareness Month

Our chapter offered two events in celebration of Internal Audit Awareness Month.

1 We partnered with the West District 2 chapters to host a two-day virtual seminar with presenters educating members on the new International Professional Practice Framework (IPPF), COSO Sustainability Reporting, Contract Compliance Programs, Internal Audit Value Measurement, ESG Board Updates, Auditing Zero Trust, and Business Ethics.

2 We held an in-person program welcoming Paul Sobel, Chairman Emeritus of COSO deep diving on the new IPPF Standards on May 19, 2023.



Click on the icon to read the proposed IPPF Standards

| IIA Western Region Chapter 2 Virtual Seminar | | |
|---|--|--|
| MAY 17 & 18: 1 PM - 5 PM PDT | | |
| MEMBERS - \$50/DAY STUDENTS - \$20/DAY NON MEMBERS - \$75/DAY | | |
| UP TO 8 CPES | | |
| Time | Topic | Speaker |
| MAY 17 | | |
| 1:00 PM - 1:55 PM | COSO Sustainability Reporting Guidance | Paul Sobel Chairman Emeritus, COSO's Board of Directors |
| 2:00 PM - 2:55 PM | The New Standards: Elevating the Internal Audit Profession for the Future | Paul Sobel Chairman Emeritus, COSO's Board of Directors |
| 3:00 PM - 3:55 PM | Don't leave money on the table: How Internal Audit can deliver extra value through a contract compliance program | Nathan Dreyfus Principal, Grant Thornton Bruce Orr Director, Grant Thornton |
| 4:00 PM - 4:55 PM | Measuring Value of Internal Audit - Is it Possible? | Patty K Miller Owner, PKMiller Risk Consulting, LLC COSO Board |
| MAY 18 | | |
| 1:00 PM - 1:55 PM | ESG Board Updates with a focus on Tech and Media verticals | Christopher McClure Partner, Crowe |
| 2:00 PM - 2:55 PM | Auditing Zero Trust | Gopi Ramamoorthy Head of Security & GRC Engineering, Symmetry Systems |
| 3:00 PM - 3:55 PM | Internal Audit Teams and the Hybrid Workplace | Colleen Rozzitis Partner, Moss Adams |
| 4:00 PM - 4:55 PM | How Business Ethics are impacted by the ABCD of the digital age | Donny C. Shimamoto, CPA, CFP, CDM Managing Director, IntrapriseTechKnowleges LLC |

Proclamation from the Mayor, City of Sacramento

Mayor Darrell Steinberg recognized May as International Internal Audit Awareness Month in a formal proclamation.



Academic Relations



The Institute of
Internal Auditors

Academic Relations Committee Accomplishments

The Academic Relations Committee, led by Kevin Shaw, continued to partner with CSU, Sacramento in sponsoring a formal student chapter of the IIA and supporting students as they explore internal auditing as a career option.

- ✓ Continue to run a Student Chapter with CSU Sacramento Accounting Society.
- ✓ Provided four \$500 student scholarships to accounting student organizations.
- ✓ Provided a \$2,500 scholarship to a College of Business student.
- ✓ Sponsored and participated in 2 Meet the Firms Nights – 12 IIA member companies / state agencies participated in offering jobs and internships to students.
- ✓ Participated in mock interviews, resume writing, social events, panel discussion, and awards banquets.
- ✓ Due to chapter's advocacy, an internal audit class is being proposed and discussed within the college of business.



Presentations at Sacramento State



- ✓ Completed 4 presentations to Accounting Student organizations on Internal Auditing, including an Internal Audit night.
- ✓ Completed Auditing Class presentations to 2 classes.
- ✓ Completed a joint presentation with ISACA to a Management Information System class at Sac State.

Pictured (Left to Right): Kevin Shaw (Academic Relations Committee Chair, Trinh Ngo (Blue Shield of California, Director of Trust Assurance) and Tolgay Kizilelma (Sutter Health, CISO)

IIA Global Student Conference

Our Sacramento Chapter sponsored four students and one professor from Sacramento State, and the Academic Relations Committee Chair to attend the IIA's Global Student Conference in Orlando, FL from April 16-18, 2023. All students from participating chapters in North America were assigned to groups and competed in a case study judged by internal audit professionals, attended sessions to learn about the internal audit profession, and networked with internal audit professionals participating the Leadership Academy, which occurred simultaneously for the first time.

Sacramento State students had the opportunity to meet IIA CEO and President, Anthony Pugliese. Stingers up!





Leadership Development



Leadership Academy

- ✓ Attended a multi-day program in Orlando to meet developmental needs of IIA chapter leaders
- ✓ Sharpened skills necessary to lead successful chapter
- ✓ Engaged in collaborative and educational activities to gain strategies and expertise from top volunteers and IIA staff
- ✓ Met with IIA President and CEO Mr. Anthony Pugliese to discuss Sacramento Chapter activities and needs
- ✓ Networked with chapter leaders from West Region District 2 along with other chapters from North America



Pictured (Left to Right): Kevin Shaw (Academic Relations Committee Chair, Hilary Cabodi (President Elect), and Aileen Wong (Academic Relations Committee Member)



Membership Feedback



Annual Member Survey Results

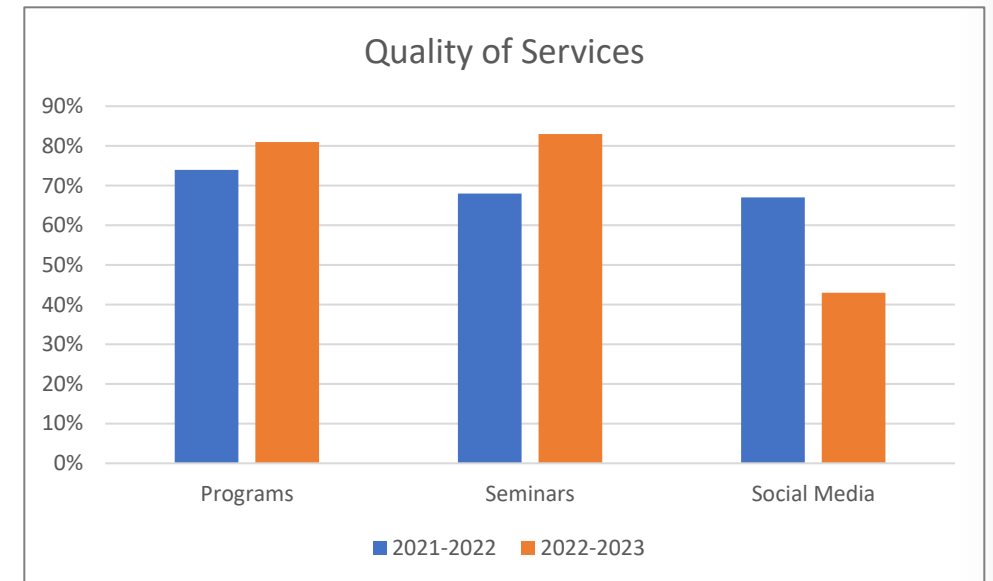
Our Annual Member Survey was distributed in late April 2023 to collect feedback and input from chapter members to help enhance programs, events, and services offered. The survey remained open for a two-week period. There was a significant increase in participation from the prior year.

Summary of Results:

- There was an increase in satisfaction of programs and seminars from the prior year with satisfaction rates of 81% for programs and 83% for seminars. A mix of in-person and virtual programs and seminars were offered throughout the year based on feedback from the 2021-2022 Annual Member Survey.
- Social media communications increased from the prior year. However, 43% of respondents indicated the quality of social media as “good”, 53% of respondents indicated that social media is not used.

Chapter officers and Board Members are analyzing the results to design programs, seminars, and events for the 2023-2024 chapter year based on survey feedback.

Thank you to all those who participated!



Follow the IIA
Sacramento
Chapter on



<https://www.linkedin.com/groups/2922994/>

Membership & Certifications

While we registered 54 new members in FY23, overall growth and IIA certification holders decreased year over year.

New Members Growth: -8%

FY22: 43%

FY21: 42%

Certifications Growth: -21%

Our Membership and Certification Officers will deep dive into the data and incorporate initiatives to increase membership and certification holders in FY24.





2023-2024 Chapter Leadership



FY2024 Chapter Officers

Announcing the slate of chapter officers who will continue to lead chapter advocacy, membership, programs, and events for our members in 2023-2024.



President
Hilary Cabodi

Managing Director,
Effectus Group



VP, Programs
Aileen Wong

Senior Audit
Manager,
CalPERS



Secretary
Dr. Grace Mubako

Assistant Professor,
California State
University,
Sacramento



Treasurer
Dan Washburn

Senior Management
Auditor, Caltrans



Membership Officer
Meghann Stedman

Assistant Division
Chief, CalPERS



Certification Officer
Dell Coats

Director of Internal
Audit, Partnership
HealthPlan of
California

Not Pictured:
VP, Seminars Officer – Donna
Parker

Board of Governors elected
to a 3 year term June 1, 2023
through May 31, 2026

Mabel Lun
Heather Lyons
Antonio Madrigal
Christian Okoye



The Institute of
Internal Auditors
Elevating Impact

Interested in volunteering?

Email us at Chapter66@iia chapters.org

Chapter leaders and volunteers may receive up to 20 CPEs annually. If you are interested in volunteering and receiving CPEs, please contact chapter66@theiia.org.



Thank You for Your Engagement!

