
IT Auditing: Irregular and Illegal Acts

Robert E. Davis

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CONTENTS

IT Auditing: Irregular and Illegal Acts contains over 200 PowerPoint slides with slide notes offering a practical method for performing adaptive IT audits/reviews/agreed-upon procedures addressing potential irregularities and illegal act risks. Furthermore, the PowerPoint slides content allows presentation and participation in either a group or individual self-paced training format.

IT Auditing: Irregular and Illegal Acts Presentation - Module 1

Module 1 sets the framework for learning about irregular and illegal acts associated with IT audits/reviews/agreed-upon procedures as well as offering suggestions on how to use this CD in a self-study or group training mode; by specifically addressing:

- Introduction
- Objectives
- Introductions (if a group)
- Appropriate IT Auditor Training
- An 18-question Diagnostic Test with answers
- IT Audit Training agenda
- Suggested Training Schedule
- IT Audit Methodology
- 4 Types of Standards relevant to IT Auditing
- Overview of IT Irregular and Illegal Acts
- Governance Laws and Regulations
- Governance Frameworks
- Governance Tree
- Entity Control Environment
- IT Control Environment
- Managerial Irregular and Illegal Acts
- Control Measures

IT Auditing: Irregular and Illegal Acts Presentation “ module 2”

Module 2 continues the learning concerning irregular and illegal acts associated with IT audits/reviews/agreed-upon procedures; by specifically addressing:

- Control Weakness
- Red Flags
- Control Risk Self Assessment
- IT Audits and Reviews
- Unintentional Acts
- Intentional Acts
- Control Activity Assessment
- IT Audit Agreed-Upon Procedures
- General Controls
- Application Controls

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Administrator's Guide

The 56-page "Administrator's Guide" provides the administrator / seminar leader with an excellent guide to offer quality training correlated to the "IT Auditing: Irregular and Illegal Acts" PowerPoint presentation and provides answers for course participant exercises. Beneficially, the "Administrator's Guide" documents researched answers, with additional reference sources available on the Internet.

In a self-study mode the participant should use the "Participant's Guide" and then check the accuracy of an answer with the "Administrator's Guide".

"IT Auditing: Irregular and Illegal Acts Participant's Guide"

The 31-page "Participant's Guide" encourages active learning about irregular and illegal acts associated with IT audits/reviews/agreed-upon procedures. This workbook allows application of presented material and demonstration of the IT audit methodology, using various formats. Additionally, practice variety also is incorporated through group or individual exercise assignments.

The "Participant's Guide" contains a glossary of terms that participant might want to reference throughout the PowerPoint presentation.