IIA Chicago-West 2011-12 Annual Survey

1. Which of the following describes your professional role?

	Response Percent	Response Count
Internal Auditor	**73.3%**	**11**
Internal Audit Consultant	13.3%	2
External Auditor	0.0%	0
Student	0.0%	0
Retired	6.7%	1
Other (please specify)	6.7%	1
	answered question	**15**
	skipped question	**0**

2. Which of the following describes your experience level?

	Response Percent	Response Count
New Auditor	0.0%	0
Experienced Auditor	**40.0%**	**6**
Team Leader	6.7%	1
People Manager	26.7%	4
Non-people Manager	0.0%	0
Chief Audit Executive	26.7%	4
Other (please specify)	0.0%	0
	answered question	**15**
	skipped question	**0**

3. How long have you been an IIA member?

	Response Percent	Response Count
Less than 1 year	0.0%	0
1-5 years	**40.0%**	**6**
6-10 years	20.0%	3
More than 10 years	**40.0%**	**6**
Not an IIA Member	0.0%	0
	answered question	**15**
	skipped question	**0**

4. What is the best time of the day for you to attend meetings? You may select more than one answer.

	Response Percent	Response Count
Morning	40.0%	6
Lunchtime	6.7%	1
Afternoon	**46.7%**	**7**
Evening	20.0%	3
	Other (please specify)	1
	answered question	**15**
	skipped question	**0**

5. If a training session is scheduled around a meal, which would you prefer? You may select more than one answer.

	Response Percent	Response Count
Breakfast	40.0%	6
Lunch	**46.7%**	**7**
Dinner	20.0%	3
Other (please specify)		0
answered question		**15**
skipped question		**0**

6. Please rank the days of the week that you prefer for training sessions (with 1 being your first choice and 5 being your last choice).

	1	2	3	4	5	Rating Average	Response Count
Monday	20.0% (3)	13.3% (2)	0.0% (0)	**53.3% (8)**	13.3% (2)	3.27	15
Tuesday	35.7% (5)	7.1% (1)	**42.9% (6)**	14.3% (2)	0.0% (0)	2.36	14
Wednesday	6.7% (1)	**53.3% (8)**	20.0% (3)	20.0% (3)	0.0% (0)	2.53	15
Thursday	21.4% (3)	21.4% (3)	**35.7% (5)**	7.1% (1)	14.3% (2)	2.71	14
Friday	20.0% (3)	6.7% (1)	0.0% (0)	6.7% (1)	**66.7% (10)**	3.93	15
answered question							**15**
skipped question							**0**

7. Which of the following types of events are you likely to attend?

	Very likely	Likely	Not likely	Response Count
Local IIA Conferences	40.0% (6)	**53.3% (8)**	6.7% (1)	15
National IIA Conferences	14.3% (2)	28.6% (4)	**57.1% (8)**	14
International IIA Conferences	0.0% (0)	7.7% (1)	**92.3% (12)**	13
Local IIA Chapter Programs, Seminars and Workshops	**66.7% (10)**	33.3% (5)	0.0% (0)	15
National IIA Programs, Seminars and Workshops	15.4% (2)	23.1% (3)	**61.5% (8)**	13
Non-IIA Training Courses	14.3% (2)	**57.1% (8)**	28.6% (4)	14
			Other (please specify)	1
			answered question	**15**
			skipped question	**0**

8. Please rate your interest in attending local chapter training focused on the following topics:

	No Interest	Some Interest	Interested	Strong Interest	Response Count
Corporate Governance	0.0% (0)	21.4% (3)	**64.3% (9)**	14.3% (2)	14
Audit Committees	28.6% (4)	**57.1% (8)**	14.3% (2)	0.0% (0)	14
Ethics	7.7% (1)	38.5% (5)	**46.2% (6)**	7.7% (1)	13
Risk Assessment Tools and Techniques	0.0% (0)	21.4% (3)	**50.0% (7)**	28.6% (4)	14
Audit Program Development	14.3% (2)	**64.3% (9)**	0.0% (0)	21.4% (3)	14
COSO	15.4% (2)	**61.5% (8)**	23.1% (3)	0.0% (0)	13
Control Self-Assessment	0.0% (0)	**46.2% (6)**	38.5% (5)	15.4% (2)	13
IT System Design and Development	28.6% (4)	**35.7% (5)**	21.4% (3)	14.3% (2)	14
IT Security	7.7% (1)	**46.2% (6)**	30.8% (4)	15.4% (2)	13
Integrated Audits	14.3% (2)	**35.7% (5)**	28.6% (4)	21.4% (3)	14
Data Privacy/HIPPA	7.1% (1)	28.6% (4)	**57.1% (8)**	7.1% (1)	14
Business Continuity and Disaster Recovery	14.3% (2)	35.7% (5)	**42.9% (6)**	7.1% (1)	14
Statistical Sampling and Techniques	21.4% (3)	**35.7% (5)**	14.3% (2)	28.6% (4)	14
Data Analytics	14.3% (2)	**42.9% (6)**	21.4% (3)	21.4% (3)	14
Mr. Excel	**42.9% (6)**	21.4% (3)	21.4% (3)	14.3% (2)	14
Leadership Skills	15.4% (2)	**46.2% (6)**	23.1% (3)	15.4% (2)	13
Communication and Negotiation Skills	28.6% (4)	14.3% (2)	**42.9% (6)**	14.3% (2)	14
Audit Report Writing	14.3% (2)	**42.9% (6)**	28.6% (4)	14.3% (2)	14
Identity Theft	7.7% (1)	**46.2% (6)**	23.1% (3)	23.1% (3)	13
Sarbanes-Oxley/Internal Control Framework	15.4% (2)	**46.2% (6)**	30.8% (4)	7.7% (1)	13

Process Improvement	15.4% (2)	**30.8% (4)**	**30.8% (4)**	23.1% (3)	13
e-Discovery/ Intellectual Property	**30.8% (4)**	**30.8% (4)**	15.4% (2)	23.1% (3)	13
Go-Green Initiatives	38.5% (5)	**46.2% (6)**	15.4% (2)	0.0% (0)	13
Dealing with the Economic Downturn	23.1% (3)	**46.2% (6)**	23.1% (3)	7.7% (1)	13
Liquidity and Credit Risks	**46.2% (6)**	38.5% (5)	0.0% (0)	15.4% (2)	13
Making Audit Departments Viable	15.4% (2)	**53.8% (7)**	23.1% (3)	7.7% (1)	13
Fraud and the Global Company	7.7% (1)	**69.2% (9)**	15.4% (2)	7.7% (1)	13
IIA Quality Assurance Reviews - 5 Years After the Initial Requirement	23.1% (3)	**53.8% (7)**	7.7% (1)	15.4% (2)	13
Collaborative Risk Assessments: Myth or Reality?	8.3% (1)	25.0% (3)	**41.7% (5)**	25.0% (3)	12
The Role of Audit within the Responsible Corporation	23.1% (3)	**53.8% (7)**	23.1% (3)	0.0% (0)	13
The International Professional Practices Framework and the New Practice Advisories	23.1% (3)	**46.2% (6)**	23.1% (3)	7.7% (1)	13
ACL Tips and Techniques	28.6% (4)	**57.1% (8)**	7.1% (1)	7.1% (1)	14
Investigation Techniques	15.4% (2)	**38.5% (5)**	30.8% (4)	15.4% (2)	13
Interrogation Techniques	**30.8% (4)**	**30.8% (4)**	23.1% (3)	15.4% (2)	13
Lessons Learned from the Oil Spill in the Gulf	**53.8% (7)**	30.8% (4)	15.4% (2)	0.0% (0)	13
ERP and IT Entity Level Controls	7.1% (1)	**42.9% (6)**	28.6% (4)	21.4% (3)	14
				Other (please specify)	1

answered question	**15**
skipped question	**0**

9. How important is the price of a training session when deciding whether to attend?

	Response Percent	Response Count
Very important	**60.0%**	**9**
Somewhat Important	33.3%	5
Not Important	6.7%	1
	answered question	**15**
	skipped question	**0**

10. Did you identify a valuable training session but decide NOT to attend (or was your request denied) because of the price of the session?

	Response Percent	Response Count
Decided not to attend	26.7%	4
Request was denied	**40.0%**	**6**
No, cost was not considered for sessions I wanted to attend	33.3%	5
	Other (please specify)	0
	answered question	**15**
	skipped question	**0**

11. How many training or other seminars do you attend each year?

	Response Percent	Response Count
0-3	**53.3%**	**8**
4-6	40.0%	6
7-10	0.0%	0
More than 10	6.7%	1
	answered question	**15**
	skipped question	**0**

12. Would you be interested in presenting an IIA seminar or training program?

	Response Percent	Response Count
Yes	14.3%	2
No	**85.7%**	**12**
	answered question	**14**
	skipped question	**1**

13. Please rate your interest in attending the following local chapter social events:

	No Interest	Some Interest	Interested	Strong Interest	Response Count
Golf Outing	**53.3% (8)**	26.7% (4)	13.3% (2)	6.7% (1)	15
Baseball Game Outing	**53.3% (8)**	40.0% (6)	6.7% (1)	0.0% (0)	15
Wine Tasting Event	**46.7% (7)**	33.3% (5)	0.0% (0)	20.0% (3)	15
				Other (please specify)	0
				answered question	**15**
				skipped question	**0**

14. The primary benefit I would like to receive from my IIA membership is:

	Response Count
	3
answered question	**3**
skipped question	**12**

15. I would be interested in becoming more involved in the Chapter by volunteering my time in the following way:

		Response Percent	Response Count
Chapter Officer		**33.3%**	**1**
Chapter Board Member		**33.3%**	**1**
Serve on a Chapter Committee		**33.3%**	**1**
		Other (please specify)	2
		answered question	**3**
		skipped question	**12**

16. Which IIA certification(s) and/or other certification(s) do you currently hold or plan to sit?

	I currently hold	I am registered for	I plan to sit	I do not plan to sit	Response Count
Certified Internal Auditor (CIA)	**71.4% (10)**	7.1% (1)	14.3% (2)	7.1% (1)	14
Certification in Control Self-Assessment (CCSA)	25.0% (2)	0.0% (0)	0.0% (0)	**75.0% (6)**	8
Certified Government Auditing Professional (CGAP)	0.0% (0)	0.0% (0)	0.0% (0)	**100.0% (6)**	6
Certified Financial Services Auditor (CFSA)	0.0% (0)	0.0% (0)	0.0% (0)	**100.0% (6)**	6
Certified Public Accountant (CPA)	**88.9% (8)**	0.0% (0)	0.0% (0)	11.1% (1)	9
Certified Information Systems Auditor (CISA)	44.4% (4)	0.0% (0)	0.0% (0)	**55.6% (5)**	9
				Other (please specify)	5
				answered question	**15**
				skipped question	**0**

17. Would you have an interest in attending an exam preparation course for the following?

	Yes	No	Response Count
Certified Internal Auditor (CIA)	21.4% (3)	**78.6% (11)**	14
Certified Financial Services Auditor (CFSA)	7.7% (1)	**92.3% (12)**	13
		Other (please specify)	1
		answered question	**14**
		skipped question	**1**

18. The Chicago-West Chapter hosts a quarterly Director's Forum to discuss various topics. This forum is typically attended by the IA Group/Dept. Head of an organization. Who would you suggest we contact within your organization? Please provide a name, phone number and/or e-mail address.

	Response Count
	2
answered question	**2**
skipped question	**13**

19. Please complete the following:

	Response Percent	Response Count
Name:	**100.0%**	**15**
Company:	93.3%	14
Mailing Address:	93.3%	14
Address 2:	20.0%	3
City/Town:	**100.0%**	**15**
State:	**100.0%**	**15**
ZIP:	**100.0%**	**15**
Email Address:	93.3%	14
Phone Number:	73.3%	11
	answered question	**15**
	skipped question	**0**

Page 1, Q1. Which of the following describes your professional role?

1	VP of Information Services	Aug 25, 2011 10:40 AM

Page 2, Q4. What is the best time of the day for you to attend meetings? You may select more than one answer.

1	morning or afternoon	Aug 31, 2011 6:28 AM

Page 3, Q7. Which of the following types of events are you likely to attend?

1	Location and price are a factor for me	Aug 25, 2011 12:19 PM

Page 3, Q7. Which of the following types of events are you likely to attend?

Page 3, Q8. Please rate your interest in attending local chapter training focused on the following topics:

1	Auditing Trading and Hedging strategy	Aug 26, 2011 6:44 AM

Page 5, Q14. The primary benefit I would like to receive from my IIA membership is:

1	Interaction with other individuals within the audit profession at other firms and training topics	Aug 31, 2011 6:35 AM
2	Networking & Cost Effective CPE	Aug 25, 2011 11:19 AM
3	networking	Aug 25, 2011 9:27 AM

Page 5, Q15. I would be interested in becoming more involved in the Chapter by volunteering my time in the following way:

1	unsure	Aug 31, 2011 6:35 AM
2	attending more events	Aug 25, 2011 12:20 PM

Page 6, Q16. Which IIA certification(s) and/or other certification(s) do you currently hold or plan to sit?

1	CMA designation	Aug 31, 2011 6:35 AM
2	Certified Fraud Examiner	Aug 25, 2011 5:15 PM
3	CFE (Certified Fraud Examiner)	Aug 25, 2011 12:39 PM
4	CPCU	Aug 25, 2011 11:19 AM
5	currently hold CMA & CFE	Aug 25, 2011 10:47 AM

Page 6, Q17. Would you have an interest in attending an exam preparation course for the following?

1	Certified Fraud Examiner	Aug 25, 2011 9:32 AM

Page 6, Q18. The Chicago-West Chapter hosts a quarterly Director's Forum to discuss various topics. This forum is typically attended by the IA Group/Dept. Head of an organization. Who would you suggest we contact within your organization? Please provide a name, phone number and/or e-mail address.

1	Clarence Atwood Director Internal Audit, University of Chicago Medical Center	Aug 25, 2011 10:47 AM

Page 6, Q18. The Chicago-West Chapter hosts a quarterly Director's Forum to discuss various topics. This forum is typically attended by the IA Group/Dept. Head of an organization. Who would you suggest we contact within your organization? Please provide a name, phone number and/or e-mail address.

	clarence.atwood@uchospitals.edu	
2	Vishal Thakkar, 630-388-2816, vthakka@nicor.com	Aug 25, 2011 9:28 AM

Page 7, Q19. Please complete the following:

	1	
Name:	Ron Warren	Sep 1, 2011 1:50 PM
Company:	Infinite Systems Support	Sep 1, 2011 1:50 PM
Mailing Address:	42 W 114 Retreat Court	Sep 1, 2011 1:50 PM
City/Town:	St. Charles	Sep 1, 2011 1:50 PM
State:	IL	Sep 1, 2011 1:50 PM
ZIP:	60175	Sep 1, 2011 1:50 PM
Email Address:	rwarren@infinitesupport.com	Sep 1, 2011 1:50 PM
Phone Number:	630-584-5609	Sep 1, 2011 1:50 PM
	2	
Name:	Lois Colby	Aug 31, 2011 6:35 AM
Company:	Astellas	Aug 31, 2011 6:35 AM
Mailing Address:	211 W. Maple St.	Aug 31, 2011 6:35 AM
City/Town:	Lombard	Aug 31, 2011 6:35 AM
State:	IL	Aug 31, 2011 6:35 AM
ZIP:	60148	Aug 31, 2011 6:35 AM
Email Address:	lois.colby@ameritech.net	Aug 31, 2011 6:35 AM
Phone Number:	630495803	Aug 31, 2011 6:35 AM
	3	
Name:	Jim Martner	Aug 26, 2011 7:12 AM
Company:	College of DuPage	Aug 26, 2011 7:12 AM
Mailing Address:	425 Fawell Blvd	Aug 26, 2011 7:12 AM
City/Town:	Glen Ellyn	Aug 26, 2011 7:12 AM

Page 7, Q19. Please complete the following:		
State:	IL	Aug 26, 2011 7:12 AM
ZIP:	60137	Aug 26, 2011 7:12 AM
Email Address:	martner@cod.edu	Aug 26, 2011 7:12 AM
Phone Number:	630-942-2543	Aug 26, 2011 7:12 AM
	4	
Name:	Geeta Mahadevan	Aug 26, 2011 6:46 AM
Company:	Nicor Gas	Aug 26, 2011 6:46 AM
Mailing Address:	1844 W Ferry Rd	Aug 26, 2011 6:46 AM
City/Town:	Naperville	Aug 26, 2011 6:46 AM
State:	IL	Aug 26, 2011 6:46 AM
ZIP:	60563	Aug 26, 2011 6:46 AM
Email Address:	gmahade@nicor.com	Aug 26, 2011 6:46 AM
	5	
Name:	Danielle Schultz	Aug 26, 2011 5:50 AM
Company:	NIU	Aug 26, 2011 5:50 AM
Mailing Address:	Internal Audit Department	Aug 26, 2011 5:50 AM
City/Town:	DeKalb	Aug 26, 2011 5:50 AM
State:	IL	Aug 26, 2011 5:50 AM
ZIP:	60115	Aug 26, 2011 5:50 AM
Email Address:	dlschultz@niu.edu	Aug 26, 2011 5:50 AM
	6	
Name:	Jim Feltes	Aug 25, 2011 5:17 PM
Company:	Retired	Aug 25, 2011 5:17 PM
Mailing Address:	1936 Dorset Drive	Aug 25, 2011 5:17 PM
City/Town:	Wheaton	Aug 25, 2011 5:17 PM
State:	IL	Aug 25, 2011 5:17 PM
ZIP:	60189	Aug 25, 2011 5:17 PM
Email Address:	jim.feltes@gmail.com	Aug 25, 2011 5:17 PM
Phone Number:	630 665 5284	Aug 25, 2011 5:17 PM

Page 7, Q19. Please complete the following:

	7	
Name:	Kristin Kastner	Aug 25, 2011 12:40 PM
Company:	Farmers Insurance	Aug 25, 2011 12:40 PM
Mailing Address:	1342 Spring Street	Aug 25, 2011 12:40 PM
City/Town:	Yorkville	Aug 25, 2011 12:40 PM
State:	IL	Aug 25, 2011 12:40 PM
ZIP:	60560	Aug 25, 2011 12:40 PM
Email Address:	kristin.kastner@farmersinsurance.com	Aug 25, 2011 12:40 PM
Phone Number:	630-907-3349	Aug 25, 2011 12:40 PM
	8	
Name:	Laura Guest	Aug 25, 2011 12:22 PM
Company:	Nicor Gas	Aug 25, 2011 12:22 PM
Mailing Address:	6565 Main St #401	Aug 25, 2011 12:22 PM
City/Town:	Downers Grove	Aug 25, 2011 12:22 PM
State:	IL	Aug 25, 2011 12:22 PM
ZIP:	60516	Aug 25, 2011 12:22 PM
	9	
Name:	Tim Signer	Aug 25, 2011 11:20 AM
Company:	Farmers Insurance	Aug 25, 2011 11:20 AM
Mailing Address:	2577 Eldorado Lane	Aug 25, 2011 11:20 AM
City/Town:	Naperville	Aug 25, 2011 11:20 AM
State:	IL	Aug 25, 2011 11:20 AM
ZIP:	60564	Aug 25, 2011 11:20 AM
Email Address:	tim.signer@farmersinsurance.com	Aug 25, 2011 11:20 AM
Phone Number:	630-536-8399	Aug 25, 2011 11:20 AM
	10	
Name:	Alan Bain	Aug 25, 2011 10:50 AM
Company:	Landstar	Aug 25, 2011 10:50 AM
Mailing Address:	1000 Simpson Road	Aug 25, 2011 10:50 AM

Page 7, Q19. Please complete the following:		
Address 2:	PO Box 7013	Aug 25, 2011 10:50 AM
City/Town:	Rockford	Aug 25, 2011 10:50 AM
State:	IL	Aug 25, 2011 10:50 AM
ZIP:	61102	Aug 25, 2011 10:50 AM
Email Address:	abain@landstar.com	Aug 25, 2011 10:50 AM
Phone Number:	8159725403	Aug 25, 2011 10:50 AM
	11	
Name:	Michael A. Williams	Aug 25, 2011 10:49 AM
Company:	University of Chicago Medical Center	Aug 25, 2011 10:49 AM
Mailing Address:	950 East 61st Street	Aug 25, 2011 10:49 AM
Address 2:	Office 226	Aug 25, 2011 10:49 AM
City/Town:	Chicago	Aug 25, 2011 10:49 AM
State:	IL	Aug 25, 2011 10:49 AM
ZIP:	60637	Aug 25, 2011 10:49 AM
Email Address:	michael.williams@uchospitals.edu	Aug 25, 2011 10:49 AM
Phone Number:	7738343603	Aug 25, 2011 10:49 AM
	12	
Name:	Gregg Anderson	Aug 25, 2011 9:44 AM
Company:	Crowe Horwath	Aug 25, 2011 9:44 AM
Mailing Address:	One Mid America Plaza	Aug 25, 2011 9:44 AM
City/Town:	Oak Brook	Aug 25, 2011 9:44 AM
State:	IL	Aug 25, 2011 9:44 AM
ZIP:	60552	Aug 25, 2011 9:44 AM
Email Address:	Gregg.Anderson@CroweHorwath.com	Aug 25, 2011 9:44 AM
Phone Number:	630.586.5142	Aug 25, 2011 9:44 AM
	13	
Name:	Sandra Scheel	Aug 25, 2011 9:32 AM
Company:	Office of the DuPage County Auditor	Aug 25, 2011 9:32 AM
Mailing Address:	421 N. County Farm Road	Aug 25, 2011 9:32 AM

Page 7, Q19. Please complete the following:		
City/Town:	Wheaton	Aug 25, 2011 9:32 AM
State:	IL	Aug 25, 2011 9:32 AM
ZIP:	60187	Aug 25, 2011 9:32 AM
Email Address:	sandra.scheel@dupageco.org	Aug 25, 2011 9:32 AM
Phone Number:	630.407.6094	Aug 25, 2011 9:32 AM
	14	
Name:	Vishal Thakkar	Aug 25, 2011 9:29 AM
Company:	Nicor Gas	Aug 25, 2011 9:29 AM
Mailing Address:	1844 W Ferry Rd	Aug 25, 2011 9:29 AM
Address 2:	Internal Auditing Department	Aug 25, 2011 9:29 AM
City/Town:	Naperville	Aug 25, 2011 9:29 AM
State:	IL	Aug 25, 2011 9:29 AM
ZIP:	60563	Aug 25, 2011 9:29 AM
Email Address:	vthakka@nicor.com	Aug 25, 2011 9:29 AM
Phone Number:	630-388-2816	Aug 25, 2011 9:29 AM
	15	
Name:	Donna P	Aug 25, 2011 9:26 AM
City/Town:	Elk Grove Village	Aug 25, 2011 9:26 AM
State:	IL	Aug 25, 2011 9:26 AM
ZIP:	60007	Aug 25, 2011 9:26 AM
Email Address:	dmpaglia@comcast.net	Aug 25, 2011 9:26 AM