The Institute of Internal Auditors
Northern California East Bay Chapter
NEWSLETTER

http://www.theiia.org/chapters/NCEB

Jason Philibert **Issue 272** **January 2013**

President's Message

Paul Becker, Chapter President

Happy New Year!!

As we start the New Year, I want to remind everyone of changes to the International Standards for the Professional Practice of Internal Auditing (i.e. the standards) that take effect January 1. In total, 18 standards were revised. Some of the main changes include clarifying responsibilities of internal auditors, CAEs, and internal audit activity for conforming to the Standards. In addition, the changes clarify the CAE's role in communicating unacceptable risk and explicitly requiring timely adjustments to the internal audit plan. The changes also require the audit plan be aligned to the risks of achieving the strategic objectives.

To ensure your organization is aware of all of the standards, you can purchase the International Professional Practices Framework (2013 Edition) at the IIA Bookstore for $50/$75 (members/non-members)

As always, I encourage you to attend our educational events this month. In January, we will be having both a 2 hour program and a one-day seminar (both at the Chevron facility in Concord). Our January 17 program is on how to triage an investigation. We will be having a networking event immediately following the program at the Concord Hilton. Our January 29 seminar is on advance fraud concepts for auditors. Space for the seminar will be limited so I encourage you to register early to ensure a spot.
Until next month,

Paul Becker, Chapter President
Northern California East Bay Chapter

Programs

Tracy West, VP of Programs

I hope everyone had an enjoyable and restful holiday season!

You will not want to miss our next **joint program and social with the Financial Women's Association. Our program will be on How to Triage an Investigation. Catherine Madrid (CPA, CFF & Partner), Marcus Wong (CPA, CFE & Senior Manager) and Kate Eckhart (CPA, CFE, CFF & Manager) from Ernst and Young Fraud Investigations and Dispute Services** will be here to discuss best practices in initial response to alleged wrong doing. During this program you will learn how to:

- conduct the initial triage
- respond to threats to the business and its employees
- consider reporting obligations
- organize the investigation
- conclude and transition to a longer-term project

This program will be held **Thursday, January 17 at Chevron in Concord from 2:00 to 4:00 p.m. Immediately following the program, please join us for a networking social, appetizers and beverages at Plate and Vine in the Concord Hilton**, just across the street from Chevron. This will be a great opportunity to meet new colleagues and leverage our expanded network.

We also have an excellent line up of programs between February and May as follows:

Mobile Device Risks – Crowe Horwath, February 21
Corporate Espionage – Grant Thornton, March 21

Building Your Brand – Jo Miller, CEO of Women's Leadership Coaching, Inc., April 18 IIA Overview and Social at the Blackhawk Auto Museum - Kevin Mayeux, May 16

Please don't hesitate to call me with suggestions or comments regarding our programs or other topics. I can be reached at 925-469-7285.

I look forward to seeing you in January.

Tracy West
Vice President, Programs

Certification

Do you currently hold a professional certification and are thinking about becoming a Certified Internal Auditor® (CIA®)? Now may be an opportune time to do it. The CIA exam will change from four parts to three parts beginning July 2013. With this change, the IIA will eliminate the recognition credit provision (for certain professional certifications) that was previously applicable to Part 4. So, why not commit to becoming a CIA today before the effective change? For additional information, visit https://na.theiia.org/certification/cia-certification/pages/cia-2013-transition.aspx.

The Certification in Risk Management Assurance (CRMA) Professional Experience Recognition (PER) deadline was December 31, 2012. Substantiating paperwork and forms for the CRMA will need to be filed by January 31, 2013.

Membership

Please take a moment to say hello to the following new or returning members at the next Chapter meeting:

Elizabeth Cerutti

Seminars

John Driscoll, VP of Seminars

We have scheduled a seminar on Fraud for Jan 29, 2013 in the Chevron Concord Auditorium. John Hall will deliver a one-day seminar that will focus on Advanced Fraud Issues for Auditors. For professional internal auditors interested in stepping up their fraud handling skills to a higher level, this one day seminar includes many "next step" practices in making Fraud Risk Management a reality on every project.

John Hall has been a professional speaker, seminar instructor and consultant since 1990. In 2003, he founded Hall Consulting, Inc. as the business entity to manage his speaking, training and consulting activities. Since 1990, Mr. Hall has presented over 1,500 live programs to clients in corporate management, professional associations, internal auditing, CPA firms, and government, not-for-profit, and education organizations. In addition, he continues to personally handle client consulting engagements, fraud risks and incidents, and audits of construction contracts. This continued daily involvement on client projects enables Mr. Hall to bring current, relevant, real-world experience to his presentations and seminars.

John Driscoll,
Vice President, Seminars

Academic Relations

Carol Blanco and Gabrielle Middleton, Academic Relations Committee Co-Chairs

During January, we have two upcoming campus outreach events at the California State University, East Bay - Hayward campus: a Technical Seminar with students from Beta Alpha Psi on January 24 from 4:00pm-5:30pm and CSU East Bay's annual Accounting Careers and Association Night (ACAN) on January 25 from 6:00pm to 9:00pm. We welcome your participation at all campus outreach events, please contact Flora Fu(FNFU@Chevron.com) or Alexi Gao(YIRA@Chevron.com) if you are interested in attending or have any questions.

The scholarship deadline has been extended to **February 28, 2013**. Please spread the word. Click on the link for more details. IIA NCEB Scholarship Application Package

Employment Opportunities 1

Business Title
Senior Auditor

Job ID:
66452BR

Position Location

San Jose California

Primary Job Responsibilities

Conduct audits and special projects that are technically challenging across Marketplaces' portfolio and GSI Commerce.

Planning - Determine and establish appropriate audit scope and internal control objectives for areas under audit. Evaluate the systems of internal control to determine the areas of highest risk. Construct audit plans that utilize allocated hours and resources to address high-exposure areas within the audit scope.

Testing - Design and execute audit programs to validate the relevant internal control objectives. Interpret the significance of the test results and conclude on the reliability of the systems of control.

Recommendations – Develop ideas and recommended solutions to problems identified during audits.

Reporting - Compile and prioritize issues to be addressed at meetings. Conduct meetings with management to review audit results. Prepare management reports and support development of reports to executive management and the Audit Committee.

Complete special projects as assigned, including coordination with external auditors/consultants and others as required.

Job Requirements

Knowledge, skills, abilities, experience, and education required to perform job.

Computer knowledge: SAP, MS Word, Excel, Powerpoint, Access, and ACL

Some travel required

Education and Experience

Highly Desirable: Certified Public Accountant, Certified Internal Auditor and/or Certified Fraud Examiner

7+ years of relevant work experience required i.e. External/Internal Audit, Accounting and Reporting. Other broader Finance/ Operational and SQL experience is a plus.

Education

Bachelors Degree Required

If interested, please visit http://www.ebaycareers.com.

The Institute of Internal Auditors

Please send your announcements, articles, employment advertising and other information to the editor, Jason Philibert at: *Jason.philibert@trinet.com*

Visit the NCEB Chapter Website by scanning the QR code below with your smartphone!

NORTHERN CALIFORNIA EAST BAY

Chapter of the Institute of Internal Auditors

2012 - 2013 PROGRAM CALENDAR

PLATINUM CHAPTER SINCE 2004

Date	F.O.S.*	Program	Presenter
9/20/2012	M	Chief Audit Executive (CAE) Panel Discussion - Moderated by Kennan Rooney	CAEs from Chevron, NetApp, Safeway & Union Bank
10/18/2012	A	External Quality Assurance Review (QAR) Panel Discussion	Panelists from Deloitte & Touche, Chevron, Clorox, and Bank of the West
11/15/2012	A	Risk Management - Third Party Risk Management	Rick Warren, Crowe Horwath LLP
1/17/2013	A	Triaging an Investigation	Catherine Madrid, Marcus Wong, & Kate Eckhart, Ernst & Young
2/21/2013	A	Mobile Device Risks in an Increasingly Connected World	Raj Chaudhary, Crowe Horwath LLP
3/21/2013	A	Corporate Espionage	Dan Henage, Grant Thorton LLP
4/18/2013	PD	Building Your Brand as an Emerging Leader	Jo Miller, CEO of Women's Leadership Coaching, Inc.
TBA	M	Join Us for Another Great May Social at the Blackhawk Museum, Danville	Suprise Guest To Be Announced

*Recommended Field of Study: Auditing (A), Management Advisory Services (M), Personal Development (PD)

Programs held at Chervon Concord Building (2001 Diamond Blvd Concord) unless noted below.

External Quality Assurance Review — OCT 18

PANEL DISCUSSION

Internal audit professionals who are interested in the following will want to attend this program:

* An overview of the Institute of Internal Audit Standards for the Professional Practice of Internal Auditing.
* Implementing new / enhancing existing internal audit processes.
* Maintaining quality and leading internal audit practices.
* Confirmation that you are meeting stakeholder expectations and providing value to your organization.
* Preparing for or considering an external Quality Assessment Review to evaluate conformance with the Standards.
* Becoming a future internal audit leader.

Join a panel comprised of a representative of Deloitte & Touche, Bonnie Sura of Chevron, Ivor Nanton of Clorox, & Theresa Nidetz of Bank of the West.

Risk Management Third Party Risk Management — NOV 15

During this presentation, we'll discuss how an effective enterprise risk management program incorporates third-party risk mitigation efforts. At the end of this session, participants will be able to:

* Recognize recent trends in the marketplace related to third-party risks.
* Through a case study approach, identify internal and external challenges to discover, analyze, and assess risk.
* Explore solutions to be implemented in order to achieve a successful proactive program.
* Utilize technology to enhance third party risk management efforts.

Presented By:
Rick Warren, CIA, CRMA, Principal, Third Party Risk Management, Crowe Horwath LLP

Triaging an Investigation — JAN 17

Catherine Madrid, CPA, CFF, Partner, Marcus Wong, CPA, CFE, Senior Manager, & Kate Eckhart CPA, CFE, CFF, Manager, Fraud Investigations and Dispute Services, with Ernst & Young, will cover best practices in initial response to alleged wrongdoing.

Learn how to:

* Conduct the initial triage.
* Respond to threats to the business or its employees.
* Consider reporting obligations.
* Organize the investigation.
* Conclude and transition to a longer-term project.

Joint program with the Financial Women's Association. Join us for a Social at Plate & Vine across the street in the Concord Hilton.
Location: 1970 Diamond Blvd, Concord
Time: 4:00 - 6:00 p.m

Mobile Device Risk in an Increasingly Connected World — FEB 21

With the proliferation of mobile devices over the last few years, organizations are beginning to feel pressure from employees to allow access to corporate data and information from consumer phones and tablets. While this is a clear advantage for productivity, placing sensitive data on moblie devices presents additional risks to the security of this infomation. This presentation will explore the threats organizations are exposed to via mobile devices on which they depend and mobile security approaches within the enterprise.

Presented By:
Raj Chaudhary, PE, CGEIT,CRISC, Principal and National Practice Leader, Security and Privacy, Crowe Horwath LLP

Corporate Espionage — MAR 21

In this presentation, Dan Henage will:

* Use live hacking demonstrations and videos to show how corporate espionage occurs.
* Explain what happened in some of the largest attacks of all time and how the organizations failed to protect themselves.
* Describe the steps that can be taken to protect against corporate espionage including:
  - Firewalls
  - Training
  - Patching
  - Tracking
  - Testing
  - Encryption
  - Physical Security

Presented By:
Dan Henage CPA, CISSP, Business Advisory Services Manager, Security Specialist,Grant Thornton LLP

Build Your Brand as an Emerging Leader — APR 18

What does it take to establish a reputation as a go-to person, expert, or up-and-coming leader?

In this workshop, discover:

* How to identify your ideal career niche.
* How to turn it into a compelling brand statement.
* Cultivate a strong, positive reputation for yourself.
* Learn how to communicate your brand to your leaders and engage them in your career development.
* Attract high-profile assigments and roles that make your expertise visible.

Presented By:
Jo Miller, CEO of Women's Leadership Coaching, Inc. and creator of the Women's Leadership Coaching system.

May Program & Social at Blackhawk Museum — MAY TBA

Please join us for another exciting event at the Blackhawk Museum. The Blackhawk Museum was established to ensure that significant automotive treasures blending art, technology, culture and history would be exhibited for public enjoyment and educational enrichment. Blackhawk displays about 90 cars, many of which are on loan from Museum friends in many different parts of the world. Car collectors enjoy sharing their automobiles and Blackhawk has the most dramatic presentation of coachbuilt cars in the world, without exception. You won't want to miss this opportunity to network with your peers, enjoy an exceptional dinner, and hear from our special guest.

Attend all programs this year and receive up to 16 CPE credits*

All programs are $30 for Members/$35 for Non-Members excluding the May Social.

The courses in this flyer are being provided as a part of the Northern California East Bay Chapter of the Institute of Internal Auditors Annual Program Schedule. Courses are $30 for Members/ $35 Non-members excluding the May Social. For more information regarding complaint resolution and program cancellation policies, please visit the Programs and Seminars section of our website at http://www.theiia.org/chapters/index.cfm/home.page/cid/216

NATIONAL REGISTRY OF CPE SPONSORS

*The Northern California East Bay Chapter of the Institute of Internal Auditors is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org.

Directions to Program Meetings - *Chevron Park and Chevron - Bishop Ranch*:

From South Bay:

- Take I - 680 North (approximately 5 miles from the I-580 interchange)
- Take the Bollinger Canyon Road Exit
- Turn right on Bollinger Canyon Road

From the North Bay:

- Take I - 680 South (approximately 12 miles from the Highway 24 interchange)
- Take the Bollinger Canyon Road Exit
- Turn left on Bollinger Canyon Road

From San Francisco:

- Merge onto I-80 East towards Bay Bridge/Oakland
- Merge onto I-580 E toward CA-24 / DOWNTOWN OAKLAND / HAYWARD-STOCKTON
- Take CA-24 E toward WALNUT CREEK for approximately 13 miles
- Take I - 680 South for approximately 12 miles
- Take the Bollinger Canyon Road Exit

For Chevron Park:

- Turn right into the **first** Chevron facility at **6001 Bollinger Canyon Road**.
- Park in the Visitor's Parking
- Proceed to Building A, located directly behind the fountain

For Chevron - Bishop Ranch:

- Turn right into the **second** Chevron facility at **6101 Bollinger Canyon Road**.
- Park in the Visitor's Parking
- Proceed to Building 1, located directly across from the Visitors' Parking Lot

Directions to Program Meetings - *Concord facility*:

From South Bay:

- Take I - 680 North (approximately 22 miles from the I-580 interchange)
- Take the Burnett Ave Exit - Exit 52 Toward Concord Avenue
- Stay Straight to go onto Burnett Avenue
- Turn Right onto Diamond Blvd

From the North Bay:

- Take I - 680 South (approximately 1 mile from the Highway 4 interchange)
- Take the Concord/Pacheco Exit - Exit 52
- Turn left onto Contra Costa Blvd
- Turn left onto Concord Avenue
- Turn slight right onto Diamond Blvd

From San Francisco:

- Merge onto I-80 East towards Bay Bridge/Oakland
- Merge onto I-580 E toward CA-24 / DOWNTOWN OAKLAND / HAYWARD-STOCKTON
- Take CA-24 E toward WALNUT CREEK for approximately 13.2 miles
- Take I - 680 North for approximately 6 miles
- Take the Burnett Ave Exit - Exit 52 Toward Concord Avenue
- Stay Straight to go onto Burnett Avenue
- Turn Right onto Diamond Blvd

2012 – 2013 NCEB BOARD

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