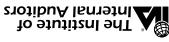
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INTERNAL AUDITORS



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brochure along to a colleague. Already registered? Please pass this

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REGIONAL CONFERENCE September 25-28, 2011 • Milwaukee, WI 4/11380/LK/JP



MAKE A SPLASH: SPREADING AUDIT KNOWLEDGE



Dear Colleague:

Something exciting is brewing this fall as an anticipated 350 internal audit professionals convene in Milwaukee for the most exciting and affordable training opportunity of the year – the 2011 Midwestern Regional Conference, September 25 - 28, at the Hilton Milwaukee City Center.

Your hosts, The IIA and the Milwaukee Chapter, are proud to introduce an impactful and cost-effective conference that will contribute to your personal and professional success. Highlights include:

- Pre-conference workshops on emerging issues in the financial services industry and antifraud controls and continuous monitoring techniques with IDEA.
- Thirty-eight concurrent sessions in tracks focusing on today's hottest topics: governance and ERM; technology auditing and emerging issues; legal and regulatory compliance; leadership, communication, and people skills; and mastering Excel.
- A special track dedicated to CIA exam review led by subject matter experts using The IIA's CIA Learning System and an opportunity to earn an additional 20 CPEs.
- A one-day track focusing on issues critical to CAEs.
- A record-breaking eight general sessions featuring highly respected professionals from impressive organizations such as Susan G. Komen[®] for the Cure, Badger Meter, Emerson, Northwestern Mutual Life, and Raytheon.
- Evening networking events in the exhibit hall, at the Miller Time Pub, and at the Milwaukee Public Museum.
- An opportunity to participate in the Susan G. Komen[®] Race for the Cure on Sunday, September 25. Join the Midwestern Regional Conference team for this 5K run/walk.

The conference program, career-building networking opportunities, the available CPE, and the Race for the Cure camaraderie are all great reasons to attend the 2011 Midwestern Regional Conference – and to make it even more irresistible, we are offering an early registration discount of \$50 when you register for the conference before July 31. Group discounts are also available.

Please register online at www.theiia.org/MRC2011 and we will see you in Milwaukee!

Sincerely,

David Cook
Internal Audit Director and Senior Vice President

Robert W. Baird & Co.

Heidi Humbert, CIA
Director of Audit Services
Wisconsin Energy Corporation

Sunday, S	eptember 25, 2	.011	KNOWLEDGE LEVELS: I – B	eginner II — Intermediate	e III – Advanced I ¹	V – All Knowledge Levels	
12:15 — 2:00 p.m.	OS-1 Emerging Is: MODERATOR: Paul Flora, Principal, Quality	G-1 /, CIA Review Part I					
	PANELISTS: Gina M. Adelphia, Senior	Tony Popanz Consultant					
	Mark Arning, CIA, Senior V	Experis					
	Steve Homza, Managing Di	Auditing					
0.00 0.00	Karl Riem, Executive Vice Pr						
2:00 — 2:30 p.m.	Break						
2:30 — 4:30 p.m.	OS-2 Antifraud Co Don Sparks, CIA, Vice Pres	II Auditing	Auditi				
3:00 — 5:00 p.m.	Conference Registration						
l:30 — 6:30 p.m.	Welcome Reception - Mil						
•	eptember 26, 2						
7:00 a.m. – 5:00 p.m.	•						
7:00 a.m. = 5:00 p.m.	Conference Registration						
7:15 — 8:15 a.m.	Networking Breakfast - I OS-3 PCI DSS Aud	EXNIDIT HAII itor's Briefing <i>(Space is I</i>	imited to 80 registrants.)				
	Paul Johnson, Consulting N	Manager, Wipfli LLP				Regulatory Complian	
8:30 — 8:40 a.m.	Opening Comments by	y Conference Co-Chairs	David Cook and Heidi Humb	ert, CIA			
8:40 — 9:10 a.m.		iting in 2011: Trends, Op A, CGAP, CCSA, <i>President</i>		es er, The Institute of Interna	l Auditors		
9:10 — 10:00 a.m.		tics of Highly Effective Au Vice President, Internal A					
10:00 — 10:30 a.m.	Networking Break - Exhi	bit Hall					
TRACKS	Governance and ERM (Session A)	Technology Auditing & Emerging Issues (Session B)	Legal and Regulatory Compliance (Session C)	Leadership, Communication, and People Skills (Session D)	CAE Forum (Session E)	IIA CIA Review Course (Session G)	
10:30 — 11:45 a.m.	A-1 // Bite-sized ERM	B-1 , , , , The Yin-Yang of IT Controls — Focusing on the Gray Matter	C-1 // Building and Maintaining a Robust FCPA Compliance	Managing A	E-1 // Building and	G-2 I, II CIA Review Part II Jay Mages Partner R&M Consulting	
	Jr., CIA Partner, National Gover- nance, Risk and Compliance Solution Leader, Business Advisory Services Group Grant Thornton LLP John F Practice Security Manage Security				Maintaining Internal Audit Excellence		
		John Hainaut Practice Director, Information Security Center of Expertise Paul Rozek Manager, Information Security Center of Expertise	Program — How We Do It Ann Marie Wick, CIA Director Global Finance Compliance Johnson Controls Inc.		Richard F. Chambers, CIA, CGAP, CCSA President and Chief Executive Officer The Institute of Internal Auditors		
		Experis	is		Charles B. Eldridge Senior Client Partner and Co-Managing Director Korn/Ferry International		
					Larry J. Harrington, CIA Vice President Internal Audit Raytheon Company		
	Auditing	Auditing	Auditing	Personnel/HR	Auditing	Auditi	
l:45 a.m. — 12:45 p.m.	Lunch						
12:45 — 2:00 p.m.	GS-3 Diversity in the Workplace Panel MODERATOR: Betty McPhilimy, CIA, Associate Vice President, Office for Audit and Advisory Services, Northwestern University and Former IIA Chairpea						
	PANELISTS: Tina Chang, Chairman of Dave Gromacki, Director,						
	· · · · · ·	nief Executive Officer, Clift					
	,						

TRACKS	Governance and ERM (Session A)	Technology Auditing & Emerging Issues (Session B)	Legal and Regulatory Compliance (Session C)	Leadership, Communication, and People Skills (Session D)	CAE Forum (Session E)	IIA CIA Review Course (Session G)					
2:15 — 3:30 p.m.	A-2 // FCPA Today: 3rd Party Due Diligence Investi- gation Strategies Thomas J. Engelhart Director The Kreller Group	B-2 // Contract Compliance Auditing Boyd Noble Vice President Business Development Revenew International LLC	C-2 / IFRS and Accounting Convergence Michael Lutze Partner Ernst & Young LLP	D-2 // Business and Operational Ethics: Internal Audit and Compliance Roles John Covell, CIA Vice President Corporate Audit General Growth Properties Inc. Brenda Hildreth Director, Ethics and Compliance Baxter International Inc.	E-2 // Effective Compliance and Cash Manage- ment: Lessons Learned David W. Simon, JD Attorney, Partner Foley & Lardner LLP Jennifer Houser Special Agent FBI	G-3 I, II CIA Review Part II Jay Mages Partner R&M Consulting					
	Auditing	Auditing	Auditing & Accounting	Regulatory Ethics	Auditing	Auditing					
3:30 - 4:00 p.m.	Networking Break - Exhil	bit Hall									
4:00 — 5:15 p.m.	A-3 I, II Maximizing Internal Audit Performance Through Implementa- tion of IIA's IPPF Warren Hersh, CIA, CCSA Auditor General NJ Transit	B-3 I, II Cloud Security Alliance — Security Challenges and Solutions in Cloud Computing Jason Witty Senior Vice President, International Information Security Executive Bank of America	C-3 I, II Partnering for Success — A CFO's Perspective on Establishing a Value Added Partnership with Internal Audit Nicholas DiMola Principal Quality Plus & Associates	D-3 / & // Your Personal Brand: Manage it! Carver Smith Search Practice Leader Baker Tilly Search & Staffing	E-3 // Audit Risks vs. Company Strategy Mason A. Carpenter, PhD University of Wisconsin- Madison Weikel Professor in Leadership and Associate Dean MBA Program for Working Professionals	G-4 I, II CIA Review Part II Jay Mages Partner R&M Consulting					
	Auditing	Specialized Knowledge & Applications	Auditing	Personal Development	Auditing	Auditing					
5:30 – 7:00 p.m. Tuesday, S 7:00 a.m. – 5:00 p.m.	Exhibitor Reception - Exh September 27, 2 Conference Registration										
7:00 — 8:30 a.m.	Networking Breakfast - E	Exhibit Hall									
7:15 — 8:15 a.m.				_	(Space is limited to 80 re						
8:30 - 8:45 a.m.	Opening Comments by	Conference Co-Chairs	Opening Comments by Conference Co-Chairs David Cook and Heidi Humbert, CIA								
$8:45-10:00 \ a.m.$	GS-4 Singular Goals, Common Concerns, Unique Courses: Drawing on Our Past to Help Susan G. Komen® for the Cure Today Vanessa Hewitt, Director of Internal Audit, Susan G. Komen for the Cure® Mark Nadolny, Chief Financial Officer, Susan G. Komen for the Cure®										
	Mark Nadolny, <i>Chief Fina</i>	r of Internal Audit, Susan (ancial Officer, Susan G. Ko	ique Courses: Drawing of G. Komen for the Cure®		san G. Komen [®] for the						
$10:00-10:30 \ a.m.$	· ·	r of Internal Audit, Susan (ancial Officer, Susan G. Ko	ique Courses: Drawing of G. Komen for the Cure®	on Our Past to Help Su	san G. Komen [®] for the						
10:00 – 10:30 a.m. TRACKS	Mark Nadolny, <i>Chief Fina</i>	r of Internal Audit, Susan (ancial Officer, Susan G. Ko	ique Courses: Drawing of G. Komen for the Cure®		san G. Komen® for the MrExcel (Session F)						
	Mark Nadolny, <i>Chief Fina</i> Networking Break - Exhil	r of Internal Audit, Susan G. Ko ancial Officer, Susan G. Ko bit Hall Technology Auditing & Emerging Issues (Session B)	ique Courses: Drawing of G. Komen for the Cure® omen for the Cure® Legal and Regulatory Compliance	on Our Past to Help Sur Leadership, Communication, and People Skills	MrExcel	Cure Today IIA CIA Review Course					

12:45 - 2:00 p.m.	Lunch GS-5 World Class	Internal Audit – A Panel	Discussion					
12.45 — 2.00 p.m.	MODERATOR: Dr. Larry E. Rittenberg, CIA, <i>Ernst & Young Professor of Accounting, University of Wisconsin-Madison</i>							
	PANELISTS:							
	Sandra Botcher, <i>Vice President, Enterprise Risk Assurance, Northwestern Mutual Life</i> Thomas J. Fischer, <i>President, Audit Committee Chair, Fischer Financial Consulting LLC</i>							
	· ·	nt, Audit, Emerson Electri		Juling LLO				
2:00 — 2:15 p.m.	Transition Break							
	Governance and ERM	IIA CIA Review Course						
TRACKS	(Session A)	Emerging Issues (Session B)	Compliance (Session C)	Communication, and People Skills (Session D)	MrExcel (Session F)	(Session G)		
2:15 — 3:30 p.m.	A-5 // How Wells Fargo Opines on Enterprise Risk Management Karl Riem Executive Vice President,	B-5 // Leveraging ERP in Internal Audit — Sharing a Success Story Sean P. Donahue	C-5 // The Changing Face of Financial Reporting MODERATOR: William D. Goodman President Schenck SC	D-5 I, II, III A New Decade, A New Internal Audit Model Todd Richards, CFSA Partner Crowe Horwath LLP	F-2 /// MrExcel – Pivot Tables and Power Pivot Bill Jelen Founder and President	G-6 I, II CIA Review Part III Speaker TBD		
	Deputy Chief Auditor Wells Fargo & Company	Partner, Risk Assurance Practice PricewaterhouseCoopers LLP	PANELISTS: Krista McMasters Chief Executive Officer Clifton Gunderson		MrExcel.com			
			Richard Dinkel Corporate Controller and Chief Accounting Officer Koch Industries Inc.					
	Auditing	Auditing	Auditing & Accounting	Auditing	Mgmt Advisory Services	Auditin		
3:30 – 4:00 p.m.	Networking Break - Exhil							
4:00 — 5:15 p.m.	A-6 // Enterprise Risk Management at GE Healthcare Thomas Westrick GM, Global Controllership	B-6 // The Risk Intelligent Auditor: Surviving and Thriving in Uncertainty	C-6 // Transforming the IT Audit Function Varun Laroyia Group Vice President, Internal Audit	D-6 III Initial Findings From a Global Study Reveal a New Direction for Internal Auditing Dr. Sridhar Ramamoorti,	F-3 // MrExcel – Charting, Presentations, and Other Tips Bill Jelen Founder and President	G-7 I, I CIA Review Part III Speaker TBD Concludes Wednesday 1:00 – 5:00 p.m.		
	and Risk Management GE Healthcare	Sandy Pundmann Partner Deloitte LLP	Daniel J. Schumacher, CISA, Director, IT Audit and Compliance Johnson Controls Inc.	CIA Professor of Accountancy Kennesaw State University	MrExcel.com			
	Enterprise Risk Mgmt	Mgmt Advisory Services	Mgmt Advisory Services	Auditing	Mgmt Advisory Services	Auditin		
6:00 — 9:00 p.m.	Evening Event — Milwau	kee Public Museum						
Wednesda	y, September 2	8, 2011						
7:30 — 8:30 a.m.	Networking Breakfast - E	Exhibit Hall						
8:30 — 8:45 a.m.	Opening Comments by	y Conference Co-Chairs	David Cook and Heidi Humb	ert, CIA				
8:45 — 9:30 a.m.	GS-6 Future Forecast: Where We've Been and Where We're Going Economically Dr. Abdur Chowdhury, <i>Chair, Department of Economics, Marquette University</i>							
9:30 — 10:30 a.m.	GS-7 Know Your Environment: The Power of Knowledge in Setting Your Course Rich Meeusen, Chairman, Chief Executive Officer and President, Badger Meter Inc., and Audit Committee Chair, Menasha Corp.							
10:30 — 10:45 a.m.	Networking Break							
0:45 a.m. — 12:00 p.m.		RE – Building Value Wi ker and Author, Keynote Co						
12:00 — 12:15 p.m.	Closing Remarks by C	onference Co-Chairs Da	vid Cook and Heidi Humbert,	CIA				
1:00 - 5:00 p.m.						G-8 <i>I, II</i> CIA Review Part III		
						Speaker TBD Auditing		

CONFERENCE REGISTRATION FEES

CONFERENCE REGISTRATION FEES	IIA MEMBERS	<u>NONMEMBERS</u>	<u>CPE</u>
Register by July 31, 2011, and save \$50!	\$645	\$695	19*
After July 31, 2011	\$695	\$745	19*
You must pre-register for all workshops. Auditing for Financial Services Professionals Pre-Conference Workshop Conference registration is not required.	\$40	\$40	2*
IDEA Audimation Pre-Conference Workshop Conference registration is not required.	\$40	\$40	2*
CIA Examination Preparation Workshop (Price includes The IIA's CIA Learning System) Conference registration is required. Walk-ins will not be accepted.	Per Part All Parts \$195 \$585	Per Part All Parts \$195 \$585	20*

SPECIAL OFFER! Register 10 people from the same organization for the Midwestern Regional Conference and the 11th registration will be free. Please call +1-407-937-1111 to register by speaking to an IIA Customer Relations representative.

CONFERENCE INFORMATION

Payment Information

Registration fees include continental breakfasts, refreshment breaks, Monday and Tuesday lunches, receptions, and access to the exhibit hall. Admittance to this event is contingent upon full receipt of payment prior to the conference. Payment for an IIA-sponsored conference is due in US dollars at time of registration. If payment has not been received prior to the start of the event, The IIA reserves the right to deny entrance. Go to www.theiia.org/MRC2011 to register using a credit card or print out a registration form that can be faxed or mailed with payment. Mail your check, made payable to The Institute of Internal Auditors, with your registration form to The IIA Payment Center, P.O. Box 31280, Tampa, FL 33631-3280 or contact IIA Customer Relations by phone at +1-407-937-1111 or by fax at +1-407-937-1101 to charge your registration to your VISA, MasterCard, or American Express.

Schedule Changes

At times, it may be necessary to cancel, reschedule, or substitute an event, conference session, speaker, conference, and/or topic after registration has been confirmed. The IIA will provide advance notification of any changes once notice has been received and in doing so, will not be responsible for penalties related to the canceling or rescheduling of registration fees, airfare, lodging, etc.

What If You Change Your Mind?

Full refunds will be granted only for cancellations received prior to August 26, 2011. There is a US \$250 service charge per registrant for cancellations received between August 26, 2011 and

September 9, 2011. Refunds will not be granted after September 10, 2011. Substitutions can be made at no extra charge. Please contact IIA Customer Relations at +1-407-937-1111.

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CPE Credits Available

When you attend this conference, you are eligible for at least 19 Continuing Professional Education (CPE) credits, depending on the number of

sessions you attend. Additional CPE credits are available if you also attend the pre- and post-conference sessions. Attendance will be tracked via a ticket system. The IIA's educational programs are acceptable for fulfilling CPE requirements, which ensure that internal auditors comply with the specific standards on education in The IIA's *International Standards for the Professional Practice of Internal Auditing.* The Institute is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses. Complaints regarding a registered sponsor may be addressed to NASBA, 150 Fourth Ave. North, Nashville, TN 37219- 2417 USA.

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For sponsorship opportunities for this conference, please contact sales@theiia.org or call +1-407-937-1109.

^{*} Additional CPE is available if you attend the conference, a pre-conference workshop, morning optional sessions, and one of the CIA Exam Preparation tracks. For CPE details, contact conferences@theiia.org.