

The Audit Scope

Volume 23, Issue 4



December 2010

President's Message

Shortly after the hustle and bustle of the holiday season is over our chapter will begin planning for next year and will be looking for volunteers. As you are planning for next year, please consider volunteering to serve in a leadership role with the IIA. The Topeka IIA chapter has different levels of volunteer leadership opportunities available, including: officer roles, board member positions and committee members. Being one of our chapter leaders provides you with the opportunity to help make chapter decisions, network with other IIA members both in our chapter and from other chapters and gain leadership experience.

If you are interested in volunteering as an officer, board member or committee member or have questions about responsibilities or time commitment please email me at Dana.Shipley@westarenergy.com. For those of you who noted on the member survey earlier in the year that you would be interested in volunteering and included your contact information, I will share your interest with the nominating committee.

I would like to wish you all a happy and healthy holiday season!

Dana Shipley

December Meeting

Date: Tuesday, December 7, 2010

Place: KDOT
Eisenhower State Office Building
Auditorium 4A
700 SW Harrison

Time: 11:30 a.m. to 1:00 p.m.

Menu: Ham and turkey wraps
Beef stew
Tomato, basil & parmesan soup
Chips/crackers
Dessert

RSVP: By noon Friday, December 3

Reservations: Richard W. McMullen
Kansas Department of Transportation
E-mail: mcmullen@ksdot.org
Phone: (785) 296-5399

Registration:

IIA Member	\$12.00
(Fee includes lunch)	Non-Member \$17.00

December Program

Topic: Current Trends in Internal Audit

Speaker: Brian Clark

Brian is a Senior Manager in Deloitte's Advisory practice specializing in internal audit engagements at energy, commodities trading, banking, brokerage, and insurance clients. His experience includes leading global internal audit engagements with multinational clients, which has taken Brian to Asia, South America and Europe. During his 11 years with Deloitte, Brian has helped his clients assess business process effectiveness, developed customized risk assessment methodologies to fit their organizations, and designed customized internal audit approaches to efficiently use limited resources.

IIA Chat

Name: **Sheldon Warmington**
Employer and Position: **Security Benefit, Auditor 1**
Time in this position: 6 months
Education: **Bachelor's in Accounting and Finance; Washburn University**

What was your first job? **Filing clerk, Jamaica Public Service.**

What is your favorite food? **Jamaican stewed peas with steamed rice.**

What is your dream vacation? **An Alaskan cruise.**

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What is your favorite TV show? **True Blood (HBO).**

Name one person (dead or living) you would like to meet. **Nelson Mandela**

What talent would you most like to have? **I'd love to be able to play a musical instrument.**

What book are you currently reading? **"HealthSouth: Wagon to Disaster" by Aaron Beam.**

What is your prized possession? **Probably my passport.**

What do you try to avoid? **Going without food for extended periods of time.**

If you could have any job you wanted, what would it be? **An Astronaut.**

What is your greatest accomplishment? **I'm the first in my family to graduate college.**

What would you like to get around to doing when you have time? **Video chatting with my family via Skype.**

How would you like to be remembered? **As a person who always gave of himself above and beyond what was asked of him.**

Who is the person you most admire and why? **Nelson Mandela for his intense sense of perseverance.**

What's a pet peeve of yours? **People who don't use their turn signals.**

What might people be surprised to learn about you? **I'm a pretty amazing cook 😊**

What is your favorite holiday memory? **Spending Christmas with my Grandma as a child.**

Small Sacrifices Lead to Big Benefits

You want to succeed on the CIA Exam, and you want to do it as quickly as possible. Exam candidates

should remember that the exam is challenging, and sometimes you have to make small sacrifices to accomplish your goal. Here are some suggestions you can employ during your CIA Exam preparations to guarantee success.

Forgo your favorite music or talk show during your commute to work, and listen to audio lectures instead. This small change will save you hours of other study time and will reinforce information as you learn.

Many people are most productive early in the morning when they are rested. You may not be a morning person, so you may have to give up a late night show and get to bed earlier. Experiment with waking up early and studying for an hour or two in the morning. Studies have shown that students cover more material in less time when the mind is clearer and there are fewer disturbances. They are able to internalize information that is more accessible for recall.

During lunch break, reserve 15 to 30 minutes of quality study time instead of reading your favorite book or going out with your co-workers. Gleim candidates use the Gleim Online course or Test Prep software to practice answering multiple-choice questions. This is a small sacrifice, so if you find yourself wishing you were outside during that hour, take your review book or laptop and study outdoors.

Keep in mind that as a Topeka Chapter member, you will receive a 20% discount on the Gleim CIA Review materials, which include over 3,800 practice multiple-choice test questions.

Contact Melissa at 800.874.5346, ext. 131 or melissa.leonard@gleim.com to take advantage of this offer or if you have any questions.

Do you have feedback on what you have read or suggestions on future topics? Maybe you are studying for the exam or have recently passed and want to share tactics you used. Email Gleim at iia chapters@gleim.com with your comments.

Melissa Leonard, Chapter Coordinator

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IIA CIA Update

Attention CIA Candidates - Save on Premier-Quality Prep Materials!

The IIA's CIA Learning System is a comprehensive and flexible training program that offers:

- Interactive print and Web-based study tools that teach the entire global CIA exam syllabus.
- Up-to-date content that has been aligned with the [2009 International Professional Practices Framework \(IPPF\)](#).
- [My CIA Review Plan](#) - a free, online tool to help you set a customized and realistic study plan.
- Web-based test questions to help prepare you for the computer-based CIA exam.
- CIA Practice Exam that simulates the Pearson VUE CBT testing software to build exam confidence.

Go to www.learnCIA.com for information on courses and classes and www.learnCIA.com/chapters for IIA Chapter discounted materials.

FYI

- Please be sure to update your contact information on the IIA website. This will help our chapter keep an up-to-date directory.
- The IIA has recently launched the new Audit Executive Center: The Essential Resource for Audit Executives. The Center provides chief audit executives with the critical resources needed to successfully navigate today's ever-changing business environment and stakeholder expectations. The Audit Executive Center is The IIA's promise to provide timely thought leadership and targeted, role-specific resources to help CAEs be empowered, connected, and relevant. For additional details please visit www.theiia.org/CAE.

Chapter Website

Looking for a new job? Looking for current and past newsletters? Do you want to register for the monthly chapter meetings? Are you always looking for new

resources for researching those best practices? If you answered "yes" to any of the above questions, then you should check out the Topeka IIA Chapter website at www.theiia.org/chapters/topeka. The website not only offers all of the features listed above, but it also allows our members to submit job postings, news, and events related to our local chapter and the internal audit profession.

Chapter Objectives

Service To Members

- Education Objective: Offer over 20 hours per year of affordable CPE with more than 60% rated as excellent.
- Certification Objective: Two members become certified annually.
- Social Objective: Members get to know other members from different organizations.

Service To Profession

- Membership Objective: Increase active membership.
- Professional Development Objective: Hold 15 hours of seminar CPE annually.
- Academic Relations Objective: Promote the profession at Washburn University.
- Government Relations Objective: Keep government membership.

Chapter Administration

- Leadership Development Objective: Have 1 or 2 officers attend the Leadership Conference.
- Chapter Finance Objective: Have our lunch meeting fees cover the meeting expense; make additional income from the seminars to fund other activities (Leadership Conference, WU scholarship, WU student night).
- Communication and Participation Objective: Send out monthly newsletter and update website.

Newsletter Articles:

Please contact Dana Shipley with any articles or suggestions for the newsletter. You may also know of special events (weddings, promotions, certifications, etc.) that you would like to put in the newsletter.

dana.shipley@westarenergy.com

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Topeka Cap Summary

As of: 11/05/10				
Category	Minimum for Bronze	Chapter Points (to Date)	% of Minimum	Balance to Minimum
I. Service to Members	325.00	278.67	86%	46.33
II. Service to Profession	200.00	264.55	132%	Achieved!
III. Chapter Administration	160.00	150.00	94%	10.00
	685.00	693.22		
Total from Master Template:		693.22		
Current CAP Status:		Pending		
<u>Targets:</u>				
Bronze	685.00			
Silver	1,060.00			
Gold (Platinum)	1,560.00			

Upcoming Local IIA Events

<u>DATE</u>	<u>TIME</u>	<u>LOCATION*</u>	<u>Sponsoring Chapter</u>
12/02/10	11:30	U.S. Ag Bank	Wichita – www.theiia.org/wichita
01/06/11	7:15 breakfast, program from 8:00- 12:00	Ritz Charles, 9000 W. 137 th St., Overland Park, KS Speaker: James Key, former Director of Internal Audit for IBM	Kansas City chapter – 4 CPE hours – Key Communication Skills: Interviewing, Adjusting your Approach, Using Diplomacy. Branding and Promoting the Internal Audit Function.
01/11/11	11:30	SRS Learning Center	Topeka – lunch meeting
02/01/11	11:30	KDOT	Topeka – lunch meeting – Paul Sizemore (Archer Technologies) – Enterprise Governance Risk and Compliance
03/01/11	11:30	SRS	Topeka – lunch meeting
04/05/11	11:30	Westar	Topeka – lunch meeting
05/03/11	3:00	To be determined	Topeka – afternoon social/meeting

*Note: location can change based on availability and size.

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2010-2011 Officers and Board of Governors

President	Dana Shipley	Westar Energy
1 st VP, Seminars	Shannon Wagner	Security Benefit
2 nd VP Meetings	Tiffany Lake	Westar Energy
Secretary	Valerie Burrell	Capitol Federal Bank
Treasurer	Crystal Perez	Capitol Federal Bank

Board Member	Ray Wheeler	FHLB Topeka
Board Member	Jeanne Slusher	Security Benefit
Board Member	Kyle Brewster	CHAN Healthcare
Board Member	Kathy Spain	Capitol Federal Bank
Board Member	Jenny Tryon	Westar Energy
Board Member	Kathleen Heaney	FHLB Topeka
Board Member	Jay Davis	SRS
Board Member	Robert Knott	Capitol Federal Bank
Board Member	Candace Hapgood	FHLB Topeka
Board Member	John Gibbons	Office of the State Bank Commissioner
Board Member		
Board Member		

Membership		
Academic Relations	Tom Clevenger	Washburn University
Government Relations		
Newsletter	Jeannie Macfee	Westar Energy
Seminars	Shannon Wagner	Security Benefit
Programs	Tiffany Lake	Westar Energy
Librarian	Nick Kramer	KHPA
CIA Program	Kathy Spain	Capitol Federal Savings
Audit Committee	Mary Hoover	SRS
Historian		
Directory	Julie Pennington	Legislative Division of Post Audit
Nominating	Dana Shipley	Westar Energy
Hospitality	Richard McMullen	KDOT
Web Site	Julie Pennington/ Richard McMullen	Legislative Division of Post Audit/ KDOT
Certificates	Bob Stambaugh	BCBS