

The Audit Scope

Volume 25, Issue 4

December 2011

President's Message

It is hard to believe that the holiday season is here already! It is easy to get overwhelmed during this time of year. Work is calling, the cold weather is calling, family is calling, oh, and your IIA Chapter is calling. Shortly after the New Year, we will start planning for the next chapter year. As you start making your 2012 professional plans, consider volunteering for the IIA Chapter. There are board positions and officer positions that will be open. Participating in chapter leadership has been very beneficial to me personally. I have met new people, both in Topeka and outside of our chapter. Just recently I have had the opportunity to use those connections to assist me in a current project. If you are interested or have any questions send me an email and I will touch base with you next year.

The holiday season is a good time to stop and reflect on the year. I know that I have much to be thankful for both personally and professionally. I am thankful for my family, friends, co-workers, and for our chapter. All have helped me expand and grow. I hope you had a great Thanksgiving. Remember to enjoy the season and to take time to be thankful.

I look forward to seeing you at the December meeting.

Happy Holidays,

Shannon Wagner
Topeka Chapter President

December Program

Topic: Cloud Computing

Speaker: Bernard Wieger, KPMG

Bernie is a Partner in the Information Technology Advisory Services practice, based in KPMG's Kansas City office. He has more than 24 years of experience

December Meeting

Date: Tuesday, December 6, 2011

Place: Westar Energy
Multipurpose Room

Time: 11:30 a.m. – 1:00 p.m.

Menu: Roast Beef served w/Hollandaise Diablo
Broccoli w/Sautéed Peppers and
Sherry
Potato Gratin w/Gruyere and Fontina
Cheeses
Fresh Breads
Walnut-Raspberry-White Chocolate
Torte
Tea, water

RSVP: By noon, Friday, December 2, 2011

Reservations: Richard McMullen
KDOT Office of Inspector General
E-mail: mcmullen@ksdot.org
785-296-5399
Hearing impaired – 711

Please let Richard know if you have any dietary restrictions.

Registration:	Member	Non-member
Lunch & meeting	\$12	\$17

in public accounting and auditing. He currently assists companies in identifying and evaluating risks related to their current or proposed IT infrastructure and governance. Bernie provides services for several large, multinational accounts as well as medium-sized companies.

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IIA Chat

Name: **Yolanda Gay**

Employer and Position: **State of Kansas – Senior Auditor**

Time in this position: **about 6 months; with the State – about 27 years**

Education: **B.S. at University of Kansas in Bus. Admin. & Accounting**

What was your first job? **Probably babysitting**

What is your favorite food? **Anything lemon**

What is your dream vacation? **Europe**

What is your favorite TV show? **Anything Sci-Fi**

Name one person (dead or living) you would like to meet. **Jesus**

What talent would you most like to have? **Playing the piano**

What book are you currently reading? **Just finished 90 Minutes in Heaven by Don Piper. Started Split Second by Fern Michaels**

What is your prized possession? **I guess my doll which I've had about 40 yrs**

What do you try to avoid? **Anything contrary to my biblical teaching and/or which will land me in jail**

If you could have any job you wanted, what would it be? **Professional athlete**

If you could be present at any historical event, what would it be? **The resurrection of Jesus**

What is your greatest accomplishment? **Not sure. I guess as part of the KU Marching Band, during the pre-game run-in, making it down the stadium stairs all 4 years without tripping.**

What would you like to get around to doing when you have time? **Getting all my CDs/DVDs organized**

How would you like to be remembered? **Family person**

Who is the person you most admire and why? **My parents – their example of love and support**

What's a pet peeve of yours? **People who don't take responsibility for their actions.**

How do you achieve balance between your personal and professional life? **My work is a reflection of me and I do the best I can; however, my family always comes first.**

What might people be surprised to learn about you? **I was born in England**

What Should You Expect?

What is Stopping You From Taking the Next Step?

Melissa Leonard
Gleim Chapter Coordinator

There are many benefits of passing the CIA exam. Certification gives you a competitive advantage when searching for a new position or asking for a raise. You will show both your peers and managers that you have the knowledge and skills as well as the commitment and motivation it takes to prepare for and pass the CIA exam.

So, what keeps you from taking the next step even though you know the benefits of being certified? Are you too busy at work? Does your family occupy all your free time? Are you having trouble finding the money?

Maybe you have considered taking the exam, but you work too many hours a week to justify finding the time to study. Once you are certified, you will have many more career opportunities. You have already shown your commitment to the company; now show your

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commitment to the profession.

Often, potential candidates are short on time because they are too busy with their children's extracurricular activities. However, your children shouldn't be the reason you don't take the exam. Your kids should be THE reason you DO take the exam. Set an example: While your kids are doing their homework, you can study for the exam. You will show them you are never too old to continue learning. Once you pass the exam, you will be able to make more money with better hours so you can devote more of your resources and time to your children.

It is also not uncommon to hear people say they can't afford to pay for the exam fees and/or review materials. However, the truth is that you can't afford to not prepare for and pass the CIA exam. Think about the exam as an investment in your future that you will be cashing in on for many years to come. Ask your employer to help you with the expenses. Other options are to apply for scholarships through professional organizations or ask relatives for the money as a gift for your next birthday or holiday. For the recent grad, it is a great graduation gift.

You can always find a reason to not take the exam, but remember the value in being certified. There is always a way to find the time and money to accomplish your goal. Once you are a CIA, you will not only have professional advantages, but you will also feel personal satisfaction. The self-confidence gained from completing the exam and using those three letters behind your name will be well worth the energy spent on making the time and finding the cash. I would like to hear from you. How did you find time to prepare? What advantages of being certified have you experienced? Email Gleim at iiachapters@gleim.com with your questions.

Remember, as a Topeka Chapter member, you will receive discount on Gleim material. Gleim has been helping CIA candidates pass the CIA Exam since 1980.

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Melissa Leonard
Chapter Coordinator

melissa.leonard@gleim.com

Gleim Publications, Inc.
4201 NW 95TH Blvd.
Gainesville, FL 32606
800.874.5346 Ext. 131
Fax 352.375.6940

Hours: Mon-Fri 8am-7pm

Please visit our home page:
<http://www.gleim.com>

FYI:

If you are interested in serving on a committee, please let one of the officers or board members know.

Chapter Website

Looking for a new job? Looking for current and past newsletters? Do you want to register for the monthly chapter meetings? Are you always looking for new resources for researching those best practices? If you answered "yes" to any of the above questions, then you should check out the Topeka IIA Chapter website at www.theiia.org/chapters/topeka. The website not only offers all of the features listed above, but it also allows our members to submit job postings, news, and events related to our local chapter and the internal audit profession.

Newsletter Articles:

Please contact Shannon Wagner with any articles or suggestions for the newsletter. You may also know of special events (weddings, promotions, certifications, etc.) that you would like to put in the newsletter. shannon.wagner@securitybenefit.com

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Chapter Objectives

Service To Members

- Education Objective: Offer over 20 hours per year of affordable CPE with more than 60% rated as excellent.
- Certification Objective: Two members become certified annually.
- Social Objective: Members get to know other members from different organizations.

Service To Profession

- Membership Objective: Increase active membership.
- Professional Development Objective: Hold 15 hours of seminar CPE annually.

- Academic Relations Objective: Promote the profession at Washburn University
- Government Relations Objective: Keep government membership.

Chapter Administration

- Leadership Development Objective: Have 1 or 2 officers attend the Leadership Conference.
- Chapter Finance Objective: Have our lunch meeting fees cover the meeting expense; make additional income from the seminars to fund other activities (Leadership Conference, WU scholarship, WU student night).
- Communication and Participation Objective: Send out monthly newsletter and update website.

Topeka CAP Summary					
				As of:	11/10/11
Category	Minimum for Bronze	Chapter Points (to Date)	% of Minimum	Balance to Minimum	
I. Service to Members	325.00	437.50	135%	Achieved!	
II. Service to Profession	200.00	370.05	185%	Achieved!	
III. Chapter Administration	160.00	178.00	111%	Achieved!	
	685.00	985.55			
Total from Master Template:		985.55			
Current CAP Status:	Bronze				
Targets:					
Bronze	685.00				
Silver	1,060.00				
Gold (Platinum)	1,560.00				

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Upcoming Local IIA Events

<u>DATE</u>	<u>TIME</u>	<u>LOCATION*</u>	<u>Sponsoring Chapter</u>
12/01/11	11:30-1:00	U.S. AgBank (Farm Credit Bank building), 245 N. Waco, Wichita	Wichita – lunch meeting Topic: Mitigating Risk: Infrastructure, Security, and the ADA Speaker: Brian Coon, City of Wichita
12/01/11	9:00-4:00	The Clubhouse on Baltimore, 1228 Baltimore, Kansas City, MO Member \$90 Non-member \$105 6 CPEs Deadline: 11/22/11	Kansas City – seminar – Harnessing the Power of Continuous Auditing Speaker: Robert Mainardi, Mainardi & Associates
12/06/11	11:30	Westar	Topeka – lunch meeting
01/10/12	11:30	KDOT	Topeka – lunch meeting
02/07/12	11:30	Westar	Topeka – lunch meeting
03/06/12	11:30	KDOT	Topeka – lunch meeting
04/03/12	11:30	Westar	Topeka – lunch meeting
04/10/12	8:00-5:00	Topeka	Topeka – seminar – Creating and Issuing High Impact Audit Reports & Top 5 Mistakes Audit Departments Make Speaker: Robert L. Mainardi
05/01/12	3:00	O'Dooley's	Topeka – afternoon social/meeting

* Locations are subject to change.

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2011-2012 Officers and Board of Governors

2010-2011 Officers and Board of Governors

President	Shannon Wagner	Security Benefit
1 st VP, Seminars	Tiffany Lake	Westar Energy
2 nd VP Meetings	Julie Pennington	Legislative Division of Post Audit
Secretary	Chris Johnson	SRS
Treasurer	Crystal Perez	Capitol Federal Bank

Board Member	Ray Wheeler	FHLB Topeka
Board Member	Jeanne Slusher	Security Benefit
Board Member	Kyle Brewster	Stormont-Vail HealthCare
Board Member	Kathleen Heaney	FHLB Topeka
Board Member	Jay Davis	SRS
Board Member	Robert Knott	Capitol Federal Bank
Board Member	Candace Hapgood	FHLB Topeka
Board Member	John Gibbons	Office of the State Bank Commissioner
Board Member	Dana Shipley	Westar Energy
Board Member	Ken Abitz	Kansas Insurance Department
Board Member	Richard McMullen	KDOT
Board Member	Mark Zillinger	BCBS

Membership		
Academic Relations		
Government Relations		
Newsletter	Jeannie Macfee	Westar Energy
Seminars	Shannon Wagner	Security Benefit
Programs	Tiffany Lake	Westar Energy
Librarian	Nick Kramer	KHPA
CIA Program	Kathy Spain	Capitol Federal Savings
Audit Committee	Mary Hoover	SRS
Historian		
Directory	Julie Pennington	Legislative Division of Post Audit
Nominating	Dana Shipley	Westar Energy
Hospitality	Richard McMullen	KDOT
Web Site	Julie Pennington	Legislative Division of Post Audit
Certificates	Bob Stambaugh	BCBS