

The Audit Scope

March 2010

Volume 22, Issue 7

March Meeting

- Date:** Tuesday, March 2, 2010
- Place:** Westar Energy
2nd Floor Executive Dining Room
818 S Kansas Avenue
- Time:** 11:30 A.M.
- Menu:** Beef Stroganoff w/Pasta
Mixed Vegetables
Salad
Dulce de Leche Cake
Tea/Water
- RSVP:** By noon Friday, February 26, 2010
- Reservations:** Richard W. McMullen
Kansas Department of Transportation
E-mail: mcmullen@ksdot.org
Phone: (785) 296-5399

Registration: IIA Member \$12.00
(Fee includes Non-Member \$17.00
lunch)

President's Message

We want you! The Topeka IIA Chapter is looking for members to serve on the Board of Governors! The Governors are normally appointed to three-year terms that begin on June 1st. Being on the Board is a great way to provide your input and make the IIA Chapter work for you! Consider these other great reasons to volunteer to be on the Topeka IIA Board of Governors:

- Build personal and professional contacts
- Develop new job skills
- Make a difference to the auditing profession
- Develop communication skills
- Increase personal satisfaction
- Build your self-esteem and self-confidence
- Looks great on a résumé
- Get two free lunches a year!

Have I sparked your interest? Serving on the Board of Governors is not time-consuming; it only requires that you attend two board meetings a year (free lunch!) and provide input on any special requests in the interim. Decide to volunteer and help guide your IIA Chapter in the direction you want! Please contact me if you have any questions or if I have piqued your interest!

Kathleen Heaney
kathleen.heaney@hotmail.com

March Program

- Topic:** Model Audit Rule
- Speaker:** Kenneth Abitz, FLMI, CIA, CFE
Director, Financial Surveillance
Division
Kansas Insurance Department
420 SW Ninth Street
Topeka, KS 66612

Ken Abitz is the Director of the Financial Surveillance Division of the Kansas Insurance Department. His primary responsibilities are to monitor the financial condition of the Kansas insurance companies and group-funded pools. Financial examinations and financial analysis are key functions regarding this monitoring of the financial condition. He also participates on working groups and task forces of the National Association of Insurance Companies.

Mr. Abitz was previously an Internal Audit Director for 16 years.

Mr. Abitz is a graduate of Kansas State University with a Bachelor of Science degree in accounting. He is Certified Internal Auditor, and Certified Financial Examiner of the Society of Financial Examiners.

The Audit Scope

March 2010

Volume 22, Issue 7

IIA Chat

Chris Johnson
SRS Office of Audit and Consulting Services –
Assistant Audit Director
Time in position: I've been with OACS 13 years
Education: BA from Washburn in Math/Computer
Science
Certifications held: CIA, CPM

What was your first job? Walking the corn fields in Iowa.

What is your favorite food? Bar-B-Que

What is your dream vacation? Isolated on an island in the Pacific with my wife but with all the amenities.

What is your favorite TV show? Survivor

Name one person (dead or living) you would like to meet. Any of the great generals from history.

What talent would you most like to have? The ability to be in several places at the same time.

What book are you currently reading? Diary of a Wimpy Kid with my youngest son.

What is your prized possession? My wife and sons.

If you could have any job you wanted, what would it be? Stormchaser

If you could be present at any historical event, what would it be? I'd like to be an uninvolved observer of the major Civil War battles in order to watch the strategy and maneuvering of premechanized armies.

What is your greatest accomplishment? Raising my sons, though it's not yet done.

What would you like to get around to doing when you have time? Getting back into my sports (golf, softball, etc.).

What's a pet peeve of yours? Not starting on time.

Why Invest in the CIA Certification

With many wrestling with the idea of attaining the CIA certification, it's important to know that the investment of your time and monetary resources is well worth it!

What can a CIA certification do for you?

First, passing the CIA exam will be a huge personal achievement. It is not easy to study after work and sit through an examination, but once finished, you will feel on top of the world. This positive attitude will be reflected in both your job and your home life. Once you've conquered the first part of the exam, your momentum will carry you through the remaining ones.

Second, it can open professional doors. What if you don't have any experience in internal auditing? Attaining a certification shows a willingness and determination to succeed to potential employers. With the high demand of auditors, employers may be willing to hire employees who have no experience if they have the proper educational training and certification. Passing the CIA exam is the best way to open doors to the auditing world.

Third, showing managers your commitment and drive can advance your current job. Being driven to master a certification, while balancing professional and personal responsibilities, will demonstrate to your supervisors the drive and determination that you possess, not to mention the new skills attained and refined. Even if your employer does not acknowledge your certification directly, they will notice your hard work and effort.

Remember: as a Topeka Chapter member, you will receive a 20% discount on the Gleim CIA Review materials. Gleim has been helping CIA candidates pass the CIA Exams since the exam's inception in 1980.

To take advantage of the reduced prices and to place your order, log into the Members Only section of the

The Audit Scope

March 2010

Volume 22, Issue 7

Chapter website. Contact Melissa at 800.874.5346, ext. 131 or melissa.leonard@gleim.com for more information.

Do you have feedback on what you have read or suggestions on future topics? Maybe you are studying for the exam or have recently passed and want to share tactics you used. Email Gleim at iiachapters@gleim.com with your comments.

Discount on Gleim CIA Exam Review Material

20% discount to Topeka IIA members for Gleim CIA Exam review material and Online CPE courses. Logon to the Members Only section to purchase through the Topeka IIA website or contact: Melissa Leonard, 800-874-5346 ext. 131
melissa.leonard@gleim.com

Attention CIA Candidates - Save on Premier-Quality Prep Materials

The Institute of Internal Auditors (IIA) developed The IIA's CIA Learning System™ to expand your internal audit knowledge and skills and prepare you for the Certified Internal Auditor® (CIA®) exam.

Now, you can save up to \$100 off the regular IIA CIA Learning System price while supporting The IIA Topeka chapter in a nationwide chapter competition. Simply place your order at www.learnCIA.com/chapters to qualify.

The IIA's CIA Learning System is a comprehensive and flexible training program that offers:

- Interactive print and Web-based study tools that teach the entire global CIA exam syllabus.
- Up-to-date content that has been aligned with the [2009 International Professional Practices Framework \(IPPF\)](#).
- [My CIA Review Plan](#) - a free, online tool to help you set a customized and realistic study plan.

- Web-based test questions to help prepare you for the computer-based CIA exam.
- CIA Practice Exam that simulates the Pearson VUE CBT testing software to build exam confidence.

Begin your CIA preparations today with the help of The IIA Topeka chapter. All chapter members can purchase the Learning System for \$745 (plus taxes & shipping) – that's a savings of up to \$100!

Please visit www.learnCIA.com/chapters to take advantage of the discounted IIA chapter member price.

Topeka Chapter Website

www.theiia.org/chapters/topeka

Newsletter Articles:

Please contact Kathleen Heaney with any articles or suggestions for the newsletter. You may also know of special events (weddings, promotions, certifications, etc.) that you would like to put in the newsletter.

kathleen.heaney@hotmail.com

Chapter Website

Looking for a new job? Looking for current and past newsletters? Do you want to register for the monthly chapter meetings? Are you always looking for new resources for researching those best practices? If you answered "yes" to any of the above questions, then you should check out the Topeka IIA Chapter website at www.theiia.org/chapters/topeka. The website not only offers all of the features listed above, but it also allows our members to submit job postings, news, and events related to our local chapter and the internal audit profession.

The Audit Scope

March 2010

Volume 22, Issue 7

2009 – 2010 IIA Meeting Schedule

<u>DATE</u>	<u>TIME</u>	<u>LOCATION</u>
March 2	Lunch 11:30 a.m.-1:00 p.m.	Westar, 2 nd Floor Dining Room
April 6	Lunch 11:30 a.m.-1:00 p.m.	SRS Learning Center
May 4	Afternoon 3:00-5:00 p.m.	Brickyard Barn Inn

Officers

President	Kathleen Heaney	FHLB Topeka
1 st VP, Seminars	Dana Shipley	Westar Energy
2 nd VP Meetings	Shannon Wagner	Security Benefit
Secretary	Ashley Crary	Westar Energy
Treasurer	Valerie Burrell	Capitol Federal Bank

Board of Governors

Board Member	Ray Wheeler	FHLB Topeka
Board Member	Jeanne Slusher	Security Benefit
Board Member	Kyle Brewster	CHAN Healthcare
Board Member	Kathy Spain	Capitol Federal Bank
Board Member	Jenny Tryon	Westar Energy
Board Member	Larry Rinker	On Target Consulting
Board Member	Gary Holliday	BCBS Topeka
Board Member	Chris Johnson	SRS
Board Member	Keith Zachariasen	

Committee Chairpersons

Membership	Keith Zachariasen	
Academic Relations	Tom Clevenger	Washburn University
Government Relations		
Newsletter	Jeannie Macfee	Westar Energy
Seminars	Dana Shipley	Westar Energy
Programs	Shannon Wagner	Security Benefit
Librarian	Nick Kramer	KS Health Policy Authority
CIA Program	Kathy Spain	Capitol Federal Savings
Audit Committee	Mary Hoover	SRS
Historian		
Directory	Sean Thurlow	Federal Home Loan Bank
Nominating	Kathleen Heaney	Federal Home Loan Bank
Hospitality	Richard McMullen	KDOT
Web Site	Sean Thurlow	Federal Home Loan Bank
Certificates	Bob Stambaugh	BCBS

GLEIM's CIA
Complete System GUARANTEES
success on the exams!



Contact Melissa Leonard 800-874-5346, ext. 131
or send an email to melissa.leonard@gleim.com



TOPEKA CHAPTER IIA WORKSHOP

Power Excel & Excel for Auditors

March 23, 2010

Who & What?

Bill Jelen presents Power Excel (4 CPE hours) in the morning followed by Excel for Auditors (3 CPE hours) in the afternoon.

Speaker bio: Bill Jelen is the host of MrExcel.com and the author of 25 books on Microsoft Excel including the following:

- Pivot Table Data Crunching
- VBA & Macros for Microsoft Excel
- Excel Gurus Gone Wild
- Guerilla Data Analysis Using Microsoft Excel

He has made over 60 guest appearances on TV's Call for Help with Leo Laporte and was voted guest of the year on the Computer America radio show. He has produced over 1000 episodes of his daily video podcast Learn Excel from MrExcel. Bill will entertain you while showing you the powerful tricks in Excel. Before founding MrExcel.com in 1998, Jelen spent twelve years "in the trenches", as a financial analyst for the accounting, finance, marketing, and operations departments of a publicly held company. Since then, his company automates Excel reports for hundreds of clients around the world. The website answers over 30,000 questions a year – for free – for readers all over the world. Jelen joins us from Akron, Ohio.

Power Excel Course Summary: You use Excel 40 hours a week, but do you really know how to unleash the power of Excel? Learn cool secrets and tricks lurking on the Data menu. Learn the ins and outs of Pivot Tables (from the guy who wrote the book on pivot tables!). Create slick charts in a single button click. Add thousands of subtotals in seconds. Don't be tortured by bad data any longer. Learn some of the changes made in Excel 2007. Participants in the session will receive a copy of Jelen's book, Learn Excel 97-2007 from MrExcel which is comprised of 377 questions and solutions posed to him during his seminars. Jelen loves new questions and will incorporate them in the seminar. Send your Excel questions in advance via e-mail* or bring them to the seminar. Jelen promises, "If you use Excel 40 hours per week, you will save 100 hours each year by attending this seminar!"

*If you have specific questions in advance please send them with your registration and I will get them to Bill prior to the seminar.

Excel for Auditors Course Summary: Learn more tricks for Excel useful in performing audits. Learn several ways to find duplicates or a unique list of values. Create stratifications, look for outliers, and pull random samples. Create charts that don't look like they are native to Excel. You will walk through more detailed case studies where you will need to combine several Excel techniques in order to create a solution. A laptop may be brought to follow along. Participants will receive Excel for Auditors, a 207 page book that covers both Excel 2003 and Excel 2007.

Itinerary

8:00 a.m. – 8:30 a.m.	Registration and Breakfast
8:30 a.m. – Noon	Power Excel (4 CPE)
Noon – 1:00 p.m.	Lunch
1:00 p.m. – 4:00 p.m.	Excel for Auditors (3 CPE)

When?

Tuesday, March 23, 2010 (registration & payment is due by **March 5, 2010**, *withdraws must be received by March 5, 2010 as books will be ordered and shipped in advance. Any withdraws after this date will receive these books and will be required to pay for them.*)

How Much?

Power Excel (morning) - \$60 Members | \$80 Non-members

Includes breakfast catered by Brickyard Barn Inn, book and admission to the Kansas Museum of History

Add Excel for Auditors (afternoon) - \$50 Members | \$70 Non-members

Includes lunch catered by Brickyard Barn Inn, book and admission to the Kansas Museum of History

Where?

Kansas Museum of History

6425 SW 6th Ave., Topeka, Kansas 66615

Parking is provided on-site. Seminar registration also includes admission into the Museum. Museum hours are 9-5, so please plan to take some time over lunch or following the seminar to tour the museum.

Registration Form (Due by 3/5/10)

Send completed registration form to:

Email (preferred): Dana.Shipley@westarenergy.com

Address: Westar Energy, Dana Shipley-2nd Floor, 818 S Kansas Ave, PO Box 889, Topeka, KS 66601

Phone: 785-575-1896

Employer Name: _____

Attendee Name	IIA Member (Y/N)	E-mail	Telephone	Add Excel for Auditors (Y/N)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

Please send payment with registration or mail payment, such that it is received by 3/5/10, to the Topeka IIA Treasurer at:

Capitol Federal Savings
Attn: Valerie Burrell – Audit
700 S. Kansas Ave.
Topeka, KS 66603

Please make checks payable to the IIA.