

The Audit Scope

May 2010

Volume 22, Issue 9

May Meeting

- Date:** Tuesday, May 4, 2010
- Place:** Brickyard Barn Inn
4020 NW 25th Street
- Time:** 3:00-5:00 p.m.
- Menu:** 3 cheese quesadillas
Balsamic chicken drumettes
Deli cocktail sandwiches
Chips and Salsa
Fruit and cheese tray
Vegetables with dip
Assorted bar cookies
- RSVP:** By noon Friday, April 30, 2010
- Reservations:** Richard W. McMullen
Kansas Department of Transportation
E-mail: mcmullen@ksdot.org
Phone: (785) 296-5399
- Registration:** IIA Member \$12.00
(Fee includes Non-Member \$17.00
lunch)

May Program

- Topic:** Lean Six Sigma
- Speakers:** Doug Von Feldt and Mark Donovan

Doug and Mark will provide an overview of Lean Six Sigma, providing the history, goals, advantages to implementing LSS, and how LSS works at Collective Brands.

Doug Von Feldt has over 20 years of experience in manufacturing, distribution, and retail and is currently a manager in the IT department at Collective Brands where he is responsible for Process & Planning and the Project Management Office (PMO). Previous to that he held senior positions as IT Director at O'Sullivan Industries and was the assistant GM and CIO for Earp Distribution where he was responsible

for the day to day operations of the company. He has led hundreds of IT and Process Improvement/Six Sigma projects in over 15 countries throughout his career.

Mr. Von Feldt holds a BA in Computer Information Systems from Washburn University. He is a Certified Lean Six Sigma Black Belt and a Certified Project Management Professional (PMP) from the Project Management Institute (PMI). He has also completed the Phillip Crosby Quality College course and is a senior member of the American Society of Quality. Doug can be reached at doug@crimsoncorporation.com.

Mark Donovan is a retail veteran with extensive experience in both the US and Canada. He has served in senior positions including his current position as Director of Continuous Improvement at CBI (Payless ShoeSource). Previous to that he was with Freidman's Jewelers as Vice President of Merchandise Planning, Distribution and Operations Finance. Before that he was with Blockbuster Entertainment as Assistant Corporate Controller.

Mr. Donovan holds a BA in Economics from Wilfrid Laurier University in Canada. He is also a Lean Six Sigma Green Belt from the University of Texas, Dallas.

He has served on a number of boards including the University of Texas, Dallas, C4ISN Advisory Board, McKinney Education Foundation, McKinney Community Development Corporation, The Canadian Motion Picture Foundation, and De La Salle College.

IIA Chat

Brenda Reynoldson
KDOT, Office of Inspector General - Auditor II

Time in position: Since November 2001

Education: Graduate of Seaman High School. BBA from Washburn University. Exchange student for one

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semester at the University of Copenhagen in Denmark. MBA from Baker University.

What was your first job?

From the age of five I worked on the family vegetable farm in North Topeka with my parents, cousins, uncles, aunts and grandparents.

What is your favorite food?

Anything at the Chez Yasu restaurant in Topeka.

What is your dream vacation?

Anyplace where people are lighthearted and smiles are plenty.

What talent would you most like to have?

I would like the gift of wisdom so I don't look back on my life and realize I wasted time, money and effort on unimportant things.

What book are you currently reading?

Bridging the Gap by Ralph Smorzewski – The adventures of a young Polish aristocrat who survived the Nazis and the Soviets during WWII. The Mystical City of God by Ven. Mary of Agreda – The writings of a Spanish nun who died in 1665. Her body has remained incorrupt for over 340 years and is kept in a convent in Spain.

What is your prized possession?

My family.

What do you try to avoid?

I try to avoid doing or worrying about things that won't matter 100 years from now.

What is your greatest accomplishment?

Forgiving and forgetting.

What would you like to get around to doing when you have time?

Create more beautiful moments for my daughter and other children; give them beautiful memories to last a lifetime.

How would you like to be remembered?

As someone who made a difference in the life of at least one other person.

Who is the person you most admire and why?

Anyone who lived their life with timeless goals; anyone who avoided the 'fashions' of their day.

What's a pet peeve of yours?

Surveys (heh).

What might people be surprised to learn about you?

I renovated a grand piano (AB Chase) that used to be at the Meadow Acres Ballroom in Topeka during the Big Band and Jazz era of the 20th Century. Whenever I listen to a song by Louis Armstrong or Ella Fitzgerald song I wonder if their pianists once played on 'my' piano.

Any plans for the Summer?

Spend quality time with family and friends.

What it takes to PASS the CIA exam

So you've decided to tackle the CIA exam... now what?

What ingredients come to mind when you think of all the things necessary to be successful on the exam? Some review materials and the thought of carving out some time to study with your ever-so-packed schedule may immediately come to mind. But what about your confidence?

Confidence is crucial in your pursuit of a professional certification. Confidence corresponds with your determination to pass the CIA exam, thus directly affecting your commitment to proper preparation. Your level of commitment also has a symbiotic relationship with your attitude. A positive attitude while preparing for and taking the exam aids retention of information and improves reasoning ability. The best way to develop this positive attitude is through a successful preparation program. Success tends to breed success. All things being equal, individuals with a well-founded expectation of accomplishment will outperform their pessimistic peers.

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Remember the story The Little Engine that Could? The train believed it could make it up that massive mountain. It kept saying, "I think I can, I think I can," and as the little engine got closer and closer to the top of the mountain it started shouting, "I know I can, I know I can!" The result was that the little engine climbed over the mountain. Using this analogy, you are the Little Engine that Could and the CIA exam is the mountain. You must remain committed by having complete confidence in yourself while possessing a positive attitude. Such determination to succeed will help you overcome any treacherous pitfalls and shortcomings that you may encounter on your journey.

The Gleim CIA Review System includes a Personal Counselor (a real live person!) who will help you devise a study plan that is specific to your unique situation. This "study coach" will ensure you establish attainable goals and keep you on "track." They are there every step of the way to provide encouragement, instill confidence, and ensure your success.

Remember that as a Topeka Chapter member, you will receive a 20% discount on the Gleim CIA Review materials. Contact Melissa Leonard at 800.874.5346 ext. 131 or melissa.leonard@gleim.com to take advantage of the offer, or if you have any questions.

Attention CIA Candidates - Save on Premier-Quality Prep Materials

The IIA's CIA Learning System is a comprehensive and flexible training program that offers:

- Interactive print and Web-based study tools that teach the entire global CIA exam syllabus.

- Up-to-date content that has been aligned with the [2009 International Professional Practices Framework \(IPPF\)](#).
- [My CIA Review Plan](#) - a free, online tool to help you set a customized and realistic study plan.
- Web-based test questions to help prepare you for the computer-based CIA exam.
- CIA Practice Exam that simulates the Pearson VUE CBT testing software to build exam confidence.

Go to www.learnkia.com for information on courses and classes and www.learnkia.com/chapters for IIA Chapter discounted materials.

Topeka Chapter Website
www.theiia.org/chapters/topeka

Newsletter Articles:

Please contact Kathleen Heaney with any articles or suggestions for the newsletter. You may also know of special events (weddings, promotions, certifications, etc.) that you would like to put in the newsletter.
kathleen.heaney@hotmail.com

Chapter Website

Looking for a new job? Looking for current and past newsletters? Do you want to register for the monthly chapter meetings? Are you always looking for new resources for researching those best practices? If you answered "yes" to any of the above questions, then you should check out the Topeka IIA Chapter website at www.theiia.org/chapters/topeka. The website not only offers all of the features listed above, but it also allows our members to submit job postings, news, and events related to our local chapter and the internal audit profession.

2009 – 2010 IIA Meeting Schedule

<u>DATE</u>	<u>TIME</u>	<u>LOCATION</u>
May 4	Afternoon 3:00-5:00 p.m.	Brickyard Barn Inn

2010-2011 Officers and Board of Governors

President	Dana Shipley	Westar Energy
1 st VP, Seminars	Shannon Wagner	Security Benefit
2 nd VP Meetings	Tiffany Lake	Westar Energy
Secretary	Valerie Burrell	Capitol Federal Bank
Treasurer	Crystal Perez	Capitol Federal Bank

Board Member	Ray Wheeler	FHLB Topeka
Board Member	Jeanne Slusher	Security Benefit
Board Member	Kyle Brewster	CHAN Healthcare
Board Member	Kathy Spain	Capitol Federal Bank
Board Member	Jenny Tryon	Westar Energy
Board Member	Kathleen Heaney	FHLB Topeka
Board Member	Jay Davis	SRS
Board Member	Robert Knott	Capitol Federal Bank
Board Member	Candace Hapgood	FHLB Topeka
Board Member	John Gibbons	Office of the State Bank Commissioner
Board Member		
Board Member		

Membership		
Academic Relations	Tom Clevenger	Washburn University
Government Relations		
Newsletter	Jeannie Macfee	Westar Energy
Seminars	Shannon Wagner	Security Benefit
Programs	Tiffany Lake	Westar Energy
Librarian	Nick Kramer	KHPA
CIA Program	Kathy Spain	Capitol Federal Savings
Audit Committee	Mary Hoover	SRS
Historian		
Directory	Sean Thurlow	FHLB Topeka
Nominating	Dana Shipley	Westar Energy
Hospitality	Richard McMullen	KDOT
Web Site	Sean Thurlow	FHLB Topeka
Certificates	Bob Stambaugh	BCBS

IT Auditor - Internship

Capitol Federal Savings

***Job Description:** Examines documents and records with an emphasis on computer input, processing and output controls. Assists on general audits. Prepares reports of all audits in accordance with guidelines approved by management. Makes recommendations to the IT Director for improving and strengthening internal controls. Develops technical proficiency in IT auditing.

Assists and manages a variety of analytical auditing and data processing functions to ensure adequate control of assets and financial records are maintained.

Assists the IT Auditor in developing the annual audit program.

Maintains verbal and written communications with management and the Director of IT.

Discuss audit recommendations with the Audit Services Director before issuing a report.

Communicates and works well with the IT staff and strives to maintain a respectful relationship. Reviews physical security, including access to the computer room, disaster procedures, control over negotiable documents and adequate insurance.

Evaluates the design of IT systems to assure the most efficient utilization of control techniques and equipment.

Work with system development team at various points throughout the system development cycle to ensure controls are adequate before the system becomes operational.

Ascertain records are maintained for emergency reconstruction and that disaster recovery and contingency plans are implemented.

Assists as needed in non IT related audits

***Qualifications:** Preferred Majors: Information Technology, Accounting, Audit, or Finance
Knowledge of basic auditing concepts and exposure to advanced auditing practices, obtained while working towards to completion of an undergraduate or post graduate degree.

Ability to communicate verbally and in writing at a level normally achieved while obtaining a college degree in Information Technology

***Application Instructions:** Submit letter of introduction and resume to Kelli Nicks, Human Resources, knicks@capfed.com