

The Audit Scope

Volume 23, Issue 2



October 2010

President's Message

One of our chapter objectives this year is that our members get to know other members from different organizations within our chapter. The officers and board members have discussed several ideas to help achieve this, including bringing back name tags at our events, holding the annual social event for the May meeting and continuing the IIA chat section in each newsletter. I would also like to challenge each of you to take advantage of the social period before each monthly meeting and during breaks at seminars to introduce yourself to someone you have not met before or to strike up a conversation with someone you have not talked to recently. A lot can be gained from professional relationships and you never know, you might also make a new friend.

Dana Shipley
Dana.Shipley@westarenergy.com

October Meeting

Date: Wednesday, October 27, 2010

Place: Washburn University
Bradbury Thompson Alumni Center
Forum Room (basement level)

Time: 5:30-7:00 p.m.

Menu: Beef Lasagna
Penne w/Chicken & Vegetables
Green Beans w/Bacon/Onions
Tossed Salad
Brownies
Tea/Coffee/Water

RSVP: By noon Friday,
October 22, 2010

Note: please report any special dietary needs to Richard when you RSVP

Reservations: Richard W. McMullen
Kansas Department of Transportation

E-mail: mcmullen@ksdot.org
Phone: (785) 296-5399

Registration: IIA Member \$12.00
(Fee includes Non-Member \$17.00
lunch)

October Program

Student Night at Washburn University

IIA members will be rotated through small groups of students to discuss their perspective of the Internal Auditing profession over a series of short "dates."

Speakers:

- Ken Abitz - Kansas Insurance Department
- Bob Stambaugh - BCBS
- Sheldon Warmington - Security Benefit
- Jenny Tryon - Westar Energy
- Kathleen Heaney - Federal Home Loan Bank
- Valerie Burrell - Capitol Federal

IIA Chat

Name: **Crystal Perez**
Employer and Position: **Internal Auditor at Capitol Federal**
Time in this position: **1 year 9 months**
Education: **BBA from Washburn University, 2008**

What was your first job? **A waitress at a small hometown restaurant.**

What is your favorite food? **My favorite food is Italian.**

What is your dream vacation? **I would love to take a cruise.**

What is your favorite TV show? **Criminal Minds**

Name one person (dead or living) you would like to meet. **Abraham Lincoln**

What talent would you most like to have? **Playing the piano.**

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What book are you currently reading? ***CIA study guide***

What is your prized possession? ***My house***

If you could have any job you wanted, what would it be? ***Wedding Coordinator***

What is your greatest accomplishment? ***Graduating from college.***

Who is the person you most admire and why? ***My mother because she taught me that no matter what life puts in front of you strength and courage will bring you through it.***

What's a pet peeve of yours? ***When someone slurps when eating or drinking.***

How do you achieve balance between your personal and professional life? ***By leaving the issues, be it home or office, at the door and concentrate on the moment at hand.***

What's your favorite thing about fall? ***I love when we actually get to have fall, to see the leaves turn and enjoy the milder temperature.***

Get Back on the Horse

A horse trainer would tell you that one doesn't actually ride horses until they one day fall off. After their first fall, some will refuse to get back on another horse for as long as they live. Others will climb back atop their horse and keep riding. The latter know that they will likely fall again someday but enjoy horseback riding enough to trot on.

The process of studying and taking the CIA exam can be similar to riding horses. At some point, you are going to come across material that is difficult to master, such as questions covering topics you haven't looked at since you were in college. You may take some 20-question quizzes and score below 50 percent or even as low as 20 percent. You can't let it

bother you. Rather, you need to "get back on the horse" and keep studying.

Many CIA candidates have quit studying and aspiring to be a CIA because a topic was too frustrating for them. The logic is that they can't understand topic "X," so they won't be able to pass the CIA exam. This thinking is nonsense; you will probably only see a couple of questions from certain difficult topics because The IIA tests you on many topics. Thus, you can't let a topic or two dissuade you from continuing to study. You want to become a CIA and therefore, as frustrating as studying some topics may be, you need to fight through and understand the material enough to pass the CIA exam.

Everyone can remind themselves to "get back on the horse," whether it is preparing for the CIA exam, pursuing a life goal given up long ago, or in everyday life. It may not be easy the first time, but keep trying and you will get there.

Keep in mind that as a Topeka Chapter member, you will receive a 20% discount on the Gleim CIA Review materials, which include over 3800 practice multiple-choice test questions.

Contact Robert at 800.874.5346, ext. 146 or robert.wynns@gleim.com to take advantage of this offer or if you have any questions.

800.874.5346 Ext. 131
Fax 352.375.6940

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Please visit our home page:
<http://www.gleim.com/>

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IIA CIA Update

Attention CIA Candidates - Save on Premier-Quality Prep Materials!

The IIA's CIA Learning System is a comprehensive and flexible training program that offers:

- Interactive print and Web-based study tools that teach the entire global CIA exam syllabus.
- Up-to-date content that has been aligned with the [2009 International Professional Practices Framework \(IPPF\)](#).
- [My CIA Review Plan](#) - a free, online tool to help you set a customized and realistic study plan.
- Web-based test questions to help prepare you for the computer-based CIA exam.
- CIA Practice Exam that simulates the Pearson VUE CBT testing software to build exam confidence.

Go to www.learnCIA.com for information on courses and classes and www.learnCIA.com/chapters for IIA Chapter discounted materials.

FYI

- Please be sure to update your contact information on the IIA website. This will help our chapter keep an up-to-date directory.
- Lance Cahill, a Washburn University senior in Accounting and Economics, was the recipient of our IIA Scholarship.

Chapter Website

Looking for a new job? Looking for current and past newsletters? Do you want to register for the monthly chapter meetings? Are you always looking for new resources for researching those best practices? If you answered "yes" to any of the above questions, then you should check out the Topeka IIA Chapter website at www.theiia.org/chapters/topeka. The website not only offers all of the features listed above, but it also allows our members to submit job postings, news, and events related to our local chapter and the internal audit profession.

Chapter Objectives

Service To Members

- Education Objective: Offer over 20 hours per year of affordable CPE with more than 60% rated as excellent.
- Certification Objective: Two members become certified annually.
- Social Objective: Members get to know other members from different organizations.

Service To Profession

- Membership Objective: Increase active membership.
- Professional Development Objective: Hold 15 hours of seminar CPE annually.
- Academic Relations Objective: Promote the profession at Washburn University.
- Government Relations Objective: Keep government membership.

Chapter Administration

- Leadership Development Objective: Have 1 or 2 officers attend the Leadership Conference.
- Chapter Finance Objective: Have our lunch meeting fees cover the meeting expense; make additional income from the seminars to fund other activities (Leadership Conference, WU scholarship, WU student night).
- Communication and Participation Objective: Send out monthly newsletter and update website.

Newsletter Articles:

Please contact Dana Shipley with any articles or suggestions for the newsletter. You may also know of special events (weddings, promotions, certifications, etc.) that you would like to put in the newsletter.

dana.shipley@westarenergy.com

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Overview of CAP

A chapter must earn the minimum CAP points in each of the three service categories to participate in the CAP program:

- Service to Members - 325 CAP Points
 - Gained by having members obtain any IIA Certification, providing educational programs and hosting social events.
 - Members can help increase Service to Members points by attending chapter events or passing the CIA exam.
- Service to the Profession - 200 CAP Points
 - Gained by hosting a district, regional or national conference, chapter donations to certain IIA programs, members participating in Junior Achievement events, activities with educational institutions, members giving presentations related to the profession, providing scholarships, etc.
 - Members can help increase Service to Profession points by participating in any of the member items listed above and reporting them to one of the chapter officers.

- Chapter Administration - 160 CAP points
 - Gained by filing reports timely, officers attending leadership events and offering a member survey.

CAP is made up of four performance levels. Once the chapter has met or exceeded the minimum CAP Requirements in the three categories (above), the chapter will have reached the BRONZE performance level. A chapter can advance to SILVER or GOLD levels by accumulating the points noted below - made up of any combination of CAP points from the three service levels (while also meeting the minimums above).

BRONZE - 685 CAP Points

SILVER - 1,060 CAP Points

GOLD - 1,560 CAP Points

PLATINUM - This is a special level created to recognize chapters that attain GOLD status for 10 of 11 consecutive years. The chapter will continue to be recognized as platinum level each year thereafter if the chapter achieves the Gold CAP level for 10 of 11 consecutive years.

CAP Summary as of 10/10/10

Category	Minimum for Bronze	Chapter Points (to Date)	% of Minimum	Balance to Minimum
I. Service to Members	325.00	263.35	81%	61.65
II. Service to Profession	200.00	109.00	55%	91.00
III. Chapter Administration	160.00	128.00	80%	32.00
	685.00	500.35		
Current CAP Status:	Pending			
<u>Targets:</u>				
Bronze	685.00			
Silver	1,060.00			
Gold (Platinum)	1,560.00			

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Upcoming Local IIA Events

<u>DATE</u>	<u>TIME</u>	<u>LOCATION*</u>	<u>Sponsoring Chapter</u>
11/04/10	7:15-12:00	Figlio's – The Plaza, Kansas City	Kansas City – www.theiia.org/kansascity
11/10/10	11:30-1:00	US AgBank, Wichita	Wichita – www.theiia.org/wichita
11/18/10	1:00-5:00	Westar Multipurpose Room	Topeka – Seminar
11/18/10	11:30-1:00	Westar Multipurpose Room	Topeka – lunch meeting
12/07/10	11:30	SRS	Topeka – lunch meeting
01/11/11	11:30	KDOT	Topeka – lunch meeting
02/01/11	11:30	KDOT	Topeka – lunch meeting
03/01/11	11:30	SRS	Topeka – lunch meeting
04/05/11	11:30	Westar	Topeka – lunch meeting
05/03/11	3:00	To be determined	Topeka – afternoon social/meeting

*Note: location can change based on availability and size.

The Audit Scope

2010-2011 Officers and Board of Governors

President	Dana Shipley	Westar Energy
1 st VP, Seminars	Shannon Wagner	Security Benefit
2 nd VP Meetings	Tiffany Lake	Westar Energy
Secretary	Valerie Burrell	Capitol Federal Bank
Treasurer	Crystal Perez	Capitol Federal Bank

Board Member	Ray Wheeler	FHLB Topeka
Board Member	Jeanne Slusher	Security Benefit
Board Member	Kyle Brewster	CHAN Healthcare
Board Member	Kathy Spain	Capitol Federal Bank
Board Member	Jenny Tryon	Westar Energy
Board Member	Kathleen Heaney	FHLB Topeka
Board Member	Jay Davis	SRS
Board Member	Robert Knott	Capitol Federal Bank
Board Member	Candace Hapgood	FHLB Topeka
Board Member	John Gibbons	Office of the State Bank Commissioner
Board Member		
Board Member		

Membership		
Academic Relations	Tom Clevenger	Washburn University
Government Relations		
Newsletter	Jeannie Macfee	Westar Energy
Seminars	Shannon Wagner	Security Benefit
Programs	Tiffany Lake	Westar Energy
Librarian	Nick Kramer	KHPA
CIA Program	Kathy Spain	Capitol Federal Savings
Audit Committee	Mary Hoover	SRS
Historian		
Directory	Julie Pennington	Legislative Division of Post Audit
Nominating	Dana Shipley	Westar Energy
Hospitality	Richard McMullen	KDOT
Web Site	Julie Pennington/ Richard McMullen	Legislative Division of Post Audit/ KDOT
Certificates	Bob Stambaugh	BCBS

