

September 2010

Volume 23, Issue 1

#### President's Message

Welcome back from the summer break! It's exciting to officially get the 2010-2011 IIA year started. Our current officer team started making plans for this year at the IIA National Leadership Conference last April. Although our IIA Chapter breaks for the summer, the officers, board and committee members continue working hard all summer long to finalize plans for the upcoming year. I would like to take this opportunity to say thank you to last year's officers, committee members and board for a great year and for helping the current team transition into their roles. I would also like to thank the current officers, committee members and board for all their hard work this summer.

We've made a few changes to the newsletter, so please take a few minutes to review. Some of these changes include adding a CAP summary, an FYI section and information on events being held by other chapters in our area. Also, in this month's newsletter please find our chapter objectives for the coming year.

Dana Shipley @westarenergy.com

#### **September Meeting**

**Date:** Thursday, September 30, 2010

Place: Westar Energy

Multipurpose Room

**Time: Lunch:** 11:30 a.m.

Meeting: 12:00 noon

**Menu:** Pork loin with citrus glaze

Rice pilaf with nuts Herbed carrots Dinner rolls Cherry crisp

**RSVP:** By noon Monday,

September 27, 2010

Note: please report any special dietary needs to Richard when

you RSVP

Reservations: Richard W. McMullen

Kansas Department of Transportation

E-mail: mcmullen@ksdot.org Phone: (785) 296-5399

**Registration:** IIA Member \$12.00 (Fee includes Non-Member \$17.00)

lunch)

#### **September Program**

Topic: Data Privacy & Internal Auditing

This one hour presentation will provide an understanding of the concept of data privacy and a review of the technical and legal issues associated with maintaining data privacy while conducting the internal auditing process.

Speaker: Dr. Bob Boncella

Dr. Boncella holds the following degrees: BA with majors in Mathematics and Philosophy, MA in Philosophy of Science, MS in Computer Science and a Ph.D. in Computer Science.

During his 25 years at Washburn University he has taught numerous classes. Among these are: Math courses - Applied Calculus, Discrete Mathematics, and Operations Research; Computing courses - in the areas of Programming Languages, Operating Systems, Computer Networking, Computer & Network Security Techniques, Management of Information Artificial Intelligence: Business and Security, courses - Strategic Information Systems and Business Intelligence course in the Washburn MBA Program as well undergraduate courses in E-Commerce and Business Data Communications and Computer Networking.

His research contributions have consisted of peer reviewed journal articles, refereed conference proceedings, contributions of book chapters,



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professional encyclopedia articles and professional tutorials.

He has researched and published in the following areas: Expert Systems; Business Intelligence Computer, Network, and Internet Security; E-Commerce Information Security; Cyberethics; Software Project Management; Electronic Medical Record Systems; and Transnational Post-Secondary Information & Communication Technology Curricula

#### **IIA Chat**

Name: Tiffany Lake

Employer and Position: Internal Auditor at Westar

Energy

Time in this position: 2 years

Education: BBA from Washburn University, 2008 I am currently pursuing an MBA from Washburn University and will graduate in December 2010.

What was your first job? I worked in a floral shop part-time after school.

What is your favorite food? My favorite food is Mexican food.

What is your dream vacation? I would love to take a trip to Hawaii with my husband.

What is your favorite TV show? My all-time favorite TV show is Friends.

Name one person (dead or living) you would like to meet. I would like to meet Robert Pattinson.

What is your prized possession? My autographed Kasey Kahne racing hat is my most prized possession.

If you could have any job you wanted, what would it be? I would love to be a personal shopper/stylist.

What is your greatest accomplishment? My greatest accomplishment is my graduation from college.

Who is the person you most admire and why? The person I most admire is my husband Dion. He is kind, humble, and loving. Dion is very supportive of my goals and dreams in life and he inspires me to be a better person every day. I am truly blessed to have him in my life.

#### Slow and Steady Wins the Race!

Melissa Leonard Gleim Chapter Coordinator

Candidates should study some every day. Do not skip a day, as the "start up costs" are too great. It is better to be steady with short blocks of study time every day than to try to allocate one or two large blocks of time a week.

It is easier to study harder if you know that a break lies just around the corner. Frequent short breaks reduce interference between different aspects of new information, thus allowing your brain to better store and consolidate the data. For these reasons, you should enact an intense 20-25 minute study session, followed by a 5-minute break. Use the five minutes to reward yourself by having a snack, taking a walk, calling a friend, or enjoying other positive activities. After every 3 such cycles, take a 15-minute break. Repeat this procedure until your study session comes to a close.

Of course, actual study and break times may vary depending on your preferences. For example, if you believe you can retain more information by studying for 40-45 minutes with 10-minute breaks, then by all means do so. Just make sure you adjust your daily routine in a way that allows you to maintain these study cycles on a consistent basis. Take at least one break in the middle of your session to keep your mind fresh and open.

Every candidate for the CIA exam understands how dreary hours of studying can be, especially when you have to study for months at a time. In order to make

### The

### **Audit Scope**



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your studies more pleasant, find ways to reward yourself and stay motivated. Enjoy your favorite snack after two hours of study, or the treat of a night out after a full day of review. Creating incentives to focus on your material will help you study effectively and complete your exam faster.

Candidates who follow this method will retain more information than those who spend multiple hours cramming just a few weeks before the exam.

If you are already certified, consider this approach when preparing for an additional certification or when mentoring colleagues who decide to pursue their CIA designation. This can always be used in other aspects of your lives as well, such as exercise, household chores, etc.

Remember, as a Topeka Chapter member, you will receive a 20% discount on the Gleim CIA Review materials. Gleim has been helping CIA candidates pass the CIA Exam since 1980.

Contact Melissa at 800.874.5346, ext. 131 or melissa.leonard@gleim.com to take advantage of your chapter discount or if you have any questions.

Do you have feedback on what you have read or suggestions on future topics? Maybe you are studying for the exam or have recently passed and want to share tactics you used. Email Gleim at iiachapters@gleim.com with your comments.

Melissa Leonard Chapter Coordinator melissa.leonard@gleim.com

Gleim Publications, Inc. 4201 NW 95th Blvd Gainesville, FL 32606 800.874.5346 Ext. 131 Fax 352.375.6940

#### **IIA CIA Update**

Attention CIA Candidates - Save on Premier-Quality Prep Materials!

The IIA's CIA Learning System is a comprehensive and flexible training program that offers:

- Interactive print and Web-based study tools that teach the entire global CIA exam syllabus.
- Up-to-date content that has been aligned with the 2009 International Professional Practices <u>Framework (IPPF)</u>.
- My CIA Review Plan a free, online tool to help you set a customized and realistic study plan.
- Web-based test questions to help prepare you for the computer-based CIA exam.
- CIA Practice Exam that simulates the Pearson VUE CBT testing software to build exam confidence.

Go to <a href="www.learncia.com">www.learncia.com</a> for information on courses and classes and <a href="www.learncia.com/chapters">www.learncia.com/chapters</a> for IIA Chapter discounted materials.

#### FYI

- Please be sure to update your contact information on the IIA website. This will help our chapter keep an up-to-date directory.
- Last year our chapter donated \$1000 to fund a scholarship for a student at Washburn. This scholarship will be given as \$500 each semester of the 2010-2011 school year. We hope to have information on the recipient to share by the time the October newsletter goes out. Specific recipient information is not released until after the school year is underway.
- If you are thinking about taking the CIA exam in the near future, please note that the CIA registration fees are waived through August. For additional information please visit:

http://www.theiia.org/certification/certification-resources/certification-offers-forms/



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#### **Chapter Objectives**

#### **SERVICE TO MEMBERS**

- Education Objective: Offer over 20 hours per year of affordable CPE with more than 60% rated as excellent.
- Certification Objective: Two members become certified annually.
- Social Objective: Members get to know other members from different organizations.

#### **SERVICE TO PROFESSION**

- Membership Objective: Increase active membership.
- Professional Development Objective: Hold 15 hours of seminar CPE annually.
- Academic Relations Objective: Promote the profession at Washburn University.
- Government Relations Objective: Keep government membership.

#### **CHAPTER ADMINISTRATION**

- Leadership Development Objective: Have 1 or 2 officers attend the Leadership Conference.
- Chapter Finance Objective: Have our lunch meeting fees cover the meeting expense; make additional income from the seminars to fund other activities (Leadership Conference, WU scholarship, WU student night).

 Communication and Participation Objective: Send out monthly newsletter and update website.

#### **Newsletter Articles:**

Please contact Dana Shipley with any articles or suggestions for the newsletter. You may also know of special events (weddings, promotions, certifications, etc.) that you would like to put in the newsletter. dana.shipley@westarenergy.com

#### **Chapter Website**

Looking for a new job? Looking for current and past newsletters? Do you want to register for the monthly chapter meetings? Are you always looking for new resources for researching those best practices? If you answered "yes" to any of the above questions, then you should check out the Topeka IIA Chapter website at <a href="https://www.theiia.org/chapters/topeka">www.theiia.org/chapters/topeka</a>. The website not only offers all of the features listed above, but it also allows our members to submit job postings, news, and events related to our local chapter and the internal audit profession.

#### CAP Summary as of 8/10/10

| <u> </u>                           |                       |                             |                               |                       |
|------------------------------------|-----------------------|-----------------------------|-------------------------------|-----------------------|
| Category                           | Minimum for<br>Bronze | Chapter Points<br>(to Date) | <u>% of</u><br><u>Minimum</u> | Balance to<br>Minimum |
| I. Service to Members              | 325.00                | -                           | 0%                            | 325.00                |
| II. Service to Profession          | 200.00                | 88.38                       | 44%                           | 111.62                |
| III. Chapter Administration        | 160.00                | 53.00                       | 33%                           | 107.00                |
| Totals                             | 685.00                | 141.38                      |                               |                       |
| Targets:                           |                       |                             |                               |                       |
| Bronze                             | 685.00                | Bronze                      |                               |                       |
| Silver                             | 1,060.00              | Silver                      |                               |                       |
| Gold (Platinum)                    | 1,560.00              | Gold (Platinum)             |                               |                       |
| *If you are not formiliar with the | a Chantar Ashiava     | manus Dramman (CA           | D) places leek                | for on outide in th   |

<sup>\*</sup>If you are not familiar with the Chapter Achievement Program (CAP) please look for an article in the October 2010 newsletter that will further explain this program.



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### **Upcoming Local IIA Events**

| <u>DATE</u> | TIME       | LOCATION                            | Sponsoring Chapter  |
|-------------|------------|-------------------------------------|---|
| 9/30/10     | 1:00-5:00  | Westar Multipurpose Room            | Topeka – Seminar Flyer Attached                                       |
| 10/27/10    | 5:30-7:00  | Washburn University – Student Night | Topeka  |
| 9/13-14/10  | 7:00-5:00  | Crowne Plaza Hotel                  | Kansas City – <u>www.theiia.org/kansascity</u><br>District Conference |
| 10/7/10     |            | Ritz Charles                        | Kansas City – www.theiia.org/kansascity                               |
| 9/8/10      | 11:30-1:00 | US Ag Hall                          | Wichita – www.theiia.org/wichita                                      |



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#### 2010-2011 Officers and Board of Governors

| President                    | Dana Shipley    | Westar Energy        |
|------------------------------|-----------------|----------------------|
| 1 <sup>st</sup> VP, Seminars | Shannon Wagner  | Security Benefit     |
| 2 <sup>nd</sup> VP Meetings  | Tiffany Lake    | Westar Energy        |
| Secretary                    | Valerie Burrell | Capitol Federal Bank |
| Treasurer                    | Crystal Perez   | Capitol Federal Bank |

| Board Member | Ray Wheeler     | FHLB Topeka                           |
|--------------|-----------------|---------------------------------------|
| Board Member | Jeanne Slusher  | Security Benefit                      |
| Board Member | Kyle Brewster   | CHAN Healthcare                       |
| Board Member | Kathy Spain     | Capitol Federal Bank                  |
| Board Member | Jenny Tryon     | Westar Energy                         |
| Board Member | Kathleen Heaney | FHLB Topeka                           |
| Board Member | Jay Davis       | SRS                                   |
| Board Member | Robert Knott    | Capitol Federal Bank                  |
| Board Member | Candace Hapgood | FHLB Topeka                           |
| Board Member | John Gibbons    | Office of the State Bank Commissioner |
| Board Member |                 |                                       |
| Board Member |                 |                                       |

| Membership           |                                       |   |
|----------------------|---------------------------------------|---|
| Academic Relations   | Tom Clevenger                         | Washburn University                         |
| Government Relations |                                       |   |
| Newsletter           | Jeannie Macfee                        | Westar Energy                               |
| Seminars             | Shannon Wagner                        | Security Benefit                            |
| Programs             | Tiffany Lake                          | Westar Energy                               |
| Librarian            | Nick Kramer                           | KHPA  |
| CIA Program          | Kathy Spain                           | Capitol Federal Savings                     |
| Audit Committee      | Mary Hoover                           | SRS   |
| Historian            |                                       |   |
| Directory            | Julie Pennington                      | Legislative Division of Post Audit          |
| Nominating           | Dana Shipley                          | Westar Energy                               |
| Hospitality          | Richard McMullen                      | KDOT  |
| Web Site             | Julie Pennington/<br>Richard McMullen | Legislative Division of Post Audit/<br>KDOT |
| Certificates         | Bob Stambaugh                         | BCBS  |

### TOPEKA CHAPTER IIA WORKSHOP Data Privacy / Data Mining and Internal Auditing

Who: Dr. Robert Boncella

What: Dr. Boncella presents Data Privacy/Data Mining & Internal Auditing

When: September 30, 2010

Where: Westar Multipurpose Room

#### Speaker bio:

Dr. Boncella holds the following degrees: BA with majors in Mathematics and Philosophy, MA in Philosophy of Science, MS in Computer Science and a Ph.D. in Computer Science.

During his 25 years at Washburn University he has taught numerous classes. Among these are:

**Math courses** – Applied Calculus, Discrete Mathematics, and Operations Research, **Computing courses** – in the areas of Programming Languages; Operating Systems; Computer Networking; Computer & Network Security Techniques; Management of Information Security, and Artificial Intelligence,

**Business Courses** – Strategic Information Systems and Business Intelligence course in the Washburn MBA Program as well undergraduate courses in E-Commerce and Business Data Communications and Computer Networking.

His research contributions have consisted of peer reviewed journal articles, refereed conference proceedings, contributions of book chapters, professional encyclopedia articles and professional tutorials. He has researched and published in the following areas: Expert Systems; Business Intelligence; Computer, Network, and Internet Security; E-Commerce Information Security; Cyberethics; Software Project Management; Electronic Medical Record Systems; and Transnational Post-Secondary Information & Communication Technology Curricula

#### **Course Summary:**

#### **Data Privacy & Internal Auditing**

This one hour presentation will provide an understanding of the concept of data privacy and a review of the technical and legal issues associated with maintaining data privacy while conducting the internal auditing process.

#### **Data Mining & Internal Auditing**

The objective of the four hour seminar – "Data Mining and Internal Auditing" is to provide a somewhat detailed overview of how data mining techniques can be used to support the internal auditing process. The seminar will include the data mining process and its required IT and IS infrastructure. There will be explanations and demonstrations

of several data mining techniques. Several case studies will be presented to illustrate how data mining can be used to support the internal auditing process. Finally there will be discussion of the future of data mining as a technique to support the internal auditing process.

#### **Itinerary**

11:30 A.M. – 1:00 P.M. September Chapter Lunch Meeting

"Data Privacy & Internal Auditing"

1:00 P.M. – 5:00 P.M. "Data Mining &r Internal Auditing"

#### Cost:

September Chapter Lunch: \$12 Members / \$17 Non-members

(Please register using the normal lunch meeting process)

Data Mining for Internal Auditors: \$40 Members / \$50 Non-members

(Please use registration form below)

If you are attending both the lunch and the seminar, payment can be combined.

### Registration Form – Due by September 15, 2010

Send completed registration form to:

Email (preferred): <a href="mailto:shannon.wagner@securitybenefit.com">shannon.wagner@securitybenefit.com</a>

Address: Security Benefit

One Security Benefit Place

Topeka, KS 66606

Phone: 785.438.3281

| Employer Name: |
|----------------|
|                |

| Attendee Name | IIA Member<br>(Y/N) | E-mail | Telephone |
|---------------|---------------------|--------|-----------|
| 1             |                     |        | -         |
| 2             |                     |        |           |
| 3             |                     |        |           |
| 4             |                     |        |           |
| 5             |                     |        |           |
| 6             |                     |        |           |
| 7             |                     |        |           |
| 8             |                     |        |           |
| 9             |                     |        |           |
| 10            |                     |        |           |

Please send payment with registration or mail payment so that it is received by 9/15/10 to the Topeka IIA Treasurer at:

Capitol Federal Savings Attn: Crystal Perez 700 S. Kansas Ave. Topeka, KS 66603

If you are attending both the lunch and the seminar, payment can be combined.

Please make checks payable to the IIA