VOLUME 1 ISSUE 7 TRI-STATE (EVANSVILLE) CHAPTER WWW.THEIIA.ORG/TRI-STATE

The Tri-State Auditor

March 2011

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Internal Auditing and IIA Featured on Internationally Televised CNBC News Program

As the global voice of the profession, The IIA continues to advocate on behalf of the internal audit profession around the world. On Tuesday, January 25, IIA President and CEO Richard Chambers appeared on CNBC's Worldwide Exchange, an international daily pre-market cable news program, featuring live broadcasts from the United States, Europe and Asia. Anchors in New York, London, and Singapore deliver in-depth analyses of trends that impact the international markets. Worldwide Exchange is CNBC networks' most distributed show, simultaneously available in more than 100 countries across the globe.

Chambers spoke about the very important work of internal auditors, the corporate governance practices that contributed to the financial crisis, and how internal auditing can play an important role in achieving better governance and risk oversight in the future. "It was an unprecedented opportunity for The IIA's voice to be heard in so many places around the world at the same time," said Chambers. "The fact that we were asked to be a guest on this program is proof that stakeholders everywhere are recognizing the value of internal auditing."

View the segment on YouTube at http://bit.ly/eUhj43

Breakfast Meeting – March 3, 2011

Speaker: Ron Schmittling

Condensed Bio:
Ron is a Principal in Brown Smith Wallace's Risk Services practice, where he has responsibility for leading the information security, data privacy and IT advisory services. Ron's 18+ years of experience also includes 5+ years in senior-level technical leadership roles at Edward Jones, as well as, roles in IT audit, internal audit, and consulting for several international organizations. Ron and his team have helped numerous companies understand and address their unique information security and data privacy requirements by combining deep technical expertise and a strong understanding of business, resulting in cost-effective solutions.

Ron is a member of the AICPA, the Illinois Society of CPAs, the Missouri Society of CPAs, ISACA, IIA, Project Management Institute, ISSA, Infragard, and the International High Technology Crimes Investigation Association.

JA In a Day = Great Success!

A special thank you goes to Emily Smith, Jason Gossett, Andy Lemon, Maggie Harrell, Jeff Cox, Gilbert Varble, Lenis McClain, Lisa Newton, Jennifer Cecil, Jessica Fisher, Stacy Adamission and LuCinda Leaver. These members dedicated the morning of February 25th to the students at Daniel Wertz Elementary. Collectively logging 35 volunteer hours and 17.5 CAP points, these members truly helped our chapter make a difference in the community.

SICAN College & Career Expo
The Centre, Evansville, Indiana

IIA Tri-State Chapter to Participate in College & Career Expo

The IIA Tri-State Chapter will be on display at the first ever College and Career Expo spring event, presented by SICAN, Southwest Indiana College Access Network. Feel free to volunteer at the booth by contacting a member of your leadership team.

Date: Sunday, March 6, 2011
Location: Exhibit Hall of the Centre
Time: 1pm - 4pm
Booth: #425

CIA Exams & CPE Credits

NEW PRICING STRUCTURE FOR IIA CERTIFICATIONS

The IIA has revised its pricing structure for certification fees, effective January 1, 2011. This new pricing structure will more accurately reflect the level of service our IIA Certification programs offer and is more comparable to similar professional certifications.

	Members	Nonmembers
Application Fee	$75	$100
CIA Exam Part Fee	$150	$200
Specialty Exam	$325	$375
Rescheduling Fee	$50	$50

RE-INTRODUCING IIA *CERTIFICATION CORNER* NEWSLETTER

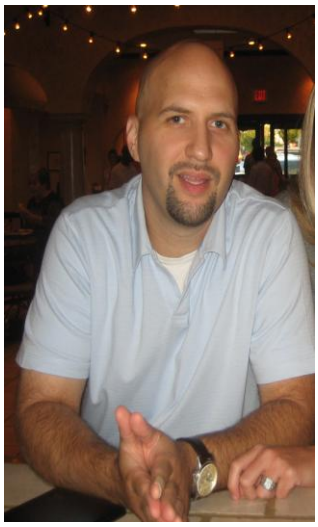
The IIA's Certification Department is bringing back *Certification Corner*, a quarterly newsletter that was retired several years ago but has now been revived to provide information about the latest developments in IIA certifications. In the first issue, www.theiia.org/certificationcorner, you will read about how 2010 has been an exciting year for the Certification Department. The team recently upgraded the Certification Candidate Management System (CCMS) which enables us to offer faster, easier, and more personalized service. CIAs can now report CPE credits in the system, and enhancements have been made to boost the system's security, speed and ease of use. You'll also find articles on changes to CPE reporting as well as a feature on a young staff auditor who sat for The IIA's very first CIA exam in 1975. Watch for a new issue every quarter!

Member Profile: Jason Gossett

Senior Auditor for Tropicana Entertainment – Casino Aztar

Where did you complete your formal education?
University of Southern Indiana

What is something most people don't know about you?
I used to drive the double-decker omnibus down Main Street at Disney's Magic Kingdom.



What is the quality you most admire in others?
Humility – So many people these days put their pride ahead of things that are more important.

If you could do one thing for the rest of your life, what would it be?
Work with children.

What is your favorite travel destination?
Saint Louis, MO – It is far enough away for my wife and I to just take off to spend some time together alone but close enough to get home if our children need us.

If you could have dinner with one living or non-living person, who would it be and why?
Reggie Miller – I wish I could say someone historical and/or brilliant but it would be a lie. I will admit I have a harmless obsession with #31.

What is your favorite book of all time?
The Tortoise and the Hare – I read this story to my children to teach them the virtue of setting and maintaining a pace to achieve their goals. I also collect tortoise figurines and set them around our house as constant reminders.

CAP Reporting – Silver Level

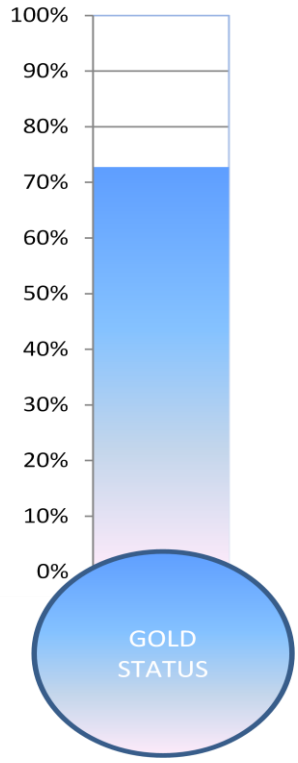
CAP is the acronym for Chapter Achievement Program. This program has been established with the basic idea that the mission of the chapter is to serve its **members first** and then the internal audit profession. It is sponsored by the International IIA to encourage local chapters to be active both for the benefit of the chapter members and of the local community.

Minimum CAP Requirements / CAP Service Levels

Service to Members - 325 CAP Points
Service to the Profession - 200 CAP Points
Chapter Administration - 160 CAP points

CAP Performance Levels

BRONZE - 685 CAP Points
SILVER - 1,060 CAP Points
GOLD - 1,560 CAP Points
PLATINUM - This is a special level created to recognize chapters that attain GOLD status for 10 of 11 consecutive years.



As of March 1, our chapter had **1134.79 CAP Points**.

MARCH SEMINAR – JOHN HALL

ADVANCED FRAUD CONCEPTS FOR INTERNAL AUDITORS

Mr. Hall is a consultant, speaker, and seminar instructor. He helps clients:

- Assess and enhance internal controls
- Identify and assess areas of exposure to business risk, wrongdoing, and fraud
- Create a comprehensive anti-fraud environment
- Enhance personal and professional effectiveness

- Training, consulting and coaching areas include:
- Fraud prevention, early detection and incident response
- Communication and interpersonal behavior skills enhancement for professionals, including:
- Establishing rapport
- Interviewing
- Speaking and presenting
- Selling ideas
- Influencing others to take action
- Consulting skills for professional auditors
- Internal audit department effectiveness, structure and strategy

John also helps clients handle incidents of wrongdoing, misconduct and fraud.

Mr. Hall has over 30 years of experience as a consultant, professional speaker, and business owner, and has worked in public accounting, internal auditing, and corporate management positions. He is a member of the National Speakers Association, American Institute of CPAs, and the Institute of Internal Auditors. John is a Trustee of the Keystone Center in Keystone Colorado and chairs the Audit Committee (www.keystone.org).

When?	**March 17, 2011**, from 8am to 5pm
What?	**Fraud: Advanced Concepts for Internal Auditors**
Where?	**American General Finance** has agreed to host this event in the Training room located in the Midtown building at 500 Bond Street. Parking is available in the surface parking lot located adjacent to the building.
Cost?	**$50** (for 8 hours of CPE and lunch)

Be sure to submit your RSVP for this exciting event to our chapter president, Emily Smith (esmith@vectren.com), by March 7, 2011.

IIA MEMBERSHIP ANNIVERSARIES

Karl Van Mill	17 years
Christy Sayle	11 years
Kyle Wininger	4 years
Jason Gossett	3 years
Kathleen Fuller	3 years
Emily Smith	2 years
Jerry Hedrick	2 years
Keith Rexing	2 years

MARCH 2011

S	M	T	W	T	F	S
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6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

APRIL 2011

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3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	28	30

MAY 2011

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8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

UPCOMING EVENTS

Breakfast Meeting (1 CPE)	March 3
Daylight Savings Time (Spring Forward)	March 13
Chapter Seminar (8 CPE)	March 17
St. Patrick's Day	March 17
Monthly Meeting (1 CPE)	April 20 – Wednesday!
Monthly Meeting (1 CPE)	May 19

CHAPTER MEMBER CHALLENGE

Find someone that needs CPE!

We are offering 8 CPE credits for $50 at our March seminar. Bring a CPA, a CFE, anyone you know that will benefit from this great opportunity. It will help our budget and our CAP report.

If you have information you would like to share with your Chapter through the monthly newsletter regarding available training, job openings, certifications or anything at all – please send the information to jgossett@aztarindiana.com. Thank you.