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Institute of Internal Auditors, Kansas City Chapter, Slate of Nominations for 2012-2013

Per The Institute of Internal Auditors, Kansas City Chapter, Bylaws, revised January 2009, Article VII, Section 2: The report of the (Nominating) Committee shall be published to the members thirty days prior to the election. Hence the Nominating Committee is pleased to present the following individuals for nomination to be voted upon at the Chapter's March 1st meeting:

President – Stephanie Jones, Implementation Consultant, Pentana

VP Programs – Rob Carter, Audit Manager, Layne Christensen

VP Services – Aaron Hollingshead, Sr. Auditor, American Century Investments

VP Membership – Christee Highbarger, Sr. Auditor, Kansas City Southern Railway

VP Web Services – open

Secretary – Rachel Rohrer, Sr. Auditor, Blue Cross Blue Shield

Treasurer – Jonathan Wiltse, Sr. Auditor, Waddell & Reed Financial

Board of Directors – Monica Vegge, Sr. Manager, CHAN Healthcare Auditors

Board of Directors – Keith Ohland, Manager, UMB Financial Corporation

Board of Directors – Doug Vogl, Vice President, Kansas City Southern Railway

Board of Directors – Nancy Frazee, Director, Hallmark Cards Inc.

The Board of Directors nominees will be elected to a 2 year term, and officers to a 1 year term.

As noted above, the Vice President of Web Services position is still open for nominations. We encourage anyone who is interested in serving as an officer for the Chapter to nominate themselves by contacting one of the nominating committee members or an officer or current Board member. The VP of Web Services is responsible for posting all announcements on the Chapter web site, working with International IIA and the Host Chapter as needed, maintaining an archive of prior meetings, keeping appropriate links maintained, and reporting to the Board on website content changes and issues. The Vice President must attend at least 75% of the Board meetings, and 50% of the Chapter (monthly membership) meetings.

Respectively, the Nominating committee (Justin Hinkle, Past President; Lael Holloway, Past President; Doug Welch, President).

President's Message

President's Message

With the March election fast approaching, the realization that my term will soon be ending brings some sadness; the two years have flown by and it has been an enjoyable and rewarding experience. However, I am excited about the slate for next year's Chapter leadership. We have a great mix of dedicated people who will continue serving as officers bringing their experience which will result in a smooth transition and will ensure that the Chapter continues to offer high quality speakers and CPE at very low and reasonable costs. This experienced crew will be mixed with several new individuals who will bring fresh ideas and new energy to our leadership team. My thanks to all who have served as officers, board members and committee members in the past, and thanks to all who have volunteered for the upcoming year.

As noted in the slate, we do have one open position and I strongly encourage you to consider volunteering if interested -- it's a great leadership opportunity, a great networking opportunity as I can attest to having made both professional contacts and personal contacts with several I would consider friends, and a great opportunity to give back to the Chapter.

Thanks again for supporting our Chapter, and I look forward to seeing you at the next meeting.

Doug

Save the Date!!

2011 – 2012 Schedule of Programs



Institute of Internal Auditors - Kansas City Chapter 2011-2012 Schedule of Programs

Month	Date	Topic	Speaker/ # CPE's	Location	Price
September	9/1/2011 <i>Breakfast at 7:30am</i> 8:00am to 11:00am	Managing Risk in the Extended Enterprise	Craig Carter <i>Partner, KPMG</i> 3 CPE Hours	Ritz Charles-Overland Park	\$60 Member \$75 Non-Member
	11:00am to 12:00pm	Case Study: The Importance of Whistleblower Hotlines and Ethics Programs in Preventing Fraud	Lynn Fountain <i>AVP Internal Audit, Black & Veatch</i> 1 CPE Hour (Qualifies for MO CPA Ethics Req.)		
October	10/6/2011 9:00am to 4:00pm <i>Lunch at 12:00pm</i>	Communication and Interpersonal Issues for Auditors	John Hall <i>Hall Consulting</i> 6 CPE Hours	Ritz Charles-Overland Park	\$90 Member \$105 Non-Member
November	11/3/2011 9:00am to 2:00pm <i>Lunch at 11:30am</i>	"Taking the Harder Right" - How Good, Honest, Moral, Ethical People Can Get into Trouble in Ways They Never Imagined	Oliver Halle, Diann Cattani, and Josh Kenyon <i>Corporate Scared Straight</i> 4 CPE Hours (Qualifies for MO CPA Ethics Req.)	Clubhouse on Baltimore - Downtown KC	\$80 Member \$95 Non-Member
December	12/1/2011 9:00am to 4:00pm <i>Lunch at 12:00pm</i>	Harnessing the Power of Continuous Auditing	Robert Mainardi <i>Mainardi & Associates</i> 6 CPE Hours	Clubhouse on Baltimore - Downtown KC	\$90 Member \$105 Non-Member
February	2/2/2012 9:00am to 4:00pm <i>Lunch at 12:00pm</i>	Auditing Social Media	Mike Jacka, Pete Scott <i>Consultants</i> 6 CPE Hours	Ritz Charles-Overland Park	\$90 Member \$105 Non-Member
March	3/1/12 <i>Breakfast at 7:30am</i> 8:00am to 12:00pm	Internal Audit: Maximizing our Opportunities	Larry Harrington <i>VP Internal Audit, Raytheon; Chairman of the IIA North American Board</i> 4 CPE Hours	Ritz Charles-Overland Park	\$80 Member \$95 Non-Member
		The Importance of Brand			
April	4/5/12 9:00am to 2:00pm <i>Lunch at 11:30am</i>	Fraud: Lessons from the Trenches	Angela Morelock <i>Partner, BKD</i> 4 CPE Hours (Qualifies for MO CPA Ethics Req.)	Clubhouse on Baltimore - Downtown KC	\$80 Member \$95 Non-Member
		Student Event			
May	5/3/12 <i>Breakfast at 8:00am</i> 8:30am to 11:30am	Auditing Strategic Risks - Turning Risk into Opportunity	Tony Popanz <i>Manager, Experis</i>	Ritz Charles-Overland Park	\$60 Member \$75 Non-Member
		Successfully Auditing Business Recovery Programs	Jeff Blackmon <i>Strategic Continuity Solutions</i> 3 CPE Hours		
June	6/7/12 <i>Lunch at 12:00pm</i> 1:00pm to 3:00pm	Top 10 Characteristics of World Class Audit Departments	Robert Mainardi <i>Mainardi & Associates</i> 2 CPE Hours	Ritz Charles-Overland Park	\$40 Member \$55 Non-Member
August	8/2/12 <i>Lunch at 12:00pm</i> 1:00pm to 3:00pm	Project Management Fundamentals	Vicki Wagoner <i>Director, PwC</i> 2 CPE Hours	Clubhouse on Baltimore - Downtown KC	\$40 Member \$55 Non-Member

CIA Review System Article

Melissa Leonard, is the Gleim CIA Review System Coordinator for the KC IIA Chapter.

How to Avoid Test Anxiety

Becoming a CIA has many benefits and is a necessary goal for internal auditors worldwide. However, many candidates report they delay taking the CIA exam because they become overwhelmed by the size and scope of the exam. Each of the four 3-hour parts of the CIA exam contains 100 multiple-choice questions covering a large number of topics within the internal audit field. Passing the CIA exam can be a daunting task, especially for those who have not built up their stamina or worked on their test-taking tactics during the review process.

This is why it is imperative that during the review process you practice in exam-like conditions. We have found that candidates who take 20-question quizzes, either in our CIA Test Prep software or CIA Gleim Online, have a much higher pass rate if they simulate exam conditions as opposed to taking quizzes at a coffee shop or in front of the TV, where they are easily distracted. The higher pass rates occur because, when exam time comes, candidates are used to answering a large chunk of questions without some sort of external stimulation (i.e., distraction)

It is also important to take quizzes straight through without stopping to "check" your answers while you are reviewing for the CIA exam. Making yourself continue to answer questions, even when you're still wondering if you got that last one right, gives you valuable practice for the mindset you'll need while taking the CIA exam.

When you begin your exam, remember you have done everything you could by completing a thorough review and emulating an exam environment for the weeks leading up to your exam. Once at the testing center, remember rest, not race. Take at least one brief break during the exam. Shut your eyes, massage your temples, and take several deep breaths. These relaxation methods will help you maintain your cool and concentration throughout the exam. The IIA does not award points to candidates who finish their exams the soonest, so do not race through all 100 questions. A single, 30-second break to clear your thoughts can ensure that your final score will remain clear of a failing grade.

Keep in mind that if this exam were easy, there wouldn't be so much to gain by passing and becoming CIA certified. As you approach your exam, a positive attitude will play a major role in helping you stay focused and in successfully completing the CIA exam.

Remember, as a Kansas City Chapter member, you will receive a discount on Gleim material. Gleim has been helping CIA candidates pass the CIA exam since 1980.

Contact Melissa at 800.874.5346, ext. 131 or melissa.leonard@gleim.com to take advantage of your chapter discount or if you have any questions.

I would like to hear from you. Do you have feedback on what you have read or suggestions on future topics? Maybe you are studying for the exam or have recently passed and want to share tactics you used. Email Melissa Leonard, your Chapter Coordinator, at iiachapters@gleim.com with your questions and feedback.

Featured Article

Five Defining Events for The IIA in 2011

Richard Chambers, CIA, CGAP, CCSA, CRMA, shares his personal reflections and insights on the internal audit profession.

It is hard to believe that another year is coming to a close and we are preparing to ring in 2012!

I always find this time of year to be a great period for reflection. I hope you will indulge me as I contemplate what 2011 has meant for The IIA. In many ways, 2011 was a year of new initiatives and new heights for The Institute, globally. We entered the year with a myriad of new ideas, but with resource limitations that threatened to constrain our abilities. Thanks to the extraordinary hard work of many, and a series of fortunate events, we are now looking at a year in which we will have posted record financial performance and record membership results worldwide. We now look back on numerous achievements brought from concept to reality, and I consider five events that defined 2011 as a banner year.

1. CRMA

In 2011, The IIA began offering its first new certification program in more than 10 years. Events over the past decade such as financial scandals, new legislation, technological advancement, corporate global expansion, and economic fluctuations have prompted more involvement from internal auditing in risk management. The IIA's new **Certification in Risk Management Assurance** (CRMA) enables audit practitioners to demonstrate their ability to provide advice and assurance to audit committees and executive management on whether key risk management and governance processes in their organizations are in place and effective. Since the launch of the CRMA in late August, more than 500 professionals from 36 countries have been conferred the designation. At least 1,000 additional people having already initiated the process of becoming certified.

2. Global Website

We launched a new global IIA website — www.globaliia.org — which will serve as a gathering place for the acquisition and exchange of information for internal auditors from around the world. The new site showcases The IIA's global products, including the International Professional Practices Framework in a variety of languages; features a global event calendar; and acts as a portal to institute websites worldwide where additional products, services, and benefits are available. It's an opportunity to further serve the needs of our international community, and it's truly a reflection of The IIA's total footprint.

3. International Conference in Malaysia

Along with our partner, IIA-Malaysia, we welcomed more than 2,200 attendees from 93 countries to the **2011 International Conference** – the largest attendance ever outside of North America. This was also the first time The IIA's International Conference was held in Asia, an indicator of how global our organization has become. The conference theme, "Standing Tall," was inspired in part by the city's world-famous Petronas Twin Towers, the tallest twin towers on the planet. Several Malaysian dignitaries helped open the conference, and more than 30 journalists from television, newspapers, and magazines generated unprecedented publicity on the event. It requires literally thousands of hours of preparation to design, develop, and bring to fruition an event of this magnitude, and I am so proud and thankful for the many volunteers and staff from Malaysia, IIA headquarters, and other parts of the world who worked so hard to make it such a success.

and guiding its evolution.

Featured Article (continued)

4. Audit Channel

In keeping with our motto of “Progress Through Sharing,” we launched a new video-sharing website — www.auditchannel.tv. The Audit Channel enables internal audit professionals to view, post, and comment on short videos that address the topics of greatest interest to the profession. Currently, the site features videos in English, Spanish, French, Japanese, and Chinese. In only eight short weeks, it has defied our most optimistic projections. With 460 members already registered and approximately 100 different videos available for viewing, some content has been viewed more than **2,400 times**. As host of the platform, The IIA is posting content on its key activities and latest news about the profession. And we envision the Audit Channel to be community-driven, with users providing the majority of the content and guiding its evolution.

5. 70th Anniversary

This month marked the end of a year-long celebration of The IIA's 70th anniversary. Since January, we have enjoyed reflecting on seven decades of achievement with a campaign that commemorated The IIA's long history and generated greater awareness of the role The Institute has played in the growth of the profession. The numerous activities throughout the year invited our worldwide membership to join in and be a part of the celebration. This included a [special anniversary website](#); a [social media photo campaign](#); articles in various IIA publications about our [organization's history](#); a [video montage](#); the dedication of special displays at IIA headquarters honoring our past presidents and chairmen; and gala celebrations at both the International Conference and Mid-year Meetings. We received an unprecedented number of positive comments about these events, and I was particularly proud to host such a memorable and monumental occasion.

As you can tell from the foregoing, I am very enthused about where we have been. I am equally enthused about where we are going, as well as about the caliber of professionals who comprise our organization around the world. As exciting as the progress has been in 2011, I believe we have only begun to realize our potential as a global professional body. Working in partnership with our members, chapters, and institutes, I am confident that 2012 will bring even more noteworthy accomplishments. Working together, there is no limit to our potential!

2011 – 2012 Chapter Leadership

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If you have any questions or would like to submit an article for the newsletter, please email Rob Carter, VP Services, at racarter@laynechristensen.com.