The Institute of Internal Auditors Kansas City Chapter

KC CHAPTER NEWSLETTER

Volume 2, Issue 7 **March 2012**

What's Inside:

Cover 1

President's Message 2

Notes 3

CPE Requirements 4-5

March Meeting Recap 6

Save the Date! Upcoming Events 7

Chapter Leadership 8

Congratulations to Zeffrey Johnson as he was randomly selected as the door prize winner at the March 2012 KC IIA meeting. He received a $50 gift card to the Plaza.

Also, congratulations to Debbie Berckefeldt as she was randomly selected as the winner for completing the KC IIA March meeting survey. As the winner, she received one free registration to an upcoming KC IIA meeting. Thank you to Debbie and all of you who completed the survey to provide your valuable feedback.

Thank you to Larry Harrington, our March 2012 KC IIA speaker! Be sure to see the recap of Larry's speech on page 6.

Pictured above: Larry Harrington, VP of Internal Audit at Raytheon and Chairman of the IIA's North American Board (left) and Stephanie Jones, VP of Programs for the KCIIA (right).

President's Message

Congratulations to our newest officers (and **THANKS** for agreeing to serve)! We are exceptionally fortunate to have this outstanding group lead our Chapter next year. This group has a combined 10 years of experience serving the chapter and is complimented by having some new people joining to bring new ideas and energy to our group:

President – Stephanie Jones, Implementation Consultant, Pentana; VP Programs – Rob Carter, Audit Manager, Layne Christensen; VP Services – Aaron Hollingshead, Sr. Auditor, American Century Investments; VP Membership – Christee Highbarger, Sr. Auditor, Kansas City Southern Railway; VP Web Services – Kim Harper, IT Auditor, Blue Cross Blue Shield; Secretary – Rachael Rohrer, Sr. Auditor, Blue Cross Blue Shield; Treasurer – Jonathan Wiltse, Sr. Auditor, Waddell & Reed Financial.

In addition to our new officers for next year, our newest Board members are: Monica Vegge, Sr. Manager, CHAN Healthcare Auditors; Keith Ohland, Manager, UMB Financial Corporation; Doug Vogl, Vice President, Kansas City Southern Railway; and Nancy Frazee, Director, Hallmark Cards. Please join me in congratulating and welcoming these individuals, as well as giving them your full support.

For those of you studying for the CIA exam, the format will be changing in 2013 from 4 parts to 3 parts. There is a transition plan for candidates who have passed some of the current 4 parts – see the IIA website or contact me for additional information, or make plans to pass the remaining sections this year.

For those of you who are already CIAs, the CPE reporting requirements have changed, so be sure to keep your supporting documentation and review the changes outlined in pages 4-5 of the newsletter.

Doug

March 2012 Notes

Volunteers Needed!

Our April meeting is on Thursday, April 5th from 9:00 am to 2:00 pm and will also be our student event. Directly following the speaker presentation, we are looking for volunteers to meet with the students in attendance. Volunteers will work with the students to perform a mock interview, resume review, or just answer any other questions they may have about the profession. This is a very rewarding opportunity that could allow you to help make a difference in a student's career and develop the profession. If you are available, please contact Rob Carter at rob.carter@layne.com.

Job Postings

Be sure to check out the current job postings on our web site at http://www.theiia.org/chapters/index.cfm/view.news_detail/cid/48/newsid/2869

IIA International Conference

Please keep in mind that this year's IIA's International Conference is in Boston on July 8-11. This is considered the premier global event for internal auditors to gather, network, and exchange information on the practice of internal auditing. There is expected to be over 2,500 people from 100 different countries and all 50 states. Be sure to obtain more information through the IIA web site and register soon!

New IIA Members

We would like to recognize and welcome the following new members to the Kansas City Chapter of the IIA!

Heidi Conley	Epiq Systems, Inc.
Lara Humes	BankLiberty
Julia Webb-Carter	City of Kansas City (Missouri)
Eric Mewhinney	H&R Block
Kyle Gray	Kansas City Southern Railway
Curtisi Cross	Blue Cross Blue Shield of Kansas City
Randi Young	Grant Thornton LLP

CPE Requirements

Continuing Professional Education (CPE) Requirements and Reporting Processes Reminder

As a reminder, all certified individuals are now required to report on an annual basis versus every other year. To facilitate this change, the reporting deadline date has moved from May 31st to December 31st for all candidates. Reporting can be done any time prior to the deadline using Certification Candidate Management System (CCMS) or through your local institute. Candidates will need to report a varied amount of CPE based on when they last reported. The table below indicates the reporting requirement for the December 31, 2012, deadline based on the certificant's ID number and last reporting cycle.

Don't miss your deadline! Report anytime during 2012, once you have met your requirements.

Professionals certified by The IIA who fail to meet their CPE requirements by the reporting deadline will automatically be placed in inactive status and may not use their designation until such time as the issue is resolved. For additional information regarding CPE requirements or to report your CPE hours, click here.

CPE Requirements (cont)

CPE Requirements for Practicing Individuals					
ID Number	Last Reporting Cycle	2012 Requirement: Report all CPE by December 31, 2012.		2013 Requirement: Report all CPE by December 31, 2013.	
EVEN	Last reported CPE in 2010 (for CPE earned in 2008 and 2009)	**CIA** Total hours: 120 For years: 2010 2011 2012	**Specialties (CCSA, CGAP, CFSA, CRMA)** Total hours: 60 For years: 2010 2011 2012	**CIA** Total hours: 40 For year: 2013	**Specialties (CCSA, CGAP, CFSA, CRMA)** Total hours: 20 For year: 2013
ODD	Last reported CPE in 2011 (for CPE earned in 2009 and 2010)	**CIA** Total hours: 80 For years: 2011 2012	**Specialties (CCSA, CGAP, CFSA, CRMA)** Total hours: 40 For years: 2011 2012	**CIA** Total hours: 40 For year: 2013	**Specialties (CCSA, CGAP, CFSA, CRMA)** Total hours: 20 For year: 2013

CPE Requirements for Non-practicing Individuals					
ID Number	Last Reporting Cycle	2012 Requirement: Report all CPE by December 31, 2012.		2013 Requirement: Report all CPE by December 31, 2013.	
EVEN	Last reported CPE in 2010 (for CPE earned in 2008 and 2009)	**CIA** Total hours: 60 For years: 2010 2011 2012	**Specialties (CCSA, CGAP, CFSA, CRMA)** Total hours: 30 For years: 2010 2011 2012	**CIA** Total hours: 20 For year: 2013	**Specialties (CCSA, CGAP, CFSA, CRMA)** Total hours: 10 For years: 2013
ODD	Last reported CPE in 2011 (for CPE earned in 2009 and 2010)	**CIA** Total hours: 40 For years: 2011 2012	**Specialties (CCSA, CGAP, CFSA, CRMA)** Total hours: 20 For years: 2011 2012	**CIA** Total hours: 20 For year: 2013	**Specialties (CCSA, CGAP, CFSA, CRMA)** Total hours: 10 For years: 2013

March Meeting Recap

The following is a recap of the March IIA meeting written by Charles Steiger, student at Pittsburg State University.

The IIA KC Chapter meeting on March 1, 2012, was presented by Larry Harrington, VP of Internal Audit at Raytheon Company. Mr. Harrington's presentation covered two subjects: "Maximizing Our Opportunities" and "The Importance of Brand". The presentation was filled with Mr. Harrington's personal experiences at Raytheon. He provided some excellent tools for adding value to the internal auditing profession.

The first half of the presentation covered some core competencies required to be a successful internal auditor. He encouraged internal auditors to "look ahead and around the corner" by being an agent of positive change. Internal auditors need to change with the world by embracing diversity and to always stay abreast of new concepts and technologies. He explained the importance of creating a social network—not only within one's internal audit shop—but within all of the departments in an organization. By expanding one's social network outside the internal audit unit, an internal auditor can gain a better understanding of what is happening in the organization.

For the second half of his presentation, Mr. Harrington emphasized how one's image is similar to that of businesses and products or services in the marketplace. Building a positive brand is crucial in many aspects: for oneself, one's department, and in one's profession. Mr. Harrington described the use of Six Sigma techniques in transforming a brand. He explained how marketing techniques could be employed to "fill in the gaps" in building the brand of a person, a department, and for the internal auditing profession.

Mr. Harrington's presentation was insightful and encouraging. The tools and expertise he offered will prove to be valuable for all who experienced this captivating presentation. By stressing the importance of the role of the internal auditor, Mr. Harrington provided attendees with some solid tools for one to create a positive change in today's business environment.

Save the Date!!
2011 – 2012 Schedule of Programs

Institute of Internal Auditors - Kansas City Chapter
2011-2012 Schedule of Programs

Month	Date	Topic	Speaker/ # CPE's	Location	Price
September	**9/1/2011** *Breakfast at 7:30am* 8:00am to 11:00am 11:00am to 12:00pm	Managing Risk in the Extended Enterprise Case Study: The Importance of Whistleblower Hotlines and Ethics Programs in Preventing Fraud	Craig Carter *Partner, KPMG* 3 CPE Hours Lynn Fountain *AVP Internal Audit, Black & Veatch* 1 CPE Hour (Qualifies for MO CPA Ethics Req.)	Ritz Charles-Overland Park	$60 Member $75 Non-Member
October	**10/6/2011** 9:00am to 4:00pm *Lunch at 12:00pm*	Communication and Interpersonal Issues for Auditors	John Hall *Hall Consulting* 6 CPE Hours	Ritz Charles-Overland Park	$90 Member $105 Non-Member
November	**11/3/2011** 9:00am to 2:00pm *Lunch at 11:30am*	"Taking the Harder Right" - How Good, Honest, Moral, Ethical People Can Get into Trouble in Ways They Never Imagined	Oliver Halle, Diann Cattani, and Josh Kenyon *Corporate Scared Straight* 4 CPE Hours (Qualifies for MO CPA Ethics Req.)	Clubhouse on Baltimore - Downtown KC	$80 Member $95 Non-Member
December	**12/1/2011** 9:00am to 4:00pm *Lunch at 12:00pm*	Harnessing the Power of Continuous Auditing	Robert Mainardi *Mainardi & Associates* 6 CPE Hours	Clubhouse on Baltimore - Downtown KC	$90 Member $105 Non-Member
February	**2/2/2012** 9:00am to 4:00pm *Lunch at 12:00pm*	Auditing Social Media	Mike Jacka, Pete Scott *Consultants* 6 CPE Hours	Ritz Charles-Overland Park	$90 Member $105 Non-Member
March	**3/1/12** *Breakfast at 7:30am* 8:00am to 12:00pm	Internal Audit: Maximizing our Opportunities The Importance of Brand	Larry Harrington *VP Internal Audit, Raytheon; Chairman of the IIA North American Board* 4 CPE Hours	Ritz Charles-Overland Park	$80 Member $95 Non-Member
April	**4/5/12** 9:00am to 2:00pm *Lunch at 11:30am*	Fraud: Lessons from the Trenches Student Event	Angela Morelock *Partner, BKD* 4 CPE Hours (Qualifies for MO CPA Ethics Req.)	Clubhouse on Baltimore - Downtown KC	$80 Member $95 Non-Member
May	**5/3/12** *Breakfast at 8:00am* 8:30am to 11:30am	Auditing Strategic Risks - Turning Risk into Opportunity Successfully Auditing Business Recovery Programs	Tony Popanz *Manager, Experis* Jeff Blackmon *Strategic Continuity Solutions* 3 CPE Hours	Ritz Charles-Overland Park	$60 Member $75 Non-Member
June	**6/7/12** *Lunch at 12:00pm* 1:00pm to 3:00pm	Top 10 Characteristics of World Class Audit Departments	Robert Mainardi *Mainardi & Associates* 2 CPE Hours	Ritz Charles-Overland Park	$40 Member $55 Non-Member
August	**8/2/12** *Lunch at 12:00pm* 1:00pm to 3:00pm	Project Management Fundamentals	Vicki Wagoner *Director, PwC* 2 CPE Hours	Clubhouse on Baltimore - Downtown KC	$40 Member $55 Non-Member

2011 – 2012 Chapter Leadership

Officers:

Doug Welch – **President**	doug.welch@hrblock.com
Aaron Hollingshead – **VP Membership**	Aaron_Hollingshead@americancentury.com
Stephanie Jones – **VP Programs**	stephanie.jones@pentana.com
Jonathan Wiltse – **Treasurer**	jwiltse@waddell.com
Rachel Rohrer – **Secretary**	rachel.rohrer3@bluekc.com
Rob Carter – **VP Services**	rob.carter@layne.com
Getty George – **VP Web Services**	getty.x.george@sprint.com

Board:

Ben Clouse	ben.clouse@hrblock.com
Gordon Braun	gordon.braun@protiviti.com
Barb Schaffert	barbara.schaffert@us.gt.com
Lynn Fountain	
John Waggoner	jawaggoner@dstsystems.com
Kayla Flanders	
Tony Jackson	tony.jackson@kcpl.com
David Schmoeller	schmoelled@compassminerals.com

Board – Past Presidents:

Justin Hinkle	justin.hinkle@us.gt.com
Lael Holloway	lael.holloway@experis.com

District Representative:

Jason Minard	jason.r.minard@wellsfargo.com.com

Ex-Officio Member:

Rebekah Heath	rheath@pittstate.edu

If you have any questions or would like to submit an article for the newsletter, please email Rob Carter, VP Services, at rob.carter@layne.com.