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From the President...

Welcome to the new chapter year! I am pleased and honored to serve as your President of the Kansas City IIA chapter for 2012-2013. My goal is to continue in the footsteps of the outstanding leadership that came before me and lead our chapter into another Platinum year! I feel very fortunate to have such a dedicated group of volunteers serving as chapter officers and Board of Governors this year. It is truly a pleasure working with all of you, and I appreciate all that you do for our chapter behind the scenes!

I am excited for the Schedule of Programs that Rob Carter and his committee have put together this year. I am confident that you will find many great speakers and topics that have been included...many of which are coming to Kansas City for the first time. As a chapter, we continue to provide low-cost, high caliber training to our members, so please take full advantage and keep attending meetings! A great way to do this is through our subscription program. This program allows you to pre-purchase the entire year of programs at a discount, which is easier than arranging payment each month...plus it saves you money!

In addition to attending the meetings and receiving CPE, networking with your peers, and obtaining beneficial training, I encourage you to get involved in the chapter. There are many volunteer opportunities available during the year. Some of these include volunteering during registration, serving on a committee, or assisting at the annual Student Event. If you are interested in volunteering, please see the specific committee chair, or let any chapter officer know. It's a great way to meet other chapter members and make a difference in our chapter!

I look forward to seeing you at our next chapter training event!

Thank you!

Stephanie Jones
2012-2013 President

September 2012 Meeting Information

DATE: Thursday, September 6, 2012

TIME: Registration 8:00 AM
Lunch 12:00 PM
Program 9:00 AM – 4:00 PM

LOCATION: The Clubhouse on Baltimore
1228 Baltimore
Kansas City, MO

(Free parking is available at the Crowne Plaza Hotel, which is located across 13th street from The Clubhouse on Baltimore. See facility/parking information on page 3.)

PROGRAM: IT Auditing for the Non-IT Auditor

Danny Goldberg, Partner, Sunera Business Consultants

In today's economy, all auditors must become multi-faceted and multi-purposed. Regardless of background, internal auditors must have the basic knowledge of IT auditing to understand the general concepts, understand IT terminology and how IT auditing is integral to general auditing. There is no complete view/opinion of one without looking at the other.

CPE: 6 CPE Hours

MENU: Lunch – catered by Fiorella's Jack Stack Barbecue

Please denote any dietary restrictions when registering and accommodations will be made.

COST: Member: \$95
Non Member: \$110

Note: Video cameras or voice recording devices are prohibited at KC IIA meetings.

September 2011 Speaker Biography

Danny M. Goldberg

CIA, CPA, CISA, CRISC, CRMA, CGEIT, CCSA, CGMA

Danny M. Goldberg leads the Professional Development Practice at Sunera. Prior to joining Sunera in January 2011, Danny founded SOFT GRC, an advisory services and professional development firm. Danny has over 15 years of audit experience, including five as a CAE/Audit Director at two diverse companies.

Prior to founding SOFT GRC, Danny was the Director of SOX Compliance and Corporate Audit at Dr Pepper Snapple Group, where he led the Year One SOX Compliance efforts. Prior to his tenure at Dr Pepper, Danny was the Chief Audit Executive at Tyler Technologies, a publicly traded technology company (Danny was hired to build the department from the ground up).

Danny has served on the audit committee of the Dallas Independent School District and as the Chairman of the North Texas Leadership Council of the American Lung Association. Danny is also the IIA Dallas Chapter Programs Committee Co-Chairman for the 2012-2013 year.

Danny is accredited as the professional commentator on the publication *BNA Tax and Accounting Portfolio, Internal Auditing: Fundamental Principles* (Accounting Policy and Practice Series), which is authored by renowned audit scholars Curtis C. Verschoor and Mort A. Dittenhofer – co-author of **Sawyer's Internal Auditing**. He is currently leading a project team that has been commissioned by the IIA Research Foundation to write a publication on **Crucial Communications: Tools When the Stakes are High**.

He has also published numerous articles in trade magazines, including:

- ISACA Journal (The Missing Piece: Optimized Interpersonal Skills, May 2012)
- The Audit Report (Executive Search: Knowing the Company is as Important as Knowing the Candidate, December 2011)
- ISACA Journal (General Auditing for the IT Auditor: An Overview, May 2011)
- The Audit Report (11 Hot Topics for 2011, March 2011)
- Dallas Business Journal (The Yes Man Phenomenon, January 2011)

Danny is a well-known speaker across the nation at numerous IIA and ISACA sponsored events. Mr. Goldberg has been recognized as a top speaker at numerous events over the past years, including:

- "Top Rated" (Excellent/Outstanding) Speaker – 20th Annual American Society for Quality (ASQ) Audit Division Conference (out of 44 presenters)
- Top 10 Presentation – 2011 IIA Midwest Regional (36 total concurrent sessions, 3.75 overall rating out of 4.0)
- Top 3 Ranked Speaker – Michigan CPA's Healthcare Conference 2011 (29 total speakers)
- Top 3 Ranked Speaker – ArkSarBen IIA 2011 District Conference (24 total sessions)
- 3.58/4.00 Rating – 2011 ACFE Annual North American Conference

Danny is one of less than 100 speakers worldwide invited to present at the 2012 IIA International Conference. Danny is a Certified Public Accountant, Certified Internal Auditor, Certified Information Systems Auditor, Certified in the Governance of Enterprise Information Technology, Certified in Risk and Information Systems Control, has obtained his Certification in Control Self-Assessment and is a Chartered Global Management Accountant.

Save the Date!!

2012 – 2013 Schedule of Programs



Institute of Internal Auditors - Kansas City Chapter 2012-2013 Schedule of Programs

Month	Date	Topic	Speaker/ # CPE's	Location	Price
September	9/6/2012 9:00am to 4:00pm Lunch at 12:00pm	IT Auditing for the Non-IT Auditor	Danny Goldberg <i>Supera</i> 6 CPE Hours	Clubhouse on Baltimore - Downtown KC	\$95 Member \$110 Non-Member
October	10/4/2012 9:00am to 4:00pm Lunch at 12:00pm	Audit Planning to Address Fraud	Glenn Summers <i>Director, Center for Internal Auditing, Louisiana State University</i> 6 CPE Hours	Ritz Charles- Overland Park	\$95 Member \$110 Non-Member
November	11/1/2012 8:00am to 12:00pm Breakfast at 7:00am	Professional Sports Finance Overview Collaboration vs. Collision Anti-Bribery	Dan Crumb <i>CFO, Kansas City Chiefs</i> Sherri Elliott-Yearo <i>CEO, Optimance Workforce Strategies</i> Karen Begeloff <i>VP, Chief Auditor of Collective Brands, Inc.</i> 4 CPE Hours	Ritz Charles- Overland Park	\$85 Member \$100 Non-Member
December	12/6/2012 9:00am to 12:00pm Lunch at 12:00pm	Applying Data Analytics to Efficiently Audit Vendors and Contractors Trends in Internal Audit and the IIA	Paul Pettit <i>Director, Protiviti</i> Kevin Maveux <i>Executive Vice President, Chief Officer for North American Operations and General Counsel of the IIA</i> 3 CPE Hours	Clubhouse on Baltimore - Downtown KC	\$65 Member \$80 Non-Member
February	2/7/2013 8:00am to 12:00pm Breakfast at 7:00am	Enterprise Risk Management: Achieving and Sustaining Success	Paul Sobel <i>VP, CAE of Georgia-Pacific LLC</i> Kurt Reding <i>Assistant Professor, Wichita State University</i> 4 CPE Hours	Ritz Charles- Overland Park	\$85 Member \$100 Non-Member
March	3/7/13 1:00pm to 4:00pm Lunch at 12:00pm	Maternity: Are you chasing Geckos or Alligators? Risk Based Auditing - Managing Your Risks	Edmundo Calderon <i>Chief Internal Auditor, City of El Paso</i> 3 CPE Hours	Ritz Charles- Overland Park	\$65 Member \$80 Non-Member
April	4/4/13 12:00pm to 4:00pm Lunch at 11:00am	Auditors Business Ethics and Critical Thinking Skills	Patrick Kuhse <i>Int'l Speaker on Ethics</i> 4 CPE Hours	Ritz Charles- Overland Park	\$85 Member \$100 Non-Member
May	5/2/13 9:00am to 4:00pm Lunch at 12:00pm	Fraud: Advanced Concepts for Internal Auditors	John Hall <i>Hall Consulting</i> 6 CPE Hours	TBD	\$95 Member \$110 Non-Member
June	6/6/13 9:00am to 12:00pm Breakfast at 8:00am	Reinventing Internal Audit Horizontal Auditing	Mike Somich <i>Executive Director of Internal Audit, Duke University</i> 3 CPE Hours	Ritz Charles- Overland Park	\$65 Member \$80 Non-Member
August	8/1/13 1:00pm to 4:00pm Lunch at 12:00pm	Deriving Value from SOC Reports	Kitt Seale <i>Principal, Grant Thornton LLP</i> 3 CPE Hours	TBD	\$65 Member \$80 Non-Member

Featured Article

Rick Wright is the Director of Internal Audit for Collective Brands.

Kansas City IIA Chapter Members Participate in International Committee Meetings

The IIA has an extensive network of volunteers who contribute of their time and expertise to provide various services in support of the internal auditing profession. The work of The IIA would not be possible without the generosity of these individuals. Some of these volunteers work at the local chapter level to provide training and networking opportunities for members, as well as other services in support of local business, government and academic organizations. Other volunteers work within the IIA's international volunteer structure to provide organizational governance and support of The IIA's global initiatives including standards and guidance, certifications, academic relations, and professional development to name a few. These volunteers meet semi-annually in formal committee meetings to discuss issues and conduct business on behalf of The IIA and the internal auditing profession. Volunteers are selected via an annual nomination process and serve for three year terms that can be renewed for an additional three year term.

The Kansas City IIA chapter currently has two members who serve as volunteers within The IIA's international volunteer structure. Allen Engel, Senior Audit Manager with Farmers Insurance has served on The IIA's Learning Solutions Committee (LSC) since 2008. The LSC consists of approximately 25 members and supports The IIA's Learning Solutions department staff by providing guidance relating to North American IIA Conference and Seminar programs. Rick Wright, Director Internal Audit for Collective Brands, was recently nominated to serve on The IIA's Academic Relations Committee (ARC). This committee consists of approximately 20 individuals and assists IIA staff with oversight of The IIA's Internal Auditing Education Partnership (IAEP). The IAEP program supports colleges and universities worldwide in developing internal audit curriculum for students wishing to pursue a career in internal audit. The Kansas City chapter has been a longtime supporter of the IAEP program at Pittsburg State University (PSU) in Pittsburg, Kansas. There are several current and former members of the Kansas City IIA chapter who completed the internal auditing program at PSU.

Allen and Rick provided a recap of the work accomplished by their respective committees. Here is a summary:

Learning Solutions Committee – The LSC typically covers a broad range of business at its committee meetings due to the many professional development product lines it supports. Product lines include the following:

- IIA headquarters sponsored conferences (GAM, Gaming, GRC, and All-Star)
- Public seminars (delivered at hotel and corporate classroom sites)
- eLearning (online courses and webinars)
- On-site training (customizable seminar courses delivered at a place of business or local IIA chapter venue)

Featured Article (continued)

Topics of discussion at the committee meetings in Boston included:

- Suggestions for creating bundling packages for the beginning auditor
- Creation of a comprehensive calendar of IIA training events (including chapter and district sponsored events in addition to IIA headquarters events)
- Brainstorming topics for new course development focused on beginning auditors
- Business intelligence activities to gather information on the learning needs of the profession
- Creation of a subcommittee to explore new learning technologies for delivery of content and enhancing the learning experience at IIA events
- Strategic communication for distributing information regarding IIA training events
- Ideas for one-day programs and need for sun-setting some existing programs that are no longer relevant or popular
- Address training needs relating to the new CRMA designation

Academic Relations Committee – the bulk of the work for this committee takes place between committee meetings via various subcommittees. In general, subcommittees are responsible for:

- Reviewing applications for prospective new IAEP schools
- Performing quality reviews to ensure IAEP schools are meeting requirements of the program
- Identifying resources for educators to use in their classrooms
- Developing awareness programs for universities worldwide that currently teach internal auditing but that are not involved with the IAEP program
- Developing resources for chapters and IIA Institutes to use in educating stakeholders regarding the IAEP program

In addition to subcommittee work, two schools were presented to the committee for review. Schools can join the IAEP program in one of three categories (“Foundation”, “Comprehensive”, or “Center for Internal Auditing Excellence”) depending on their institution’s academic goals and commitment to internal audit curriculum. There are currently 44 IAEP member schools worldwide. IAEP program schools teach an internal auditing curriculum within a degree program (undergraduate or post-graduate) endorsed by The IIA. The schools have gone through a rigorous application process and are monitored by the ARC. During the Boston committee meeting, Waterloo University was presented as an institution in Canada wishing to join the IAEP program as a “Foundation” member. Waterloo’s application was approved thereby becoming the newest IAEP member. Next, the University of Houston (UH) was presented as a current “Comprehensive” IAEP school applying for acceptance as a “Center for Internal Auditing Excellence” member. This is the most prestigious designation an institution can achieve in the IAEP program. Institutions achieving this designation must demonstrate their sustainable commitment to internal audit curriculum and submit to a rigorous review process of their curriculum, faculty, administration, and local IIA chapter. There are currently only five other institutions worldwide (two of which are in the U.S.) that have achieved this designation. Committee members approved UH as the sixth IAEP “Center for Internal Auditing Excellence” institution.

If you are interested in serving the profession as an IIA volunteer either at the local chapter level, or in an IIA committee you can find out more information by contacting our chapter president, Stephanie Jones (Stephanie.Jones@pentana.com) or by visiting The IIA’s website at <https://na.theiia.org/about-us/Pages/Committees.aspx>.

2012 – 2013 Chapter Leadership

Officers:

Stephanie Jones – **President**

Stephanie.jones@pentana.com

Christee Highbarger – **VP Membership**

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Rob Carter – **VP Programs**

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Jason.R.Minard@wellsfargo.com

Ex-Officio Member:

LaDonna Flynn

LFlynn@pittstate.edu

If you have any questions or would like to submit an article for the newsletter, please email Aaron Hollingshead, VP Services, at Aaron_Hollingshead@americancentury.com.

Welcome New Members!

We would like to recognize and welcome the following new members to the Kansas City Chapter of the IIA!

Yizhi Wang – Pittsburg State University
Darla Irwin – Pittsburg State University
Joel Vogel – MacFarlane Group
Samantha McBee – American Century Investments
Cameron Manuel – Layne Christensen
Tiffany Werner – Penn National Gaming Inc
Steve Collins – Hallmark Cards Inc
Phillip Anderson – Black & Veatch
David Coleman – Euronet Worldwide
Tatyana Hansen – Dickinson Financial Corporation
Peter Shemitz – Lockheed Martin Corporation
Seth Mowbray – Boston Financial Data Services Inc
Eric Hinrichs – PwC
Stacy Brown – Dickinson Financial Corporation

Meet Your 2012-2013 Officers!



From left: Aaron Hollingshead, Stephanie Jones, Rachel Rohrer, Jonathan Wiltse, Christee Highbarger, Kimberly Harper. Not Pictured: Rob Carter.