

## Central New York Chapter

# The Dispatch

December 2009

### Fall Seminars: It's Not Too Late!

#### Session One: Business Continuity Management

#### Session Two: Quick Response and Scope Focused Auditing

Date	<b>Wednesday, December 2, 2009</b>		<p><b>Session One: An Overview of Business Continuity Management (BCM) and Strategies to integrate into an Internal Audit Plan</b></p> <p>This interactive discussion details the components of a business continuity management (BCM) program, including business continuity planning, disaster recovery, and crisis management—the critical components of a business resumption program. It will include the concepts of risk assessments, business impact assessment (BIA), critical process definitions, and other BCM components. Additionally, the discussion will cover strategies to integrate BCM components into an organization's internal audit program. Presenter: Kevin L. Richards, CISSP. See the November <i>Dispatch</i> for Kevin's bio.</p> <p><b>Session Two: Doing More with Less: Quick Response and Scope Focused Auditing</b></p> <p>In this session, you'll develop an understanding of quick response audits and learn how to employ quick response audit techniques. You'll also develop an understanding of what focused assessments are and learn how to conduct a focused assessment. In addition, you'll discuss creative ways to achieve audit coverage through staff sharing. Presenter: Stephen Rinaldi, CIA, CFSA. See the November <i>Dispatch</i> for Stephen's bio.</p> <p>CPE credits (CIA and CPA): Session One: 4 CPE hours Session Two: 2 CPE hours</p> <p>No program prerequisites. Field of Study (Session One and Session Two): Auditing. The CNY IIA sponsor ID number for NYS is 002212. CPE requirements vary from state to state. Contact your state's board for information concerning your state's specific requirements.</p>
Location	Community Bank System Inc. 5790 Widewaters Pkwy, Dewitt, NY <i>Directions inside The Dispatch</i>		
Time	Session One: 8:30 AM – 12:00 PM (Registration 8:15 AM) Lunch: 12:00 – 12:45 pm Session Two: 12:45 PM – 2:30 PM (Registration: 12:30 PM)		
Cost for Both Sessions	Member \$75 Non-member \$95 Retiree/student \$30 Includes Lunch		
Cost for One Session	Session One Member \$50 Non-member \$60 Retiree/student \$20 Add Lunch: \$10	Session Two Member \$25 Non-member \$35 Retiree/student \$10 Add Lunch: \$10	
Meal	Sandwiches, chips, and soda		
Registration & Payment	<b>Register by November 23.</b> Contact Lynn Wilber, lwilber@unity-life.com or 315-448-7298.		
Seating is limited	Make checks payable to CNY IIA and mail to Lynn Wilber, Unity Mutual Life Insurance, PO Box 5000, Syracuse, NY 13250-5000		
Substitutions	Substitutions can be requested at any time up until the time of the seminar. Substitution of a non-member for a member incurs the non-member fee.		

## January Chapter Meeting Emerging Trends in Internal Control: IT Controls Analysis Jason Wood, CPA, CISA, CITP, CIA

Date	January 28, 2010	<p>Develop a better understanding of IT control deficiencies and how to evaluate their risk levels. Using case studies, this session highlights the top IT control deficiencies and risk-classification inconsistencies. The case studies are from companies that have reported such deficiencies. You'll also have a chance to review how The IIA's GAIT framework helps you classify IT-deficiency risks.</p> <p>Jason Wood is the president of WOODCPA PLUS PC, a New York State registered public accounting firm. He has over 12 years of global financial, operational, and IT audit experience, primarily in Big Four firms. Jason focuses on Fortune 1000 and middle-market clients in industries such as banking and financial services, technology, education, healthcare, life sciences, entertainment, real estate, manufacturing, and telecommunications.</p> <p>Services include auditing (for example, SAS 70 attestation and IT auditing), consulting (for example, system implementations), and training, with training focused on business and IT risk and controls. Jason is an adjunct professor at St. John Fisher College and SUNY Geneseo, teaching accounting information systems and other courses.</p> <p>Jason holds an MBA in Information Assurance and a Bachelor of Business Administration in Accounting. He also the board chairman for the Rochester IIA chapter and a past international board member of The IIA's Advanced Technology Committee as well as the vice president for the Central New York Information Systems and Controls Association (ISACA) chapter and the technology chair for the New York State Society of Certified Public Accountants (Rochester chapter).</p> <p>Jason is also a member of the American Institute for Certified Public Accountants and the Association of Certified Fraud Examiners.</p>
Time	Registration: 10:30 – 11:00 AM Presentation: 11:00 AM – 12:00 PM Lunch: 12:00 – 1:00 PM Board Meeting to follow lunch	
Location	Drumlins Member Lounge 800 Nottingham Road Syracuse, NY 13224 Tel (315) 446-4555 <i>Directions inside The Dispatch or at</i> <a href="http://www.drumlins.com/index/directions.aspx">www.drumlins.com/index/directions.aspx</a>	
Cost	Member \$20 Non-member \$25 Retiree/student \$10	
Payment & Cancellation	Pay at the door. Checks payable to CNY IIA. Cancellations refundable up to January 18, 2010	
Meal	Deli Buffet	
R.s.v.p	By January 15, 2010 To Shannon Fischer, Chapter Treasurer <a href="mailto:srfische@syr.edu">srfische@syr.edu</a> (315) 443-3067 Or on the Chapter's Web page: <a href="http://www.theiia.org/chapters/index.cfm?act=home.page&amp;cid=58">www.theiia.org/chapters/index.cfm?act=home.page&amp;cid=58</a>	
CPEs	CPE credits (CIA and CPA): 1 CPE hour. No program prerequisites. Field of study: Auditing. The CNY IIA sponsor ID number for NYS is 002212. CPE requirements vary from state to state. Contact your state's board for information concerning your state's specific requirements.	

**An investment in knowledge always pays the best interest.**

**- Benjamin Franklin**

## Chambers Recaps 2009

*This is an excerpt of a "Year in Review" message recently sent by IIA president and CEO, Richard Chambers, CIA, CGAP, CCSA.*

This past year, we have all been challenged by the downturn in the economy and faced with unprecedented circumstances both personally and professionally. Through this tumultuous time, you have continued to rely on The IIA's valuable resources to advance your knowledge and professionalism and to network with your colleagues. I appreciate your dedication and understand how difficult it is to commit your time and resources now more than ever.

Because of your continued support, we have been able to develop and adapt our products and services to meet your changing needs as well as the changing needs of the profession. As I look back over the year, I would like to highlight some of the new products and services we have offered to better serve you and your organization.

In 2009, The IIA:

- Released the new *International Professional Practices Framework (IPPF)*.
- Re-introduced the *Amenity Program* for members with discounted pricing and preferential rates from GEICO, Omni Hotels, and Nationwide Bank.
- Continued member pricing for certification exams with almost 9,600 exam registrations this year.
- Dedicated a staff member whose sole responsibility is to actively advocate on behalf of the profession.
- Published the *Global Audit Information Network (GAIN)* series of *Knowledge Alerts, Briefings, and Reports* on the latest trends or emerging issues of interest to chief audit executives and other internal auditors.
- Distributed new topic-based benchmarking study reports and *The GAIN 2009 Internal Audit Compensation Study*.
- Began development of an *Audit Executive Center*, with plans for full implementation in 2010.
- Released three new practice advisories and three new practice guides.
- Launched the *Fellowship Program*, offering working professionals and corporate partners an opportunity to influence the evolution of the profession.
- Introduced *Thought Leadership Events* including *CAE Roundtables* and CAE visits to The Institute headquarters.
- Launched *InternalAuditorOnline.org* to provide additional content from, and a new way to view, Internal Auditor magazine.
- Continued member pricing for all IIA conferences, seminars, and online learning.
- Changed the focus of The IIA's Quality department solely to meet the quality assessment needs of organizations in North America.
- Released 23 new titles through The IIA's Bookstore, with a total of 341 titles at discounted member pricing.
- Responded to the downturn economy with the *Bridge Membership Program* for unemployed members.
- Offered a Members-Only Webinar on *Reporting to the Audit Committee: Issues and Approaches*.
- Offered the *Try Then Buy* program allowing our members to try the virtual seminar training format risk free.
- Launched the *Recruit-A-Colleague* program, which allows you to earn a free membership renewal when you refer your colleagues to become members.
- Assisted nonprofit organizations through the *Give the Gift of Time* program by posting requests for volunteer auditors on The IIA's Web site.

Throughout 2010, we will be launching new initiatives to bring you many opportunities for professional growth and networking. I hope we will be able to count on your continued support and dedication in the year ahead. Together, we can reach our goals and advance the profession of internal auditing.

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## Gleim Offers Chapter Members Discount on Materials, Courses

Our Central New York IIA Chapter has partnered with Gleim Publications to ensure your success on the CIA (Certified Internal Auditor) exam! Working together, we will provide you the necessary study tools to prepare for the exam. Chapter members are eligible for a 20% discount on Gleim's CIA Review materials and online CPE courses. The materials can be purchased as the full "system" or separately.

To take advantage of the discount, you must be a Chapter member. Please contact our Chapter's new Gleim coordinator, Melissa Leonard:

By phone: 800.874.5346, ext. 131 By email: [melissa.leonard@gleim.com](mailto:melissa.leonard@gleim.com)

An order form is also available on the Chapter's Web page.

## Small Sacrifices Lead to Big Benefits

*This article has been supplied by Gleim Publications.*

You want to succeed on the CIA Exam, and you want to do it as quickly as possible. Exam candidates should remember that the exam is challenging, and sometimes you have to make small sacrifices to accomplish your goal. Here are some suggestions you can employ during your CIA Exam preparations to guarantee success.

- Forgo your favorite music or talk show during your commute to work, and listen to audio lectures instead. This small change will save you hours of other study time and will reinforce information as you learn.
- Study in the morning. Many people are most productive early in the morning when they are rested. You may not be a morning person, so you may have to give up a late night show and get to bed earlier. Experiment with waking up early and studying for an hour or two in the morning. Studies have shown that students cover more material in less time when the mind is clearer and there are fewer disturbances. They are able to internalize information that is more accessible for recall.
- During lunch break, reserve 15 to 30 minutes of quality study time instead of reading your favorite book or going out with your coworkers. Gleim candidates use the Gleim Online course or Test Prep software to practice answering multiple-choice questions. This is a small sacrifice, so if you find yourself wishing you were outside during that hour, take your review book or laptop and study outdoors.

*Do you have feedback on what you have read or suggestions on future topics? Maybe you are studying for the exam or have recently passed and want to share tactics you used. Email Gleim at [iiachapters@gleim.com](mailto:iiachapters@gleim.com) with your comments.*

## Driving Directions: Community Bank (December Seminars)

Address: 5790 Widewaters Pkwy, Dewitt

From Route 690 Eastbound or Westbound

Exit at Bridge Street/Route 290.

At the end of EITHER exit ramp, turn right onto Bridge Street (south).

Make the second left, onto Widewaters Parkway.

Stay on Widewaters Parkway (you will cross Towpath Road) to 5790 Widewaters.

From Route 81 Southbound or Northbound

Take Route 81 to Route 690 East

Follow directions for Route 690 (above)

## Driving Directions: Drumlins (January Meeting)

### THRUWAY COMING FROM EAST OF SYRACUSE

NYS Thruway to exit 34A.

Take 481 South to Exit 2 for Jamesville.

There will be a light at the end of the off ramp. Turn right on to Jamesville Road.

Immediately get into the left lane and turn left onto Nottingham Road at first light.

Follow Nottingham Road 1.7 miles to stop sign.

Bear left. Drumlins will be .3 miles down on your left.

### THRUWAY COMING FROM WEST OF SYRACUSE

NYS Thruway to Exit 36 to Route 81 South.

Take Exit 17 for Brighton Ave. and S. State St.

At light off exit ramp, turn Right onto S. State St.

Proceed .3 miles to next light and turn Right onto E. Colvin St.

Follow E. Colvin St. through three traffic lights, (1.8 miles).

At the fourth light, turn Right onto Nottingham Rd. Pass the front of P&C Grocery Plaza and Drumlins will be just around the bend on your right.

### ON ROUTE 81 NORTH COMING FROM SOUTH OF SYRACUSE:

Route 81 North to Route 481 North.

Take Exit 2 for Jamesville. At bottom of off ramp, turn Left at traffic light onto Jamesville Rd.

At the second traffic light, turn Left onto Nottingham Rd.

Follow Nottingham Rd. 1.7 miles to stop sign.

Bear left. Drumlins will be .3 miles down on your left.

### ON ROUTE 81 SOUTH COMING FROM NORTH OF SYRACUSE:

Route 81 South to Exit 17 for Brighton Ave. and S. State St.

At light off exit ramp, turn Right onto S. State St.

Proceed .3 miles to next light and turn Right onto E. Colvin St.

Follow E. Colvin St. through three traffic lights (1.8 miles).

At the fourth light, turn Right onto Nottingham Rd. Pass the front of P&C Grocery Plaza and Drumlins will be just around the bend on your Right.

### FROM THE CORNER OF ERIE BOULEVARD AND EAST GENESEE STREET, DEWITT:

From the light on Erie Boulevard, make a Right onto E. Genesee St. (Route 5).

Go to traffic light and turn Left onto Jamesville Road.

At the first traffic light, turn Right onto Randall Road.

Proceed approximately 3 miles; Randall Road will become Tecumseh Road.

At the traffic light, turn Left onto Nottingham Road. There will be a Mobil Mart at this intersection. Go straight through the next traffic light, pass the P&C Grocery Plaza and Drumlins will be just around the bend on your right.

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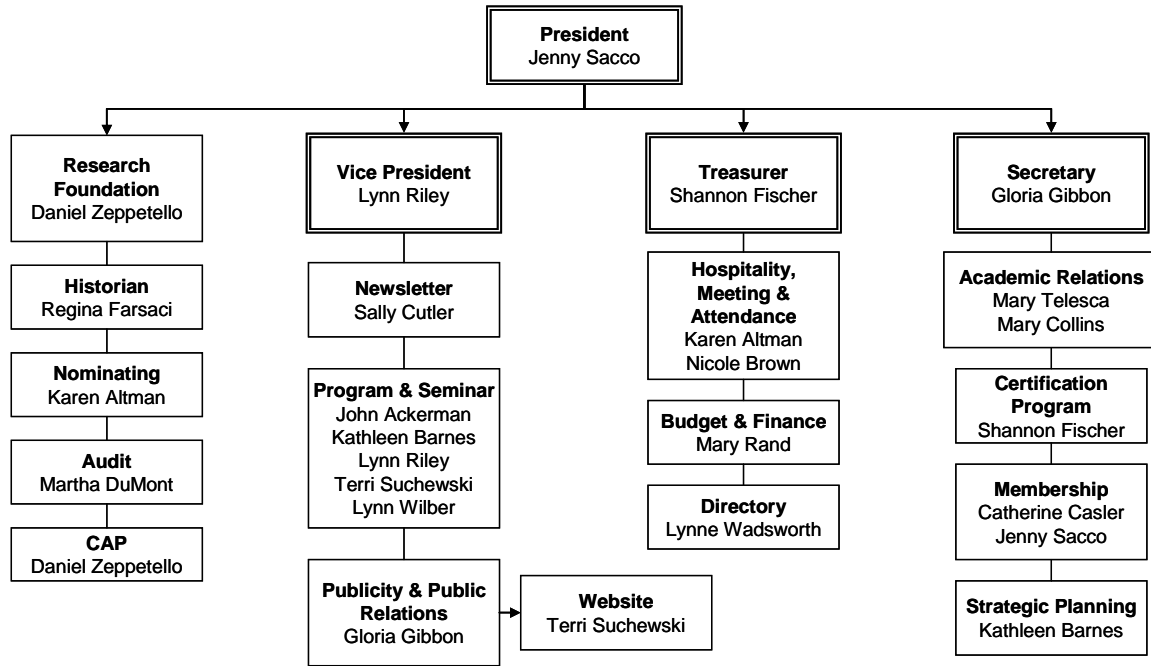
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2009 – 2010 IIA CNY Organization Chart



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