The Institute of Internal Auditors
Progress Through Sharing

Central New York Chapter
The Dispatch ■ *November 2012*

PLATINUM CHAPTER 2012

November 14 Chapter Seminar Two Sessions plus Facility Tour at Utica College

Date	Wednesday, November 14, 2012	
Time	9:45 AM	Registration
	10:00 AM – 12:05 PM	*Cybersecurity*
	12:05 – 12:55 PM	Lunch
	12:55 – 3:00 PM	*Fraud Prevention*
	3:10 – 4:00 PM	Optional Facility Tour
Location	Utica College Economic Crime & Justice Studies Building Carbone Auditorium 1600 Burrstone Rd. Utica, NY 13502-4892 Use Parking Lot A Directions inside *The Dispatch*	
Cost	IIA member $75 Non-member $90 Student/Retiree $25 *Make check payable to IIA CNY. Mail to Lynn Wilber, Columbian Financial Group, PO Box 1056, Syracuse, NY 13201-1056. Cancellation after November 7 incurs the cost.*	
Lunch	Italian buffet	
CPEs	5 CPEs The CNY IIA sponsor ID number for NYS is 002212. CPE requirements vary from state to state. Contact your state's board for information concerning your state's specific requirements.	
R.s.v.p.	By November 7, 2012 Via the Chapter Web site at www.theiia.org/chapters/index.cfm/view.event_detail/cid/58/event_id/9266 Or to Lynn Wilber lynn.wilber@cfglife.com or 315-471-5656 x7498 If you need late registration, after November 7, contact Lynn. Include your name, certifications, and organization or school.	

Cybersecurity

In the May 2012 issue of *Internal Auditor*, the article *Fraud, Data Governance, and CAE Worries* noted that new-technology risks are principal concerns of 300 chief audit executives recently polled by Grant Thornton LLP.

Cited as the greatest among these concerns is information security, in particular, privacy of customer and employee data, cited by 81% of survey participants, followed by mobile computing (63%) and losses stemming from migration to cloud computing (55%).

This session will address these and other cybersecurity risks—the risks of today and of the future.

Jim Terry, SVP & Director, Operational Risk & Financial Crime Management, NBT Bank has 12 years' experience in financial crime investigations, over 7 of which are at NBT, where his responsibilities include information security, fraud, and anti-money laundering.

Ryne Cornnachia, Cybersecurity Analyst, NBT Bank is responsible for ensuring security of online functions for retail and commercial customers, including daily fraud monitoring of ACH and wire transactions.

Fraud Prevention Issues: Today and Tomorrow

An experienced educator and investigator will share current issues in fraud prevention and provide a glimpse into the future of the internal and external threats auditors and others in the anti-fraud community need to address.

Following the session, an optional guided tour is available of Utica College's Economic Crime, Justice Studies, and Cybersecurity building.

George Curtis, PhD, Esq., Professor of Criminal Justice (Retired) and Executive Director Emeritus, Economic Crime Institute, Utica College received a BA from Syracuse University and a Juris Doctor degree from Brooklyn Law School and was admitted to the Bar of the State of New York in 1968. Professor Curtis continues to teach undergraduate and graduate law-related courses in economic crime and cybercrime law. He is a co-author of a college text, *Proactive Security Administration*, and author of *The Law of Cybercrimes and Their Investigation* (August 2011). Professor Curtis is a Certified Fraud Specialist. He is a member of: the Association of Certified Fraud Specialists, its Board of Regents, and its national faculty; the Association of Certified Fraud Examiners (life member, Upstate NY Chapter); and the Criminal Justice Educators Association of New York State.

The IIA Research Foundation Thanks the Chapter

The IIA Research Foundation (IIARF) has thanked our Chapter for its ongoing support of the IIARF's research and publications serving the profession. In an email to Chapter president Gloria Gibbon, the IIARF said, "We appreciate your contributions to The IIARF enabling The Foundation to supply professionals with the necessary knowledge on current trends and issues impacting businesses today."

IIARF publications in 2012 include:

- Sawyer's Guide for Internal Auditors, 6th Edition
- IFRS Implementation: Best Practices for Assurance of Organizational Value
- Minimize Costs & Increase the Value of Your Sarbanes-Oxley 404 Program: Management's Guide to Effective Internal Controls
- Auditing Employee Management: 10 Key Techniques to Improve Team Productivity
- Advancing Organizational Governance: Internal Audit's Role
- International Professional Practices Framework (IPPF) 2011 Edition Updated for 2012

The IIARF also offers IIA members more than 30 free research reports, available electronically.

Chapter Events Planned for 2013

- February TBA Chapter Meeting: *Topic TBA* (3 CPEs)
- March 20 Academic Awards & Recognition: *Topic TBA* (1 CPE)
- April TBA Chapter Seminar: *Topic TBA* (6 to 8 CPEs) depending on length

IIA Promotes Board Advocacy, Encourages Members to Do Likewise

The IIA is committed to advocating for internal auditing's value to audit committees, boards of directors, and their equivalents. To that end, The IIA provides advice and materials, available through The IIA's website, and has an IIA headquarters position devoted to advocacy.

For resources that you can use, visit www.theiia.org and click your way through About Us → Advocacy → Promoting the Profession.

Webinars Offer Convenient Professional Development

These upcoming webinars are slated. Some are *members only*. Visit www.theiia.org for all the details.

- November 6 – Members Only: *Building and Maintaining a Robust FCPA Compliance Program*
- November 14: *Guidance Briefing: GTAG 16: Data Analysis Technologies*
- December 18 – Members Only: *Building a Better Internal Auditor: Key Competencies for 2013 and Beyond*

On-demand 24/7 webinar playback is also available for members only, with access to the IIA's extensive library of archived webinars. Note that on-demand webinar playback does not qualify for NASBA CPEs.

Gleim Offers Chapter Members Discounts on Materials, Courses

Our Chapter has partnered with Gleim Publications to ensure your success on the CIA exam! Working together, we will provide you the necessary study tools to prepare for this difficult exam.

Gleim provides an extensive self-study course for the CIA exam. Our most successful candidates use the Gleim CIA Review System with Gleim Online. This system combines Review Books, Test Prep software, Test Prep for Windows Mobile, audio reviews, Gleim Online and a Personal Counselor (a real live person!) to maximize your available study time. Because Gleim identifies and focuses on your weak areas, you will not spend any more time preparing than is necessary to guarantee success.

The CMA Review System with Gleim Online GUARANTEES that you will pass each exam part the first time. We are here to save you time and money.

As a Chapter member, you are eligible for 20% off all Gleim's CIA Review materials, as well as Gleim CPE. To take advantage of the reduced prices, please contact our Chapter representative, Melissa Leonard. Phone: (800) 874-5346, Ext. 131

Email: melissa.leonard@gleim.com

Fax: (888) 375-6940 attn: Melissa Leonard

IIA Asks Members to Note CPE Reporting Changes

It is time to report the Continuing Professional Education (CPE) hours required to keep your Certified Internal Auditor (CIA) designation active. The deadline for CPE reporting is December 31, 2012.

If you fail to meet the CPE requirements by the deadline, you will be automatically placed into an inactive status and may not use your designation. If you have already reported for this period, you do not need to report again.

The table below summarizes the changes for 2012. For additional information—about how to report CPEs and what activities are eligible—The IIA's Certifications Team suggests that you log into your CCMS record and create a new "Incident" by clicking on the link on the left navigation menu. You can also call The IIA's Customer Relations Department at 407-937-1111 or email the certification Team at certification@theiia.org.

Item	Change that Became Effective January 1, 2012
Reporting Period	Reporting will now be done annually for all certified individuals. All candidates will be required to report every year versus every other year. Reporting Date The reporting date is changing from May 31st to December 31st.
Number of Hours Required for CIA	If practicing: 40 hours per year If non-practicing: 20 hours per year If retired: 0 hours per year
Number of CPE Hours Required for CCSA, CFSA, CGAP, CRMA	If practicing: 20 hours per year If non-practicing: 10 hours per year If retired: 0 hours per year
Specialty Certification CPE Requirements	25% of CPE hours earned must be in the specialty area of expertise.
Required Attestations at Time of CPE Reporting Submission	▪ To practice in accordance with the IPPF ▪ To abide by The IIA Code of Ethics ▪ To not bring the IIA into disrepute ▪ Confirming no criminal convictions since last reporting period
Failure to Report CPE	Will result in an individual's certification status being moved to INACTIVE. The designation can no longer be used until the individual's CERTIFIED status in reinstated.
Reinstatement Policy	If INACTIVE greater than 12 months, to reinstate, candidate will need to report 80 hours of CPE and pay reinstatement fee of USD $100 member or USD $250 non-member.

What Are You Reading? What Are You Watching?

Your Dispatch editor encourages you contribute to the occasional feature *What are you Reading? What are You Watching?* Serious or light, professional or recreational—we want to know what you recommend. Send your recommendations to Sally.Cutler@Word-Wrights.com.

Directions: November 14 Seminar *Utica College*

Economic Crime & Justice Studies Building
Carbone Auditorium
1600 Burrstone Rd.
Utica, NY 13502-4892

<u>From points east and west</u>

Travel the New York State Thruway I-90 to Exit 31 (Utica).

Follow the signs carefully to Route 12 South and take the Burrstone Road/Utica College exit. The exit ramp ends at a stop light; take a left onto Burrstone Road West and look for the sign marking the entrance to Utica College (on the right).

<u>From points south</u>

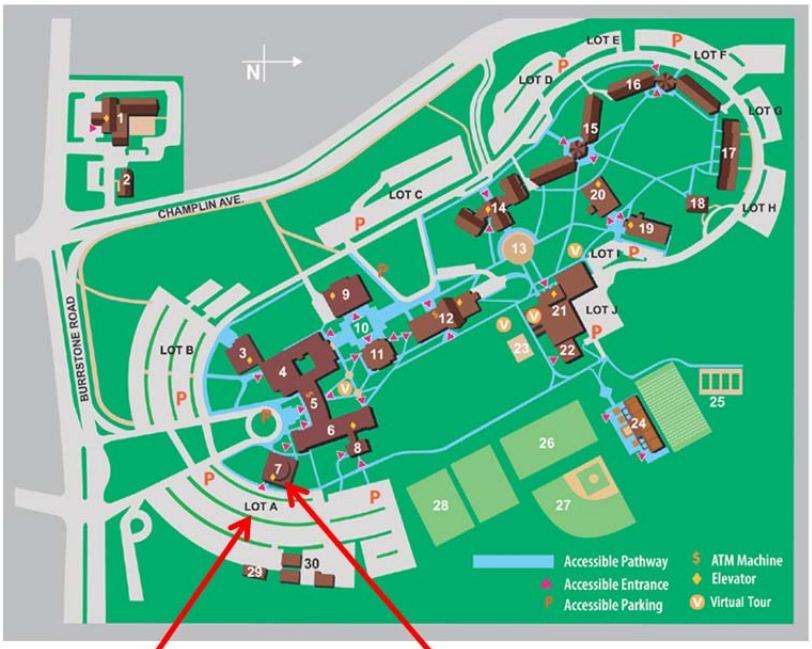
Take Route 12 North to Utica. Exit at Burrstone Road/Utica College. Follow exit ramp to Burrstone Road West and look for the sign marking the entrance to Utica College (on the right).

<u>From points north</u>

Take Route 12 South to Utica. Exit at Burrstone Road/Utica College. The exit ramp ends at a stop light; take a left onto Burrstone Road West and look for the sign marking the entrance to Utica College (on the right).

Use Parking Lot A off Burrstone Rd.

Signs will be posted.



Parking Lot A Economic Crime & Justice Studies Building

The Central New York Chapter is on the Web at www.theiia.org/chapters/index.cfm?act=home.page&cid=58

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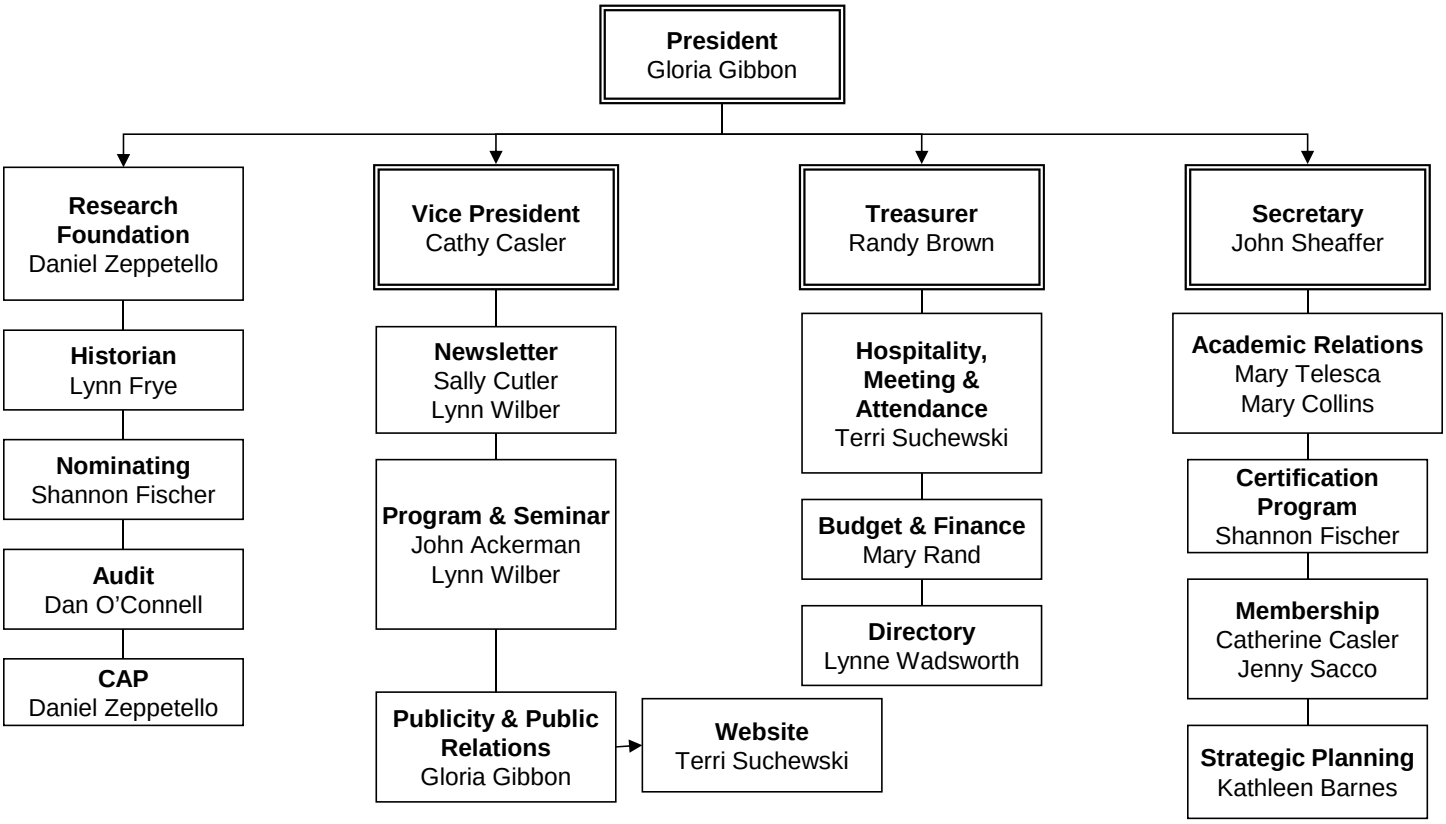
2012 – 2013 IIA CNY Organization Chart



The Dispatch

The Dispatch is published monthly, September through May, by The Central New York Chapter of The Institute of Internal Auditors. *The Dispatch* welcomes articles of interest to the Chapter membership. Contact Sally Cutler at sally.cutler@word-wrights.com.

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