

Co-Presidents' Message

Dear IIA Members and Friends,

There's an old Paul Anka song entitled, "Summer's Gone" and with that comes the beautiful autumn foliage in Northeast Ohio as well as the return of children to school and it also starts another year of activities for the NE Ohio Institute of Internal Audit's chapter. Last year was one of the most productive years in our chapter's history and we intend to keep that momentum going.

To start the current year, we're excited to host one of our own and former National IIA President, Dave Richards. Dave will be featured during our kickoff meeting on Monday, September 19th, at the Embassy Suites Rockside in Independence (more details are available inside the newsletter) and he'll be speaking on "Relationship Building for Career Development".

Also, this fall we'll be hosting the national IIA Tools and Techniques Training for both the Beginning Auditor, as well as, the In-Charge Auditor. These sessions will be held in September and October and will deliver high-quality, intensive training in a local setting. These local sessions will eliminate travel costs and will be provided to the membership at a significant reduction to the normal IIA seminar cost. (More details are available inside this newsletter on the Tools and Techniques Training). Your consistent and on-going support of the Northeast Ohio chapter allows us to offer these high quality and national recognized seminars at such a reduced price. So again, thank you for your support.

One last final note is that we'd like you to consider attending the Central Regional Conference that will be held in Pittsburgh on November 6-9 at the Sheraton at Station Square. It promises to be a great event and the event is only about 2 hours away in downtown Pittsburgh.

Until next time,

Dave Gordon and Joe Nejman, NE Ohio IIA Chapter Co-Presidents

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Chapter CAP Program

Recall that the CAP program is designed to recognize activities that promote the IIA's strategic objectives. This program is established on the premise that the primary mission of a chapter is to serve its members first and then the internal audit profession. Points can be earned in three categories: Service to Members, Service to Profession, and Chapter Administration.

2010-2011

Total Service to Members (325):	20.00
Total Service to Profession (200):	15.06
Total Chapter Administration (160):	54.00
Total CAP Points Earned:	89.06

Points may be gained by member participation in events such as meetings and seminars, published articles, speaking engagements, and chapter administration and activities. So, get involved and help the Northeast Ohio Chapter be the best we can be! If you have published articles relating to internal auditing or have participated in speaking engagements relating to internal auditing or the profession contact Dave Schmidt at dave.schmidt@roadway.com. Your efforts in helping further the cause of our profession will help us achieve our platinum status.

Calendar of Events

September 19, 2011	Presentation by Dave Richards*	4 CPEs
September 26-28, 2011	IIA's Tools & Techniques Training for Beginner Auditors	24 CPEs
October 17, 2011	Presentation by John Hall	4 CPEs
October 17-19, 2011	IIA's Tools & Techniques Training for the Auditor-In-Charge	24 CPEs
November 6-9, 2011	IIA Central Region Conference	24 CPEs
November 21, 2011	CSU Extravaganza	8 CPEs
December 5, 2011	Joint IIA, ISACA Meeting	4 CPEs
January 9, 2012	Presentation by TBD *	4 CPEs
February 6, 2012	Joint IIA, ACFE Meeting - Fraud and Forensics Day	8 CPEs
March 5, 2012	John Carroll Symposium	8 CPEs
April 2, 2012	Presentation by TBD *	4 CPEs
May 7, 2012	Presentation by TBD *	4 CPEs
May 7, 2012	Annual Meeting	1 CPE
June 1, 2012	2010 Annual Golf Outing	
<i>* Also Board of Governors' Meeting Dates</i>		

The IIA's Tools and Techniques Training is Coming to Town

In an effort to give back great value, The Northeast Ohio Chapter wants to make you aware of a fall program we are bringing to town. We will be locally hosting the **National IIA's Tool and Techniques Training**, by conducting a number of the **3 day classes** for our Chapter members and friends. The classes are being offered at a cost of **\$500** per person for **24 CPEs!!!** The Tools and Techniques Programming - structure, format and content - has received great reviews from past participants and CAEs! And the classes we will be hosting will be taught by certified IIA trainers that have a wealth of knowledge and experience.

The first 3 day class session will be the **Tools and Techniques Training for Beginner Auditors** scheduled for **September 26-28**.

The second 3 day class session will be **Tools and Techniques Training for the Auditor-In-Charge** scheduled for **October 17-19**.

A separate email will be coming out next week with the link to register for these classes through Cvent. We hope you will take advantage of this training opportunity.

Leadership Development Series Continues for Chief Audit Executives / Audit Leaders

In May of the last Chapter year, we held a first morning forum which began a series of "by invitation only" discussions with Chief Audit Executives and Directors of Internal Audit focused on personal leadership development. This new series included a breakfast, some training on a development topic – reflective thinking, and practical conversations facilitated by three guest mentor/coaches – Dave Richards, Ken Koncilja and Susan Steinbrick. The discussion was valuable as the peer-to-peer conversation addressed common challenges faced by the group in dealing with the executives of their businesses, sensitive communications, projecting the value proposition of their Internal Audit initiatives, and taking the time to consider their leadership acumen. The event also supported the Chapter's on-going desire to create effective mentoring and networking relationships for mid-career leaders with senior leaders in the Chapter. Stay tuned as the conversation continues with a second event – being planned for Friday, October 28th.

Meeting Announcement

Monday, September 19th Internal Auditors - Relationship Building for Career Development

Embassy Suites - 5800 Rockside Woods Blvd, Independence

9:30AM - 11:15AM Board of Governors Meeting

11:15AM - 12:15PM CAE and Audit Director Luncheon with Dave Richards
Discussion of Issues Facing Internal Auditing Resulting from the
Continuing Financial Crisis & Economic Downturn
(*CAE and Audit Directors only please – 1 CPE*)

11:30AM - 12:30AM September Meeting Registration and Lunch

12:45PM - 4:30PM Meeting Presentation (*All Members – 4 CPE*)

You won't want to miss our first meeting of the year as we welcome Dave Richards as our presenter. **Gain useful insights from Mr. Richard's wealth of knowledge and experience related to building and developing relationships with your team, constituents and professional colleagues.**

Discussion will include:

- An overview of relationships impacting internal auditors
- A Case Study on types of issues in relationship building
- Selecting the right relationship & developing a plan
- A Case Study on building your approach to changing a relationship
- A Worksheet discussion on assessing the status of relationships
- Summary of key points and Q & A

Discussion of Issues Facing Internal Auditing Resulting from the Continuing Financial Crisis & Economic Downturn (*CAE and Audit Directors only please*)

Come and enjoy a lunch and discussion with Mr. Richards and your peer Audit Leaders from companies across Northeast Ohio. Some of the issues to be discussed in this participative session will be:

1. Staffing reductions and/or lack of ability to fill open positions
2. Financial revenue issues
3. Topics to be audited
4. Approach to audit topics (shifting time, focus, methods, and staffing)
5. Impact on computer related topics (e.g. increase in identity theft)
6. Increase in specific types of audits (e.g. fraud, operational audits)
7. Participation in company-wide initiatives to deal with economic and/or global issues (e.g. tax planning, currency fluctuations, stock price variations)
8. Staffing qualifications, training, and performance issues
9. Management support of IA during hard times
10. PLUS others to be accumulated during the discussion

Meeting Presentation: Internal Auditors Relationship Building for Career Development

Purpose of the session:

- To provide a framework for assessing the need for a particular type of relationship
- To examine the options on how to develop the relationship desired
- To explore methods for evaluating how well a relationship is going

Session Overview:

Relationship building is one of the core keys to success for Internal Auditing whether it is gaining an understanding of your organization or obtaining support to changes needed from the results of audits. This session is designed to provide a discussion of the various relationships involved in Internal Auditing by a proactive determination of the type of relationship that would be best for the internal auditor with a particular person or group and establishing a plan on how to foster the proper relationship desired.

Attendees at this session will receive:

- A framework for determining what relationship qualities best fit a particular subject
- A sample relationship plan
- An example of an assessment approach to determine if a relationship is on track
- A list of reference for further consultation on this subject

REGISTRATION INFORMATION

Please invite your friends and business colleagues!

CAE Luncheon & September Meeting	\$55	5 CPEs
CAE and Audit Director Luncheon ONLY	\$25	1 CPE
September Meeting (including lunch)	\$55	4 CPEs

Online - Registration is being handled through Cvent. Go to <http://www.cvent.com/d/xcq7dh/1Q>

Questions - Please call Kelly Carroll at (216) 222-1192 or Patty Golinski at (216) 337-2685.

Online credit card payments are preferred. NO credit card payments will be accepted at the door.

Cash & Checks will be accepted at the door. Make checks payable to the Northeast Ohio Chapter, Institute of Internal Auditors, Tax ID # 23-7405461.

Career Development & Employment

EMPLOYMENT OPPORTUNITIES

The chapter's Employment Committee serves as a clearinghouse for members seeking positions and organizations seeking personnel by reviewing resumes of applicants and attempting to match them with employer requirements. All inquiries are confidential. If you are looking for a position or are trying to fill a position contact, please forward the details to Dan Bross at auditman42@yahoo.com and Tom Kovach at kovacht@firstenergycorp.com.

Current Job Postings	
RTA	Staff Auditor
RPM International Inc.	Staff Auditor
Experis	IT Auditor
Veyance Technologies	Internal Auditor
Key Bank	Risk Reviewer III – Internal Audit/Model Validator
Key Bank	Risk Reviewer I – Internal Audit/Credit Operations
Key Bank	Risk Reviewer II – Internal Audit/Capital Markets

Visit the News and Career Opportunities tab of the NEO IIA website for details and additional job postings at <http://www.theiia.org/chapters/index.cfm/view.news/cid/7>.

IN CAREER TRANSITION

The Board of Governors of the Northeast Ohio IIA Chapter has approved a chapter sponsored "In Career Transition" Group for our members. During these challenging economic times, jobs are not plentiful. Too many are looking for too few opportunities. And the search can be a stressful, lonely, and emotional roller coaster. Please contact Jay Simon at jaymarksimon@gmail.com.

General Reminders

CHAPTER LIBRARY

Our chapter has a variety of reference materials available for members to use. These items can be borrowed for up to 2 weeks at a time. If you are interested in borrowing a publication from the Chapter's library, please e-mail Chris Boetto, at Chris.Boetto@OMNOVA.com.

DON'T MISS A SINGLE THING!

Each month the NEO IIA Audit Voice is updated with the latest information regarding up-coming events and networking opportunities as well as useful resources. It is important that we provide this information to you as timely as possible, but in order to do that we ask that you **please check your profile on the theiia.org national website**, especially employer name and e-mail address. It takes only a few minutes to login to the IIA, review your record and update it.

Don't know how to update your record? Go to www.theiia.org and login on the red bar at the top of the home page. Press the "continue" button when offered. Select "Update Your Profile" on that red bar at the top of the page. Submit the changes using the button at the bottom of the page. If you have changed organizations, be sure to select "Has any of your Organization information changed?" near the bottom the page and enter your new company name, title, etc.