THE NORTHEAST OHIO CHAPTER

PLATINUM CHAPTER 2010

AUDIT VOICE

October 2011

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Co-Presidents' Message

Our 2011-2012 Northeast Ohio IIA Chapter year got off to a great start when Dave Richards, former National IIA President and former Chief Audit Executive at FirstEnergy addressed the membership at our kickoff meeting. The topic of "Relationship Building for Career Development" was very well received and everyone walked away from his presentation with some great ideas. We would like to thank Dave Richards again for his presentation and for his continued long-term support of our chapter.

Our second meeting of the year will feature John Hall, a nationally recognized IIA speaker on October 17th. The meeting will take place at the Embassy Suites Rockside in Independence (more details are available inside the newsletter) and John will be speaking on "Audit Execution – How to Find Important Issues and Get Managers to Take Action".

Also in October, we'll also be hosting the National IIA Tools and Techniques Training for the In-Charge Auditor. This session will be held October 17-19, 2011 and will deliver high quality, intensive training in a local setting. These local sessions eliminate travel costs and are provided to the membership at a significantly reduced cost compared to the normal IIA seminar cost. (More details are available inside this newsletter on the Tools and Techniques Training as well).

On a final note, if you have not done so already, please consider attending the Central Regional Conference that will be held in Pittsburgh on November 6th-9th at the Sheraton at Station Square. It promises to be a great event and is located only two hours away in downtown Pittsburgh.

It is the commitment and efforts of everyone in this chapter….Board Members, Officers, Committee Members, and General Members that contribute to our success as a Platinum status chapter. Thank you again for your dedication to our profession and chapter. With your help, we look forward to our continued success this year.

If you have any comments, suggestions for improvements, or would like to volunteer within the chapter, we would like to hear from you. Please e-mail us at NEOIIA@yahoo.com.

Until next time,

Dave Gordon and Joe Nejman
Northeast Ohio IIA Chapter Co-Presidents

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Chapter CAP Program

Recall that the CAP program is designed to recognize activities that promote the IIA's strategic objectives. This program is established on the premise that the primary mission of a chapter is to serve its members first and then the internal audit profession. Points can be earned in three categories: Service to Members, Service to Profession, and Chapter Administration.

2011-2012

Category (minimum requirement)	
Total Service to Members (325):	245.63
Total Service to Profession (200):	76.30
Total Chapter Administration (160):	124.00
Total CAP Points Earned:	**445.92**

A chapter must earn the minimum CAP points above to qualify for a performance level. Then, 685-bronze, 1,060-silver, 1,560-gold, and chapters reaching old 10 of 11 years are platinum. Points may be gained by member participation in events such as meetings and seminars, published articles, speaking engagements, and chapter administration and activities. So, get involved and help the Northeast Ohio Chapter be the best we can be! If you have published articles relating to internal auditing or have participated in speaking engagements relating to internal auditing or the profession contact Dave Schmidt at dave.schmidt@roadway.com. Your efforts in helping further the cause of our profession will help us achieve our platinum status.

Calendar of Events

October 17, 2011	**Audit Execution presentation by John Hall**	4 CPEs
October 17-19, 2011	**IIA's Tools & Techniques Training for the Auditor-In-Charge**	24 CPEs
November 6-9, 2011	**IIA Central Region Conference**	24 CPEs
November 21, 2011	**CSU Extravaganza**	8 CPEs
December 5, 2011	**Joint IIA, ISACA Meeting**	4 CPEs
January 9, 2012	**Presentation by TBD ***	4 CPEs
February 6, 2012	**Joint IIA, ACFE Meeting - Fraud and Forensics Day**	8 CPEs
March 5, 2012	**John Carroll Symposium**	8 CPEs
April 9, 2012	**Presentation by TBD ***	4 CPEs
May 7, 2012	**Presentation by TBD ***	4 CPEs
May 7, 2012	**Annual Meeting**	1 CPE
June 1, 2012	**Annual Golf Outing**	
	** Also Board of Governors' Meeting Dates*	

Meeting Announcements

Monday, October 17 **Audit Execution – How to Find Important Issues and Get Managers to Take Action**

Embassy Suites - 5800 Rockside Woods Blvd, Independence
11:30AM - 12:30PM October Meeting Registration and Lunch
12:45PM - 4:30PM Meeting Presentation

Internal auditors need a reliable project approach that will surface important problems and missed opportunities. Effective planning, valid sampling decisions, and flawless fieldwork execution are a must. And when issues are reported, auditors must do so in a manner that enhances the likelihood of effective management action. This half-day program will provide proven ideas to find important issues and get managers to act.

Participants will learn how to:

- Plan their projects with a focus on finding what's important
- Develop discovery-based testing steps and 'valid' samples
- Use monitoring as a key input to a risk-based approach
- Communicate ideas that result in action
- Utilize the power of a consultative approach on all audit projects
- Get management support for our project and our results

John J. Hall, CPA is the president of Hall Consulting, Inc. Hall Consulting specializes in skills training programs and conference presentations for auditors, management groups, CPAs, and professional associations. Mr. Hall has 35 years of experience as a consultant, speaker and business owner and in public accounting, internal auditing, and corporate management positions. John is a member of the National Speakers Association, the American Institute of CPAs, and the Institute of Internal Auditors. John resides near Vail, Colorado, and he is a member of the Board of Trustees and Audit Committee of The Keystone Center in Keystone, Colorado and Washington, DC (www.keystone.org). Mr. Hall is author of the forthcoming book *Do What You Can!* which will be available in November 2011.

REGISTRATION INFORMATION *Please invite your friends and business colleagues!*

CAE Lunch and Presentation **$55** **4 CPEs**

Online credit card payments are preferred. Registration is being handled through Cvent. Go to http://www.cvent.com/d/2cqjm1/1Q. **NO** credit card payments will be accepted at the door.

Cash & Checks will be accepted at the door. Make checks payable to the Northeast Ohio Chapter, Institute of Internal Auditors, Tax ID # 23-7405461.

Questions - Please call Kelly Carroll at (216) 222-1192 or Patty Golinski at (216) 337-2685.

October 17-19 **National IIA's Tools and Techniques Training for the Auditor-In-Charge**

As part of the Northeast Ohio Chapter's commitment to training our membership, and bringing great value to our member companies, we have brought the National IIA's Tools and Techniques Training to town. On September 26th-28th, a 3-day 24 CPE class for Beginning Auditors was completed at the Embassy Suites by IIA-approved instructor and Chapter member, Terry Bingham. Coming up next week, **October 17th-19th**, another **3-day, 24 CPE class *Tools and Techniques for the Auditor-in-Charge*** will be held at the Northeast Ohio Regional Sewer District's Training Facility. This class will also be taught by Terry Bingham.

Should you have any questions or interest in future Tools and Techniques training, please contact Jerry Mancinetti at 440-915-9099.

Friday, October 28 **Leadership Development Series for Chief Audit Executives / Audit Leaders**

A second breakfast in our on-going series of Audit Leader's Discussions (*by invitation only*) will be held at the **Embassy Suites on October 28th from 7:30 AM to 11:30 AM**. (Breakfast and networking will begin at 7:30 and the meeting will begin at 8 AM.)

Mentor/Coaches Warren Johnston previously of TRW and Goodyear, Jim Jenkins of First Energy, and Randy Langenderfer of Veyance Technologies will join us and share their perspectives on ***Identifying the Need for Change and How to Get There***. Gain insights into core leadership skills, participate in training and peer-to-peer discussion/networking as you join us for this Leaders' event.

If you have any questions, please contact Jerry Mancinetti at 440-915-9099.

General Reminders

CHAPTER LIBRARY

Our chapter has a variety of reference materials available for members to use. These items can be borrowed for up to 2 weeks at a time. If you are interested in borrowing a publication from the Chapter's library, please e-mail Chris Boetto, at Chris.Boetto@OMNOVA.com.

DON'T MISS A SINGLE THING!

Each month the NEO IIA Audit Voice is updated with the latest information regarding up-coming events and networking opportunities as well as useful resources. It is important that we provide this information to you as timely as possible, but in order to do that we ask that you please check your profile on the theiia.org national website, especially employer name and e-mail address. It takes only a few minutes to login to the IIA, review your record and update it.

Don't know how to update your record? Go to www.theiia.org and login on the red bar at the top of the home page. Press the "continue" button when offered. Select "*Update Your Profile*" on that red bar at the top of the page. Submit the changes using the button at the bottom of the page. If you have changed organizations, be sure to select "*Has any of your Organization information changed?*" near the bottom the page and enter your new company name, title, etc.

Career Development & Employment

EMPLOYMENT OPPORTUNITIES

The chapter's Employment Committee serves as a clearinghouse for members seeking positions and organizations seeking personnel by reviewing resumes of applicants and attempting to match them with employer requirements. All inquiries are confidential. If you are looking for a position or are trying to fill a position contact, please forward the details to Dan Bross at auditman42@yahoo.com and Tom Kovach at kovacht@firstenergycorp.com.

Current Job Postings	
Airgas	IT Auditor
Cliffs Natural Resources	Internal Auditor
Cliffs Natural Resources	Senior Manager Internal Audit
Diebold	Audit Manager
Diebold	Senior IT Auditor
Experis	IT Auditor
Hyland Software	Internal Auditor
Key Bank	Risk Reviewer I – Credit Operations
Key Bank	Risk Reviewer II – Capital Markets
Key Bank	Risk Reviewer III – Model Validator
KPMG	IT Audit Assurance Senior
Medical Mutual	Senior IT Auditor
PNC	Internal Auditor
RPM International Inc.	Staff Auditor
RTA	Staff Auditor
Veyance Technologies	Internal Auditor

Visit the News and Career Opportunities tab of the NEO IIA website for details and additional job postings at http://www.theiia.org/chapters/index.cfm/view.news/cid/7.

IN CAREER TRANSITION

The Board of Governors of the Northeast Ohio IIA Chapter has approved a chapter sponsored "In Career Transition" Group for our members. During these challenging economic times, jobs are not plentiful. Too many are looking for too few opportunities. And the search can be a stressful, lonely, and emotional roller coaster. Please contact Jay Simon at jaymarksimon@gmail.com.