

Denver IIA Chapter Newsletter



APRIL 1, 2010

VOLUME 1, NUMBER 29

POWERED BY:



2009 – 2010 Officers

Tammy O'Keefe – President
Marie McGillivray – VP Mbr Education
Connie Spinelli – VP Mbr
Communications
Adam Klitzke – VP Mbr Services
Kevin Stadler – VP Academic Relations
Joshua Kettler – Secretary
Phillip Lord - Treasurer

Reminder: Keep your IIA profile updated for news from the IIA and the Denver Chapter. We use the mailing addresses and email addresses to keep you informed of upcoming events and important IIA news. Profile located on www.theiia.org website.

*Denver Chapter Website:
<http://www.theiia.org/chapters/index.cfm/home.page/cid/70/>*

If you have any submissions, quotes, cartoons, or ideas for this newsletter, please contact Graham Shalvoy at gshalvoy@kforce.com



President's Message,

Dear Chapter Members,

I wanted to express my thanks again to the ACL group who sponsored our breakfast meeting in March. We had a great turnout with over 160 people in attendance.

We delayed our Officer/Board of Governor Election, due to the ACL event, where many of those in attendance were not IIA Denver Chapter members. All Officers for 2010-2011, with the exception of the VP of Academic Relations, were elected last year, but we still need to elect the VP of Academic Relations, four Board of Governor positions and the Officer Elect slate for 2011-2012. The Ballot will be out on the website shortly. Please plan to attend our breakfast meeting at the Double Tree on May 11th for the election with the membership at large. If you will not be able to attend the May breakfast meeting, please take a moment to vote via Proxy by accessing the following link on the Denver Chapter website: (Please note, the site will be up starting Monday April, 5th.)

http://www.theiia.org/chapters/index.cfm/view.news_detail/cid/70/newsid/12137

We are very close to reaching GOLD status again this year! Thank you all for continuing to support the Denver Chapter. We are on our way to PLATINUM status in two years, after being GOLD for ten years. This is an amazing achievement that reflects your commitment to the internal audit profession in the Denver area.

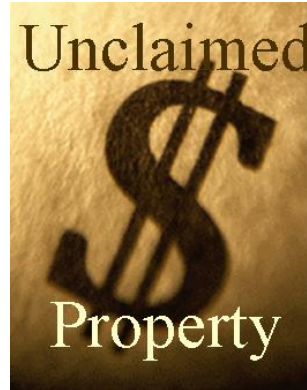
I would also like to remind everyone again to participate in the Internal Audit Practitioners survey accessible through the IIA beginning March 15th at www.theiia.org/Global-Survey. Please share your views and ideas with IIA International. One voice can make a difference.

Tammy

Upcoming Events

Registration forms for these events are available on the Chapter website.

Unclaimed Property Corporate Compliance and Regulatory Responsibilities



Hosted by: Thomson Reuters

Summary: The Tax & Accounting business of Thomson Reuters will hold a complimentary panel discussion to assist corporate and industry professionals navigate through the various complexities and regulations related to unclaimed property. Failure to properly comply with these statutes may subject the company to both state and federal implications. As such, all "holders" of unclaimed property need to understand the requirements and establish effective processes to ensure that they are in compliance.

When: April 8th 1:30-4:30PM or
April 9th 8:30-11AM

Where: 1881 Pierce Street, Lakewood, Colorado

Registration: This meeting is free however seating is limited. Please register at the following link.

<http://onesource.thomsonreuters.com/propertytax/property-tax-panel.asp>

Operational Auditing Workshop

"Performing Operational Audits"

Presented by: Raven Henderson

April 21 - 22, 2010

7:30am - 5:00pm both days

Please visit the website for more details and registration

http://www.theiia.org/chapters/index.cfm/view.event_detail/cid/70/event_id/15715

Where: Double Tree Hotel-DTC

Internal Auditing is a forward thinking profession; therefore, our audits should reflect our abilities to focus on the forward progress of our organization through reviews of management goals, objectives, and initiatives. This course will help auditors understand and design operational audits that concentrate efforts on future rather than past events, access control systems to manage key business risks, and evaluate operational efficiency and effectiveness. You will also understand the importance of teaming with management to develop solutions to audit concerns that meet business needs and mitigate control weaknesses. Attendees will have the opportunity to practice skills learned throughout the course.

Up to 16.0 hours of CPE is available.

Denver IIA Chapter Meeting

Internal Audit Quality Assessments: Internal and External

Presented by: TBD

May 11, 2010

Double Tree Hotel DTC

7801 East Orchard Road Greenwood Village, Colorado 80111

Please see website for more details.

http://www.theiia.org/chapters/index.cfm/view.event_detail/cid/70/event_id/14777

Tuesday, May 11th, 2010, 7:00am - 10:00am
40.00 Members | 45.00 Non-Members | 15.00 Students | 15.00 Retirees

Northern Colorado Meeting

Wednesday, April 28th, 2010 (Ft. Collins)

Invite you to attend a dinner meeting

“Using Data Analytics – Audits, Continuous Monitoring, and more”

April 28, 2010

5:30 p.m. to 8:00 p.m. CPE- up to 2 Hours (Ft. Collins)

Pre-registered: \$30 Members, \$35 Non-Members, \$15 Students, \$15 Retirees
(\$5 discount by registering with pay-pal on a pre-register basis)

***Location: Mackenzie Place, 4750 Pleasant Oak Drive, Fort Collins, CO 80525.
970-207-1939.***

ISO 27001 Lead Auditor Training



May 10-14, 2010

Cost: \$2500

This class is certified by RABQSA and recognized by IRCA. This is an intensive class and covers the standard requirements in some detail including the security controls and their interrelationship. It also covers the ISO registration framework, and how an ISO 27001 system is audited.

The course is ideal for IS management, those involved with IS auditing and those implementing or considering an ISO 27001 implementation

For questions please contact Colin Gray. Colin@CavendishScott.com

Educational Seminar and 18 Holes of Golf



On Tuesday, June 15, 2010 the Denver Chapter has partnered with Protiviti Inc., Business Controls, Inc., and RSM McGladrey, Inc., to host a day of educational seminars and 18 holes of golf at the Fossil Trace Golf Club in Golden.

The day will begin with a continental breakfast followed by 3 seminars (up to 4 ½ hours of CPE). Following the seminar you will be treated to lunch or you can head down to the range and hit a few balls. The 4 person scramble golf tournament will begin around 1:30 PM concluding with a short reception with golf prizes being awarded. Check out the website and attached flyer for more details.

2010 Rocky Mountain Information Security Conference

Co-Hosted by the Denver Chapters of ISACA and ISSA

Wednesday, May 05, 2010

7:00 a.m. to 6:30 p.m.

Marriott Denver Tech Center

4900 South Syracuse Street, Denver , Colorado 80237

With the increasing set of information security threats facing today's businesses, it is vital to stay up to speed on current technology, successful security models and the most high-profile compliance issues. Managers and CIOs want to stay compliant and prevent security breaches that might put their company in the paper. Audit professionals want to make sure they can prove compliance, within budget, while technical-level professionals just want to know it's going to work.

The 4th Annual Rocky Mountain Information Security Conference is the only conference of its kind in the Rocky Mountain region. A convenient, affordable one-day knowledge-builder for IT security, audit and compliance professionals at all levels. Learn from the best and network with peers! See the [AGENDA](#)

Early Bird Fees available until April 12, 2010 - register now!

[Click Here to Register](#)

2010 Western Regional Conference
Disneyland Hotel and Conference Center / Anaheim, CA

Catch the Wave: Sustaining Audit Excellence
September 19 – 22, 2010



Join your colleagues in beautiful Southern California for the 2010 Western Regional Conference.

The IIA and your host chapters have put together a tremendous and cost effective conference that is designed with your professional success in mind. You will take away powerful tools, techniques, and best practices after participating in:

- Pre-conference workshops on audit report writing and CIA exam review.
- Four exciting general sessions featuring nationally recognized speakers.
- A one-day four-session track focusing on hot topics and critical issues for CAEs.
- A hands-on Exhibit Hall and unprecedented networking opportunities throughout the event.
- Forty eight concurrent sessions in tracks dedicated to important audit topics such as:
 - Government & Compliance
 - Governance, Risk & Controls
 - CIA Review
 - Fraud
 - Emerging Issues
 - CGAP Review

Register by July 31 and save \$50!

Before July 31: \$645 IIA Members / \$745 IIA Nonmembers

18 CPE (Conference Only) / Additional CPE Pre-conference Workshops*

*Additional CPE and Cost for Pre-conference Workshop & CIA/CGAP Review Materials

Online Registration will be available **March 22, 2010**

<http://www.theiia.org/WRC2010>

Rocky Mountain Area Conference for Finance and Accounting Professionals



Sept 23rd and 24th at the Arvada Center!

This conference is jointly planned by the local chapters of the Institute of Internal Auditors, the Institute of Management Accountants and the American Society of Woman Accountants. It is a great, inexpensive way to get 16 hours of CPE and support your local chapter. Last year we sold out the Arvada Center! If you are interested in attending, please plan on registering early since we had to turn away several people last year when the capacity was reached.

RMAC Vendors, Sponsors and Volunteers Needed!

If your company is interested in local vendor/sponsor opportunities – please contact Debbie Varga at Debbie.Varga@lincolntrustco.com. This is a great way to get exposure to finance and accounting professionals.

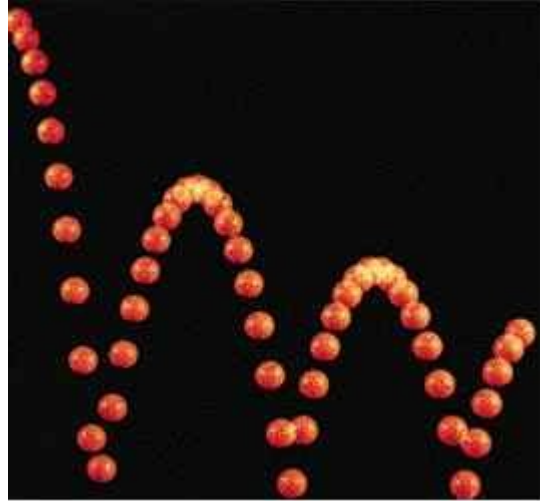
Planning Committee Volunteers are needed who can attend conference calls from 5:30-6:30 on all or most of the following dates to help with planning and decision making:

TUES	THURS
4/13	4/29
5/11	5/27
6/8	6/24
7/13	7/29
8/10	8/26
9/7	

RMAC Speaking Opportunities

RMAC is currently accepting proposals for track speakers. If you are interested in speaking or know someone who might be please contact Debbie Varga at Debbie.Varga@lincolntrustco.com.

Is your e-mail bouncing?



A lot of our e-mails are being "bounced." This may happen because there is a filter in place at your Mail server, or we have the e-mail address wrong. We'd like everyone's help in taking action on these items.

Review our e-mail bounce list:

http://www.theiia.org/chapters/index.cfm/view.news_detail/cid/70/newsid/10742

If you know a person on the list and you have a current e-mail address, please contact Connie Spinelli (cspinelli@eksh.com) to have the person's information corrected.

If you see that your e-mail address is correct in the bounce list, there may be a filter blocking the distribution of our e-mail. Contact your systems administrator to see if they can allow the e-mails come through, or alternatively, make yourself a note to keep checking in the Members Library for the most recent newsletter.

Going for Gold



As of 3/30/10 we had earned **1489** chapter achievement points for the fiscal year June 1, 2009 - May 31, 2010. We need to earn **61** points to continue our **GOLD** status.

Ways that you can help in 2009-2010: participate on a QAR team, purchase the QAR manual and perform reviews based on the manual, attend the IIA sponsored 2 ½ day QAR training, write an article for publication in The Internal Auditor or other publication, speak at a breakfast meeting or other professional meeting about Internal Audit or an audit related topic, attend (as well as bringing guests to) breakfast meetings, seminars and conferences hosted by the Denver Chapter.

- Just as a reminder, speaking engagements by members promoting the profession qualify for CAP Points. Members should just shoot Josh Kettler an e-mail with the date, topic, and number of hours they presented.
- The chapter gets points for any member submitting articles to the IIA. If a person is published in the Internal Auditor Magazine or other professional publication we also get credit.
- The more members we get certified, the more points we get.

If you have done any of the above since June 1, 2009, please report your activities to Josh Kettler via e-mail to Joshua.Kettler@rsmi.com

Roundtables

IIA Healthcare Roundtable

What: IIA Healthcare Roundtable
When: Wednesday, May 12th ... 7:30am – 9:30am
Where: Exempla Healthcare (Near I-25 and Speer Blvd downtown)
2420 W. 26th Avenue, Denver, CO, 80211
Diamond Hill Complex
Bldg D – First Floor – “Best In Nation” Conference Room
(Map and Mapquest link attached)
Dial in: (800) 531-3250 ... ID 8463705

- **Please RSVP as soon as possible. Ed.Williams@jeffersonwells.com**
- Park in any visitor space available in front of the building.
- Mapquest link ...
<http://www.mapquest.com/maps?city=Denver&state=co&address=2420+W.+26th+Avenue&zipcode=80211#>

Chief Audit Executives Roundtable Presented by Shelley Casagrande – VP of Internal Audit

When: May 18th, 2010 – 3:00-5:00PM
Where: MDC Holdings–4350 South Monaco Street, Denver, CO 80237
What: Open Forum

Based on feedback after the last Roundtable, we will not have a speaker this time; but rather, make it an open forum for discussion.
Therefore, we are in need of discussion items.

Please send any items to be added to the agenda to
lgaudette@chanllc.com

Spotlight on Members



Member: Tony Redlinger

Position: Audit Project Manager, CoBank

Education/Background: BBA in Finance and MBA from the University of Iowa

Professional Certifications: CIA, CISA, CISSP, FLMI, AIRC, ACS

Professional Memberships: IIA, ISACA, ISSA, ISC2

Professional Experience: I have worked in internal audit for over 14 years with three different organizations. My responsibilities have been mostly focused on IT auditing, but I have done some operational auditing and supported financial audits. I have always performed risk-based auditing, and I have been using ACL to perform data analytics since 1996. For the last six years I have been with CoBank, where I am responsible for managing the IT audit function and quality aspects of the overall audit department. Prior to internal auditing, I worked as a business analyst, supervised a customer service team, and was a lender (and collector) in the early 1990s.

Impact of CIA on career: I took the CIA exam during my first year of auditing. Preparing for the exam helped me better understand the processes I was performing on a daily basis and directed me away from following audit programs and checklists without really comprehending what I was doing or why. I still recommend that those new to internal auditing study parts 1 and 2 of the materials even if they don't intend to take the exam. I also believe the CIA designation has given me an advantage over other candidates when I have changed organizations.

Outside Interests: Too many to list. I try to get outdoors as much as possible when I'm not working. I enjoy hiking, snowshoeing, fly-fishing, and working on our landscape to mention a few. My wife and I also have two dogs and a cat who entertain us. Other interests include reading, history, travel, good food, trains, and for the last five years, learning to play the guitar.

This feature is a way of acknowledging the work that is being done by members in the chapter and also at their places of employment. It is a way to learn about our chapter members and how membership in and/or certification by the IIA have affected their careers.

Contact Yolanda at yolanda.pfund@coloradocollege.edu if you know someone who would be a great profile.

UPDATE: CPE Changes!

Those who attend breakfast meetings or dinner meetings are aware that the Denver Chapter has implemented procedural changes to facilitate our compliance with the National Association of State Boards of Accountancy (NASBA) for formal CPE (Continuing Professional Education) Program Sponsor status. Thank you for your support throughout this process!

An additional change that NASBA has encouraged relates to monitoring attendance. This is in response to concerns that NASBA has received from state boards of accountancy regarding unscheduled absences. (An unscheduled absence is an individual participant leaving the CPE eligible portion of a program to take/make phone calls, use the restroom facilities, etc.). CPE Program Sponsors must be able to verify that participants were actually present for 50 minutes for each 1 credit of CPE awarded.

Recent changes to our process:

1. As a result of the more stringent monitoring of attendance CPE will be offered in increments. NASBA allows 1 credit of CPE for every 50 minutes. An example of incremental CPE would be: if a participant is not in attendance for 100 minutes, fewer than 2 hours of CPE will be granted. NASBA recognizes incremental CPE and the Colorado State Board of Accountancy adopted NASBA/AICPA CPE Standards effective January 1, 2004.
2. For those credits that would not qualify as CPE credits under NASBA, for example CAE roundtables, volunteer hours and etc, will still receive a non-NASBA CPE Certificate.

CPE certificates will be emailed to participants within two weeks following the event (breakfast meeting, dinner meeting, or seminar). This allows the chapter to review the sign-in/out sheets and calculate actual hours of CPE earned.

If you have any questions or require clarification regarding this new procedure, please contact Yolanda Lyons, Certification Chair – Denver Chapter of IIA. She can be reached at yolanda.lyons@coloradocollege.edu.



Did you know that there are Internal Audit Employment Opportunities posted on the Denver Chapter Website? There are currently 8 positions posted and 6 members seeking employment! If you are looking for a new hire or position or know someone who is, please check out the listings at <http://www.theiia.org/chapters/Denver>. There are also several available positions at the IIA International Headquarters in Altamonte Springs, FL. Please see the website at www.theiia.org for full job description and application information for 1 position.

NEW MEMBERS



Alexandro Bitti
Lawrence Ciacio
Brian Cleary
Kathryn Dominick
Bret Ellis
Jared Grenzenbac
Christopher Harles
Cole Hewitt
Richard Kinzie
Jean-Marcel Konar
Caitlen Laue
Dong Liang
Thomas Mehs
Brandon Montoya
Tamara Muskelly
Raymond Reed
Deanna Volpe

Members: Years of Service

We are pleased to recognize the following members for their involvement with the IIA. If your name is listed below please pick up your lapel pin at the next chapter breakfast meeting (May 11th) when you sign in.

Name	Years	Name	Years
Yvonne J. Gasser	40	Debra Garduno	10
Richard Cascarino	25	Janice F. Gates	10
Daniel M. Horstman	25	Jane M. Haarala	10
David Paul Murray	25	Michael T. Hanson	10
Robert John Serocki	25	Constance L. Johnson	10
Thomas Cassady	20	Keith Jupe	10
Deusdedit Kiyemba	20	Mark D. Mayberry	10
Anthony Baptiste	15	Ben Jay Meade	10
Betsy C. Bird	15	Indriani Natallita	10
Evan Bryant	15	Chris W. Olsen	10
Alexander Kardoulias	15	Laura R. Owen	10
Jean M. LaForett	15	Anthony C. Peters	10
Daphne Hall Mendel	15	Christopher E. Peterson	10
Erica Miller	15	Shirley Quintana	10
William R. Parker	15	Susan A. Rosine	10
B. Douglas Roth	15	Alyson Rowe	10
Reginald Staples	15	Cynthia Scheick	10
Thomas J. Sullivan	15	Stacy R. Speas	10
Jennifer Wong	15	Suzanne R. Straub	10
Mona L. Andrews	10	Elizabeth A. Uhlich	10
Nikolas G. Bjelland-Miller	10	Lila Elaine Vestal	10
Jennie Bryan	10	Kris L Walker	10
Kenneth M. Felan	10	Gloria K. Anderson	5
Olga Feldman	10	Richard Baldini	5
		Jaime Elizabeth Benmimoun	5

Name	Years	Name	Years
Joscelyn Jean Brown	5	Raeanne Kooren	5
Bryan Burnhart	5	Sean LaNoue	5
Paul Casey	5	Kim Machol	5
Deirdre Checo	5	Donna Mast	5
Lori Ciccone	5	Denny McLain	5
Steven Corcoran	5	LaVina Nicole Mensah	5
Patricia A DeArmey	5	Susan Moore	5
Katherine Edmiston	5	Miguel Navarro	5
James Eisenhauer	5	Steven R Oliver	5
Scott Franey	5	Hakan Olofsson	5
Richard Fushia	5	Shyamol H. Pandya	5
Joseph Giordano	5	Jennifer Perry	5
Steven Gonzales	5	Eric Portlock	5
Jessica Gorman	5	Chang Privett	5
Warren Halpern	5	Mary Reynolds	5
Jeffrey T. Hare	5	Marcus Richardson	5
Lisa Ann Hartmann	5	Linda Roberts	5
Debra J. Haynes	5	Kevin Roths	5
Mary-Margaret Henke	5	Wendy J. Saathoff	5
Andy Hildebrand	5	Jennifer Schafer	5
Mark Hussian	5	Joanne Sebby	5
Amanda Iapalucci	5	Bonnie J. Steele	5
Cris Ingold	5	Rachel Thornton	5
Kirsten Johnson	5	Byron Ward	5
Sandra M. Bilot	5	Rosemary Wilton	5
Suzi Kellum	5	Elizabeth Womersley	5
		Shannon Woody	5

Did You Know?



Breakfast meetings, dinner meetings and seminars are a great way to maintain your knowledge/skills and to stay current on developments in your industry after receiving your IIA designation? *But* if you are looking for additional ways to earn Continuing Professional Education (CPE) hours, please keep in mind the following CPE opportunity:

Internal Auditor Magazine Quizzes: You can earn up to 3 CPEs for \$30 by reading an article from the Internal Auditor magazine and taking a quiz. Below are instructions on how to access the quizzes.

1. Type in your browser: www.theiia.org/iia-training/e-learning/gear/?search=gear;
2. Log into GEAR using your member number and password;
3. Click the top tab "Find and Register for Offerings";
4. Under the heading "Browse by Type", click "Internal Auditor Magazine Quizzes";
5. Select "Order" to the right of the quiz you would like to purchase;
6. Verify your order and select "Confirm";
7. Enter your method of payment and select "Place Order";

When your order is complete, you can take the quiz by accessing the "My Enrollments" tab.

The IIA has advised that these quizzes qualify for CPE under the guidelines of The Institute of Internal Auditors' Board of Regents for continuing educational activities required to maintain the Certified Internal Auditor designation. The IIA has further advised that it makes no representations regarding the appropriateness of these credits for other reporting purposes. Before using these credits for other reporting purposes, the IIA recommends that you ensure the credits meet the standards of the applicable organization.

Missing a CPE Certificate?

If you attended a CPE eligible event and did not receive a CPE certificate within two weeks of the conclusion of that event, contact the chapter's Certification Chair: yolanda.lyons@coloradocollege.edu

Subscriptions

You and your department can experience substantial savings by subscribing to this program. The subscription coupon program is offered only to IIA Denver Chapter members. You pay once for a set of coupons and for only the meeting or seminar coupons you want. Without a subscription plan, attendance for all 6 bi-monthly meetings would cost \$240 per person. However, if you purchase 6 coupons at \$30 each, you save \$60! If you purchase 10 or more coupons the cost drops even more.

******Meeting coupons are good for one year from issuance!******

***You can buy a minimum of 3 and up to a maximum of 9 for \$30.00 each.
If you buy 10 or more, they are \$28 each!***

Purchasing coupons is simple. Coupons are issued in the name of the company/individual that purchases them. Meeting coupons can be used for any meeting and any company employee can use the coupon.

(No refunds can be offered for unused coupons.)

You can purchase your coupons with a credit card using our PayPal payment option. Contact Phillip Lord, Treasurer, for detailed instructions, at treasurer@denveriaa.org.



A word about our newsletter sponsor

With 60 offices and more than 2,900 professionals worldwide, Protiviti is a leading provider of independent internal audit and business and technology risk consulting services. Protiviti helps clients identify, measure and manage operational and technology related risks. Protiviti also assists companies with Sarbanes-Oxley compliance and corporate governance, and offers a full spectrum of internal audit services, technologies and skills for business risk management and the continual transformation of internal audit functions. For further information about Protiviti, please visit protiviti.com or call Tony Peters at 720-264-2921