San Antonio Chapter IIA Audit Trails Newsletter December 2012

Inside this Issue

President's Message	1
Chapter Officers Focus On…Improving Our Brand	2
Meeting Dates December Meeting	3
Committees January Meeting	4
Governors Welcome New Members	5
CFOs Come To the Table – Pictures from the November Meeting	6

President's Message

Dear Members,

Can you believe that 2012 is almost over? December is most recognized for the holiday season, but for us auditors it's also a time to reflect on the lessons learned during this audit year. Hopefully, your organization has a platform to share information about completed audits amongst the department. If your department doesn't, now may be the time to think about creating a process to highlight the audits performed, testing strategy utilized and lessons learned from each engagement. By showcasing the various phases of the audit and results, the group can learn from each audit as well increase their knowledge about the services and value the department provides to the organization.

In case you are interested in reading about lessons learned the hard way, the Internal Auditor website has a Fraud Finding section with excellent examples. Each article provides an overview of the fraud being committed as well as the lessons learned. Be sure to click the archive section to access all 82 articles published.

In keeping with the learning theme, if you are interested in obtaining the CIA certification now is the time to act. We have learned that the IIA will be changing the CIA program and exam content by mid 2013. The first change impacts the exam's structure and reduces the program from a four to three part exam. Secondly, the IIA will also eliminate the Professional Recognition Credit waiver that was previously applicable to the Business Management Skills section of the exam. However, if you enroll in the CIA program prior to the changes, the IIA will extend the grace period for you to complete the program.

Also, the IIA has once again extended the Professional Experience Recognition deadline to apply for the Certification in Risk Management Assurance (CRMA). Application and fees must be submitted by December 31st. However, substantiating paperwork and forms are due by January 31, 2013. If you miss this deadline, the testing for the CRMA will begin July 1, 2013.

From your Chapter Officers and Board Members, we want to wish you a happy Holiday season and prosperous New Year!

Adam Arevalos
President

!!! Important Reminder For All Certificate Holders: Reporting of Continuing Education Hours is Due December 31, 2012 !!!

Changes to Continuing Professional Education Requirements and Reporting

Chapter Officers

President
Adam Arevalos
Valero Energy Corp.

1st Vice President
Amanda Lopez
Nustar Energy

2nd Vice President
Camille Marbury
CPS Energy

Secretary
Lori Sullivan
Alamo Group, Inc

Treasurer
Scott Feldmeier
Valero Energy Corp.

Focus On…Improving Our Brand

Branded!

The following is excerpted from the article "What's Your Brand?" on page 58 of the October 2012 Issue of "Internal Auditor".

Whether we are intentional or not about developing one, or even want one, every Internal Audit shop has one: a brand, a set of client and stakeholder perceptions and expectations. And, similar to brands in the retail, automotive, and food industries, the effectiveness of our interaction and communication in our organizations is influenced by our brand. Following are the author's list of traits of a "winning" brand for an Internal Audit shop:

Capabilities – knowledgeable about the business, talented, honest, good listeners
Style – independent, unpretentious, open, fair/balanced
Value – high impact, thoughtful risk-takers, practical, helps business area achieve objectives

To develop a winning Internal Audit brand, the author suggests the following purposeful approach: 1) Assess current brand awareness and positioning, 2) determine desired branding, 3) develop and implement a plan to move toward the desired state, and 4) develop brand metrics for continuous feedback and improvement. The desired result is a brand that emphasizes audit's capabilities, style, and value, and improves audit's effectiveness and influence in the organization.

SA Chapter Training: December 19-20

Critical Thinking and Negotiation Skills Seminar

Get "Back to Basics" and explore the increasing importance of professional skepticism, professional judgment, and critical thinking across the full life cycle of an internal audit.

- Refine audit planning and thinking skills where professional judgment about what, how and when to audit is critical.
- Practice and apply critical thinking, professional skepticism, and professional judgment skills needed in today's internal audit environment.
- Re-visit familiar concepts around general auditing to further broaden overall critical thinking and approach to an audit.
- Enhance the negotiating skills of senior negotiators to make sure they are achieving the best deals possible while retaining close and cordial relationships with administration and other auditees.

Wednesday, Dec 19 - Thursday, Dec 20, 2012
16.0 CPE hours
450.00 Members | 600.00 Non-Members
Contact Amanda Lopez

Meeting Dates 2012-2013

July 11, 2012

August 8, 2012

September 12, 2012
Board Meeting

October 10, 2012
SA Food Bank Drive

November 14, 2012
Board Meeting

December 12, 2012

January 9, 2013

February 13, 2013
Board Meeting

March 13, 2013
Annual Member Meeting
Elections

April 10, 2013

May 8, 2013
Board Meeting

DECEMBER MONTHLY MEETING

Date	December 12, 2012
Agenda	Registration/Social 11:30 am Lunch/Speaker 12:00 noon
Location	La Quinta Inn & Suites, 4431 Horizon Hill Blvd, San Antonio, TX 78230
Price	• $25 for Members • $30 for Non-Members and late registrants • $15 for full-time Students 10% discount when you register and pay in advance with PayPal
RSVP	Register on the IIA Website • Email Amanda Lopez
Menu	Braised short ribs, Garden salad, Green beans, Garlic Mashers, Dessert, Rolls, Tea, Water, Coffee
Topic	Internal Controls and Assurance Continuum
Course Overview	This course will provide an overview of the Levels of Control / Assurance Continuum applied by the Texas Department of Public Safety Chief Auditor's Office.
Learning Objectives	• Obtain and understand background information on the theory of the Assurance Continuum. • Discover the Value of establishing Levels of Control / Assurance Continuum in your Organization. • Receive insight on experiences (lessons learned) implementing these concepts in the organization.
Speakers	*Steve Goodson, CIA, CISA, CCSA, CGAP, CLEA, CRMA* *Chief Audit Executive* *Texas Department of Public Safety, Chief Auditor's Office* Steve was hired by the Texas Public Safety Commission in May 2010 to transform and modernize the Office of Audit and Inspection. He formerly served as the Chief Audit Executive for the Texas Commission on Environmental Quality (TCEQ), one of the country's largest, most comprehensive state environmental agencies. He has worked in internal auditing for over 20 years. Steve has served on the IIA's International Public Sector and Research Advisors Committees. *W. Brandon Tanous, CIA, CGAP, CLEA, CRMA* *Senior Internal Auditor* *Texas Department of Public Safety, Chief Auditor's Office* Brandon is a Senior Internal Auditor for the Texas Department of Public Safety- Chief Auditor's Office. He has a Bachelors and Masters Degree in Public Administration from the Texas State University- San Marcos. He is an active member of the IIA: Austin Chapter currently serving on the Board of Governors. Brandon has also served as the Secretary on the International Law Enforcement Auditor's Association Board. Civically, Brandon is a Commissioner & Vice President for Travis County's Texas Emergency Services District Number 14 Board.

Proposed Audience	All levels of auditors	Prerequisite	None	CPE	1 Credit Hour

We have registered with the Texas State Board of Public Accountancy as a CPE sponsor. This registration does not constitute an endorsement by the Board as to the quality of our CPE program or the appropriateness of topics for each individual's educational needs.

Please visit us on the web San Antonio IIA Chapter

Committees

Academic
Jeff Bledsoe

Audit
Robert Gonzales
Michael Sultan

Budget & Finance
Scott Feldmeier

Cap Program
Amanda Lopez

Certifications
Angela Crawford

Meeting Arrangements
Amanda Lopez

Newsletter
VACANT
Bianca Trevino

Nominating
Kevin Barthold
Steve Boehme
Adam Arevalos

Research
Kevin Barthold

Technology
Tim Hannie
Glenn Prochnow
Carlos Villarreal

Website
Remi Nel
Lupe Neaves

International Committees

Learning Solutions
Roy Garber
Leticia Herrera-Price

Professional Issues
Cesar Martinez

January Monthly Meeting

Date	January 9, 2012 - Joint Meeting with ISACA NOTE – Your chapter leadership is currently finalizing details for this meeting. Details will be posted on the IIA San Antonio Chapter Website as soon as they are available.				
Agenda	Registration/Social 11:30 am Lunch/Speaker 12:00 noon				
Location	Hilton Airport, 611 Northwest Loop 410, San Antonio, TX 78216				
Price	• $25 for Members • $30 for Non-Members and late registrants • $15 for full-time Students 10% discount when you register and pay in advance with PayPal				
RSVP	Registration on the IIA San Antonio Chapter Website will be available after the course content is finalized				
Menu	TBD				
Topic	Cloud Computing				
Course Overview	TBD				
Learning Objectives	TBD				
Speakers	TBD (from Rackspace)				
Proposed Audience	All levels of auditors	Prerequisite	None	CPE	1 Credit Hour

We have registered with the Texas State Board of Public Accountancy as a CPE sponsor. This registration does not constitute an endorsement by the Board as to the quality of our CPE program or the appropriateness of topics for each individual's educational needs.

Governors

Kevin Barthold
City of San Antonio

Steve Boehme
USAA

Cyd Borromeo
USAA

Carla Cashio
University of Texas Health Science Center

Melissa Cox
AT&T

Roy Garber
Rackspace

Leticia Herrera-Price
LHP Consultants

Regis Mackrell
USAA

Helen F. Madison
CPS Energy

Dawn Oppermann
City of San Antonio

Wendy Rybicki
Frost Bank

Arlena Sones
City of San Antonio

Dora Ann Verde
San Antonio Water System

Larry Wallis
VIA Metropolitan Transit

Welcome New Members!

Name	Company
NOVEMBER	
Carlos Garcia	The State of Texas - Office of Consumer Credit Commissioner
Richelle Gonzalez	Argo Group Inc
Rebecca Horan	City of San Antonio
Hannah Lea	Argo Group Inc
Tal McNeely	Tesoro Companies Inc
Lindsey Nicholas	Rackspace

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CFOs Come To The Table – November meeting

The Panel, The Emcee, and The Prez

No Question Too Difficult

Food and Fellowship

May I Have Dessert Now?

Looking for a List of Doorprizes

Auditors of the Roundtable