San Antonio Chapter IIA Audit Trails Newsletter February 2013

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President's Message

Dear Members,

Thank you for your outstanding support and attendance at last month's luncheon. It was great to see both chapters work together to serve our members. I also appreciate the time you spent providing feedback for Roy Garber and Remi Nel as they prepare to present at the North America Computer Audit, Control and Security (NACACS) conference later this year. I am truly proud of our organization and membership for providing a platform that supports our members in their professional endeavors. I look forward to the next time when our members present at our monthly luncheon.

Chapter Achievement Program (CAP) Reporting Update

Our goal for the chapter is to achieve 1,560 CAP points prior to June 1, 2013. At the end of 2012, we've accumulated over 50% (809) of the CAP points needed to upgrade from our current gold status to platinum. For the 2011-12 CAP reporting year, 53% (78) of the North American chapters achieved or maintained platinum status.

This year we are the only chapter in our district with gold status. However, the majority of chapters in our district achieved platinum status. January is our 8th CAP reporting month and we are closer to our goal due to the great luncheon attendance. We need your support at our monthly luncheon and training sessions to help us achieve our goal.

Chapter Achievement Program Status 2011-12			
Platinum	**Gold**	**Silver**	**N/A**
Austin	San Antonio	Fort Worth	Brazos Valley
Dallas			
Houston			

I look forward to seeing you at our next event.

Have a great February,

Adam Arevalos
Chapter President

Important New Guidance Issued

The Three Lines of Defense in Effective Risk Management and Control

IIA Position Paper — Strongly Recommended Guidance

The Three Lines of Defense

Please visit us on the web San Antonio IIA Chapter

Chapter Officers

President
Adam Arevalos
Valero Energy Corp.

1st Vice President
Amanda Lopez
Nustar Energy

2nd Vice President
Camille Marbury
CPS Energy

Secretary
Lori Sullivan
Alamo Group, Inc

Treasurer
Scott Feldmeier
Valero Energy Corp.

Focus On…Resolutions

Five New Year's Resolutions Every Auditor Should Make For 2013

The following is a brief excerpt from the IIA President and CEO Richard Chamber's blog. See the full text here .

Over the years, one of the practices I have followed in writing my blog is to open the year by sharing five New Year's resolutions that every internal auditor should make. They are simple resolutions that are powerful enough to enhance our value, but so painless that keeping our resolutions changes from a mere possibility to a *probability*.

1. Plan more and audit less
One of the Achilles' heels for internal audit departments is the amount of time it takes to complete the average internal audit engagement. Too often, we are in such a hurry to begin the audit that we rush to develop an audit plan/program so that we can get started. But conducting an audit from an inadequate plan is like taking a road trip with an unfinished map. I've found that the keys to effective planning are to: (1) identify the key operating objectives; (2) identify the key risks that will inhibit achievement of these objectives; (3) assess the risks; (4) determine the audit objectives based on the risk assessment; and (5) document the audit steps necessary to test control effectiveness related to each objective.

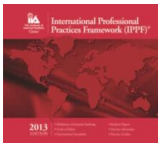
2. Communicate for impact
As auditors, we have trouble being succinct. We like to tell people "how to build a watch" when maybe all they really want is to know the time. Essentially, we have to do a better job of cutting to the chase — communicating succinctly and in an impactful way that will compel the reader to take action.

3. Empathize more and gloat less
If we put ourselves in the shoes of the people we're auditing, we might see things a little differently. In fact, we might realize that they want the same things we do: to do an effective job, to deliver quality, to be service oriented, and to pursue excellence. One of the things that I think internal auditors always could do better is to demonstrate empathy when we're doing the audit work. Certainly, that doesn't mean that we don't communicate the issues that we discover, but there's no point in diminishing the value of other professionals to try and make ourselves look better.

4. Embrace technology
We have to recognize that our resources are limited, and they're not likely to grow significantly in the coming years. Yet, our stakeholders' expectations continue to grow. One of the ways that we can become more productive and add greater value to our organizations is by leveraging technology — using data mining and analytics tools and techniques and taking advantage of audit management system software.

5. Enhance industry/business knowledge
As I've observed before, our stakeholders often are concerned that we don't understand the business well enough to be conducting audits of operations, business risks, and strategic risks. One way that we can become more effective in auditing those areas and enhance their confidence in us is by acquiring and demonstrating an in-depth knowledge of the business and the industry.

Coming Soon: 2013 IPPF



PRE-ORDER YOUR COPY TODAY! Use promotion code IPPF2013 to receive 10% off of the Member Price of $50! Also available as a CD-ROM.

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2013 Luncheon Schedule

January 9, 2013

February 6, 2013
Board Meeting

March 13, 2013

April 10, 2013
Annual Members Meeting

May 8, 2013
Board Meeting

June 12, 2013

July 10, 2013

August 14, 2013

September 11, 2013

October 9, 2013

November 13, 2013

December 11, 2013

FEBRUARY MONTHLY MEETING

Date	**February 6, 2013**
Agenda	Registration/Social 11:30 am Lunch/Speaker 12:00 noon
Location	Pappadeaux, 76 NE Interstate 410 Loop San Antonio, TX 78216 (210) 340-7143
Price	• $25 for Members • $30 for Non-Members and late registrants • $15 for full-time Students **10% discount when you register and pay in advance with PayPal**
RSVP	Register on the IIA Website • Email Amanda Lopez
Menu	Choice of Entree: Grilled tilapia (or chicken) w/toasted hazelnuts & brown butter, green beans & potatoes; **OR** Sampler platter fried shrimp, crawfish, tilapia, and french fries; Choice of Dessert: Vanilla cheesecake with fresh strawberries; **OR** Key lime pie in a graham cracker pecan crust.
Topic	To Integrate or Not – The Mystery Continues
Course Overview	This is an introduction to the concept of integrated auditing. We will attempt to unravel the mystery associated with this concept and help you to start implementing it in your organization. For some it may even surprise you to learn that you have been doing this for years and did not even know it.

Learning Objectives	• Define Integrated Auditing and its Benefits and Shortcomings.
	• Address Question of Knowledge Gap between IT and Internal Auditors.
	• Define Key Areas where Integrated Auditing is needed.
	• Define Staffing & Skill Requirements for Integrated Auditors.
	• Real World Examples:
	  o Office of the City Auditor's Organizations Approach to Integrated Auditing.
	  o Small & Large Shops – American National Bank, Tokai Limited Bank, Times Mirror, UTSA

Speakers	**Carol Rapps, CIA, CISA, CCSA, CRMA** - Audit manager for The University of Texas at San Antonio with more than 25 years of combined Management of Information Systems (MIS) and Audit experience. Carol has worked in the banking, publications, oil & gas, manufacturing, healthcare, insurance and education industries. Her prior areas of responsibilities encompass information security, change management, business continuity, audit (IT, operational and financial), total quality management, IT quality assurance, conversion management, and system development (as both an Auditor and a Project Manager). She has consulted and lectured both internally and externally for various professional organizations. **Mark Bigler, CPA, CFE, CISA** - Audit manager for the City of San Antonio. He has more than 20 years experience performing operational, information system, software, fraud, and financial audits. Bigler has graduate degrees in both management information systems and accounting. He has previous work experience in the software, utility, natural resource, and public accounting fields.

Proposed Audience	All levels of auditors	**Prerequisite**	None	**CPE**	1 Credit Hour

We have registered with the Texas State Board of Public Accountancy as a CPE sponsor. This registration does not constitute an endorsement by the Board as to the quality of our CPE program or the appropriateness of topics for each individual's educational needs.

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Committees

Academic
Jeff Bledsoe

Audit
Robert Gonzales
Michael Sultan

Budget & Finance
Scott Feldmeier

CAP Program
Cecilia Tankersley

Certifications
Angela Crawford

Meeting Arrangements
Amanda Lopez

Newsletter
Glenn Prochnow

Nominating
Kevin Barthold
Steve Boehme
Adam Arevalos

Research
Kevin Barthold

Technology
Tim Hannie
Glenn Prochnow
Carlos Villarreal

Website
Remi Nel
Lupe Neaves

International Committees

Learning Solutions
Roy Garber
Leticia Herrera-Price

Professional Issues
Cesar Martinez

March Monthly Meeting

Date	**March 13, 2013**				
Agenda	Registration/Social 11:30 am Lunch/Speaker 12:00 noon				
Location	La Quinta - **tentative**				
Price	• $25 for Members • $30 for Non-Members and late registrants • $15 for full-time Students **10% discount when you register and pay in advance with PayPal**				
RSVP	Register on the IIA Website (available son) • Email Amanda Lopez				
Menu	TBD				
Topic	Corporate Fraud Investigation				
Course Overview	TBD				
Learning Objectives	TBD				
Speakers	FBI Special Agent Aaron Tapp (bio TBD)				
Proposed Audience	All levels of auditors	**Prerequisite**	None	**CPE**	1 Credit Hour

We have registered with the Texas State Board of Public Accountancy as a CPE sponsor. This registration does not constitute an endorsement by the Board as to the quality of our CPE program or the appropriateness of topics for each individual's educational needs.

Governors

Kevin Barthold
City of San Antonio

Steve Boehme
USAA

Cyd Borromeo
USAA

Carla Cashio
University of Texas Health Science Center

Melissa Cox
AT&T

Roy Garber
Rackspace

Leticia Herrera-Price
LHP Consultants

Regis Mackrell
USAA

Helen F. Madison
CPS Energy

Dawn Oppermann
City of San Antonio

Wendy Rybicki
Frost Bank

Arlena Sones
City of San Antonio

Dora Ann Verde
San Antonio Water System

Larry Wallis
VIA Metropolitan Transit

Welcome New Members!

Name	Company
Amanda Flores	Tesoro Companies Inc
Aminah Garzareck	
BJ Sekula	NuStar Energy LP
Brad Springfield	Frost Bank
Jeannie Smith	Kraft Food Group
Jonathan Rosenberg	Tesoro Companies Inc
Nathan Cheslock	Tesoro Companies Inc
Ricardo Gonzalez	Brownsville Public Utilities Board
Robin Wiggins	
Shawn Johnson	
Tammy Stout	TCC Consulting Services, LLC
Zeke Martinez	Frost Bank

Lunch with our friends from ISACA

Joining Forces

Planning to Implement DAAS (Dessert As A Service)

Enjoying Pre-meal Conversation

Ready for the Cloud!