The Institute of Internal Auditors

AudiTales

The San Jose Chapter of The Institute of Internal Auditors

A Platinum Chapter

Editor: Linda Meier **www.theiia.org/chapters/sanjose** **Issue: January 2012**

Luncheon Menu

Green Salad Ranch & Italian Dressing
Fresh Fruit Salad

Salmon with Sundried Tomato Basil Sauce
Pasta Primavera with Marinara Sauce

Rice Pilaf
Steamed Vegetables

Rolls & Butter

Dessert

Coffee, Iced Tea, Hot Tea, Decaf

Luncheon Program
Wednesday January 11, 2012

Fraud and White Collar Crime

Speakers: Jerri Johnson & Daniel Wunderli

Agenda:

Registration	11:30am
Lunch and Speaker	12:00pm
Door Prizes / Adjourn	1:50pm

Location:
Biltmore Hotel, 408-988-8411
2151 Laurelwood Rd, Santa Clara, CA
(Montague Expressway @ 101)

IIA Members	$35
Non-members	$40
Students, Retirees & Unemployed	$25

REGISTRATION METHOD ONLINE:

http://www.acteva.com/booking.cfm?bevaid=218232

Please register by Noon Monday January 9th

PRESIDENT'S MESSAGE

Greetings San Jose Chapter Members and Friends,

I hope that you had a happy, safe and relaxing holiday and New Year break.

We kick off the new year with a crowd favorite- fraud. We are very pleased to have FBI agents Jerri Johnson and Daniel Wunderli give us an update on white collar crime. Fraud risk is constant, regardless of the economic environment, and we all need to stay current on trends and flags to look for in our work.

I hope we will see you on January 11th. Those who came to December's meeting will remember that we had quite a seating crunch due to a high volume of walk-in attendees. We're always happy to see our members, but please make our lives easier by taking the few minutes to pre-register online.

And remember- we have our January social event planned for Jan 26th at Piatti's.

Gus Shea

President

San Jose IIA Chapter

Gus.shea@intel.com

The Institute of Internal Auditors

JANUARY 11, 2012 LUNCHEON
FRAUD AND WHITE COLLAR CRIME

Join us on Wednesday January 11th to hear a presentation on Fraud and White Collar Crime from local members of the FBI. The speakers are Jerri Johnson and Daniel Wunderli.

Jerri Johnson: Mr. Johnson has been a Forensic Accountant with the FBI for the past two and a half years where he has assisted with investigations involving investment fraud, mortgage fraud, securities fraud, bankruptcy fraud and money laundering. In addition to his tenure with the FBI, Mr. Johnson has over 35 years of experience in Treasury, Financial Analysis, Auditing and Accounting with the Electric Power Research Institute, Defense Contract Audit Agency, and the Civil Aeronautics Board.

Mr. Johnson received a BS in Accounting from San Jose State University and an MBA from Golden Gate University. He is a Certified Public Accountant (inactive) and a Certified Fraud Examiner.

Daniel Wunderli: Special Agent Wunderli has been an agent for the Federal Bureau of Investigation for 4 years. In this capacity he has investigated all forms of White Collar Crime to include Insider Trading, FCPA, Ponzi schemes, Investment fraud, and others. While in capacity as an agent, SA Wunderli has worked in cooperation with the IRS, HHS, Local Police, and with embedded investigators within companies. Prior to joining the FBI, SA Wunderli was an Auditor for Ernst & Young in Palo Alto and a Forensic Accountant for Utah County Attorney's Investigations Office.

SA Wunderli graduated from BYU University with a Masters' degree in Accounting in 2004. He is a Certified Public Accountant.

SAVE THE DATE

THE SAN JOSE CHAPTER

The Institute of Internal Auditors

Dear Colleagues:

You are cordially invited to attend the IIA San Jose's January, 2012 social and networking event on ***Thursday, January 26, 2012*** from 5:00PM to 8:30PM at:

Piatti Ristorante Bar
3905 Rivermark Plz
Santa Clara, CA 95054
(408) 330-9212

As always, the San Jose chapter is sponsoring this event for its members and will provide a variety of exceptional food and drinks (alcohol and non-alcohol) for your enjoyment while networking with other internal audit professionals.

Chapter members must register to attend this event by sending a check in the amount of $25 (payable to the San Jose IIA) to:

San Jose IIA – January, 2012 Social
P. O. Box 4962
Santa Clara, CA 95056

Please be sure to *write your IIA membership number* on the check. All checks must be received by Friday***, January 20, 2012.*** The checks will be returned to chapter members who attend the event.

Members of other IIA Chapters are also invited to attend this event. Please register by mailing a non-refundable check in the amount of $25 to the address listed above and adhere to the ***January 20, 2012*** deadline. Please indicate your chapter affiliation and membership number on the check.

Feel free to contact us with your questions:

Lynn Falbo (*lfalbo@wrcas.com*) or Robin Opheim (*Robin.Opheim@sanjoseca.gov*)

NEW CERTIFIED INTERNAL AUDITORS

Congratulations to our chapter's newest CIAs:

Guillaume Charron, CIA July 2011
Debra Menezes, CIA July 2011
Claudia Hoffman Ritter, CIA August 2011

DOOR PRIZE DRAWING

The winners from the December, 2011 meeting:

Mug	**Andrew Katcher**
Wine	**Usha Patel**
Gift Card	**Patricia Pruitt**

Please join us at the January, 2012 luncheon meeting - make sure to drop your business card in the door prize drawing bowl on the registration table for your chance to win!

IIA SAN JOSE CHAPTER PURCHASE/ REFUND POLICY

Registrations are not refundable but are transferable to someone else. Provide a copy of your e-mail order acknowledgment to your replacement and they will be able to check-in for your spot. No refunds or credits will be given for any cancellation requests received on or after the event.

CHANGE OF ADDRESS

If your name, address, title, company and/or job phone information needs to be updated, please let us know by calling Customer Service at International Headquarters (407) 830-7600.

MEMBERSHIP MATTERS

New for Members This Year!

Visit the re-designed IIA website @ www.theiia.org

- An opportunity to earn valuable CPEs through complimentary member-only webinars and *Internal Auditor* magazine quizzes, as well as access to webinar archives and presentations.
- A new member print publication called *IIA Today* that will keep you informed about internal audit news and what's happening at The IIA in guidance, research, and professional development.
- Free access to new practice advisories and practice guides on topics such as corporate social responsibility, IT governance, and assessing risk management, as well as a new series of GTAG webinars.
- Lower pricing on world-class conferences and seminars around the country.
- Continued advocacy to elevate the profession among regulators, standard-setting bodies, the media and other key stakeholders who influence the future of internal auditing.
- The January launch of a cleaner, simplified, and more content-rich IIA Web site home page, giving you the most timely and relevant information in an easy-to-navigate format.
- Full implementation of the Audit Executive Center, serving the multi-faceted needs of chief audit executives.
- A "member gift" program that provides deep discounts on IIA services and complimentary products quarterly.
- Continuation of special member discounts from such companies as GEICO and Omni Hotels.
- Live customer service chat capability on The IIA's Web site to easily answer questions and resolve your issues.

Notice: IIA Bridge Membership Program for Unemployed Members in the U.S.

IIA members in the U.S. who are unemployed due to the current economic environment and are actively seeking employment may apply to The IIA Bridge Membership Program.

Program components are:

- One-time, one-year complimentary individual membership, and
- Online access only to Internal Auditor Magazine.

To qualify for Bridge Membership, you must be an active member of The IIA for the past two or more years and actively seeking employment. To take advantage of this opportunity, you will need to download the application form and submit a written request certifying you are unemployed and meet the qualifications of the program. All documents and any questions about this program should be sent to: membershipdevelopment@theiia.org -include your current IIA member number.

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ALL JOB POSTINGS AND ANNOUNCEMENTS ARE FREE OF CHARGE FOR INTERNAL AUDIT AND COMPLIANCE RELATED JOB POSTINGS

PLEASE CONTACT **linda.meier@sbcglobal.net** TO POST A JOB.

CHAPTER MAILING ADDRESS

IIA San Jose Chapter's Mailing Address:

Attention: Michelle Ng, Treasurer
PO Box 4962
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