The Institute of Internal Auditors

AudiTales

The San Jose Chapter of The Institute of Internal Auditors

A Platinum Chapter

Editor: Linda Meier **www.theiia.org/chapters/sanjose** **Issue: June 2012**

Luncheon Menu

Fresh Fruit Salad
Chinese Chicken Salad
Soba Noodle Vegetable Salad

Teriyaki Chicken
Schezwan Beef Stir-Fry
Vegetable Chow Mein

Fried Rice
Steamed Vegetables

Rolls & Butter

Dessert

Coffee, Iced Tea, Hot Tea, Decaf

Luncheon Program
Wednesday June 6, 2012

ERM: Emerging Trends and Operationalize the ERM Process

Panelists:

- **Mark Gosling,** VP Internal Audit – NetApp
- **Tom Austin,** VP IA – Applied Materials
- **Jean Furter,** VP of Treasury - Brocade

Moderator:

Jacqui Fifield, Sr Manager Deloitte & Touche

Agenda:

Registration	11:30am
Lunch and Speaker	12:00pm
Door Prizes / Adjourn	1:50pm

Location:
Biltmore Hotel, 408-988-8411
2151 Laurelwood Rd, Santa Clara, CA
(Montague Expressway @ 101)

IIA Members	$35
Non-members	$40
Students, Retirees & Unemployed	$25

REGISTRATION METHOD ONLINE:

http://www.acteva.com/booking.cfm?bevaid=229374

Please register by Noon Monday June 4th

PRESIDENT'S MESSAGE

Greetings San Jose Chapter Members and Friends,

As we entering the new 2013 operating year and as incoming President of the San Jose IIA Chapter, I would like to thank everyone's contribution from the individual member(s) to the Board of Governors who attended the 2012 meetings, seminars and social events and dedicated their time and resources to the San Jose IIA Chapter's activities.

We are very fortunate to have an exciting ERM panel discussion lined up for June 6th. The panel which includes Mark Gosling, VP of Internal Audit at NetApp, Tom Austin, VP of Internal Audit at Applied Materials, and Jean Furter, VP of Treasury at Brocade will be moderated by Deloittes.

As you are aware, the importance to strong corporate governance of managing risk has been increasingly acknowledged. Organizations are under pressure to identify all the business risks they face; social, ethical and environmental as well as financial and operational, and to explain how they manage them to an acceptable level. Meanwhile, the use of enterprise-wide risk management frameworks has expanded, as organizations recognize their advantages over less coordinated approaches to risk management. Internal auditing, in both its assurance and its consulting roles, contributes to the management of risk in a variety of ways.

With the said, I hope to see you next week. After that, as a reminder, we all take a break in July and come back for a new chapter year in August. Take a few minutes to fill out the online survey & give us input on what topics/events will interest you for next year, so that we may use our planning time wisely.

Petrie Terblanche
President
San Jose IIA Chapter
pterblan@brocade.com

The Institute of Internal Auditors

JUNE 6, 2012 LUNCHEON
ERM: EMERGING TRENDS AND OPERATIONALIZE OF THE ERM PROCESS

The objectives of this panel discussion are:

- Emerging trends and the future of ERM
- Understand how has ERM been operationalized at Bay Area companies
- How does Internal Audit leverage the ERM Process
- Value of the ERM Program
- Challenges experienced with the implementation of the ERM Process

The Panel will be made up of:

Tom Austin: VP Internal Audit – Applied Materials

Jean Furter: VP of Treasury -- Brocade

Mark Gosling: Mark is VP Internal Audit at NetApp. He has been in audit and compliance for over 25 years, most recently as a partner at PwC, then as CAE at VeriSign and NetApp. He has worked in the US and internationally, and has built and led internal audit, investigations, compliance and ERM programs at a variety of Silicon Valley companies.

Panel Moderator will be:

Jacqi Fifield: Jacqi is a senior manager in Deloitte's Governance, Regulatory & Risk Strategies practice specializing in Enterprise Risk Management (ERM). She works with executive management and board members to develop and implement integrated ERM programs, including defining risk governance structures, conducting enterprise risk assessments, defining corporate risk appetites, and developing risk response processes and dashboard reporting to address key risks and promote accountability.

She helps clients leverage their ERM capabilities to prioritize annual Audit Plans and integrate and link risk to strategic initiatives and other risk-related efforts. She has over 20 years of experience leading strategic planning and corporate economics departments at an energy company, prior to joining Deloitte.

Jacqi holds a B.A. in economics from the University of California, Los Angeles, and a Masters degree in urban planning from the University of Washington.

DOOR PRIZE DRAWING

The winners from the May, 2012 meeting:

Coffee Mug	**Leanne Wang**
Wine	**Wei Xi**
Gift Card	**David DiPaola**

Please join us at the June, 2012 luncheon meeting - make sure to drop your business card in the door prize drawing bowl on the registration table for your chance to win!

JOB POSTING

Varian Medical Systems – Internal Auditor III – REQ# 4254BR
Location – Palo Alto

Position:
Conducts internal financial audits and risk assessments. Performs and documents financial records for integrity and transaction accuracy. Identifies control and process deficiencies and reports discrepancies. Prepares audit plans and understands the specific issues to be evaluated. Executes internal audits of established business process controls. Develops formal written reports to communicate audit results to management and makes recommendations as appropriate. May facilitate work of external auditors during on-site visits. Requires audit knowledge and skills in finance/accounting and/or information system operations.
Up to 25% international travel may be required.

Job Requirements:

Minimum Required Skills and Knowledge:

- 3-5 years' experience with a Big 4 Accounting Firm or equivalent.
- Forensic Accounting experience is a plus
- Finance Investigation experience is a plus
- CFE, CPA or CIA certifications a plus
- Proficient in utilizing business tools such as: E-mail, Microsoft Word, Excel, and PowerPoint. Experience with SAP ERP software desired.

Typical Education and Experience:
Bachelors degree and 5 years of related experience or Masters Degree with 3 years of related experience.

Qualified applicants, please submit your resume to:
www.varian.com/careers, Job ID# 4254BR

IIA San Jose Chapter Purchase/ Refund Policy

Registrations are not refundable but are transferable to someone else. Provide a copy of your e-mail order acknowledgment to your replacement and they will be able to check-in for your spot. No refunds or credits will be given for any cancellation requests received on or after the event.

Change of Address

If your name, address, title, company and/or job phone information needs to be updated, please let us know by calling Customer Service at International Headquarters (407) 830-7600.

Membership Matters

Visit the re-designed IIA website @ www.theiia.org

- An opportunity to earn valuable CPEs through complimentary member-only webinars and *Internal Auditor* magazine quizzes, as well as access to webinar archives and presentations.
- A new member print publication called *IIA Today* that will keep you informed about internal audit news and what's happening at The IIA in guidance, research, and professional development.
- Free access to new practice advisories and practice guides on topics such as corporate social responsibility, IT governance, and assessing risk management, as well as a new series of GTAG webinars.
- Lower pricing on world-class conferences and seminars around the country.
- Continued advocacy to elevate the profession among regulators, standard-setting bodies, the media and other key stakeholders who influence the future of internal auditing.
- The January launch of a cleaner, simplified, and more content-rich IIA Web site home page, giving you the most timely and relevant information in an easy-to-navigate format.
- Full implementation of the Audit Executive Center, serving the multi-faceted needs of chief audit executives.
- A "member gift" program that provides deep discounts on IIA services and complimentary products quarterly.
- Continuation of special member discounts from such companies as GEICO and Omni Hotels.
- Live customer service chat capability on The IIA's Web site to easily answer questions and resolve your issues.

Notice: IIA Bridge Membership Program for Unemployed Members in the U.S.
IIA members in the U.S. who are unemployed due to the current economic environment and are actively seeking employment may apply to The IIA Bridge Membership Program.

Program components are:

- One-time, one-year complimentary individual membership, and
- Online access only to Internal Auditor Magazine.

To qualify for Bridge Membership, you must be an active member of The IIA for the past two or more years and actively seeking employment. To take advantage of this opportunity, you will need to download the application form and submit a written request certifying you are unemployed and meet the qualifications of the program. All documents and any questions about this program should be sent to: membershipdevelopment@theiia.org -include your current IIA member number.

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ALL JOB POSTINGS AND ANNOUNCEMENTS ARE FREE OF CHARGE FOR INTERNAL AUDIT AND COMPLIANCE RELATED JOB POSTINGS

PLEASE CONTACT **linda.meier@sbcglobal.net** TO POST A JOB.

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