



# AudiTales

The San Jose Chapter of The Institute of Internal Auditors

A Platinum Chapter

Editor: Linda Meier

[www.theiia.org/chapters/sanjose](http://www.theiia.org/chapters/sanjose)

Issue: March 2012



## Luncheon Menu

Green Salad with  
Ranch & Italian Dressing  
Fresh Fruit Salad  
Pasta Salad

Make Your Own Taco Bar  
Cheese Enchiladas

Steamed Vegetables  
Roasted Red Potatoes

Rolls & Butter

Assorted Brownies

Coffee, Iced Tea, Hot Tea, Decaf

## Luncheon Program

Wednesday March 7, 2012

## Private and Hybrid Cloud Risk, Security and Audit

Speakers:

Scott Lowry & Hassan Javed

### Agenda:

Registration	11:30am
Lunch and Speaker	12:00pm
Door Prizes / Adjourn	1:50pm

### Location:

Biltmore Hotel, 408-988-8411  
2151 Laurelwood Rd, Santa Clara, CA  
(Montague Expressway @ 101)

IIA Members	\$35
Non-members	\$40
Students, Retirees & Unemployed	\$25

REGISTRATION METHOD ONLINE:

<http://www.acteva.com/booking.cfm?bevaaid=218234>

Please register by Noon  
Monday March 5<sup>th</sup>

## PRESIDENT'S MESSAGE

Greetings San Jose Chapter Members and Friends,

We have a great topic on tap for this month: cloud risk. We all hear about the cloud, but many use the term in different ways, and few seem to fully understand the risks associated with the cloud. We are very happy and fortunate to have representatives from VMware coming to educate and enlighten us.

As always, register to ensure that you will have a seat for this hot topic. The meeting room and registration will fill up fast.

I hope we see you at the March 22 seminar, hosted at the Brocade facility. We have a great lineup of speakers and topics, and the \$/CPE can't be matched. Get a group of 4 from work and the 5<sup>th</sup> participant is free.

Gus Shea

President

San Jose IIA Chapter

[Gus.shea@intel.com](mailto:Gus.shea@intel.com)



**MARCH 7, 2012 LUNCHEON**  
**PRIVATE AND HYBRID CLOUD RISK, SECURITY AND AUDIT**

Join us for lunch and hear a timely presentation on Cloud risk by Scott Lowry and Hassan Javed. The objective of this presentation is to:

- Understand the technology and benefits of private and hybrid clouds and what it means to the business
- Provide a framework for assessing risks and auditing private and hybrid clouds
- Present a VMware private cloud case study

**Scott Lowry** heads the IT Audit Department at VMware, Inc. He is responsible for risk assessment and IT compliance activities, reporting to the CAE. In this capacity, he has executed a risk assessment of VMware's cloud migration activities. Prior to that, he was at Safeway, where he helped build the IT Audit function. He has 12+ years of internal audit, security and big four experience. He holds an MBA in Finance and an MS in Information Technology from the University of Maryland, and a BS from the US Naval Academy. Scott is a Certified Internal Auditor, Microsoft Certified Systems Engineer and a Certified Information Systems Auditor.

**Hassan Javed** is a Senior IT Auditor at VMware, Inc. He has been working in the information technology field for over ten years. Previously, he was a Supervising Sr. IT Auditor with Safeway Inc., performing risk-based auditing focused on SDLC, virtualization, IT security, and regulatory compliance (PCI, HIPAA). In addition, he was a Senior Associate with PricewaterhouseCoopers implementing large scale Identity Access Management solutions, developing service offerings, and conducting external audits. Hassan brings his technical skills and depth of experience working with cloud computing (Public and Private) and virtualized environments powered by VMware.

**DOOR PRIZE DRAWING**

The winners from the February, 2012 meeting:

*Coffee Mug*  
*Wine*  
*Gift Card*

**Goldie Dhillon**  
**Ujwala (Julie) Mundada**  
**Elaine Troyan**

Please join us at the March, 2012 luncheon meeting - make sure to drop your business card in the door prize drawing bowl on the registration table for your chance to win!

**SPRING SEMINAR**

It is our great pleasure to welcome you to the 2012 Spring Seminar. We hope this seminar will provide you with an opportunity to listen and participate in discussions with a variety of speakers, who will present on risk management, general internal audit hot topics, data privacy and proposed update to the COSO framework.

**IIA 2012 Seminar on  
Internal Audit & Risk Management  
Thursday, March 22, 2012  
in Brocade Theater  
(130 Holger Way, San Jose)**

Preliminary Topics:

**Proposed Update to COSO Integrated Framework  
over Internal Controls**

– Cara Beston, PwC

**Risk Appetite**

- Peter Rosenzweig, Ernst & Young

**Consumer Data Protection**

– Calvin J. Wilson, McAfee

**Role of Internal Audit in Merger & Acquisitions**

– Executive Board, Cisco, Intel

Register now at: <http://www.acteva.com/booking.cfm?bevaaid=227572>

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## CIA REVIEW CLASSES

### **CIA Classes Are Coming to Santa Clara!**

The Certified Internal Auditor® (CIA®) designation is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field. Candidates leave the program enriched with educational experience, information, and business tools that can be applied immediately in any organization or business environment. The CIA exam is available through computer-based testing, allowing you to test year-round at more than 500 locations worldwide.

### **Review Courses**

The San Jose IIA Chapter plans to offer CIA exam review courses over the next four months to help you prepare for your exam. They will be taught by Tracie Kraftenberg, CIA, CFE, and classes will take place on Saturday's at Applied Materials: 3050 Bowers Avenue, Bldg 1 AGU, Santa Clara, CA 95054 from 8:30am-5pm on the following dates:

Section 1: Saturday March 31

Section 2: Saturday April 21

Section 3: Saturday May 19 and Saturday June 16

Section 4: Saturday July 21

In addition to the course materials, our chapter is offering discounted rates for IIA participant materials, \$495 for the full set, or \$195/individual part. Register now at:

<http://www.acteva.com/booking.cfm?bevoid=228844>

### **About the Instructor:**

Tracie Kraftenberg is a Certified Internal Auditor and Certified Fraud Examiner with over 20 years' experience in a variety of industries, including 12 years as an audit professional in financial services, government, and higher education. She has performed and managed operational, compliance, and financial audits, as well as consulting engagements, special reviews, investigations, and Sarbanes-Oxley testing. She has designed and delivered audit training courses on data analysis, audit and investigative interviewing skills, audit planning, automated workpapers, flowcharting, and financial system reporting.

Tracie holds two Bachelor of Science degrees (Accountancy and Computer Information Systems) from Arizona State University. She is the 2001 Walker Award winner for achieving the highest score on the CFE certification exam, and is a 2003 Certificate of Excellence winner for performance on the CIA exam. Her audit interests include professional practice standards, quality assurance, staff development, and training. She is a member of three professional organizations and has been a CIA Review Course instructor since 2009.

## JOB POSTINGS

### **CPI International, LLC – Internal Audit Manager**

#### **The Company:**

CPI is a world leader in the development and manufacture of Microwave Vacuum Electron Devices, which are devices that amplify microwaves to high power levels for use in radar, communications, television broadcast, medical treatment, scientific research and industrial processes. CPI is headquartered in Palo Alto, California, with six manufacturing divisions located throughout the U.S and in Canada and sales offices and service centers worldwide.

#### **Internal Audit Manager Position:**

In this position, you will be part of a small team and will report directly to the Director of Internal Audit in Palo Alto. You will interface with a diverse group of individuals at the company's six manufacturing divisions, international sales offices and Corporate Headquarters. The position involves some travel (approximately 10 - 15%) and can accommodate a flexible or part-time work schedule.

#### **Responsibilities:**

The job responsibilities include managing audit projects and consulting on business issues. Additional responsibilities include:

- Designing and executing of audit programs
- Evaluating test results
- Presenting and reporting results to management
- Assessing strategic, operational and financial risks
- Maintaining technical expertise and apply leading practices in advanced audit techniques

#### **Skills and Experience:**

- Bachelors or higher degree in finance or accounting. CPA, CIA or CISA preferred.
- Minimum 5 years of audit and/or accounting experience
- Strong written, verbal and interpersonal skills
- Must be able to work independently, have the confidence to interact with all levels and be organized with an attention to detail.
- Experience with evaluating controls related to Sarbanes-Oxley and an understanding of COSO framework is preferred.
- Ability to travel (approximately 10-15%)

Located in Palo Alto, CA, CPI offers a competitive compensation and benefits package. We encourage teamwork, continuous improvement and operational excellence and are proud to be an equal opportunity employer. Please visit our website to apply at: [www.cpii.com/careers](http://www.cpii.com/careers). You can also fax to 650-846-3391.

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### **Intuit – Lead Internal Auditor – IT**

#### **The Company:**

Intuit is a leading software provider of business and financial management solutions for small and mid-sized businesses, consumers, financial institutions and accounting professionals. You probably know us by our flagship products, QuickBooks®, Quicken® and TurboTax®, but that's just the start. We recently acquired [Mint.com](http://Mint.com), and we're taking on exciting challenges, such as SaaS and mobile applications. Over 50 million users, seven million small businesses and 1,600 financial institutions depend on Intuit because we innovate at the crossroads of real customer problems and breakthrough technology. Join us and let your ingenious ideas be heard.

**Intuit – *continued*****Opportunities at Intuit:**

The Internal Audit department seeks a self-starter with strong information technology audit skills, the capability to think and act independently, and the desire and ability to lead and inspire others. This position can be located in San Diego, CA or Mountain View, CA.

Duties & responsibilities may include the following:

- Operates in a lead capacity. No direct reports, but proactively influences, leads & coaches across the internal audit organization
- With minimal direction from internal audit management, scopes, plans, leads and executes complex internal audit projects that impact business units and functional groups (BU/FG). Gains client agreement on issues, root cause and appropriate corrective actions.
- Proactively provides thought leadership that clarifies strategic thinking / priorities of internal customers or other improvements that results in significant business impact to a business unit.
- With minimal direction from internal audit management, identifies and acts on opportunities to improve team productivity and anticipate future expectations of internal and external customers.
- Must be comfortable working in ambiguous and/or stressful situations. The candidate must be flexible, able to change priorities quickly, and have the capacity to handle multiple tasks simultaneously.

**General:**

- o Bachelor's degree in Business or Computer Science
- o Experience working for a public audit firm or internal audit/SOX related experience (minimum of 5 years)
- o One or more of the following information technology audit professional certifications: CISA, CISSP, CIA, CFE
- o Ability to travel to other sites as needed, up to 50%

**Contact:**

Joli Chu at [Joli\\_Chu@intuit.com](mailto:Joli_Chu@intuit.com)

**IIA SAN JOSE CHAPTER PURCHASE/ REFUND POLICY**

Registrations are not refundable but are transferable to someone else. Provide a copy of your e-mail order acknowledgment to your replacement and they will be able to check-in for your spot. No refunds or credits will be given for any cancellation requests received on or after the event.

**CHANGE OF ADDRESS**

If your name, address, title, company and/or job phone information needs to be updated, please let us know by calling Customer Service at International Headquarters (407) 830-7600.

## MEMBERSHIP MATTERS

### New for Members This Year!

Visit the re-designed IIA website @ [www.theiia.org](http://www.theiia.org)

- An opportunity to earn valuable CPEs through complimentary member-only webinars and *Internal Auditor* magazine quizzes, as well as access to webinar archives and presentations.
- A new member print publication called *IIA Today* that will keep you informed about internal audit news and what's happening at The IIA in guidance, research, and professional development.
- Free access to new practice advisories and practice guides on topics such as corporate social responsibility, IT governance, and assessing risk management, as well as a new series of GTAG webinars.
- Lower pricing on world-class conferences and seminars around the country.
- Continued advocacy to elevate the profession among regulators, standard-setting bodies, the media and other key stakeholders who influence the future of internal auditing.
- The January launch of a cleaner, simplified, and more content-rich IIA Web site home page, giving you the most timely and relevant information in an easy-to-navigate format.
- Full implementation of the Audit Executive Center, serving the multi-faceted needs of chief audit executives.
- A “member gift” program that provides deep discounts on IIA services and complimentary products quarterly.
- Continuation of special member discounts from such companies as GEICO and Omni Hotels.
- Live customer service chat capability on The IIA's Web site to easily answer questions and resolve your issues.

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### Notice: IIA Bridge Membership Program for Unemployed Members in the U.S.

IIA members in the U.S. who are unemployed due to the current economic environment and are actively seeking employment may apply to The IIA Bridge Membership Program.

Program components are:

- One-time, one-year complimentary individual membership, and
- Online access only to Internal Auditor Magazine.

To qualify for Bridge Membership, you must be an active member of The IIA for the past two or more years and actively seeking employment. To take advantage of this opportunity, you will need to download the application form and submit a written request certifying you are unemployed and meet the qualifications of the program. All documents and any questions about this program should be sent to: [membershipdevelopment@theiia.org](mailto:membershipdevelopment@theiia.org) -include your current IIA member number.

## CHAPTER LEADERSHIP

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ALL JOB POSTINGS AND ANNOUNCEMENTS ARE FREE OF CHARGE FOR INTERNAL AUDIT AND COMPLIANCE RELATED JOB POSTINGS

PLEASE CONTACT [linda.meier@sbcglobal.net](mailto:linda.meier@sbcglobal.net) TO POST A JOB.

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