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## President's Message

Dear Members,

As incoming President, I'm extremely pleased with all of the great things that the past leaders have put in place and intend to maintain the momentum of the Chapter's success. In addition, I am looking forward to helping the Chapter and our profession continue to progress forward and foster our new Vision to "Innovate, Simplify and Serve". And last but not most important, I look forward with gratitude to work with an excellent group of Board members and be a part of a great membership base.

My first thought as new President is a heartfelt thank you to Dave Barton, Chapter President 2009-2011 for his dedication and efforts in service to the Internal Audit profession. Dave will be serving on the local Board of Governors and we can count on his continued support to our profession. Also departing from the Board and worthy of a special thank you is our Chapter Secretary, Jane Diaz. Working with both Jane and Dave these last few years has made this volunteer effort very enjoyable.

Departing from our Board of Governors is Kevin Qijayawickrama, John Swoboda, Alan Philips, and George Messey. I'd like to extend a thank you to all of them for their many years of service to our local chapter. Please join us at the final luncheon event on June 21, 2011 so we can personally thank them for their volunteer service. We will also be celebrating another Gold Chapter year! There will be a panel discussion with C-suite executives giving their perspective of Internal Auditors from "Inside the Boardroom."

Also in June we will be hosting two breakfast events. On June 15, 2011 KPMG will be hosting a breakfast meeting on "Risks of Social Media: Protecting the Company's Brand." On June 21, 2011 Mike Beard will be hosting a CAE/CFO Roundtable prior to the luncheon event.

We have several new volunteers that have stepped up to assume roles in the upcoming year as well as many returning volunteers. I encourage you to consider volunteering to help with a committee, process, or chapter leadership role. Roles and committees in need of additional volunteers include sponsorships, academic relations, corporate sponsorship, communications, and membership.

Our annual 2 Day Fraud Seminar was held on May 31<sup>st</sup> and June 1<sup>st</sup>. As always, this was an excellent event. If you have not attended a full day event with the Chapter lately, I highly recommend it as a way to network with your peers and get some great CPE credits at a reasonable price. We will be conducting more of these events in the next Chapter year.

Please remember to check our website often to obtain details on current events and to register for meetings and seminars. I look forward to seeing you soon.

Regards,  
Denise Gorman,  
MBA, CIA, CMA  
IIA Orange County, President 6/2011 thru 5/2012

For more information about the Orange County IIA, please visit our website at

[www.ociia.org](http://www.ociia.org)



## Newest IIA Orange County Chapter Members

Let's welcome our newest IIA Orange County Chapter members:

Ashley Miller  
Keika Sheldon  
Justin Kappers  
William Wang  
Joseph Stice  
Svetlana Condur  
Kun Fang  
Julianna Hallsted  
Kamaldeep Sanghera  
Will Nguyen

A large, 3D-style logo celebrating the 70th anniversary of The Institute of Internal Auditors. It features a large gold "70" with a globe at the base. A gold banner across the "0" reads "ANNIVERSARY" and "1941 - 2011". The IIA logo is visible in the top left corner of the graphic.	<p><b>THE IIA TURNS 70!</b></p> <p><b>Join in the celebration of our professional organization's 70<sup>th</sup> anniversary. Learn about the history of our organization and how it helps shape the future of our profession.</b></p> <p>Visit IIA National's 70-year Anniversary website: <a href="http://www.theiia.org/theiia/the-iias-70th-anniversary/celebrating-70/">http://www.theiia.org/theiia/the-iias-70th-anniversary/celebrating-70/</a></p>
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## Volunteer Leader Spotlight

To help our members get to know our volunteer leaders, each month we plan to share the profile of one of our volunteer leaders. This month, since it is the beginning of a new Chapter year, our Volunteer Leader Spotlight features our incoming President, Ms. Denise Gorman.



### Denise Gorman, MBA, CIA, CMA President

Denise Gorman is a financial executive with extensive experience at many large Fortune 500 firms and several mid-sized companies in Orange County. Ms. Gorman is a Certified Internal Auditor (CIA) and a Certified Management Accountant (CMA). She holds a Masters in Business Administration (Information Technology and Human Resources Management) from California State University at Long Beach where she graduated with honors. Ms. Gorman's experience spans a broad combination of internal audit, strategic planning, operational accounting, compliance and risk management experience.

Ms. Gorman also brings a practical business perspective to the classroom. She is an experienced adjunct professor at Webster University, School of Business and Technology and Brandman University (Chapman University) and enjoys public speaking to a variety of professional audiences.

Ms. Gorman is presently the President for the Orange County Chapter of the Institute of Internal Auditors (OC IIA) and Vice President & Membership Chairperson for the Orange County Chapter of Financial Executives International (FEI). She has served on both Boards since 2006.

**HOBBIES:** Yoga, weight training and reading both technical books and fiction.

**ADVICE TO OTHER PROFESSIONALS:** "Always stay true to your ethical beliefs and be kind to others."

**BEST ADVICE RECEIVED:** "Be yourself, trust your instinct and do the right thing."

**GOAL FOR THIS YEAR:** "My personal goal is to find a great job in 2011! For the upcoming Chapter year my goal is to provide a great local experience at the Chapter level which will motivate the Internal Audit community to be more engaged."

**RECOMMENDED READING:** Stieg Larsson's trilogy, "*The Girl With the Dragon Tattoo*" - a series about an investigative journalist and his female assistant who investigate violence against women and corporate corruption. On a lighter note, I really enjoyed "*The Help*", by Kathryn Stockett. It's about a courageous first-time author in the deep South during the 1960s. And of course, for a technical read, "*The International Professional Practices Framework*" by the IIA is a must read for anyone in the Internal Audit profession!

### Interested in Becoming a Chapter Leader Volunteer?

Have you considered volunteering with the Orange County IIA as a board or committee member? If not, we encourage you talk to one of our volunteer leaders as they will be happy to share their experience so you can determine whether volunteering with the Orange County IIA is right for you! Volunteering and giving back to the profession could significantly impact your professional career, as well as positively impact our overall profession. We invite you to talk to one of our volunteer leaders today! Please visit our website for more information [www.ociaa.org](http://www.ociaa.org).

If you want to learn how you can volunteer with the IIA in other ways and at the global level, please see IIA National's website at <http://www.theia.org/membership/get-involved/>.



**innovate | simplify | serve**

IIA National's theme for the internal audit profession this past year was *"Shape the Future"* and this coming year's national theme is *"Relevance."* To support IIA National's efforts as well as enhance chapter operations and our members' experiences, the Orange County IIA is campaigning to promote three words: *innovate, simplify and serve.*

These three simple words make up the Orange County IIA Board's Vision and focus for the upcoming 2011-2012 Chapter year and forward. We have challenged ourselves to focus on these three words as we conduct Chapter operations to better serve our members, as well as better perform our daily duties as internal auditors and risk professionals.

**innovate** Find new ways to optimize how things are done.

- Implement and apply technology where feasible
- Advance our understanding of existing technology
- Offer exciting, impactful, and relevant training events and resources
- Increase our individual and collective impact as leaders of our profession
- Inspire others to challenge themselves to reach the next level

**simplify** Find new ways to simplify how things are done.

- Evaluate how we can simplify existing processes
- Challenge each other in doing things better and simpler
- Educate and simplify other's understanding about our profession
- Be proactive in shaping your future as an internal audit professional
- Become and continue to be relevant

**serve** Find new ways to serve our profession and community

- Give back to our profession by volunteering as a Chapter leader
- Give back to our communities by volunteering our time
- Share knowledge and expertise by writing articles, white papers or presenting
- Mentor students and other professionals about our profession
- Stay active in the development of our profession

**I am interested in joining the campaign and volunteering as a Chapter leader. Please contact me.**

Name: \_\_\_\_\_ Email: \_\_\_\_\_ Phone: \_\_\_\_\_

Please visit our website for more information [www.ociaa.org](http://www.ociaa.org)

## A Message from the Programs Chairman

It's the end of the 2010-2011 Chapter year and the Programs Committee and the Board members wanted to thank all of the members and non-members who attended the Chapter's events this past Chapter year. The Programs Committee and I had a fabulous time organizing the training events for you and hope that you found some valuable takeaways that you can use in your daily lives as internal audit professionals and risk managers.

This past Chapter year, we organized a total of 19 CPE-earning events that offered our members (and non-members) an opportunity to earn a total of 58.0 CPE Credits. The training events included our monthly luncheons, a couple of breakfast and dinner meetings, as well as our full-day training seminars such as the Dale Carnegie Effective Leadership Workshop, GRC Seminar and Two-Day Fraud Seminar. Overall, we had a fairly successful turnout of almost 500 total attendees with an overall feedback rating of 4.46 (89%) out of a scale of 5.0 (Excellent).

We understand there are always challenges or preferences that prevent our members from attending the events, so we wanted to especially thank those members who were able to make the time and effort to attend our events. Thank you for your support of our Chapter and we are looking forward to making continued improvements with the events that we offer to our members. Our continued focus is not only to offer events that are relevant and useful, but also interesting, unique, fun and entertaining! Stay tuned and watch out for our upcoming events in the coming new Chapter year!

Anthony Manarang  
Programs Chairman  
Orange County Institute of Internal Auditors  
Visit us at [www.ociaa.org](http://www.ociaa.org)



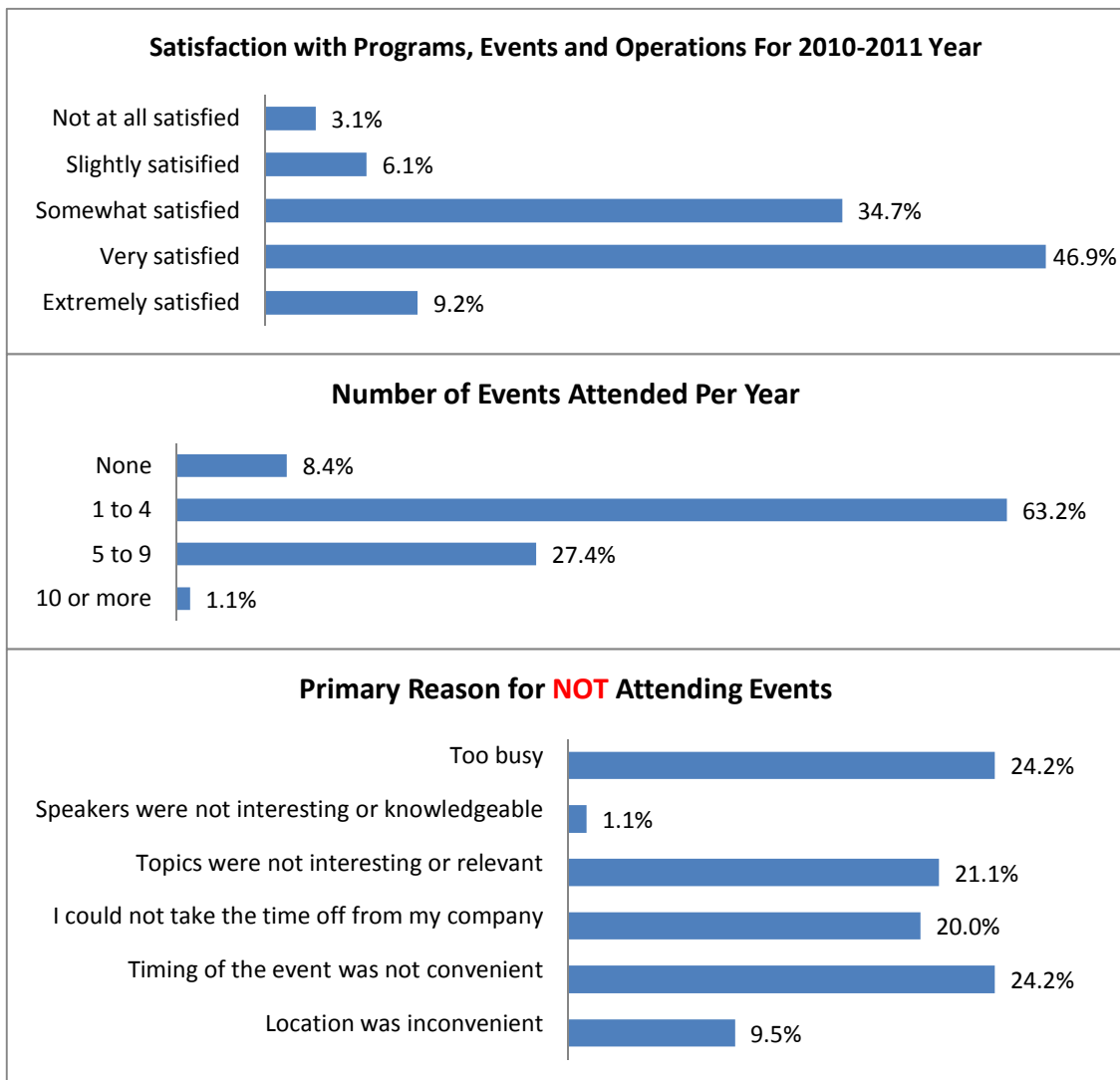
### We'll Be Back After the Summer Break!

The Chapter will be taking a break for the summer and will resume operations and events in September 2011. Please stay tuned to our website at [www.ociaa.org](http://www.ociaa.org) for the latest news and events and keep an eye out for our newsletter after the summer break!

## We Hear You! The 2010-2011 Members Survey Results

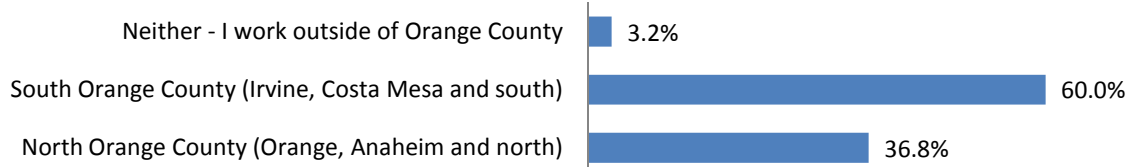
Thank you to all of the OC IIA members who completed our annual members' survey for the 2010-2011 Chapter Year! We received a great response rate of 15.2%, so we appreciate your help with exceeding the normal 10% response rate for online surveys.

As promised, we wanted to share the results of the survey with you, so please see the following pages. The OC IIA Board and Volunteer Leaders will use this data to help make changes where feasible to help improve the services and experiences of our members and prospective members.

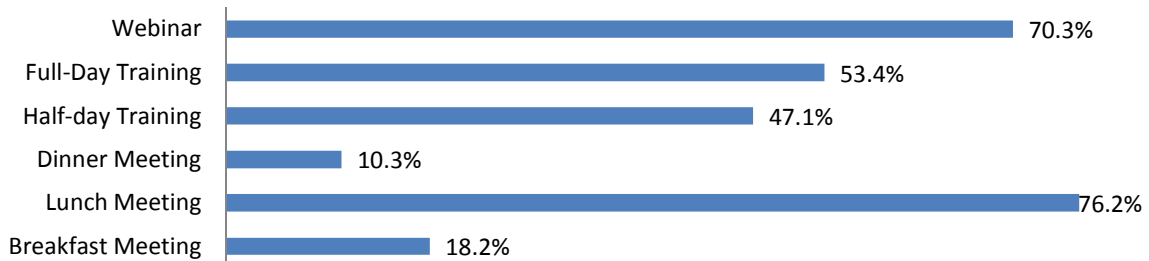


## The 2010-2011 Members Survey Results (Continued)

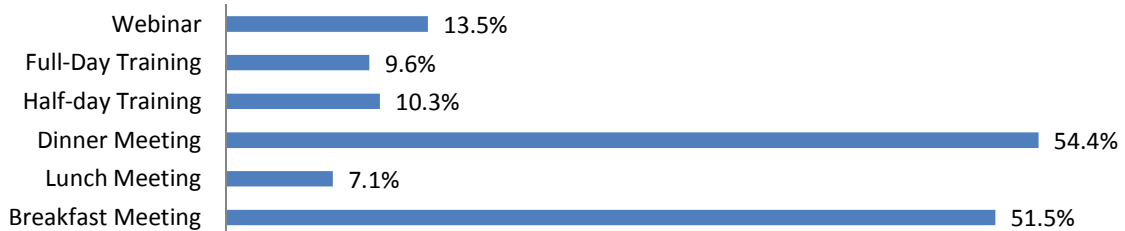
### Preferred Venue Location



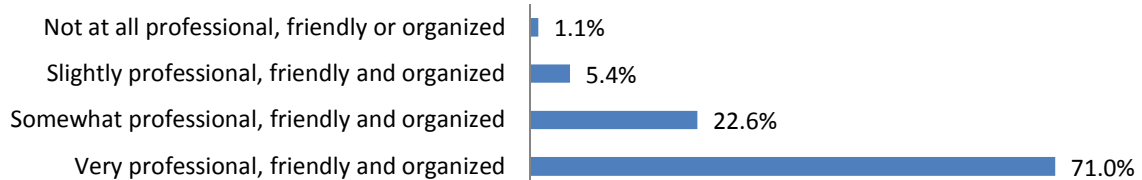
### Types of Events Preferred



### Types of Events **NOT** Preferred

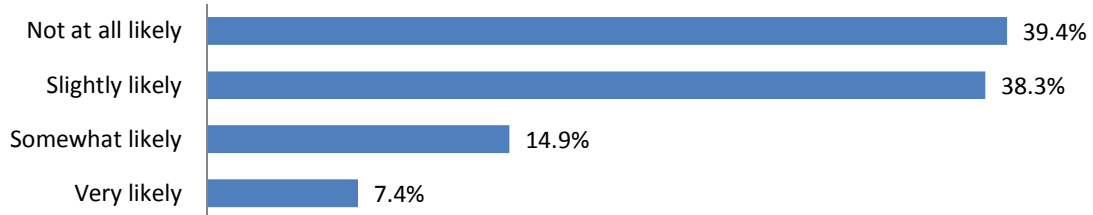


### Overall Impression of OC IIA Board Volunteers



## The 2010-2011 Members Survey Results (Continued)

### Likelihood of Volunteering with the OC IIA in the Next 1-2 Years

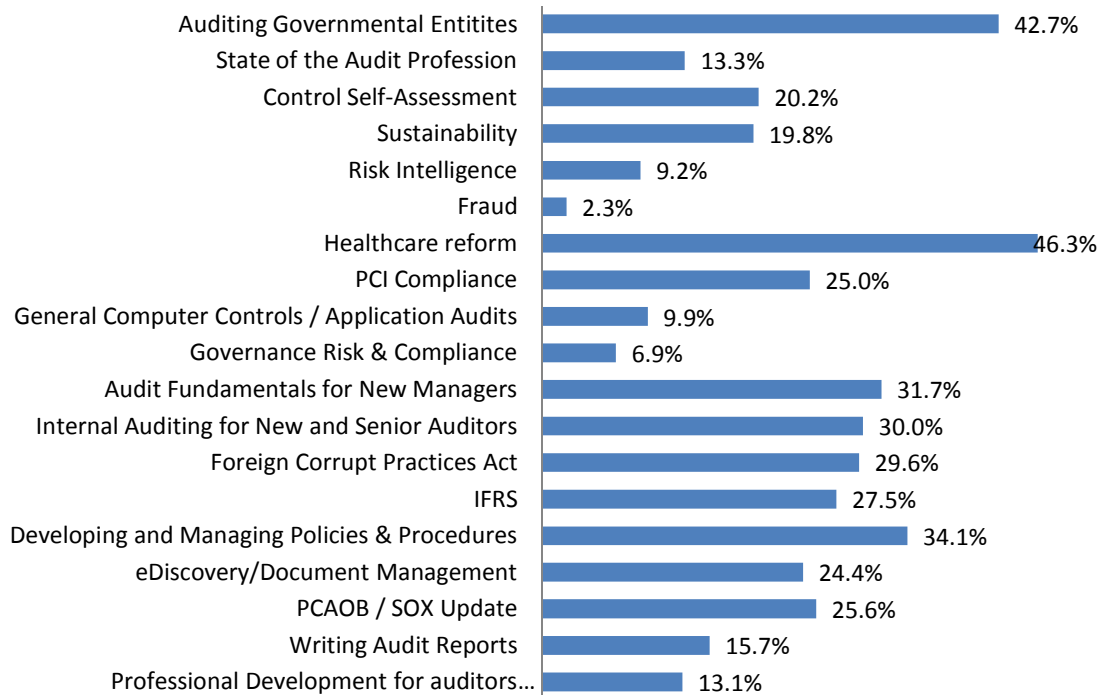


### Topics that Members are Very Interested In



## The 2010-2011 Members Survey Results (Continued)

### Topics that Members are **NOT AT ALL** Interested In



## “Risks of Social Media: Protecting Your Company’s Brand”

Speaker: Duleep Rodrigo, Director, KPMG LLP  
Date: Wednesday, June 15, 2011  
Time: 7:15 am – 8:45 am  
CPE Credit: 1.5 Hours  
Location: KPMG – Irvine Office  
20 Pacifica, Suite 700 (Training Room A)  
Irvine, CA 92618

**Light Breakfast and Self-Parking Included**

YouTube, Facebook, Twitter, and LinkedIn are all part of social media, a fast-growing form of communication creating great opportunities for organizations as well as risks. It is critical that companies understand the inherent risks and benefits, and be able to evaluate how well they are managing these risks. Our discussion will focus on understanding the risks of social media and establishing controls and policies to assist with monitoring and protecting your company's brand.

### ABOUT DULEEP RODRIGO



Duleep Rodrigo is a Director in KPMG's Risk and Compliance Services practice, providing a variety of advisory solutions that include: Enterprise Risk Management services, Internal Audit Services, Sarbanes-Oxley compliance, Continuous Auditing/ Continuous Monitoring and Sustainability services. He serves as the primary engagement director for a variety of large outsourced/ co-sourced advisory clients in Southern California. Duleep coordinates a variety of forums and events that brings together Internal Audit executives to discuss leading practices and trends in Internal Audit and is a frequent speaker on a variety of topics including: Continuous Auditing & Monitoring, Sustainability and Trends in Internal Audit. Prior to joining KPMG, Duleep was a Controller for a pre-IPO Company. He is a Certified Internal Auditor (CIA), Certified in Control Self Assessments (CCSA) and is a certified Project Management Professional (PMP).

**ONLINE PRE-REGISTRATION DEADLINE: JUNE 10, 2011**

**Pre-Registration Fees: \$10 IIA Members | \$15 Non-IIA Members | \$5 Students/Retirees**

Register at: [http://www.theiia.org/chapters/index.cfm/view.event\\_detail/cid/82/event\\_id/16295](http://www.theiia.org/chapters/index.cfm/view.event_detail/cid/82/event_id/16295)



**The Orange County Chapter of the IIA cordially invites all  
Chief Audit Executives (CAE), Chief Financial Officers (CFO), and all  
Senior Audit and Financial Officers to attend the quarterly**

**Chief Audit Executive/Chief Financial Officer Roundtable**

Date: Tuesday, June 21, 2011  
 Time: 8:00 am – 11:00 am, Discussions to start promptly at 8:15 am  
 CPE Hours: 3 hours  
 Location: Jefferson Wells and Right Management Offices  
 4041 MacArthur Blvd, Suite 210  
 Newport Beach, CA 92660  
 949-222-4000

**A continental Breakfast will be served.**



This event will be hosted by Jefferson Wells at no cost to the participants. The Roundtable will consist of topics chosen by the participants and will proceed at the pace set by the attendees. Past Roundtables have received rave reviews.

This Roundtable will be moderated by Mike Beard, Managing Partner of Value Based Project Management:

Mike Beard is the managing partner of Value Based Project Management (VBPM). VBPM builds corporate sustainability, efficiency, transparency and accountability through sound **operations, program portfolio management principles and team development**. Mike has over 30 years of diverse experience in **tactical, management and leadership positions** in Fortune 100 corporations; 15 years in Southeast Asian countries. His career and consulting work have spanned diverse industries such as mortgage, manufacturing, Department of Defense and construction to name a few.

He provides wisdom and expert advice on **project turnarounds, program/project audits-assessments, enterprise risk management, Lean operations, human resource capacity planning, integrated project portfolio management and the Program Management Office (PMO)**. Mike is a certified **Project Management Professional (PMP), Certified Lean Practitioner (CLP), certified in Information Technology Infrastructure Library (ITIL), and a Certified Scrum Master (CSM)**.

Potential Topics for discussion may include:

- **Managing an audit function in turbulent and uncertain times.**
- **Fraud Risks in down economy**
- **Audit Technology tool tips and traps**
- **Management/Board/Audit Committee Expectations**

Please RSVP on the IIA -OC Chapter website or by contacting Reid Griffin, CAE/CFO Roundtable Chair, at [rgriffin46@cox.net](mailto:rgriffin46@cox.net) with your **discussion topics of interest**.

## The Orange County IIA Presents: “Inside the Boardroom: A Panel Discussion with the C-Suite”

Date: Tuesday, June 21, 2011  
 Time: 11:00 am – 1:00 pm  
 CPE Credit: 1.5 Hours *(Additional 0.5 CPE as the presentation will start earlier than usual)*  
 Location: Irvine Hilton (across from John Wayne Airport)  
 8800 MacArthur Blvdm Irvine, CA 92612  
**Lunch and Self-Parking Included**

Have you ever been inside the boardroom to meet with the executives and audit committee members of your company? Have you wondered what their expectations are of you as internal auditors? In this fun and interactive Q&A session, you will have an opportunity to hear from a panel of executives to learn about their perspectives on various internal audit topics, including expectations when interfacing with the C-Suite. This event will be moderated by our Vice President (& President elect), Denise Gorman, who will guide our panel through the questions. During the latter part of the event, the floor will be opened to the audience for a direct Q&A interaction with the panel.

### ABOUT OUR EXECUTIVE GUEST PANEL



#### Jason Mefford, Vice President of Business Process Assurance, Ventura Foods

Jason is the Chief Audit Executive at Ventura Foods where he is responsible for all internal audit and investigation activities. He was also given responsibility for improving the governance, risk management and internal control processes, including the Company's culture, ethics, Code of Conduct and Mission, Vision and Core Values. Prior to joining Ventura Foods, Jason was the Director of Internal Audit at a major agribusiness manufacturing company and manager at Arthur Andersen and KPMG. Jason is a CIA, CPA, CICA and a member of the IIA and AICPA. He serves on the Leadership Council for the Open Compliance and Ethics Group (OCEG) and is currently the chair of the Member Value Proposition task force for IIA North America. Previously, Jason served as the President of the Boise IIA Chapter, IIA District Representative and member of the IIA International Membership Committee.

#### Vincent Greene, Director of Audit, Sony Corporation of America

Vincent Greene is the Director of Audit for Sony Corporation of America with Sony Pictures as his primary client. He has been with Sony for 11 years and has been involved in a variety of special projects and investigations in the entertainment business, as well as conducted production reviews of titles with costs in excess of \$200 million. Vincent has a team of 20 professionals of financial, operational and IT audit specialists that cover Sony Pictures revenues of approximately \$8 billion in 130 countries. Vincent is a CIA and CFE, as well as ISO 27001 certified for Information Systems reviews. Prior to joining Sony, Vincent was the Deputy Director of Finance for the Compton Unified School District where he was instrumental in reviving the reputation of the District's financial operations and helping end their receivership status with the State of California. Vincent is a native of Texas and holds an Accounting degree from Prairie View A&M.



#### Jackie Kelley, Advisory Services Partner, Ernst & Young LLP

Jackie is an Advisory Services Partner and Americas IPO Network Leader at Ernst & Young. She has over 20 years of experience providing advisory services related to business process improvement, internal audit, enterprise risk management, internal controls, and transaction readiness services, as well as, financial audit services to both private and public companies. She is a CPA in California and is currently a Co-Chair for the Tocqueville Society with the Orange County United Way. Jackie has a Masters of Accounting degree from the University of Southern California's Marshall School of Business and completed Ernst & Young's Executive Program with Northwestern University's Kellogg School of Management.

**Join us for a special celebration of the Chapter's GOLD Achievement and final event before the summer break!**

### “BRING YOUR BOSS” EVENT

Bring your boss to this event and you and your boss will be entered into a drawing to win the grand prize of

**ANGELS VIP TICKETS AND PARKING**

(NOTE: You and your boss must be registered, paid and present to be eligible)

**ONLINE PRE-REGISTRATION DEADLINE: JUNE 17, 2011**

**Pre-Registration Fees: \$30 IIA Members | \$35 Non-IIA Members | \$20 Students & Retirees**  
 Register at [http://www.theiia.org/chapters/index.cfm/view.event\\_detail/cid/82/event\\_id/16297](http://www.theiia.org/chapters/index.cfm/view.event_detail/cid/82/event_id/16297)



## THE IIA'S CIA LEARNING SYSTEM™



### Prepare to Pass. The IIA's CIA Learning System™

Are you looking for the best way to prepare for the Certified Internal Auditor® exam? The IIA's CIA Learning System™ combines printed books and interactive online study tools, with optional live and online courses to ensure you are prepared to pass the CIA exam.

The Orange County Chapter is pleased to announce that we are once again, sponsoring this class which is held on Saturdays at Brandman University Irvine Campus (Irvine Spectrum Area)

Part 1: Auditor's Role – October 1, 2011 & October 8, 2011  
Tuition: \$495

Part II: Conducting the Engagement – November 5, 2011 & November 12, 2011  
Tuition: \$495

Part III: Business Analysis and IT – January 7, 2012, January 14, 2012 & January 21, 2012  
Tuition: \$695

Part IV: Management Skills- February 11, 2012  
Tuition: \$395

Or all four parts for \$1,795. Tuition rates include books and web learning component. There is a 10% discount for IIA members.

**Contact Mary Shelton, Program Coordinator at**

**[Shelton@brandman.edu](mailto:Shelton@brandman.edu), or 949-341-9859**

# Note from the Chairman of the Employment Committee



We are currently forwarding job openings in a blind carbon copy (BCC) email to members, who have requested that service. This service is offered to members at no charge. There are employers and recruiters out there who do e-mail us of openings but choose not to advertise in our newsletters. You can e-mail Rick Neisser at [Rick.Neisser@Farmersinsurance.com](mailto:Rick.Neisser@Farmersinsurance.com) if you are a member & would like to be notified of job openings as Rick receives them. Rick does not, however, forward any member's resume to companies nor does he contact any company on behalf of any member.

Please email Rick if your firm has any openings that can be passed along to our members seeking opportunities. If you feel it would be appropriate for us to contact your HR department & establish a relationship, please let us know. Also, any company desiring to advertise job openings in the monthly newsletter and on the website may do so for small fee. Please see the following section for details & encourage your firm or company to advise us of openings even if they choose not to advertise. Thank you.

## Placing a Job Opening Advertisement

### JOB POSTING FEES – Free

#### FILE FORMAT

The file should be no more than one 8 1/2" x 11" sheet (one page, letter size) in Microsoft Word format. Please email the file to:

OC IIA Newsletter Editor Sharon Kuo at [sharon.kuo@us.pwc.com](mailto:sharon.kuo@us.pwc.com)

Assistant Web Master Priscilla Medrano at [PMEDRANO@VENTURAFOODS.com](mailto:PMEDRANO@VENTURAFOODS.com)

## FY 2011 Officers and Operating Committees

#### President

Denise Gorman  
(949) 683-0792

#### Vice-President (1<sup>st</sup> VP)

Julie Lathrop  
(949)-437-0241

#### Vice-President (2<sup>nd</sup> VP)

Jason James  
(949) 903-2524

#### Treasurer

Tiffany Bui  
[iiactreasurer@gmail.com](mailto:iiactreasurer@gmail.com)

#### Assistant Treasurer

Zolton Szabo

#### Secretary

Priscilla Medrano  
[PMEDRANO@venturafoods.com](mailto:PMEDRANO@venturafoods.com)

#### Employment Chair

Rick Neisser  
(949) 903-4915

#### Webmaster

Didem Komaromi

#### Assistant Webmaster

Priscilla Medrano  
[PMEDRANO@venturafoods.com](mailto:PMEDRANO@venturafoods.com)

#### Newsletter & Advertising

Sharon Kuo  
(714) 623-0695

#### CAE Roundtable Chair

TBD

#### Research & CAP Committee

Jason Chiang  
(760) 525-9532

#### Community Service

David Hess  
(949) 885-1560

#### Membership Committee Chair

Roy Currington

#### Governor

David Barton  
[davidbarton@aol.com](mailto:davidbarton@aol.com)  
Paul Zalle  
(949) 206-1149  
Francisco Barragan  
(714) 605-2544  
Ofelia Tamayo  
(714) 816-9578  
Susan Fothergill  
(714) 834-2475

#### Academic Relations

Sally Foroughi  
(714) 436-7238

#### Community Outreach

Julie Lathrop  
(949) 437-0241

#### Registration Chair

Alice Ho  
(714) 436-7485

#### Registration Committee

Lauren Ballard  
Ella Bazunts

#### Programs Committee Chairman

Anthony Manarang  
(949) 306-1369

#### Programs Committee

Dean Lee  
[deanlee@kpmg.com](mailto:deanlee@kpmg.com)  
Ryan Ashlock  
[rashlock@kpmg.com](mailto:rashlock@kpmg.com)  
Barnaby Wang  
[bwang@kforce.com](mailto:bwang@kforce.com)  
Payul Shahpatel

#### Nominating Committee Chair

Susan Fothergill  
714) 834-2475

#### Nominating Committee

Rick Neisser  
(949) 903-4915

#### Auditor

Tanuja Murgai  
(949) 437-0384