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YOUR BIGGEST RISK?



TRAINING
Never Stop Learning

RISK2006

2006 RISK AND CONTROL CONFERENCE

August 21-23

The Breakers

Palm Beach, Florida, USA

2006 RISK AND CONTROL CONFERENCE

AUGUST 21-23 – PALM BEACH, FLORIDA, USA

WHAT IS YOUR BIGGEST RISK?

Risk and control is big business – and improving your ability to add value and help improve your organization's risk management and control processes is critical to your future success. The IIA's highly regarded speakers and panel discussants will keep you focused on the risk and control issues that are important to your organization:

- Critical Issues
- Strategies for Success
- Auditor's Tool Box
- Technology Solutions
- Professional Development

Personalize your conference experience by selecting from virtual tracks on the topics that impact you, partake in enhanced networking events and opportunities, and enjoy the celebrated history and internationally acclaimed luxury of The Breakers Palm Beach.

Attend this event as an IIA member, and you will be awarded a free gift membership in The IIA's Control Self-Assessment Center – a US \$40 value. Visit www.theiia.org/membership to learn more about this exclusive membership section.

INTRODUCING NEW VIRTUAL TRACKS

Get the most out of this conference by selecting sessions geared toward your experience level. Within the five tracks — Critical Issues, Strategies for Success, Auditor's Tool Box, Technology Solutions, and Professional Development — are virtual tracks on SOX, CSA, ERM, Beginner, and Advanced. When registering, you can personalize your conference experience based on your experience and industry.



- SOX



- CSA



- ERM



- Beginner



- Advanced



GS1 From Fighting the Storm to Dancing in the Rain

Ed Robinson, CSP, CPA, President, Advanced Marketing Concepts

Ed Robinson is internationally recognized for his expertise in client development, customer service, service management, and communication skills.



GS2 Executive Roadmap to Fraud Prevention and Internal Control: Creating a Culture of Compliance

Martin T. Biegelman, CFE, Director, Financial Integrity, Microsoft Corporation

Martin Biegelman is a nationally recognized speaker and instructor, with more than 33 years of experience in fraud, corruption, and security investigations.



GS3 ERM and Creating a Risk-Intelligent Organization

Rick Funston, Principal, Deloitte & Touche LLP

Rick Funston's more than 30 years of experience has encompassed a wide cross-section of global industries. He is a frequent speaker, published author, and media spokesperson.



GS4 Risk Management: A View from 50,000 Feet . . . What the Board of Directors Need

Gerald M. Czarnecki, Chairman & CEO, The Deltennium Group Inc.

Gerald Czarnecki, an active Board member and Audit Committee chair, explains how to use effective leadership, focused strategy, effective organization, and sound financial management to help you reach peak performance.

To register, visit www.theiia.org/training or call +1-407-937-1111

SUNDAY, AUGUST 20, 2006

<p>Full Day Workshops 8:30 a.m. – 4:30 p.m.</p>	<p>Workshop 1 CCSA Prep Course <i>John J. McKeever, CFE, CQA, CPC, CBM, CCSA</i> President Contemporary Business Concepts</p>	<p>Workshop 2 CCSA Facilitation <i>Stacy Mantzaris, CIA, CCSA, CGAP</i> Vice President, Educational Programs <i>Charity Prentice, CIA, CCSA, CGAP</i> Vice President, Research <i>The Institute of Internal Auditors</i></p>	<p>1/2 Day Workshop 8:30 a.m. – 12:30 p.m.</p>	<p>Workshop 3 A Closer Look at "COSO Lite" Applications & Emerging Practices <i>Don Espersen</i> despersen & Associates</p>	<p>1/2 Day Workshop 1:00 – 5:00 p.m.</p>	<p>Workshop 4 Techniques for Maximizing Hotline Effectiveness <i>Dave Slovin</i> Vice President, Business Development The Network Inc.</p>
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<p>3:00 – 6:00 p.m. Conference Registration</p>						

MONDAY, AUGUST 21, 2006

7:30 – 8:30 a.m. Continental Breakfast and Networking in Exhibit Hall					
8:30 – 9:45 a.m. Opening Comments: Conference Chairman Larry Baker, Director, Enterprise Risk Consulting, Mercer Oliver Wyman GS1 From Fighting the Storm to Dancing in the Rain <i>Ed Robinson, CSP, CPA, President, Advanced Marketing Concepts</i>					
9:45 – 10:15 a.m. Networking Break					
TRACKS	Critical Issues	Strategies for Success	Auditor's Tool Box	Technology Solutions	Professional Development
10:15 – 11:30 a.m. Concurrent Sessions 1	<p>CS1-1  Monitoring and Evaluating Your Company's ERM Program <i>William T. Randolph, CIA, CPA, CCSA, CPEA</i> Quality Assurance Coordinator, Internal Auditing Southern Company</p>	<p>CS1-2  ERM Reporting to Senior Management and Directors <i>Spyro Karetos</i> Assistant Vice President, ERM Federal Reserve Bank of Philadelphia</p>	<p>CS1-3  SOX: Creating a Sustainable Compliance Process <i>Jonathan Marks, CPA, CPE</i> Partner Enterprise Financial Consulting</p>	<p>CS1-4  SOX IT General Controls Process in a Global Environment <i>Lane Boyd, CIA, CIDA, CISM, CISSP, GSLC, GSNA</i> IT Audit Manager McDonald's Corporation</p>	<p>CS1-5  Negotiating to Get Results: It Isn't the Same as Compromising <i>Stanley Rabe, CIA, CCSA, CPA, CMA, CISA</i> Program Manager for Internal Audit Education & Audit Process Improvement IBM</p>
	A	A	A	CS	C
11:30 a.m. – 12:45 p.m. Lunch with Dessert in Exhibit Hall					
12:45 – 2:00 p.m. Concurrent Sessions 2	<p>CS2-1  Control Practices in Collaborative Inter-organizational Settings <i>Shannon W. Anderson, Ph.D.</i> Professor, Jesse H. Jones School of Management Rice University <i>Margaret H. Christ, CIA, CPIM</i> Doctoral Student of Accounting The University of Texas at Austin <i>Karen L. Sedatole, Ph.D.</i> Associate Professor of Accounting Michigan State University</p>	<p>CS2-2  Enterprise-wide Risk Management: Making the Process Measurable <i>Gary J. Bierc</i> President and Chief Executive Officer <i>Thomas Freidheim</i> Chief Financial Officer The Kingson Group Ltd.</p>	<p>CS2-3  It's Not Your Father's Hotline <i>David Childers</i> Chief Executive Officer and Director EthicsPoint</p>	<p>CS2-4  Compliance and IT Controls <i>James DeLuccia, CISA, CISSP, QDSP</i> Director, Audit Services Vigilar Inc. <i>Dan Slodowick, CISA, CISM, CISSP</i> IT Audit Manager Alliance Data Systems</p>	<p>CS2-5  How do you Rate? Internal Audit Report Ratings – What Works Best <i>Richard Chambers, CIA, CGAP, CFE, CGFM</i> Managing Director PricewaterhouseCoopers <i>Paul Sobel, CIA, CPA</i> Vice President, Internal Audit Mirant Corporation</p>
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MONDAY, AUGUST 21, 2006 (Continued)

TRACKS	Critical Issues	Strategies for Success	Auditor's Tool Box	Technology Solutions	Professional Development
2:15 – 3:25 p.m. Concurrent Sessions 3	CS3-1 Initiating ERM at RadioShack <i>D. Jolene Miller</i> <i>Senior Director, Ethics & Corporate Compliance, RadioShack Corporation</i> <i>Larry Baker, CPA, CCSA</i> <i>Director, Enterprise Risk Consulting</i> <i>Mercer Oliver Wyman</i>	CS3-2 Establishing Enterprise Control Framework <i>Kazuhiro Yoshida</i> <i>Vice President, Planning Division for the Americas, Bank of Tokyo-Mitsubishi UFJ, Ltd.</i>	CS3-3 Audit's Role in ERM at Wells Fargo <i>Karl F. Riem, CPA</i> <i>Senior Vice President & Internal Audit Director</i> <i>Wells Fargo & Co.</i>	CS3-4 Primary Controls for Software Security <i>Jack Danahy</i> <i>Founder and Chief Technology Officer</i> <i>Ounce Labs</i>	CS3-5 Dynamic Communication: The Quest for Professional Development <i>Sanjay Patel</i> <i>Consultant, Jefferson Wells International</i> <i>Founder and President</i> <i>The CDA Group, Inc.</i>
3:25 – 3:50 p.m.	Networking Break				
3:50 – 5:00 p.m. Concurrent Sessions 4	CS4-1 The Path to Developing Practical Frameworks <i>Jeffrey Thomson</i> <i>Vice President, Research & Application</i> <i>Institute of Management Accountants</i>	CS4-2 Defining Your Deliverables – What Ultimately Are You Trying to Achieve? <i>Deanna Sullivan, CIA, CPA, CFE</i> <i>Principal</i> <i>SullivanSolutions</i>	CS4-3 Beyond Quality Assurance Reviews to Key Performance Indicators <i>Joseph Bardinelli</i> <i>General Auditor</i> <i>Blue Cross NE</i> <i>Pennsylvania</i>	CS4-4 How to Transition From Reactive to Proactive SOX IT Compliance Activities <i>Don Casson</i> <i>CEO</i> <i>Evergreen Systems</i>	CS4-5 Has SOX Hindered or Helped CSA? <i>John J. McKeever, CFE, CQA, CPC, CBM, CCSA</i> <i>President</i> <i>Contemporary Business Concepts</i>
5:00 – 6:00 p.m.	Welcome Reception				

TUESDAY, AUGUST 22, 2006

7:30 – 8:30 a.m.	Continental Breakfast and Networking in Exhibit Hall				
8:30 – 9:45 a.m.	Opening Comments: Conference Chairman Larry Baker, Director, Enterprise Risk Consulting, Mercer Oliver Wyman GS2 Executive Roadmap to Fraud Prevention and Internal Control: Creating a Culture of Compliance <i>Martin T. Biegelman, CFE, Director, Financial Integrity Unit, Microsoft Corporation</i>				
9:45 – 10:15 a.m.	Networking Break				
TRACKS	Critical Issues	Strategies for Success	Auditor's Tool Box	Technology Solutions	Professional Development
10:15 – 11:30 a.m. Concurrent Sessions 5	CS5-1 Ethical Assessment <i>Paul G. Makosz</i> <i>PDK Control Consulting International (Invited)</i>	CS5-2 Enterprise Risk Management: Complacency is no Longer an Option <i>John M. Farrell</i> <i>National Partner</i> <i>KPMG, LLP</i>	CS5-3 Emerging Risk Management Practices – What's New and Practical <i>Tim Leech, CIA, FCA, CCSA, CFE</i> <i>Chief Methodology Officer</i> <i>Paisley Consulting</i>	CS5-4 Is IT Next for ERM? <i>Sridhar Ramamoorti, Ph.D., CIA, CPA, CFSA</i> <i>Partner</i> <i>Grant Thornton LLP</i> <i>Dr. Marcia Weidenmier</i> <i>Watson</i> <i>Assistant Professor, School of Accountancy</i> <i>Mississippi State University</i>	CS5-5 The Importance of Internal Networking in Promoting ERM <i>Adam Small</i> <i>CEO</i> <i>Strategic Business Network, LLC</i>
11:30 a.m. – 12:45 p.m.	Lunch and Dessert in Exhibit Hall				

NASBA FIELD OF STUDIES:

A=Auditing, CS=Computer Science, C=Communications, MA=Management Advisory Services, BE=Behavioral Ethics, PD=Personal Development, RE=Regulatory Ethics, SK=Specialized Knowledge & Applications

TUESDAY, AUGUST 22, 2006 (Continued)

TRACKS	Critical Issues	Strategies for Success	Auditor's Tool Box	Technology Solutions	Professional Development
12:45 – 1:55 p.m. Concurrent Sessions 6	CS6-1 Risk Environments Within Non-Profits <i>J. Michael Joyce, CIA, CPA, CLU Chief Auditor & Compliance Officer Blue Cross/Blue Shield</i>	CS6-2 Relationship Between Audit and Management – Alignment and Positioning <i>Dennis Keglovits National Director, Risk Management Services RSM McGladrey</i>	CS6-3 What Internal Audit Can Teach us About Compliance Risk Management <i>Mark Opausky Founder and Chief Executive Officer Business Propulsion Systems</i>	CS6-4 Audit Automation – The Time Has Come <i>Keven Reardon, CISSP Vice President, Compliance Strategy & Operations Preventsys</i>	CS6-5 Leveraging E-learning for Training & Development of Internal Auditors <i>Michael Platz Senior Manager Deloitte & Touche LLP</i>
1:55 – 2:15 p.m.	Networking Break				
2:15 – 3:30 p.m. Concurrent Sessions 7	CS7-1 Measuring, Monitoring, and Reporting Key Risks <i>Christopher McCarthy Director, Enterprise Risk Consulting Jeanette York, CCSA Principal, Enterprise Risk Consulting Mercer Oliver Wyman</i>	CS7-2 Internal Audit's Role in Corporate Governance <i>Rick Julien, CIA, CPA Partner Crowe Chizek and Company LLC</i>	CS7-3 Weighing the Financial Operational/ Compliance Components <i>Sheila McKinney, CPA, CFSA, CTA Vice President, AllianceBernstein L.P.</i>	CS7-4 Risk & Control for Desktop Applications: Automated Auditing Tools <i>Bridget Kravchenko Manager, Information Technology Audit General Motors Corporation Jeff Fisher, CISSP, CISA Senior Manager, Enterprise Risk Services Deloitte & Touche LLP</i>	CS7-5 Promoting the Internal Audit Function in Your Organization <i>Ed Robinson President Advanced Marketing Concepts</i>
4:00 – 8:30 p.m.	Optional Networking Event – Island Living Tour				

WEDNESDAY, AUGUST 23, 2006

7:30 – 8:30 a.m.	Continental Breakfast and Networking in Exhibit Hall				
8:30 – 9:45 a.m.	Opening Comments: Conference Chairman Larry Baker, Director, Enterprise Risk Consulting, Mercer Oliver Wyman GS3 ERM and Creating a Risk Intelligent Organization <i>Rick Funston, Principal, Deloitte & Touche LLP</i>				
9:40 – 10:10 a.m.	Networking Break				
TRACKS	Critical Issues	Strategies for Success	Auditor's Tool Box	Technology Solutions	Professional Development
10:10 – 11:20 a.m. Concurrent Sessions 8	CS8-1 A Continuous View of Risk & Controls <i>David Coderre Manager, Continual Auditing Royal Canadian Mounted Police</i>	CS8-2 How to Use Existing Technology to Document SOX, or is SOX Software Necessary? <i>Greg Ehr Managing Director, Information Technology Services David Landau & Associates Sheryl Wolf Chief Accounting Officer Feldman Mall Properties, Inc.</i>	CS8-3 Creating Ethical, Self-governing, Winning Cultures <i>Adam Turteltaub Corporate Relations Executive LRN Marjorie Doyle Executive Vice President & Chief Compliance Officer Vetco International Paul L. Robert Associate General Counsel & Director, Contracts & Compliance United Technologies Corporation</i>	CS8-4 Information Security Data Surveillance and Monitoring <i>John Moynihan Deputy Commissioner and Internal Control Officer Massachusetts Department of Revenue</i>	CS8-5 Communicating Concepts and Results: Practical Tips for Reports and Presentations <i>Sally F. Cutler President Word-Wrights Inc.</i>

11:20 a.m. – 12:30 p.m.	GS 4 Risk Management: A View from 50,000 Feet ... What the Board of Directors Needs <i>Gerald M. Czarnecki, Chairman, CEO, The Deltennium Group, Inc.</i>		
12:30-1:30 p.m.	Lunch (Roundtable attendees only)		
1:30-4:30 p.m.	Roundtable 1 Starting ERM Stage <i>John M. Farrell</i> <i>National Partner</i> <i>KPMG, LLP</i>	Roundtable 2 In Progress ERM Stage <i>Facilitator TBD</i>	Roundtable 3 Final Stage of ERM Implementation <i>Facilitator TBD</i>

THE BREAKERS PALM BEACH

Since 1896, The Breakers Palm Beach has enjoyed international acclaim as one of the world's most luxurious oceanfront destinations. Set amidst 140 acres of breathtaking oceanfront property, the resort offers an extensive range of services and amenities for the business traveler.

With two championship courses, The Breakers is the destination of choice for avid golfers, as well as vacationers who enjoy the game. Or escape to the idyllic luxury of The Spa at The Breakers...an oasis of pampering and indulgence. The Breakers is also a recipient of the prestigious AAA Five Diamond Award.

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YOGA / 6:30 A.M.
 Tuesday, August 22
 Wednesday, August 23

A series of stretches that will awaken your energy and body for the remainder of the day.

Classes are complimentary for all conference attendees.

OPTIONAL NETWORKING EVENT

ISLAND LIVING TOUR

TUESDAY, AUGUST 22 / 4:00 – 8:30 P.M. / US \$65 PER PERSON

Tour the famous island of Palm Beach. This two-hour excursion highlights the exquisite architecture and introduces you to the history and people that make Palm Beach one of the most desirable places to live and play. You will be chauffeured in air conditioned comfort as you see such sights as The Kennedy Compound, Mar-a-Lago (Donald Trump's club and private residence), Ronald Perelman's home which sold for \$81 million, rocker Rod Stewart's home, and the world-class shopping area, Worth Ave. Plus each guest will receive an Island Living gift at the end of the tour. Then it's on to City Place, a unique Palm Beach Shopping experience, for shopping and dining. The tour bus will return you to The Breakers at 8:30 p.m.

RISK
2006

REGISTRATION FEES

Event	Member	Nonmember	Available CPE
Conference	US \$1,050	US \$1,200	17
Pre-Conference Full Day Workshop	US \$350	US \$400	7
Pre-Conference Half Day Workshop	US \$250	US \$300	4
Post Conference Roundtable	US \$250	US \$300	3

Attend multiple events and save!

Attend both the conference and a workshop or roundtable and save US \$50. Attend all three events and save US \$75.

Are you a CSA Center member? CSA Center members save an additional US \$50 off The IIA member conference price.

Interested in sending more than one person from your organization?

Save money with our multiple registrant pricing.

Number of attendees	Member	Nonmember
1-3 registrants from the same organization	US \$1,050	US \$1,200
4-8 registrants from the same organization	US \$975	US \$1,125
9 or more registrants from the same organization	US \$900	US \$1,050

Registrations must be presented together to receive the discounted rate.

After August 18, 2006, register on site at The Breakers Palm Beach. Registration fees include breakfasts, refreshment breaks, Monday and Tuesday lunches, and Monday's reception.

TO REGISTER, VISIT WWW.THEIIA.ORG/TRAINING OR CALL THE IIA'S CUSTOMER SERVICE CENTER AT +1-407-937-1111.

CONFERENCE DETAILS

Payment Details

Payment, in US dollars, for an IIA-sponsored or co-sponsored conference is due at the time of registration. If payment has not been received prior to the start of the event, The IIA reserves the right to deny entrance. Mail your check, made payable to The Institute of Internal Auditors, with your registration form to The IIA Payment Center, P.O. Box 281196, Atlanta, Georgia 30384 USA; or call The IIA's Customer Service Center at +1-407-937-1111, Fax: +1-407-937-1101, to charge your registration to your VISA, MasterCard, or American Express.

Schedule Changes

At times, it may be necessary to cancel or reschedule a conference after registration has been confirmed. The IIA will provide advance notice of any changes, and in doing so will not be responsible for penalties related to the canceling or rescheduling of airfares, lodging, etc.

What If You Change Your Mind?

Cancellations must be made in writing, and those received after August 7, 2006 are subject to a US \$50 nonrefundable processing fee. This service charge will be waived if you register for another program at the time of cancellation. No refunds will be given for confirmed reservations not cancelled by August 18, 2006. All requests for refunds will be processed within 30 days following the program. Fees, courses, and program content are subject to change without notice.

CPE Credits Available

When you attend this conference you are eligible for up to 17 Continuing Professional Education



(CPE) credits, depending on the number of sessions you attend. Attendance will be tracked via a bar-coded ticket system. By attending a pre-conference workshop you are eligible for up to 7 CPE hours. By attending a post conference roundtable you are eligible for up to 3 CPE hours. The IIA's educational programs are acceptable for fulfilling CPE requirements, which ensure that internal auditors comply with the specific standards on education in The IIA's *International Standards for the Professional Practice of Internal Auditing*. The Institute is registered with the National Association of State Boards of Accountancy as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses. Complaints regarding registered sponsors may be addressed to NASBA, 150 Fourth Ave. North, Nashville, TN 37219-2417 USA.

SPECIAL OFFERS:

Free IIA Membership

By remitting the nonmember price for this event upon registration, you are eligible for a free one-year gift membership. By completing a registration form for this event in its entirety and submitting it to The IIA, you signify your agreement.

Free CIA Exam Registration

The IIA will waive the initial CIA program registration fee (a US \$60 value) for any IIA member who attends this conference. Pick up a CIA application at The IIA registration desk and return it to The Institute with a copy of your CPE letter.



Special CCSA Prep Course and Exam Offering

Take advantage of a unique opportunity to prepare for the Certified Control Self-Assessment exam during an optional pre-conference prep course on Sunday, August 20th and sit for the special CCSA exam offering on Wednesday, August 23rd.

Get 10 Percent off Your Bookstore Order

Get 10 percent off your order and free shipping and handling within the US and Canada when you order IIA-published Bookstore products on site during select IIA conferences and seminars. Or, mail in your order using the special order form available on site. The mail-in offer is only valid for orders received by The IIA within two weeks of the program's completion date. This offer is only good for attendees of select IIA conferences and seminars.

What to Wear

For your comfort, business casual is appropriate when attending IIA conferences. We suggest that you dress in layers because the temperatures in hotel meeting rooms can vary considerably.

Rental Car

Save money with Avis through The IIA. To qualify reference Avis Worldwide Discount (AWD) #A003099. www.avis.com.

IMPORTANT NOTE: Admittance to this event is contingent upon full receipt of payment prior to the conference.

2006 RISK AND CONTROL CONFERENCE

August 21-23
The Breakers
Palm Beach, Florida, USA



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Altamonte Springs, FL 32701-4201 USA

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UPCOMING IIA CONFERENCES

2006 SCHEDULE

October 23-25	All Star Conference	Las Vegas, Nevada
December 7-8	Audit Leadership Forum	Scottsdale, Arizona

2007 SCHEDULE

March 19-21	General Audit Management Conference	Kissimmee (Orlando), Florida
April 10-12	Gaming Conference	Las Vegas, Nevada
April 23-25	Information Technology Conference	Scottsdale, Arizona
June 4-6	Financial Services Conference	Lake Buena Vista (Orlando), Florida
August 20-22	Risk & Control Conference	San Diego, California
October 22-24	All Star Conference	Las Vegas, Nevada

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