

THE MIAMI BEAT

B u s i n e s s . E t h i c s . A u d i t . T e c h n o l o g y .

ISSUE NO. 13

SEPTEMBER 2020 - MARCH 2021

2020 / 2021

FEATURES

MEMBERSHIP SPOTLIGHT P.2

Membership Discounts & Membership Drive Winners

Conferences, events, webinars, and more.

AUDIT EXECUTIVE SPOTLIGHT P.7

Steve Roth: Network, Network, Network.

Get to know the Audit Executive at Norwegian Cruise Line Holdings!

PRESIDENT'S LETTER



Dear IIA Miami Chapter Valued Members and the IIA South Florida Community:

During 2020, I have had the honor to both lead and be part of a wonderful organization that allows me and the IIA Miami Chapter team to continue providing value to our members. Needless to say, it was a slightly different and challenging year; however, the Miami Chapter has remained

strong and committed to continue providing the benefits that we normally do to our members.

I can proudly say that our Officers and volunteers took the obstacles they were faced with, and dedicated time and effort to turn them into opportunities. We continued to do what we do and perfect it - our team continues to strive and excel during challenging times. Last year was a year of change, development and adaptation. Our Chapter decided to strive and lead by example by rapidly adapting to recent circumstances and continue providing value virtually/remotely.

This coming year, the mIIAmi team has been planning several events that will blow your mind! We have embraced our new "Remote Era" and fully taken advantage of this virtual world by getting creative with our event planning and value-add activities. From private Slack talks by CAEs to chat breakout sessions during virtual conferences, or even remote trivia happy hours, we have been carefully thinking of you and have a lot of surprising, fun events that will allow you to collect your CPEs while learning, networking and having fun at the job. The best part is that it is from the comfort of your home!

As you know, we are committed to enhancing our conferences, networking events, and roundtables. We need your help! We want to know what we can do to fully adapt to your needs. I encourage you to reach out to me or any of our team members with any ideas that will allow us to enhance how we provide value, not to mention all the amazing volunteering opportunities we have. It never hurts to ask!

As we navigate through different, unprecedented, yet opportunistic times, I encourage you to be the best version of yourself!

Cheers,

Janine Wolman
President, IIA Miami Chapter

As of March 2021, IIA Miami has approximately 700 members.

MILESTONE MEMBERSHIPS:

30 Years - Carlos Hondal and Rolando Dennis Midel

25 Years - Mark Coolidge, Kenneth Henry, and Peter Prygelski

20 Years - Luis Castillo, Wayne Cimring, Walter Kidd, and Douglas Hurley

10 Years - 33 members reached this milestone!

5 Years - 34 members reached this milestone!



DISCOUNT

MEMBERSHIP DISCOUNTS:

AEC950 - New Member AEC Bundle includes Essential and NA professional membership for \$950. Expires June 30, 2021

CHE21 - 20% off New or Rejoining (previously lapsed) NA Individual Professional Membership

GCHE21 - 20% off New or Rejoining (previously lapsed) NA Government Membership. Expires December 31, 2021

You can update your email address, and personal information, directly in your IIA account:

[Click here for instructions on how to update your profile](#)

[Click here to become a new member](#)

[Click here to renew your membership](#)

If you are interested in joining one of our various committees, please reach out to vpmembership@iiamiami.org for more details.



The Institute of
Internal Auditors
Miami Chapter

MEMBERSHIP SPOTLIGHT

Mark your calendars for May 13th IIA Miami Membership Networking Event at 6:00 p.m.

This 80's Trivia Night includes a CASH PRIZE for the winning team.

It's complementary for IIA Miami Members. Non-IIA Miami Members or Members from other IIA chapters may join at \$10 per person.



MEMBERSHIP
DRIVE
winners!



ATTENTION ALL AUDIT EXECUTIVES!

WE WANT TO HEAR FROM YOU!

Please reach out to Adrian Romero, VP of Specialty Centers, for the IIA Miami Chapter, at vpspecialtyCenters@iiamiami.org. He is a resource for you to ask for ideas, support, and connections - all to make sure you have the right tools to successfully lead your company's Internal Audit function.

There are some exciting, upcoming activities in the works and we would love to hear your voice as we shape them into successful events to better support you! From insightful industry roundtables to panels geared towards sharing your success stories, the opportunities are endless.

One important tool we strongly encourage you to consider is the IIA's Audit Executive Center. As a member, you will have substantial benefits and tools at your fingertips. Click [here](#) for more information.

NEWS AND UPCOMING EVENTS

Stay tuned for upcoming information on our May Banking Industry Roundtable and for our summer Audit Executive Experience and Q&A Panel event.

Also, we are rolling out a communication platform just for South Florida Audit Executives. Don't miss out.



**Thank you RSM for sponsoring our Healthcare
Audit Executive Center roundtable and
thanks for the wonderful gift!**

STUDENT SCHOLARSHIPS

The Institute of Internal Auditors - Miami Chapter, Inc. has awarded \$4,000 in scholarships to four students pursuing Auditing or Accounting careers.

Meet the 2020 IIA Miami Chapter Scholarship recipients!**Kathleen Hanson**

Kathleen is an accounting student at the University of Miami, who will be pursuing a Masters of Science in Accounting & her CPA certification after graduating in May 2021. She is the captain of the UM's women rowing team and was on the Athletics Honor Roll for three years in a row. Kathleen is the President of the University's Chapter for the NGO "Best Buddies" and a member of UM Student Athlete Advisory Committee.

**Larissa Rallean**

Larissa is a finance student at Florida International University. She continued her studies while working full time at various financial institutions. Larissa speaks Romanian, Russian, basic Spanish and French. She also volunteers at arts and cultural activities in Hollywood.

**AlexaDeBartolo**

Alexa is an accounting student at the University of Miami and is on the President's Honor Roll and Dean's list. She was an intern at PwC during the summer of 2020 and at Pfizer in 2019. Alexa is a member of Beta Alpha Psi and co-founded a club in support of the NGO titled "Children with Hair Loss."

**Christina Martinez Mercado**

Cristina is an accounting student at Barry University. She is a member of the Academic Honors Society "Phi Eta Sigma" and was on the Dean's list since the fall of 2016. After completing the corresponding IRS Certification, she worked on the VITA site to help individuals from a low-medium income bracket fill their tax forms for the 2019 year. Cristina was also a volunteer at William Turner Technical High School teaching a financial literacy course.

CONGRATULATIONS

CERTIFICATIONS CORNER

During these challenging times, it may feel as if the world has been put on hold. However, your professional development doesn't have to be.

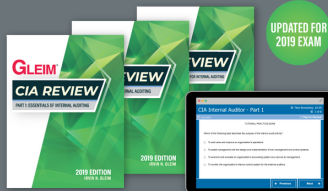
Certification Online Testing – Available Now

To support the continued professional development of certification candidates around the world and in response to mass Pearson VUE test center closures, The IIA has adopted online testing to enable candidates to take the Certified Internal Auditor® (CIA®), Certification in Risk Management Assurance® (CRMA®), and CIA Challenge exams from home. This flexible testing option will be available for a limited time!

UPCOMING CHANGES TO THE CRMA

The IIA announced that they are delaying the upcoming changes to the CRMA exam. The new program requirements, format, window, and pricing changes will now take effect in Mid-2021. For details about the changes, visit the IIA's website [here](#).

ARE YOU INTERESTED IN
BECOMING CIA CERTIFIED? CLICK
[HERE](#) TO REGISTER TODAY.



Gleim Exam Prep is extending exclusive discounts to our members for CIA study materials and prep courses. To access your discount:

1. Navigate to the IIA Miami Chapter website
2. Sign in with your member ID and password
3. Click on the Gleim link shown on the Members only tab of the webpage

Check out this FAU Certified Internal Auditor Program from April 24 to July 10, 2021. Click [here](#) for more information.

AUDIT EXECUTIVE SPOTLIGHT



STEVE ROTH

**VICE PRESIDENT
INTERNAL AUDIT &
ERM**

**NORWEGIAN
CRUISE LINE
HOLDINGS**

Steve has 30 years of internal and external auditing experience and both his CPA and CIA, making him an expert in the field. He began his career at Deloitte & Touche, and later, he joined Royal Caribbean as their Internal Audit Manager and in charge of a cutting-edge audit department. After he left Royal Caribbean, he created Audit Assessment Group, a consulting company specializing in quality assessments of internal audit departments. Finally, he joined Prestige Cruise Holdings as its Senior Director of Internal Audit and was promoted to Vice President. Norwegian Cruise Line acquired Prestige, and in 2015, Steve became Vice President of Internal Audit for the combined organization. His department of 12 people is responsible for shipboard audits, shoreside audits, IT audits, ethics investigations, and special projects. In 2018, he assumed responsibilities for facilitating the Company's Enterprise Risk Management efforts.

In addition to performing all CAE duties, Steve served as the President of the IIA – Miami Chapter from 2009 to 2010. He currently serves on the Board of Governors and was Co-Chairman for the IIA's International Conference in 2020. Steve has also been an Adjunct Professor at FIU since 2013, teaching the Internal Audit class to Master of Accounting students.

The IIA Miami Chapter had the opportunity to chat with Steve about his experience and his perspective on being a member of the IIA:

What are you known for (at work, with your friends and family, or both)?

I am known for my sense of humor. I try to inject some comedy into situations where it is least expected such as Audit Committee meetings, with external auditors, or lectures to my students. I love making people laugh, because we can't always take ourselves so seriously; life is too short.

How has COVID-19 challenged you in the workplace?

Norwegian is a leader in the cruise industry and this industry has been one of the most impacted by COVID-19. I believe the challenge is finding the right balance between staying optimistic and remaining grounded in the reality of the situation. I take comfort in knowing that I am part of an industry which is extremely resilient. From other tough times that we have experienced, such as the 9/11 terrorist attacks and the 2008 Recession, the industry emerged stronger and better.

What is one thing about you we will not find in your resume or LinkedIn?

I initially left Deloitte to become a Controller. It didn't take long to come to the realization that this role was not my destiny. I went back to Deloitte, and then left for a second time, to start a career in internal audit.

In your opinion, what are some of the benefits of being a member of the IIA?

Although I have established an incredibly wide network through my positions at Deloitte, Royal Caribbean, my consulting business, and Norwegian Cruise Line Holdings, my most valuable relationships were cultivated through the IIA.

I encourage all members of the IIA to get involved in organizations, volunteer for a committee, become an officer, or just attend meetings - but always network. There are very few companies in South Florida where I do not know someone. Many of my friends, former colleagues, and LinkedIn contacts reach out to me because of this extensive network, and I have been able to help many with introductions. My advice is network, network, network! Did I mention that you should network?

MARK YOUR CALENDARS

WE WANT TO HEAR FROM YOU!

You will soon receive a survey from IIA Miami Chapter to find out if you liked our past events. Don't forget to send us your thoughts

UPCOMING CONFERENCES AND EVENTS

May 13, 2021

IIA Miami Membership Networking Event

Information coming soon!

June 14 - 18, 2021

2021 IIA International Conference

Click [here](#) to find out more.

August 9 - 11, 2021

Governance, Risk, and Control Conference

Information coming soon!

September 27 - 28, 2021

Financial Services Exchange

Information coming soon!

September 29 - 30, 2021

Women in Internal Audit Leadership Forum

Information coming soon!

November 8 - 10, 2021

All Star Conference

Information coming soon!

UPCOMING WEBINARS

April 15, 2021

Hot Topical Storm Program - Trending Topics in Internal Audit

Click [here](#) to register.

April 21, 2021

KPMG Webcast: Common questions related to ICOFR programs

Click [here](#) to register.

May 5, 2021

Florida Wide IIA/ACFE Fraud Webinar

Information coming soon!

TBD - CAE Events:

- May Banking Industry Roundtable
- Audit Executive Experience and Q&A Panel

AND MORE...

Don't forget to check out the IIA Training Platforms for more training opportunities.

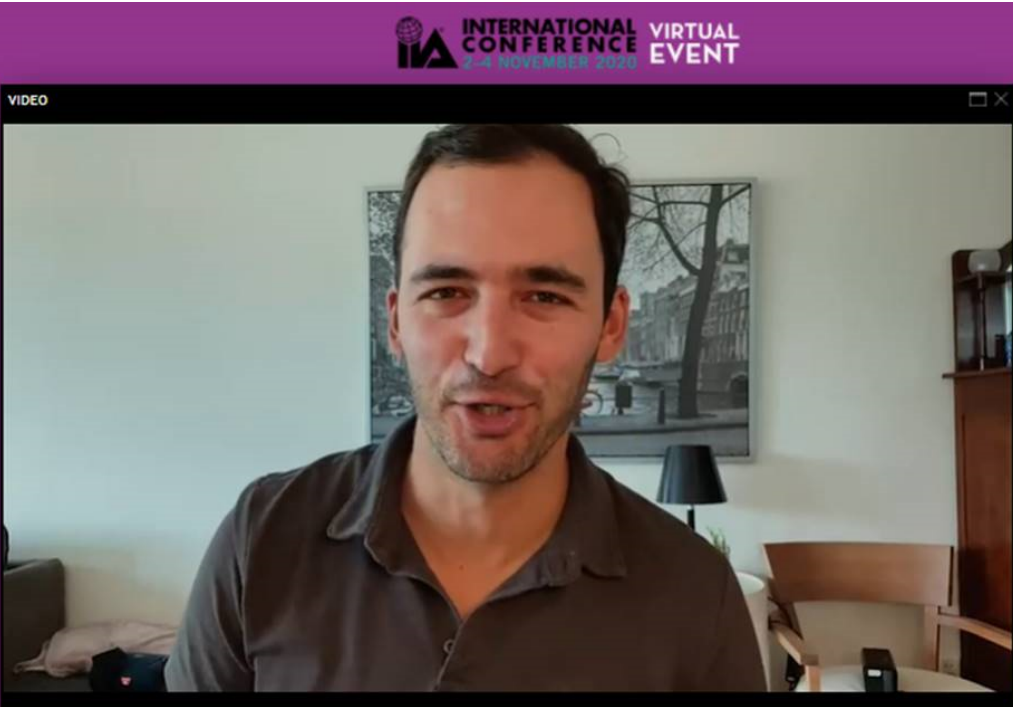
Click [here](#) to find out more.

PHOTOGENIC CENTRAL (REMOTE EDITION)

IIA INTERNATIONAL CONFERENCE VIRTUAL EVENT



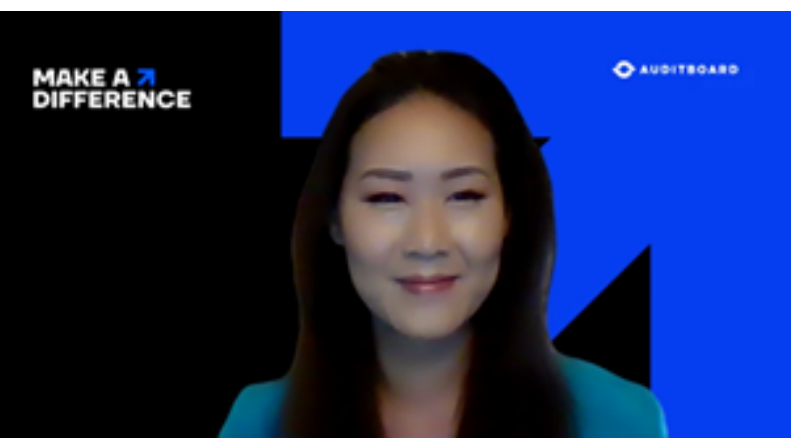
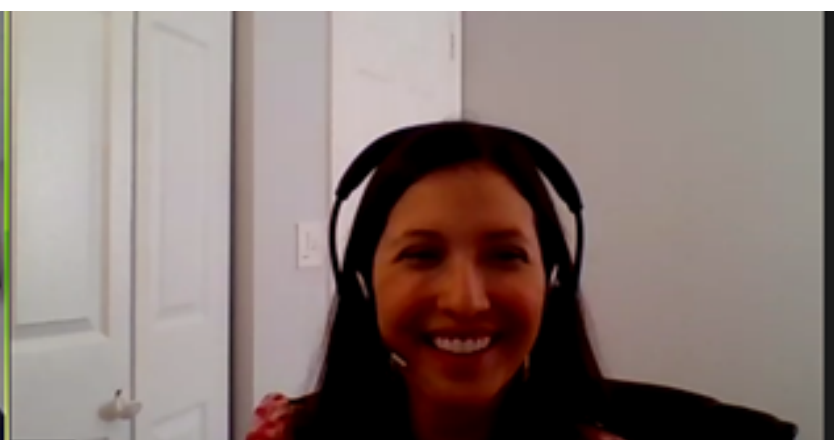
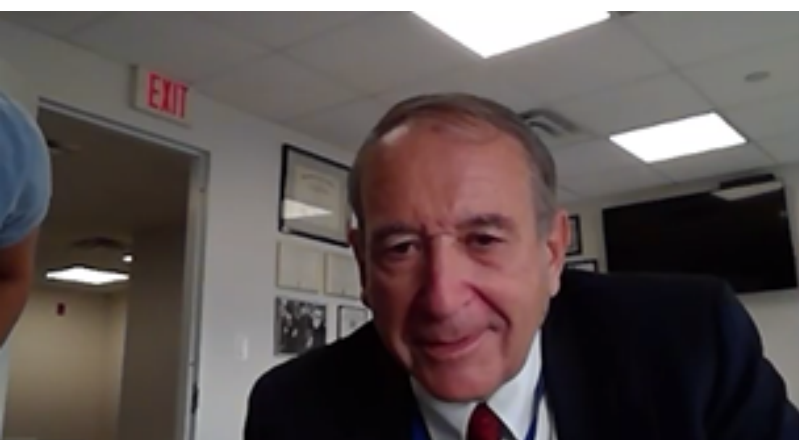
INTERNATIONAL CONFERENCE VIRTUAL EVENT
2-4 NOVEMBER 2020



Tag your photos with #mIIAmi on social media for a chance to be featured on our next newsletter!

PHOTOGENIC CENTRAL (REMOTE EDITION)

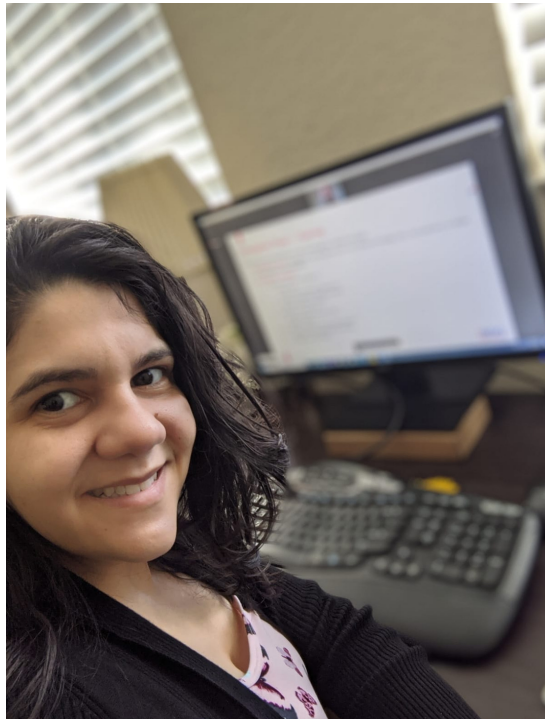
FRAUD CONFERENCE



Tag your photos with #mIIAmi on social media
for a chance to be featured on our next
newsletter!

PHOTOGENIC CENTRAL (REMOTE EDITION)

FRAUD CONFERENCE (BEHIND THE SCENES)



Tag your photos with #mIIAmi on social media
for a chance to be featured on our next
newsletter!

PHOTOGENIC CENTRAL (REMOTE EDITION)

TRIVIA NIGHT



Thank you!



Your Winning Team
Lost Contingencies
Jackson, Diana, Enrique, and Carol



November 12th

Tag your photos with #mIIAmi on social media
for a chance to be featured on our next
newsletter!

IIA MIAMI CHAPTER BENEFITS

Show me the money!

ANNUAL MEMBERSHIP COST: \$270*



NATIONAL LEVEL BENEFITS

ESTIMATED
ANNUAL
SAVINGS

• EXCLUSIVE LIVE AND ARCHIVED WEBINARS FOR CPE CREDITS.....	\$600
• CONFERENCE REGISTRATION DISCOUNTS.....	\$200
• TRAINING EVENTS DISCOUNTS.....	\$500
• NEW INTERNATIONAL PROFESSIONAL PRACTICES FRAMEWORK.....	\$90
• CPE REPORTING.....	\$120
• INTERNAL AUDITOR MAGAZINE AND INTERNALAUDITORONLINE.ORG.....	\$60
• CERTIFIED INTERNAL AUDITOR DESIGNATION DISCOUNT.....	\$460
• CIA LEARNING SYSTEM DISCOUNT.....	\$100
• SPECIALTY EXAMS (I.E., CIA, CGAP, CFSA, CCSA, CRMA) DISCOUNTS...*	\$115
• IIA SEMINARS AND CONFERENCES DISCOUNTS.....	\$250
• INTERNAL AUDIT FOUNDATION BOOKSTORE DISCOUNTS.....	20-80%
• INTERNAL AUDIT FOUNDATION RESEARCH PUBLICATIONS DISCOUNT.....	20%



MIAMI CHAPTER EXCLUSIVES

• MEMBER DISCOUNTS FOR QUARTERLY CONFERENCES.....	\$200***
• JOB POSTINGS ON IAMIAMI.ORG.....	\$100
• DISCOUNT ON GLEIM CIA EXAM PREP MATERIALS.....	\$100
• MEMBER-ONLY NETWORKING EVENTS	
• ANNUAL MEMBER APPRECIATION EVENT	
• CAE-MEMBER ONLY EVENTS	



THE INTANGIBLES

PRICELESS

- WEEKLY IIA SMARTBRIEF NEWSLETTER
- AUDIT CAREER CENTER JOB POSTINGS
- LOCAL & GLOBAL VOLUNTEER OPPORTUNITIES
- NETWORK WITH 200,000+ GLOBAL PEERS THROUGH SOCIAL MEDIA
- LEGISLATIVE ADVOCACY REPRESENTING PROFESSIONAL INTERESTS
- YOU HELP CONTRIBUTE TO SCHOLARSHIPS AWARDED TO STUDENTS

**\$2,895 +
IN BENEFITS**

*Annual Dues for Individuals:

- Students - \$50
- Educator - \$145
- Government - \$175
- Professional - \$270
- Retired - \$90

Group rates also available. Email auditgroups@theiia.org for details.

**Certified Internal Auditors earn on average \$38,000 more annually than those without a certification, according to The IIA 2017 Internal Audit Compensation Study (based on US Responses).

***Due to COVID this is historical data and it is unknown for FY2020

QUICK LINKS

BECOME A MEMBER [HERE](#)

RENEW YOUR MEMBERSHIP [HERE](#)

ALREADY A MEMBER? ACCESS PROFILE [HERE](#)

LEARN MORE ABOUT MEMBERSHIP BENEFITS [HERE](#)

LEARN MORE ABOUT CERTIFICATION [HERE](#)



The Institute of
Internal Auditors
Miami Chapter

SPONSORSHIP PROGRAM

SPECIAL THANKS TO OUR SPONSOR



AUDITBOARD



Do you know about our Sponsorship Program?

You may receive recognition through the entire year in chapter communications, on our website, and at events.

Reach out to David Grieser at vpprograms@iiamiami.org or Adrian Romero at vpspecialtyCenters@iiamiami.org for more details on sponsorship opportunities.

CAREERS

[Click here](#) to check-out the IIA Miami website for new job postings.

FOLLOW US

Follow us on our social media platforms! #IIAMIAMICHPATER



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