

# Member NEWSLETTER

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Fall 2021, Issue #21-2

Newsletter Chair:  
Sean McErlean

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## President's Message



Dear Philadelphia IIA Chapter Member,

I hope this finds you healthy and safe. As the new Chapter President, I would first like to thank our outgoing president, Laurie Riggs, for her unending dedication to our Chapter and her tireless work for all of our members over the past four years. Laurie has spent so much of her time leading us through some challenging and changing times for the chapter and the world. She also greatly advanced our chapter's commitment to diversity and inclusion committee this past

year by starting a committee focused specifically on promoting internal audit careers to diverse individuals. We could not have had a better leader to get us through the past year! Please join me in thanking her for everything she has done! Following in Laurie's footsteps is going to be a challenge, but I am up to the task and am excited to continue our progress during the 2021-2022 chapter year.

It is going to be another year of resiliency and flexibility for the chapter as we continue to adjust to the new working world. We are committed to providing you with relevant and timely CPEs while maintaining a safe training environment. While we cannot wait to see you face to face again, we will continue to do what we feel is the safest for our membership. Our 2021 Fall Conference was moved to a virtual event, and although the chapter had to pay a fee to move the event, we felt it was in the best interest and safety of our members. For now, we are happy to be able to offer our CPE events virtually, and we intend to hold our 2022 Spring Conference in person next year!

IIA national also continues to offer online CIA Review Courses throughout the year for those looking to become certified. As our chapter is a CIA Premier Partner, members may sign up for review courses and exams for a discount. Learn more here <https://www.learn CIA.com/> and ensure you mention you are a Philadelphia member! Additionally, we are in the process of planning some virtual social events as a way for all of us to stay connected during these challenging times. Please feel free to reach out anytime as we would love to have your suggestions on how we, as a chapter, can best support you!

We have an unbelievably fantastic group of volunteers that have enabled our 2020-2021 accomplishments and we would not be able to provide such quality programs and networking opportunities without them. If you are interested in getting more involved with the chapter, please send me an email and I will get you connected with one of our committees.

I look forward to meeting you, either virtually or in-person, and please stay well!!  
Sincerely,



Ryan Egan  
President, Philadelphia Chapter of the  
Institute of Internal Auditors

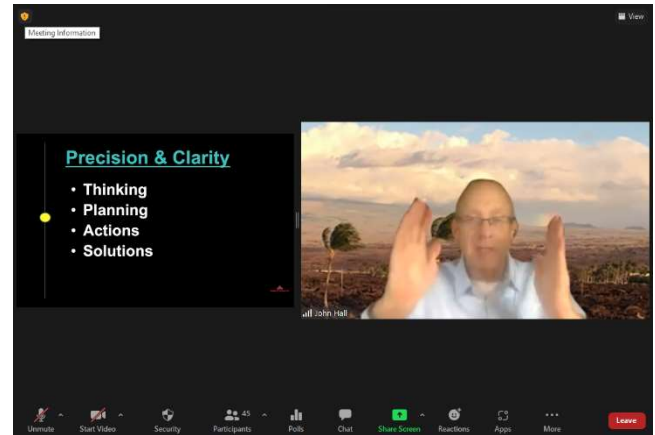
## Fall Conference Event Spotlight

As we get ready for the Fall Conference and learn to adjust to the world of virtual conferences, we would like to say thank you to our members for their committed support to the Philadelphia IIA chapter. This transition from in-person events to virtual events is only successful due to the dedication of the committees who plan and lead the events, our speakers and of course you – our members!

Providing CPEs virtually to our members has been a success and we will continue to evaluate the safety of members as we continue to evaluate future events. In the past few months, the Philadelphia IIA chapter conducted two virtual events – The Fraud Cases, Lessons & Solutions event with John Hall leading the session and Agile Auditing led by Danny Goldberg.

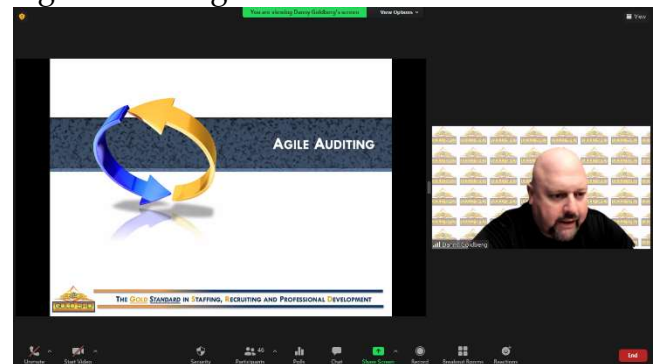


We were excited to welcome back John Hall who set the stage with his real-life fraud cases and solutions to share with our members. He provided our members with lessons learned that our members can use as they look to apply leading practices and fraud awareness into their audits. Our members were sent home with a list of action items they can use in any audit group and on any audit project.



Our agile auditing event was also extremely successful. The past year and a half have been a challenge. We need to become more responsive in general as auditors, and Danny Goldberg did an amazing job expressing this as he led the event.

As we continue to evolve as auditors, we must learn continuous communication and a transparency in the audit process. We must also develop strong relationships. Danny covered these topics and more during his sessions over the 2 days, which gave members a chance to learn the basics of agile auditing and ask questions to gain valuable insight and perspective into the world of Agile Auditing.



## Upcoming Chapter Events – Save the Date

December 6, 2021	Ethics (2 CPEs)
January 24 & 25, 2022	Cyber Event (8 CPEs)
February 16, 2022	Workpaper Documentation (2 CPEs)
March 24, 2022	Enterprise Risk Management (1 CPE)
April 2022	Spring Conference (8 CPEs)

**\*\*Please stay tuned for announcements about our annual social events, such as the Member Appreciation at the Phillies, the Annual Golf Classic, and other in-person networking events.**

Check out our Training and Events page for more information.

<https://chapters.theiia.org/Philadelphia/Events/Pages/default.aspx>

*Stay informed about upcoming programs by following the Philadelphia IIA Chapter on social media.*



[@IAPhiladelphia](https://twitter.com/IAPhiladelphia)



<http://www.linkedin.com/company/institute-of-internal-auditors-philadelphia-chapter>

## Member Anniversaries & Certifications

The Philadelphia IIA Chapter would like to recognize and thank our long-standing members. Please join us in thanking the following members:

<p><b>50 Years</b> Thomas Dolan (Nov 2021)</p> <p><b>30 Years</b> Mafalda Dennett (Feb 2021) Kate Michalski (Feb 2021) Joseph Murphy (Apr 2021) Christina Magasko (Apr 2021) Edward Worrell (Aug 2021) James Kaufman (Aug 2021) Robert Bobst (Aug 2021) Melissa Wikle (Sept 2021) Ronald Moran (Nov 2021) David Naser (Dec 2021)</p> <p><b>25 Years</b> Yvonne McCall (Feb 2021) Daniel Witmer (Feb 2021) Jennie Mercurio (Mar 2021) William McConnell (Apr 2021) Marie Hendrixson (June 2021) James Hallinan (Nov 2021) James Lucianetti (Dec 2021)</p>	<p><b>20 Years</b> Keith Anderson (Jan 2021) Rocco Biancaniello (Feb 2021) Moreen Bailey (Feb 2021) Hauthandim Sukte (Mar 2021) Tina Forbes (Mar 2021) Andrea Bayline (Mar 2021) Nicole Lloyd (Apr 2021) Susan Martin (Apr 2021) Caterina Romeo (Apr 2021) Sharon Jones (Apr 2021) Yury Steingart (Apr 2021) Susan Allem (Apr 2021) Rosemary Ondeck (Apr 2021) Brian Kerr (Apr 2021) Patricia Hardy (Apr 2021) James Langdon (Apr 2021) Craig Slingsluff (Apr 2021) Scott Levy (May 2021) Bobbi Sanders (May 2021) Frank Fiorelli (May 2021) Lana Moore-Dillon (May 2021) Margaret Neilon (June 2021)</p>	<p><b>20 Years (continued)</b> Frank Speziale (June 2021) Michelle Vineburg (June 2021) Sabri Keskin (June 2021) James Allsman (July 2021) Sathya Vardhana (Aug 2021) Nancy Hardimon (Aug 2021) William Mullin (Sept 2021) William Baugh (Sept 2021) Albert Kenny (Sept 2021) Joseph Hegge (Oct 2021) Michael Brennan (Oct 2021) Winsome Condra (Oct 2021) Dina Marie Roman (Oct 2021)</p>
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*to the following Philadelphia IIA Chapter members on their recent IIA certifications!!*

### **Certified Internal Auditor (CIA)**

Julio Arevalo  
Anna Isabelle Allgood-Neal  
Jennifer Bouton  
Maria Brandenberger

Matthew Fish  
Jared Gelb  
Malgorzata Grzybek  
Ali Ikram  
Remo Mazzoleni

Esther Obasi  
Tai Ming Wong  
Sijia Zhou

### **Certification in Risk Management Assurance (CRMA)**

Bryan Henry



## Board Member Spotlight

### *Sandra Mozee-Smith*



Sandra is Director of Internal Audit at Cooper University Hospital, responsible for providing overall leadership for the hospital's internal audit function. Before joining Cooper in 2019, Sandra served in compliance and internal audit leadership roles with hospitals and health insurance organizations, including Jefferson Health, Kennedy Health, Abington Health, and Health Partners Plans.

Sandra holds a Bachelor of Science degree in Accounting from Glassboro State College (now known as Rowan University) has a Master of Science in Healthcare Administration from Saint Joseph's University, and a Master of Science Jurisprudence in Health and Hospital Law from Seton Hall School of Law. Sandra is a Certified Internal Auditor (CIA) and Certified Health Care Internal Audit Professional (CHIAP). She also holds a Certification in Internal Controls (CICA).

Sandra draws from decades of experience in healthcare auditing and compliance. Her career in internal audit has been marked by developing internal audit functions and transforming existing functions. While at Health Partners Plans, she developed the Model Audit Rule program and was instrumental in assisting with developing the company's Audit Committee.

Sandra has been an active member of The Institute of Internal Auditors (IIA) Philadelphia Chapter since 1993. During her tenure as an IIA

member, she served as the First Vice President and Second Vice President and has been a board member. She co-chaired a Fall Conference and has presented at various Spring Conferences. Currently, Sandra is a member of the Diversity and Inclusion Committee and the Academic Relations Committee and was

recently elected to the Board of Governors in July 2021. As a board member, she hopes to serve the Chapter, providing leadership, support, and guidance to promote the Chapter's strategic initiatives and goals to strengthen our profession, educate the next generation of internal auditors, and restart the Chief Healthcare Internal Audit Executives Committee.

Sandra has a passion for education and is delighted to mentor the next generation of accounting and internal audit professionals. She has been a mentor of Rowan University's School of Business Accounting Mentorship Program since 2017. Through the Rowan University Foundation, she established a scholarship in her name, which is annually awarded to a Rowan student majoring in accounting. She had a great experience being mentored early in her career that inspired her to seek opportunities to mentor others.

Sandra's passion for the audit and accounting profession has left an indelible impression on two people near and dear to her. Her son and daughter are accountants. Her son is an accounting manager for a Fortune 500 company in Center City, Philadelphia, and her daughter, a recent college graduate, is a staff audit consultant for an accounting firm in Alexandria, VA.

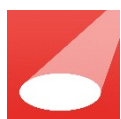
In addition to being an active member of the IIA, Sandra is a member of the Association of Healthcare Internal Auditors (AHIA) and the



New Jersey Chapter of Healthcare Financial Management Association (HFMA). Sandra is also a volunteer consultant on the Accounting Advisory Board of Rowan University's Rohrer School of Business Accounting Program.

She cherishes time spent with her husband of thirty years and her children, mother, siblings, and extended family, and the new puppy addition to the family.... A pit bull named Zeus.

Sandra's commitment to the accounting profession extends to the community. While a student at Glassboro State College, she was a volunteer in the Accounting Society's Volunteer Income Tax Association Program. She has continued this interest in preparing personal income taxes for the last twenty years by providing free personal income tax assistance to elderly, disabled, and disadvantaged individuals.



## Student Spotlight

### *Samantha Morningstar*



Samantha (Sam) Morningstar is a current senior at Drexel University pursuing her bachelor's degree with double majors in Accounting and Finance. Through

Drexel's co-op program, she has had the opportunity to work full-time for six-month intervals throughout her college career. For her first co-op, Sam worked with Comcast in their business services segment working to implement internal controls, provide documentation to external auditors, and document process control maps for various team functions. She spent her second co-op at

Vanguard working in fund accounting for the group's stable value funds. In late March, she began her final co-op at Goldman Sachs within their Trust Company division.

Sam became involved with the IIA through the Fraud and Internal Controls Case Competition (FIC3) hosted at Drexel. The competition invites colleges throughout eastern Pennsylvania and New Jersey to analyze data sets, process maps, and other pieces of information to assess fraud and control improvements. After placing first in the competition, Sam joined the IIA as a student member to continue expanding her network through the IIA and strengthen the relationships of those that she met at the case competition. In 2021, Sam had the opportunity to collaborate with IIA members as well as Drexel's accounting faculty to write the case. This year's topic was a grocery store hit with by the pandemic, facing increase opportunities for fraud as administrative employees quickly shift to working from home and obtaining PPE loans. Sam says "I'm also so excited to learn about individual's experience with audit so that I can help navigate my interest now and help me shape my career path."

Upon graduation in June 2022, Sam plans to sit for the CPA exam to pursue a career in Audit/ Advisory services at an accounting firm. Outside of work, Sam enjoys trying new Philly restaurants, spending time with friends, and surfing in Ocean City, MD during the summers.

## Chapter Achievement Program (CAP) Report



The CAP program is designed to reward and recognize chapters who go above and beyond in serving their members. All CAP activities are derived from the North American Strategic Plan. Chapter status levels recognized by the program are progressive. The chapter must fulfill all Bronze requirements to achieve Bronze status, all Bronze and Silver requirements to achieve Silver status, and all Bronze, Silver and Gold requirements to achieve Gold status. Based on the dedicated service of our leadership and our members, the Philadelphia Chapter has achieved a Platinum (Gold designation for 10 of 11 years) performance status since 2013! Our Chapter will be designated as Ruby level for the 2022-2023 year if it achieves Gold status this Chapter year.

CAP activities the Chapter must complete on an annual basis are broken up into the following categories:

- IIA HQ Partnership
- Programming
- Certifications
- Advocacy
- Membership

\*Chapters earn:

- Platinum by achieving Gold 10 out of 11 consecutive years;
- Ruby by achieving Platinum for 10 consecutive years;
- Sapphire by achieving Platinum for 15 consecutive years; and
- Diamond by achieving Platinum for 25 consecutive years .

Based on the dedicated service of our leadership and our members, the Philadelphia Chapter has achieved a Platinum performance level since 2013!

All Chapter members can play a role in the Chapter's success. By informing the Secretary when you participate in various activities specific to the "Service to Profession" category, this will help to increase our CAP point submission. The various activities include areas such as:

- Advocacy: Participation by any chapter member in a Junior Achievement Program/Event;
- Advocacy: Host an Internal Audit event at work, (i.e. Lunch and Learn);
- Advocacy: Schedule meeting with legislator or local politician to discuss IIA, legislation etc.
- Audit Executive Center (AEC): Contribute thought leadership to the AEC Knowledge Center;
- Certification: Participate in writing examination questions for the following IIA certifications: Certified Internal Auditor (CIA), Certified Financial Services Auditor (CFSA), Certification in Risk Management Assurance (CRMA), Certified Government Auditing Professional (CGAP), and Certification in Control Self-Assessment (CCSA);
- Editorial: Submit articles to the Internal Auditor magazine;
- Editorial: Have articles published in the Internal Auditor magazine;
- Editorial: Have articles published in other professional journals;



- Speakers: Speaking engagements by chapter members both in and outside of the US, Canada, and the Caribbean. The chapter is eligible for CAP points if speaker is not compensated. Additionally, presentations can be outside of the Internal Audit profession.
- Volunteerism: The Chapter will receive points based upon the percentage of volunteer growth annually.

If you have participated or plan to participate in any of the activities described above, during the period of June 1, 2021 through May 31, 2022 please communicate this information to the Chapter Secretary, Marc Lyman ([secretary@phillyiia.org](mailto:secretary@phillyiia.org)) and provide a brief description of the activity/event. If the activity is related to a speaking engagement please provide the date of the presentation, a brief description of the event/presentation, and the length of time you presented.

We appreciate your participation in helping the Chapter to once again achieve a Platinum status for this Chapter Year!

## Some Lighthearted Internal Audit Humor



Courtesy of CareersinAudit.com—[www.careersinaudit.com](http://www.careersinaudit.com)

## Career Opportunities

As a service to our Philadelphia Chapter membership, we provide and maintain a Career page on our Chapter's website allowing members who are in need of an opportunity (or a change of scenery) to pursue opportunities with local employers who are looking to fill their audit related staffing needs. This service has always been provided at no cost and viewed as a continuing benefit of membership in our Chapter. If you are looking to fill a staffing need, we can post your job opportunity(s) on our IIA Philadelphia Chapter website. The posting will remain active on our website for a period of three months (or until you inform us that the position has been filled). If you would like to extend the posting beyond three months, please let us know as we approach the end of the initial three-month period and your job opportunity will be renewed for an additional three months. For job seekers, here is the link to the Chapter's website and current audit opportunities:

<https://chapters.theiia.org/Philadelphia/Career/Pages/default.aspx>

If you are searching for staff, please supply your job opportunity(s)/description(s) in individual Word docs, including your contact information (i.e. name, title, email address, phone, etc.) with each opening; click on the career page to get an idea regarding format needs. Please contact Dave Aubrey, Chair, Employment Committee (djaubrey@msn.com) to post a position, or with any questions pertaining to employment and the Chapter Website's Career page.

### **The Current Institute of Internal Auditors Philadelphia Chapter Board of Governors (2021-2022)**

Ryan Egan  
Matt Skala  
Sean McErlean  
Claudia Peirce  
Marc Lyman  
Frank Macciocca  
Monica DeBellis  
Ken Brzozowski  
Sandra Mozee-Smith  
Adam Ross  
Jamal Ahmed

Lindsay Bell  
Susan Allem  
Peter Parillo  
Ryan Calef  
Jen Neumeister  
Normand Mullan  
Bernadette Robinson  
Maeve Raak (Past President)  
Laurie Riggs (Past resident)  
Amit Varia (Non-voting)  
Michael Levy (Non-voting)

### **Chapter Feedback**

If you have any questions, suggestions, comments, or concerns please don't hesitate to reach out to [communications@phillyiia.org](mailto:communications@phillyiia.org).

## Save up to 25% on CPE Training with Freedom Pass Bundles

- 👤 **SHARE** credits among a group or team
- 👤 Forecast your training costs for the year
- 👤 Save money on training by **LOCKING** in a discounted price per CPE!

Bundle Size	Cost	Cost per CPE*	Savings %
40 CPE CREDITS	\$ 1,368	\$34.20	5%
80 CPE CREDITS	\$ 2,592	\$32.40	10%
160 CPE CREDITS	\$ 4,896	\$30.60	15%
320 CPE CREDITS	\$ 9,216	\$28.80	20%
640 CPE CREDITS	\$ 17,280	\$27.00	25%

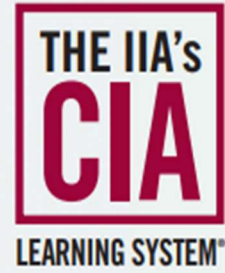
\*Regular member cost of CPE is approximately \$36 per CPE

For more information or to purchase, visit  
[chapters.theiia.org/Philadelphia/Events/Pages/Freedom-Pass.aspx](http://chapters.theiia.org/Philadelphia/Events/Pages/Freedom-Pass.aspx)

### Fine Print

- Freedom Pass credits generally translate to CPE hours for each event, unless otherwise stated (i.e., 5 people attending an 8 CPE seminar is 40 Freedom Pass Credits);
- Freedom pass credits expire 18 months from date of purchase;
- Every Quarter, a statement providing the balance of used and purchased Freedom Pass credits will be provided to each account holder;
- Freedom Pass bundles must be purchased by a member of the Philadelphia Chapter of the IIA; and
- Most programs offered by the Philadelphia Chapter of the IIA are eligible to be purchased using Freedom Pass credits.

For further details, reach out to [programs@phillyiia.org](mailto:programs@phillyiia.org)



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