

Member Newsletter

Spring 2019, Issue #19-1

Newsletter Committee:

Laurie Riggs

Ryan Calef

Danielle Ferrante

Selvia Setiawan

President's Message



Dear Philadelphia Chapter Member -

The Philadelphia Chapter's 75th year is quickly approaching an end, and what a year it was! A few of our goals for the year included an increased focus on giving back to our members, increasing our social media presence, continuing to build out our volunteer program, increasing our presence at local universities and increasing the promotion of Internal Audit Awareness Month. Chapter Officers, Board Members and Volunteers worked hard

at these goals and to also provide relevant quality programming and networking opportunities for you, our Members.

Some recent highlights include, a one-day workshop on the 5 Levels of Leadership at the beautiful Stonesbury Mansion, which was followed by a happy hour in their billiard room. The Chapter participated and sponsored a Fraud Case study at Drexel University in which over 40 students competed. This past March, the Chapter hosted a breakfast for CAEs in which Chris Wright from Protiviti spoke about top risks for 2019. Lastly, our annual one-day Fraud Symposium was a sell out! Refer to the upcoming events section below for more exciting programming planned for the next coming months.

I hope that you will be attending the 2019 Spring Summit. The theme this year is: 'Taking a Leadership Role in Raising the Standards'. We have a very

special morning keynote, Rebecca Rhynhart, Philadelphia City Controller. During her keynote, Rebecca will discuss her journey from Wall Street to the city of Philadelphia and the effects of her leadership style.

Internal Auditors around the world will be celebrating Internal Audit Awareness month in May. Locally, we are holding our first ever competition for internal audit departments to promote internal audit awareness. If you have not signed up, there are still ways for you to celebrate and build awareness at your organization. Visit the [IIA's website](#) to get a helpful tool kit and other tips. You can also add the icon below to your signature. Have fun and tag the Philly IIA twitter page at **#PhillyIAAwareness2019** with your successful campaigns.



I would like to again thank our Chapter Officers and Board members, who are all volunteers, for their time, passion, vision and leadership for making sure that we serve our members in the best way possible. There is a lot of work that goes

on behind the scenes to make everything possible. We had an amazing team this year, and I am honored to have worked with each one of our Chapter Officers:

- Maeve Raak, VP of Programing
- Laurie Riggs, VP of Communications
- Michael Levy, VP of Membership
- Peter Parillo, Treasurer
- Marc Lyman, Secretary

If you are interested in getting involved, the Chapter is always looking for new volunteers to assist with planning seminars, conferences, networking events, Academic Relations Committee, NextGen Committee and other Chapter initiatives. Volunteers have the opportunity to work directly with the Officers and/or Board members, network and serve the Chapter Members and develop skills to become future Chapter leaders. If you are interested in volunteering, please send an email to membership@phillyiaa.org.

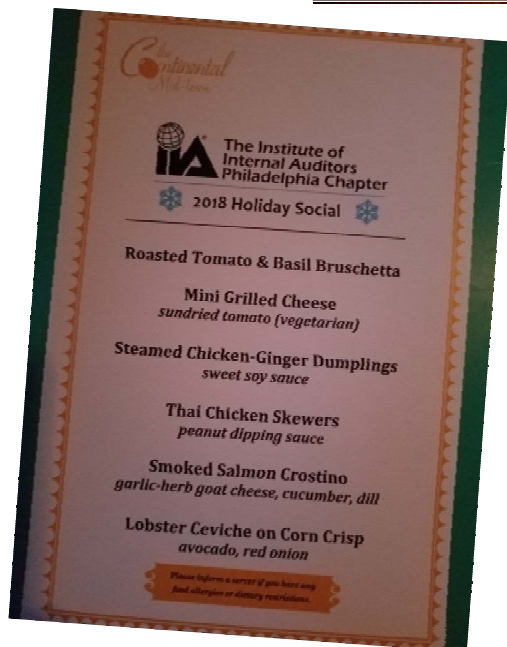
Thank you so much for being a loyal member of the Philadelphia Chapter and your continued support.

Bernadette Robinson
President, Philadelphia IIA Chapter



First Annual Holiday Social!

On December 11, 2018, about 40 of our chapter members gathered at the Continental Midtown for our first annual holiday social! We celebrated the holidays with food, drinks, and all around fun. Networking and making new friends was the highlight of the night but the food and drinks definitely set the bar high. The ambience was beautiful with the fireplace burning and the laughter from all filled the air. We now have a new IIA Philadelphia tradition and we hope to see everyone there next time to spread our Internal Audit cheer! ☺



Upcoming Chapter Events – Save the Date

May 3, 2019	Spring Summit Loews Philadelphia Hotel Philadelphia, PA
May 2019	Internal Audit Awareness Month Departmental Contest (managed by NextGen) and Social event for all contest participants on May 21 st TBD
July 18, 2019	Member Appreciation Day at the Phillies Citizen’s Bank Park Philadelphia, PA
August 8, 2019	Philly IIA Golf Classic Paxon Hollow Country Club Media, PA
November 25, 2019	Fall Conference Marriott Downtown Philadelphia Philadelphia, PA

Check out our **Training and Events** page for more information.

<https://chapters.theiia.org/Philadelphia/Events/Pages/default.aspx>

Stay informed about upcoming programs by following the Philadelphia IIA Chapter on social media.



Twitter:

[@IIAPhiladelphia](https://twitter.com/IIAPhiladelphia)



Facebook:

www.facebook.com/IIAPhiladelphia



<https://www.linkedin.com/groups/106938>

Member Anniversaries & Certifications

The Philadelphia IIA Chapter would like to recognize and thank our long-standing members. Please join us in thanking the following members:

40 Years

Paul Berkebile (January)
John Kyle (October)

35 Years

Warren Hersh (August)

30 Years

Michael Ford (January)
Tonya DiGiuseppe (January)
Cheryl Monkman (February)

25 Years

James Kelly (February)
Paul Obenshain (March)
Michael Loftus (April)
William Montemarano (April)
Sharon Jones (July)

20 Years

Maryanne Muir (January)
John Riesch (February)
Mary Wolfgang (February)
Robert Lucas (March)
Vanessa Bearden (March)
Timothy Maher (March)
William Woolworth (April)
Frank Macciocca (April)
Gretchen Gray (April)
Anthony D'Ambrosio (April)
Rocco Martorano (April)
Joseph Barone (June)
Jane Vyverberg (June)
William Matthews (July)
Suzanne Tossini (July)
Venus Hadeed (July)
Philip Jacobsen (August)

Olabisi Laniya (August)
Michael Berchick (August)
Joseph deRosa (September)
Beverly Grossman (October)
William Schlitte (November)
Michael Gujda (November)
Ann Aerts (December)



CONGRATULATIONS



to the following Philadelphia IIA Chapter members who recently received IIA certifications!!

Certified Internal Auditor (CIA)

Jay Apoian	Grace Jang	Alfred Scott
Otis Aracena Jon	Leyna Magdon	Daniel Soto Rhinehart
Stephanie Bean	Robert Mahgerefteh	Matthew Staron
Nikoo Dolati	Nicholas Matejik	Matthew Steinberg
Hasan Jamil	Caterina Samper Guinez	Michael Wood

Certified Financial Services Auditor (CFSA)

Benjamin Ablao
Patrick Czwarnog
Hao Jiang

Certification in Risk Management Assurance (CRMA)

John Duffy
Dina Serhiayenka

Certification in Control Self-Assessment (CCSA)

Erica Adams
Rod Lowe



NextGen Networking Event



IIA Philadelphia's NextGen group gathered at Lucky Strike on March 21, 2019 for an evening of fun and networking. The 22 members that attended found it to be a great way to connect and get to know each other. The food and drinks were awesome and helped everyone come together to enjoy in excitement and laughter. The games brought professional networking to a new and competitive level and we cannot wait to have another fun filled event! 😊 If you would like to be added to our NextGen mailing list please contact NextGen@phillyiaa.org or communications@phillyiaa.org, we would love to have you get involved!



May is Internal Audit Awareness Month!

At least five Internal Audit departments from our chapter are currently competing in the NextGen sponsored Internal Audit Awareness competition. This competition is a way to increase awareness of the Internal Audit function in our organizations while having fun and team building with our co-workers. Each team will be judged on appropriateness to the theme, uniqueness/originality of the concept, humor, creativity, visual design, memorable moments with the team, and audience appeal. All teams who have entered are invited to attend the May Internal Audit Awareness Social on Tuesday, May 21st where the winner will be announced.

The winning team will receive Chapter recognition, bragging rights and a catered lunch, a value up to \$2,000, as well as up to five registrations to the 3rd annual Membership Appreciation Day at the Phillies at Citizen's Bank Park on Thursday, July 18th when the Phillies take on the LA Dodgers.

Join us in celebrating, having fun, and building and promoting of Internal Audit!!! Be sure to follow our social media for updates and plan some fun awareness activities at your own organizations. Also, it is never too early to start planning now for your own May 2020 celebration and see if you can compete with this year's winner!! Follow all of the action by following us on Twitter and the hashtag #PhillyIAAwareness2019.



IIA General Audit Management (GAM) Conference

Dallas, Texas was recently the host city for the must-attend event for audit executives: The General Audit Management Conference held at the Gaylord Texan Resort & Convention Center in March 2019. With a future focused theme, the conference focused on innovation, analytics enablement, talent acquisition and development, and assessing corporate culture/behavior risk. Solution-based immersive sessions were used to provide an empowering and inspiring experience for all attendees. The goals of the conference were to deliver immediate value for participants and their organizations by providing exceptional value for training dollars, access to industry experts, engagement with vendors, immediately actionable takeaways, and significant networking opportunities.

Our very own Adam Ross, Peter Parillo, and Marc Lyman from the Philadelphia chapter of the IIA Board of Directors were all in attendance.

Adam found all topics to be critical for the modern IA function to add value to management and the Board. Since the majority of the attendees were seasoned internal audit professionals, it allowed the conference to focus on intermediate and advanced topics. He was pleased with the industry meet-ups since they were a great way to quickly strike up conversations and speak about relevant industry topics in much smaller groups. Adam also noted the conference application used technology to facilitate networking, with the ability to view and email materials, chat, and request meetings with known and new colleagues.

Peter also gained a lot of value from the conference. He felt each conference topic helped members grow within the profession. He notes that focus on interaction with peers truly cultivated knowledge sharing. He commented that the event allowed attendees to share ideas and concepts in an organized and professional manner, and felt that having so many people willing to share ideas paves the way for improvement in both the local IIA chapters and the IIA as a whole.

Both Adam and Peter maximized their conference experience by paying careful attention during sessions and taking advantage of networking opportunities both before and during the conference. Adam found that being proactive is critical to making a conference a success. He encourages future attendees to download the app early, determine the sessions of interest, identify other attendees and proactively reach out to them to network. Evening networking is also a great way to build relationships and learn more about the market place. Peter advised to not be shy about introducing yourself to other people outside your circle. The people you meet may turn into lifetime contacts and eventually friends. Adam and Peter's experience and advice is as relevant for the Global Audit Management conference as for the upcoming IIA Philadelphia Spring Conference at the Loews Hotel on May 3, 2019.

Member Spotlight



Ryan Calef



Since leaving the military and joining Wells Fargo in 2016, Ryan has continued to serve, through volunteer efforts with the IIA Philadelphia Chapter. In addition, Ryan has served in many volunteer roles for the chapter, including both the

Fall and Spring newsletters and serving on the Fall 2018 and Spring 2019 Conference Planning Committees. Starting this June, he will join the IIA board as the 2nd Vice President – Communications. His objectives for his term in office are to increase volunteer and diversity opportunities for members, support opportunities for NextGen Members, and continue to personalize the Freedom Pass program for member organizations of all sizes.

Ryan currently works as an IT Audit Manager at Wells Fargo & Co. His role focuses on domestic and international integrated enterprise reporting, regulatory reporting, and legal entity reporting. In addition, he is a member of the community service committee within audit services, focusing on identifying volunteer opportunities. Additionally, he is an adjunct professor at the Temple University Fox School of Business, where he teaches Data Analytics for IT Auditors as part of the Information Technology Auditing and Cyber Security Master's degree program.

Prior to joining Wells Fargo and moving to audit, Ryan was a corporate attorney at various different financial services and healthcare companies, with a focus on regulatory compliance, and international ethics and compliance. He also spent 14 years in the Pennsylvania Army National Guard as a Captain within the Judge Advocate General (“JAG”) Corp, having completed ROTC while in Law School.

Ryan has a B.S. in Business Administration and a J.D. from Penn State University. He also holds the CISA, CISM, CFE designations and is licensed to practice

law in Pennsylvania. Ryan lives in Blue Bell with his family and children: Robert (3 years) and Andrew (1 year).

Member Spotlight



Ryan Egan



Ryan began attending IIA Philadelphia Chapter conferences in 2012 and has been a part of the chapter since. Ryan has served in volunteer roles for the chapter, including both serving on the Spring and

Fall 2018 Conference Planning Committees and helping plan the 2017 Cyber at the Cira event. Starting this June, he will join the IIA board as the 3rd Vice President – Membership. His objectives for his term in office are to increase engagement and opportunities for members, support and recruit NextGen Members and volunteers, and continue to help provide the chapter and internal audit community with strong learning and networking events.

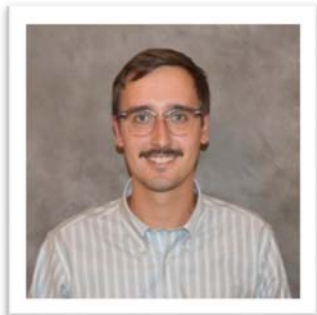
Ryan has been in internal audit his entire career. Ryan currently works as an IT Audit Manager II on the Technology & Global Infrastructure Audit and Cyber Security team at TD Bank. His role focuses on managing global technology audits, coordinating regulatory requests and requirements for the team, and improving the continuous monitoring of risk at the bank through data analytics. In addition, he is a proud member of the bank's Individuals with Diverse Abilities group helping build an inclusive community for people with disabilities by raising awareness and education for employees and families. Ryan also serves as a champion for Special Olympics fund raising for the bank which raised over \$1 million in 2018 alone.

Prior to joining TD Bank, Ryan was an Internal Auditor at ACE Group (now known as Chubb). Ryan has a B.S. in Business Management from University of Delaware. He also holds the CISA designation. Ryan grew up in Plainsboro, NJ and now lives just outside of Philly in Maple Shade, NJ.

New Member Spotlight



James Tomak



James Tomak is an Internal Audit and Financial Advisory consultant at Protiviti. He is also a recent graduate of the Masters of Accountancy program from

Temple University (2018 cohort). James knew he wanted to join Protiviti post-graduation after attending a presentation given by the firm's managing director. He was amazed by the growth the firm experienced in the past years, and he's excited to now be able to get first-hand look at the growth from the inside.

James is excited to be an IIA member and looks forward to expanding his professional network and building lasting relationships. He can't wait to take full advantage of all the benefits the IIA has to offer its members. Despite still being early in his career, James is particularly interested in joining Vision University, IIA's Executive Development program to assist him with his long-term career plans of pursuing an executive role. For his first IIA Philadelphia event, James plans on attending the upcoming 'Member Appreciation Day' event at the Phillies. He finds the combination of learning and networking a great way for him to be exposed to the kind of trainings the IIA has to offer.

Since assuming his role at Protiviti and transitioning from a full-time student to a full-time employee, James has increasingly found two things important to maintain: continuous learning and maintaining a healthy work-life balance. James is currently studying for the CPA

exam and finds that his studying is helping him with strengthening skills he needs at work on a daily basis. Although it is important to take time to develop professionally, James believes maintaining a healthy work-life balance is just as important. With his free time, James likes to recharge by spending time with friends and watching true crime documentaries.

Chapter Achievement Program (CAP) Report



The CAP report is a management tool used by Chapter Leadership to track service activities provided to our membership during the chapter year. Service categories eligible for CAP points include: Chapter Health, Service to Members, and Professionalism.

A minimum number of points are required within each of the categories for the Chapter to qualify for the various performance levels. The performance levels include: Bronze (685 points), Silver (1,060 points) and Gold* (1,560 points).

*Chapters earn

- Platinum by achieving Gold 10 out of 11 consecutive years;
- Ruby by achieving Platinum for 10 consecutive years;
- Sapphire by achieving Platinum for 15 consecutive years; and
- Diamond by achieving Platinum for 25 consecutive years .

Based on the dedicated service of our leadership and our members, the Philadelphia Chapter has achieved a Platinum performance level since 2013!

All Chapter members can play a role in the Chapter's success. By informing the Secretary when you participate in various activities

specific to the “Service to Profession” category, this will help to increase our CAP point submission. The various activities include areas such as:

- Advocacy: Participation by any chapter member in a Junior Achievement Program/Event;
- Advocacy: Host an Internal Audit event at work, (i.e. Lunch and Learn);
- Advocacy: Schedule meeting with legislator or local politician to discuss IIA, legislation etc.
- Audit Executive Center (AEC): Contribute thought leadership to the AEC Knowledge Center;
- Certification: Participate in writing examination questions for the following IIA certifications: Certified Internal Auditor (CIA), Certified Financial Services Auditor (CFSA), Certification in Risk Management Assurance (CRMA), Certified Government Auditing Professional (CGAP), and Certification in Control Self-Assessment (CCSA);
- Editorial: Submit articles to the Internal Auditor magazine;
- Editorial: Have articles published in the Internal Auditor magazine;
- Editorial: Have articles published in other professional journals;
- Speakers: Speaking engagements by chapter members both in and outside of the US, Canada, and the Caribbean. The chapter is eligible for CAP points as long as speaker is not compensated. Additionally, presentations can be outside of the Internal Audit profession.

- Volunteerism: The Chapter will receive points based upon the percentage of volunteer growth annually.

If you have participated or plan to participate in any of the activities described above, during the period of June 1, 2018 through May 31, 2019 please communicate this information to the Chapter Secretary, Marc Lyman (secretary@phillyiia.org) and provide a brief description of the activity/event. If the activity is related to a speaking engagement please provide the date of the presentation, a brief description of the event/presentation, and the length of time you presented.

We appreciate your participation in helping the Chapter to once again achieve a Platinum status for this Chapter Year!

Some Lighthearted Internal Audit Humor 😊

Courtesy of CareersinAudit.com—www.careersinaudit.com



“For goodness sake, you’re auditors - you should have learnt to reconcile your differences by now!”

Career Opportunities

As a service to our Philadelphia Chapter membership, we provide and maintain a Career page on our Chapter's website allowing members who are in need of an opportunity (or a change of scenery) to pursue opportunities with local employers who are looking to fill their audit related staffing needs. This service has always been provided at no cost and viewed as a continuing benefit of membership in our Chapter. If you are looking to fill a staffing need, we can post your job opportunity(s) on our IIA Philadelphia Chapter website. The posting will remain active on our website for a period of three months (or until you inform us that the position has been filled). If you would like to extend the posting beyond three months, please let us know as we approach the end of the initial three-month period and your job opportunity will be renewed for an additional three months. For job seekers, here is the link to the Chapter's website and current audit opportunities:

<https://chapters.theiia.org/Philadelphia/Careers/Pages/default.aspx>

If you are searching for staff, please supply your job opportunity(s)/description(s) in individual Word docs, including your contact information (i.e. name, title, email address, phone, etc.) with each opening; click on the career page to get an idea regarding format needs. Please contact Dave Aubrey, Chair, Employment Committee (djaubrey@msn.com) to post a position, or with any questions pertaining to employment and the Chapter Website's Career page.

The Current Institute of Internal Auditors Philadelphia Chapter Board of Governors (2018-2019)

Bernadette Robinson	Joe Kobulsky
Maeve Raak	Robert Mainardi
Laurie Riggs	Jonathan Marks
Michael Levy	Tom McAllister
Peter Parillo	Sam Monastra
Marc Lyman	Normand Mullan
Jamal Ahmed	Margaret Neilon
Susan Allen	Alicia Preddy
Ken Brzozowski	Adam Ross
Monica DeBellis	David Small
Marie-Dominique Ortiz	Amit Varia
Bill Donehower	Warren Hersh
Kristen Herman	

Chapter Feedback

If you have any questions, suggestions, comments, or concerns please don't hesitate to reach out to communications@phillyiia.org.



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BUNDLE SIZE	COST	COST PER CPE*	SAVINGS%
40 CPE CREDITS	\$1,330	\$33.25	5%
80 CPE CREDITS	\$2,520	\$31.50	10%
160 CPE CREDITS	\$4,760	\$29.75	15%
320 CPE CREDITS	\$8,400	\$26.25	25%

*Regular member cost of CPE is approximately \$35 per CPE

For more information or to purchase, visit
chapters.theiia.org/Philadelphia/Events/Pages/Freedom-Pass.aspx

Fine Print

- Freedom Pass credits generally translate to CPE hours for each event, unless otherwise stated (i.e., 5 people attending an 8 CPE seminar is 40 Freedom Pass Credits);
- Freedom pass credits expire 18 months from date of purchase;
- Every Quarter, a statement providing the balance of used and purchased Freedom Pass credits will be provided to each account holder;
- Freedom Pass bundles must be purchased by a member of the Philadelphia Chapter of the IIA; and
- Most programs offered by the Philadelphia Chapter of the IIA are eligible to be purchased using Freedom Pass credits.

For further details, reach out to programs@phillyiia.org