

Member NEWSLETTER

Winter 2020, Issue #20-2

Newsletter Chair:

Matt Skala

President's Message



Dear Philadelphia IIA Chapter Member,

So, 2020 is finally behind us, and what a challenging year it was! I hope that you and your families are healthy and safe and my prayers go out to all of those affected by COVID-19. As the days go by, we push forward and continue to find new ways to adjust to our new normal. Please be sure to take a timeout for yourselves.

The Chapter has been working hard to continue to provide you relevant and timely CPE events during this time and Zoom has been a 'good friend' to us! The Fall Conference was a huge success and Bernadette Robinson and Jen Neumeister, our conference Chairs, did an amazing job

with it! As much as we miss seeing you in person, we will be staying all-virtual for the rest of the Chapter year and we will continue to keep a close eye on the City and State guidance and will continually re-evaluate our plans as things change.

The newly formed Diversity and Inclusion Committee has been meeting regularly and is making great strides in helping our Chapter to refocus and expand our diversity, equity, and inclusion. I have to thank our Committee Chairs, Nilu Shroff and Danai Lendor, as well as all of the Committee members, for their hard work, dedication and engagement on this critical issue. Please be sure to see both Danai's and Ben Ablao's spotlights later in this Newsletter.

The Employer Relations Committee has also been hard at work. Led by Adam Ross, Monica DeBellis, Mike Levy and Sean McErlean, this team is working to get more Internal Audit departments engaged with the Chapter and to increase our impact and support of our members.

We are currently reviewing and restructuring how we provide our CIA Prep Courses, we should have this set and in place by April, so stay tuned for more information. If you are not CIA certified yet, this is a great time to do

it! Certified Internal Auditors have more opportunities for advancement, demonstrate their commitment to the profession and those three letters after your name makes a strong statement about your expertise in the field. Never has there been a better time to invest in your own development!

I also would like to thank Danielle Ferrante and Andrew Baxter for volunteering to Chair our 2021 Spring Summit! The theme is *Taking on 2021 with Strength, Purpose, and Resolve* and planning is well underway. Be sure to save April 22 and April 23, 2021 on your calendars, it is going to be another amazing event!

I cannot say enough about how awesome our Chapter volunteers are! We could not do what we do without them and we manage to have fun doing it! If you are interested in getting more involved with the chapter, please send me an email and I will be sure you get connected to one of our committees.

Thank you for your continued support of the Chapter and I look forward to seeing you at an event, please stay well!

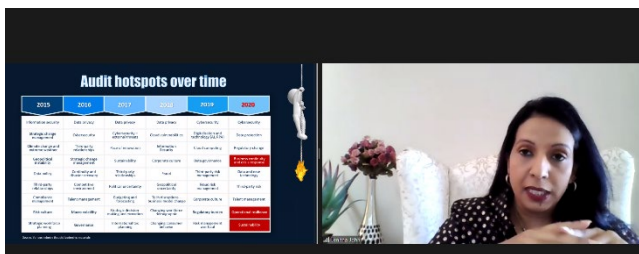
A handwritten signature in cursive script that reads "Laurie Riggs".

Laurie Riggs
President, Philadelphia IIA Chapter

Fall Conference Event Spotlight

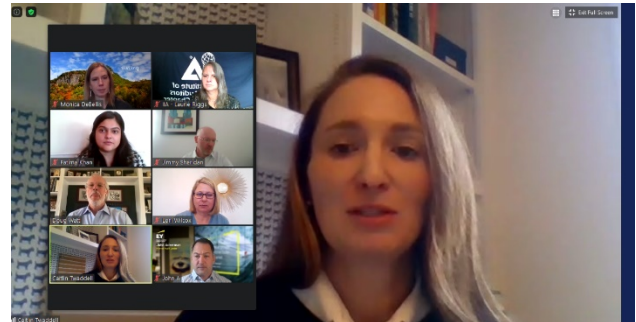
This year's Fall Conference looked a little different than most. Given the current conditions, the chapter turned to a socially distanced conference. As members logged in to the individual conference rooms, the presenters were as eager as ever to see familiar, albeit virtual, names and faces.

The Conference began with a thought-provoking discussion on "Reimagining Resilience" provided by the keynote speaker, Jenitha John. Ms. John provided thoughtful insight on topics including resilience and internal audit, resilience leading to transformation, five keys to sustainability and the critical role of the new three lines model, by leveraging her extensive background in risk and audit including her current role as Chairman of the Board for the Institute of Internal Auditors.

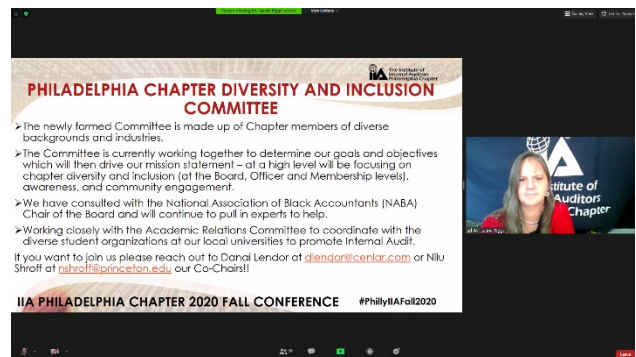


As the individual sessions began, a common theme came forward as most presenters were left addressing the elephant in the room: the pandemic. We heard from presenters of mixed backgrounds speaking to our classes divided into the typical segments of Internal Audit, Fraud, Governance, Risk & Compliance (GRC) and

Information Technology (IT). We also had an engaging and thought provoking CAE track with over 20 Chief Audit Executives participating during the four sessions.



Despite being limited to greeting each other through computer screens, the conference was a huge success and our members enjoyed not only the learning opportunities but the engagement with each other and, of course, the music during the breaks! We could not have had such a great event without the help from the Conference Chairs as well as the countless individuals who volunteered as Track Chairs. We look forward to overcoming whatever obstacles come our way in the Spring of 2021 to deliver another high-quality informational conference to our members!



Upcoming Chapter Events – Save the Date

February/March, 2021 8 CPE Event TBD

April 22-23, 2021 2021 Spring Summit
Taking on 2021 with Strength, Purpose, and Resolve

May 2021 Internal Audit Awareness Month -- A great time to work
on an awareness campaign for your Internal Audit
Department!

****Our annual social events, such as the Member Appreciation at the Phillies and the Annual Golf Classic, will be planned once the City/State restrictions on gatherings are lifted****

Check out our Training and Events page for more information.

<https://chapters.theiia.org/Philadelphia/Events/Pages/default.aspx>

Stay informed about upcoming programs by following the Philadelphia IIA Chapter on social media.



[@IIAPhiladelphia](https://twitter.com/IIAPhiladelphia)



www.facebook.com/IIAPhiladelphia



<http://www.linkedin.com/company/institute-of-internal-auditors-philadelphia-chapter>

Member Anniversaries & Certifications

The Philadelphia IIA Chapter would like to recognize and thank our long-standing members. Please join us in thanking the following members that had anniversaries in 2020:

50 Years

Phil Gallagher (Aug 2020)

35 Years

John Auman (Mar 2020)

Jagan Krishnan (Apr 2020)

30 Years

Al Purdy (Jan 2020)

Lawrence Kutys (Feb 2020)

Cheryl Chambers (May 2020)

Vito Nigro (May 2020)

David Aubrey (Sept 2020)

Laurie Riggs (Sept 2020)

25 Years

David Lloyd (Feb 2020)

Dave Coyne (Feb 2020)

Bill Mellon (Feb 2020)

Scott Dixey (Feb 2020)

Eric Pettis (Apr 2020)

Pete Fox (Apr 2020)

25 Years (continued)

Dilip Pandhi (Apr 2020)

Jennifer Cardy (Apr 2020)

Ronal Lavis (Apr 2020)

Theophus Mapson (Apr 2020)

Richelle Ruemeli (May 2020)

Mario Catanese (May 2020)

Alan Sheppard (Aug 2020)

Elizabeth Farr (Aug 2020)

Edward Merenda (Oct 2020)

Christine Sweetman (Nov 2020)

20 Years

Renee Aversa (Jan 2020)

Ken Bevan (Feb 2020)

Kerrie Garrison (Feb 2020)

Rob Middleton (Feb 2020)

Torpey White (Feb 2020)

Paul Zikmund (Feb 2020)

Kim Brooks (Mar 2020)

Fran Gould (Mar 2020)

Julian Woodall (Mar 2020)

20 Years (continued)

Punnoose Mathai (Apr 2020)

Kimberly Gavel (May 2020)

Joe Kobulsky (May 2020)

Christine Bidzilya (Jun 2020)

Anita Hall (Aug 2020)

Lesley Wright (Sept 2020)

Christine Jamison (Oct 2020)

Jeff Keller (Nov 2020)

Dominic Pasqualino (Nov 2020)

Bill Schroeder (Nov 2020)

Byron Johnson (Dec 2020)

Jerome Park (Dec 2020)

Chuck Twining Jr. (Dec 2020)



to the following Philadelphia IIA Chapter members on their recent IIA certifications!!

Certified Internal Auditor (CIA)

Tyler Baer

Joseph Canfield

John Duffy

Viatcheslav Gorobets

Jonathan Guerrieri

Melissa Hummer

Shawn Marion

Scott Ngo

Mihika Patel

Lynette Stevens

Cody Swartz

Barry Widman

Jillian Zerrillo

Certification in Control Self-Assessment (CCSA)

Zaineb Ainuddin

Certification in Risk Management Assurance (CRMA)

Michael Santiago

Diversity and Inclusion Committee

This year has brought us a year of change, self-reflection and education. As we all grapple with the challenges around us, the IIA Philadelphia Chapter Board of Governors is disheartened by racism and the continuing human rights violations against Black Americans and all people of color. The IIA Philadelphia Chapter is committed to ensuring an equitable, inclusive, and respectful place for all of our members, regardless of race, ethnicity, gender, age, gender identification, sexual orientation, disability, religion, or background.

To that end, we have created a Diversity and Inclusion Committee to continue conversations and ensure we are maximizing our sensitivities and cultural attentions. The newly formed Committee is made up of chapter members of diverse backgrounds and industries. The Committee is currently working together to determine their goals and objectives which will be used to drive the mission statement. At a high level, the Committee will be focusing on chapter diversity and inclusion (at the Board, Officer and Membership levels), awareness and community engagement.

The Committee has recently consulted with the National Association of Black Accountants (NABA) Chair of the Board and will continue to leverage experts in the audit and diversity and inclusion fields for help. Furthermore, the group is working closely with the Academic Relations Committee to coordinate with the many diverse student organizations at the local universities to promote the industry of internal audit.

If interested in joining, please reach out to the co-chairs of the committee Danai Lendor (dlendor@cenlar.com) or Nilu Shroff (nshroff@princeton.edu) for more detail.



Member Spotlight *Ronald Waters*



Ronald Waters is currently an IT Audit Manager at Wells Fargo Bank. Ronald first joined Wells Fargo's Internal Audit Group in 2015 as an IT Senior Audit Leader. Ronald also serves as Diversity Co-Chair for the

Philadelphia office.

Prior to joining Wells Fargo, Ronald held several managerial positions in Audit Departments in various industries, as well as over 20 years of Information Technology experience as a DBA, Programming Coder, and Software Developer. He holds a Bachelor of Arts degree in Computer Science from Morehouse College, and a Masters of Software Engineering from Widener University. Ronald holds the following certifications; Certified Information Systems Auditor (CISA), Certified in Risk and Information Systems Control (CRISC), and Certified in Risk Management Assurance (CRMA).

Drawing upon his Information Technology experience as well as his Audit experience, Ronald encourages younger members in the audit profession to not be afraid to pursue opportunities both inside and outside of Internal Audit. He believes that there are a multitude of opportunities and experiences to be gained when you go outside your comfort zone and expand yourself through different audit engagements and on different teams. Personally, Ronald says his experience in different industries has enhanced his ability to understand different types of risks which will allow him to continue to elevate his career and the career of others in Internal Audit.

Ronald has enjoyed participating in the local chapter of The IIA in different events, as well as volunteering for the Fall and Spring conferences, and more recently participating on the Diversity and Inclusion Committee. He looks forward to helping to plan more activities in the local area and aspires to join the governing board one day.

Ronald is a father of two daughters and one son and loves spending his free time teaching his children about Option and Future investing, building entrepreneurial businesses, and just listening to their dreams and aspirations. Ronald also enjoys traveling to different countries with his wife Wendy, and looks forwards when things are safe again to continue adding different countries to the list of places they have traveled.



Member Spotlight *Danai Lendor*



Danai Lendor is an Staff Auditor with Cenlar FSB within the Internal Audit Department. Danai has been a Mortgage Servicing Professional for nine years. She has

a bachelor's degree in Economics with a minor in Political Science from Rutgers University. Danai has also obtained the Certified Mortgage Servicer (CMS), Certified Risk and Compliance Management Professional (CRCMP), and Six Sigma Green Belt certifications. She has been a member of the IIA for 2 years now and is currently working towards her CIA certification. Danai is excited to be a IIA member. There are numerous opportunities for networking with other Audit professionals, as well as education

courses, webinars, and committees to join to enhance your knowledge and skills throughout your Auditing journey.

Danai currently serves as the Co-Chair of the Diversity and Inclusion committee of the Philadelphia Chapter of the IIA. Danai has a passion for Diversity and Inclusion initiatives and hopes to promote change and awareness for Diversity and Inclusion and help attract more diverse members to the Internal Auditing Profession. As a young professional still learning to navigate Corporate America, she feels representation matters. Danai looks forward to the bright future of the Diversity and Inclusion committee and the hard work it will take to promote the change we all want to see.

Outside of work, Danai enjoys spending time with her son Trey (7) and fiancé Marcus. She also enjoys traveling, spending time with family and friends, and attending concerts, specifically Beyoncé concerts.

years leading a team that performed control and quality assurance testing supporting the Fund Accounting and Administration Centers of Excellence.

Ben is one of several founding members of the IIA Philadelphia Chapter's Diversity and Inclusion Committee, which formed in 2020. Ben is also one of several Ambassadors of M&T Bank's Internal Audit Division's Diversity, Inclusion and Belonging ("DIBees") Group. The DIBees have been very instrumental within the Audit Division in facilitating discussions over the last year regarding issues ranging from racial injustice to unconscious bias.

Outside of auditing, Ben enjoys several hobbies such as DJing private events and doing independent film production work as a Producer/Executive Producer with over 20 film credits in the horror, dramatic and comedic genres according to IMDb.com. He also works in the hospitality nightlife industry as General Manager of a bar in center city Philadelphia.



Member Spotlight

Benjamin P. Ablao, Jr.



Benjamin P. Ablao, Jr. has been with M&T Bank's Internal Audit Group for the last five years and is currently an Assistant Vice President and Senior Auditor supporting the Wealth and Institutional

Services Division. He has a Master of Business Administration from the University of Phoenix and a Bachelor of Science in Paralegal Studies from Widener University. Prior to joining M&T Bank, Ben was an Internal Control Manager at BNY Mellon Asset Servicing (formerly PFPC and PNC Global Investment Services) for eight

Chapter Achievement Program (CAP) Report



The CAP report is a management tool used by Chapter Leadership to track service activities provided to our membership during the chapter year. Service categories eligible for CAP points include: Chapter Health, Service to Members, and Professionalism.

A minimum number of points are required within each of the categories for the Chapter to qualify for the various performance levels. The performance levels include: Bronze (685 points), Silver (1,060 points) and Gold* (1,560 points).

*Chapters earn:

- Platinum by achieving Gold 10 out of 11 consecutive years;
- Ruby by achieving Platinum for 10 consecutive years;
- Sapphire by achieving Platinum for 15 consecutive years; and
- Diamond by achieving Platinum for 25 consecutive years .

Based on the dedicated service of our leadership and our members, the Philadelphia Chapter has achieved a Platinum performance level since 2013!

All Chapter members can play a role in the Chapter's success. By informing the Secretary when you participate in various activities specific to the "Service to Profession" category, this will help to increase our CAP point submission. The various activities include areas such as:

- Advocacy: Participation by any chapter member in a Junior Achievement Program/ Event;

- Advocacy: Host an Internal Audit event at work, (i.e. Lunch and Learn);
- Advocacy: Schedule meeting with legislator or local politician to discuss IIA, legislation etc.
- Audit Executive Center (AEC): Contribute thought leadership to the AEC Knowledge Center;
- Certification: Participate in writing examination questions for the following IIA certifications: Certified Internal Auditor (CIA), Certified Financial Services Auditor (CFSA), Certification in Risk Management Assurance (CRMA), Certified Government Auditing Professional (CGAP), and Certification in Control Self-Assessment (CCSA);
- Editorial: Submit articles to the Internal Auditor magazine;
- Editorial: Have articles published in the Internal Auditor magazine;
- Editorial: Have articles published in other professional journals;
- Speakers: Speaking engagements by chapter members both in and outside of the US, Canada, and the Caribbean. The chapter is eligible for CAP points as long as speaker is not compensated. Additionally, presentations can be outside of the Internal Audit profession.
- Volunteerism: The Chapter will receive points based upon the percentage of volunteer growth annually.

If you have participated or plan to participate in any of the activities described above, during the period of June 1, 2019 through May 31, 2020 please communicate this information to the Chapter Secretary, Marc Lyman

(secretary@phillyiia.org) and provide a brief description of the activity/event. If the activity is related to a speaking engagement please provide the date of the presentation, a brief description of the event/presentation, and the length of time you presented.

We appreciate your participation in helping the Chapter to once again achieve a Platinum status for this Chapter Year!

Some Lighthearted Internal Audit Humor

Courtesy of CareersinAudit.com—www.careersinaudit.com



“Could you explain this, frankly, implausible spike in travel expenses in late December?”

Career Opportunities

As a service to our Philadelphia Chapter membership, we provide and maintain a Career page on our Chapter’s website allowing members who are in need of an opportunity (or a change of scenery) to pursue opportunities with local employers who are looking to fill their audit related staffing needs. This service has always been provided at no cost and viewed as a continuing benefit of membership in our Chapter. If you are looking to fill a staffing need, we can post your job opportunity(s) on our IIA Philadelphia Chapter website. The posting will remain active on our website for a period of three months (or until you inform us that the position has been filled). If you would like to extend the posting beyond three months, please let us know as we approach the end of the initial three-month period and your job opportunity will be renewed for an additional three months. For job seekers, here is the link to the Chapter’s website and current audit opportunities:

<https://chapters.theiia.org/Philadelphia/Careers/Pages/default.aspx>

If you are searching for staff, please supply your job opportunity(s)/description(s) in individual Word docs, including your contact information (i.e. name, title, email address, phone, etc.) with each opening; click on the career page to get an idea regarding format needs. Please contact Dave Aubrey, Chair, Employment Committee (djaubrey@msn.com) to post a position, or with any questions pertaining to employment and the Chapter Website’s Career page.

**The Current Institute of Internal
Auditors Philadelphia Chapter
Board of Governors (2020-2021)**

Laurie Riggs	Lindsay Bell
Ryan Egan	Susan Allem
Matt Skala	Peter Parillo
Sean McErlean	Ryan Calef
Marc Lyman	Jen Neumeister
Frank Macciocca	Normand Mullan
Monica DeBellis	Maeve Raak (Past President)
Ken Brzozowski	Bernadette Robinson (Past President)
Margaret Neilon	Amit Varia (Non-voting)
Adam Ross	Michael Levy (Non-voting)
Claudia Peirce	
Jamal Ahmed	

Chapter Feedback

If you have any questions, suggestions, comments, or concerns please don't hesitate to reach out to communications@phillyiaa.org.



Save up to 25% on CPE Training with Freedom Pass Bundles

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- 👤 Forecast your training costs for the year
- 👤 Save money on training by **LOCKING** in a discounted price per CPE!

Bundle Size	Cost	Cost per CPE*	Savings %
40 CPE CREDITS	\$ 1,368	\$34.20	5%
80 CPE CREDITS	\$ 2,592	\$32.40	10%
160 CPE CREDITS	\$ 4,896	\$30.60	15%
320 CPE CREDITS	\$ 9,216	\$28.80	20%
640 CPE CREDITS	\$ 17,280	\$27.00	25%

*Regular member cost of CPE is approximately \$36 per CPE

For more information or to purchase, visit
chapters.theiia.org/Philadelphia/Events/Pages/Freedom-Pass.aspx

Fine Print

- Freedom Pass credits generally translate to CPE hours for each event, unless otherwise stated (i.e., 5 people attending an 8 CPE seminar is 40 Freedom Pass Credits);
- Freedom pass credits expire 18 months from date of purchase;
- Every Quarter, a statement providing the balance of used and purchased Freedom Pass credits will be provided to each account holder;
- Freedom Pass bundles must be purchased by a member of the Philadelphia Chapter of the IIA; and
- Most programs offered by the Philadelphia Chapter of the IIA are eligible to be purchased using Freedom Pass credits.

For further details, reach out to programs@phillyiia.org