It’s a tough challenge operating at the speed of business while satisfactorily managing complexity and risk across the extended enterprise. But this is exactly what customers, shareholders, regulators, and lawmakers demand. Organizations everywhere operate within their risk appetite only when they identify and control risk. The use of third parties exposes them to many distinct types of strategic, operational, reputational, regulatory, and financial risk. Failure to recognize, mitigate, and manage these risks can cause significant harm, prompting organizations to stray outside their risk appetite.

The global pandemic revealed some serious cracks in risk practices in many organizations. By visibly supporting proactive third-party risk management, business leaders can confidently make risk-informed decisions. Leaders who demonstrate risk-centric values and encourage their staff to do the right thing justifiably earn their loyalty, motivating them to protect the organization, customers, and shareholders.

This book, which complements Linda’s bestseller, Third-Party Risk Management: Driving Enterprise Value, is a must-read for anyone interested in gaining a deeper understanding about third-party risk management and how to successfully treat and manage risk.

About the Author
Linda Tuck Chapman, C3PRMP, is a recognized expert in third-party risk and relationship management. As President of Ontala Performance Solutions Ltd., she helps clients build strong third-party risk and relationship management practices. As CEO of Third Party Risk Institute Ltd., Linda delivers risk management certifications, global networking, and high-impact training to seasoned professionals around the world.
This IIA Bookstore catalog was created with your professional development needs in mind. Whether you are just beginning your internal audit career, need management-minded and team training resources, or seek executive-level thought leadership, we have organized resources by category and topic.

### Table of Contents

- **New Releases** .......................................................... 3
- **Audit Fundamentals** ........................................... 6–7
- **Audit Management** .............................................. 8–9
- **Executive Development** .................................. 10–11
- **CIA and Other Exam Preparation** .......... 12–13
- **Governance, Risk, and Control** .................. 14–15
- **Technology/Soft Skills** ..................................... 16–17
Business Resilience: Internal Audit’s Pivot to the Future

IAF and Protiviti Collaboration

This book describes the important call for internal audit to evolve to a business resilience approach that adds more value to organizations. It has documented many necessary foundational skills and methodology improvements that internal audit can, and often is, adopting to help make this possible. But at the very top of this pyramid of reinvention of internal audit lies a creative, dynamic, and authoritative role for the function that is necessary to elevate the profession.

Ebook or Paperback
$36.99
$10 off using promo code: 2022Mag10
Expires June 1, 2023

Visit www.theiia.org/Bookstore for more details and additional resources.
Recognizing and Mitigating Cognitive Biases: A Threat to Objectivity

This book will raise internal auditors’ awareness of how cognitive biases influence their thinking and decision-making as it relates to business performance. Cognitive biases are systematic predispositions in thinking that occur when people are processing and interpreting information; they influence how we make decisions.

Included are examples of cognitive biases that are inherent to business strategies, decisions, and actions. Internal auditors need to consider their impacts not only on their assurance and advisory work, but also on management of the internal audit function, and develop appropriate responses.

SKU: 4050.PUB.BK01.00611.00.01
Member Price: $19.99
Nonmember Price: $24.99

New Auditor’s Guide to Internal Auditing

This is a guide for new auditors that covers all the basics: from value proposition of internal audit to governance, risk, and compliance (GRC), the International Professional Practices Framework (IPPF), and how to conduct an audit. There is also guidance for experienced auditors who can reflect on what they should be doing.

SKU: 4050.PUB.BK01.00504.00.01
Member Price: $59.99
Nonmember Price: $74.99


With insightful input from internal auditors around the world, The IIA’s updated International Professional Practices Framework (IPPF), or “Red Book,” is more relevant than ever and should be a staple for all audit practitioners as they strive to align with improvements to the framework that steers the profession.

SKU: 4050.PUB.BK03.00355.00.01
Member Price: $71.99
Nonmember Price: $89.99

The IIA Bookstore Spotlights

Visit www.theiia.org/Bookstore for more details and additional resources.
Applying the IPPF, 4th Edition
With practical guidance on how to put The IIA’s International Professional Practices Framework (IPPF) into action, this edition reflects the 2017 revisions to the International Standards for the Professional Practice of Internal Auditing, the Core Principles, and the Implementation Guides. The authors take two new approaches — looking at internal audit application of the IPPF through a maturity model lens and from the perspective of small audit departments. They review basic information on the essential elements required for providing high-quality internal audit services, discuss in depth those elements representing significant change, and provide specific practical tools and techniques.
SKU: 4050.PUB.BK02.00421.04.01
Member Price: $55.99
Nonmember Price: $69.99

Quality Assessment Manual for the Internal Audit Activity, 2017 IPPF Aligned
Revised to incorporate requirements of The IIA’s International Professional Practices Framework (IPPF) and the update to the International Standards for the Professional Practice of Internal Auditing effective Jan. 1, 2017. This is a valuable resource for all organizations with a Quality Assurance and Improvement Program or for those entities responsible for conducting external assessments.
SKU: 4050.PUB.BK03.00381.00.01
Member Price: $228.00
Nonmember Price: $285.00

Third-Party Risk Management: A Practical Guide
In an increasingly complex world, it is critical that third-party risk and relationship management practices constantly evolve to remain relevant and embrace tools such as scenario planning to ensure readiness for potential future threats.
SKU: 4050.PUB.BK01.00606.00.01
Member Price: $27.99
Nonmember Price: $34.99

Sawyer’s Internal Auditing: Enhancing and Protecting Organizational Value, 7th Edition
This seventh edition builds on Sawyer’s legacy by looking at internal auditing from a business perspective. It is organized to show what chief audit executives need to know to develop the ideal internal audit function and what internal auditors need to know to deliver current internal audit services and products.
SKU: 4050.PUB.BK01.00487.07.01
Member Price: $199.99
Nonmember Price: $249.99

Visit www.theiia.org/Bookstore for more details and additional resources.
Agile Auditing: Transforming the Internal Audit Process

Agile IA is an innovative approach that uses Agile software development values, principles, and practices to transform how internal audit engagements are executed. This methodology enhances the internal audit value proposition by facilitating a more agile approach to addressing organizational risk dynamics.

SKU: 4050.PUB.BK03.00521.00.01
Member Price: $95.99
Nonmember Price: $119.99

Data Analysis and Sampling Simplified: A Practical Guide for Internal Auditors

This is a book about data — about how to reduce large data sets down to the critical few high-risk subsets — and how to select items or transactions for testing. Most of the book is devoted to data analysis, or what is more formally described as analytical procedures. Every audit is different, yet all audits follow the same basic path — planning, fieldwork, reporting, and follow-up. Each of the four phases of an audit is composed of distinct activities. With respect to data analysis and sampling, it is important to appreciate that different analytical activities occur at different stages in the audit process. Numerous exhibits and case studies are included in each chapter, helping the reader review practical applications of the concepts presented.

SKU: 4050.PUB.BK03.00511.00.01
Member Price: $59.99
Nonmember Price: $74.99


Auditing Social Media, 2nd Edition shows you how to leverage the power of social media for instant business benefits — such as reaching new markets and increasing sales, while evaluating the risks involved.

SKU: 4050.PUB.BK02.00513.02.01
Member Price: $43.99
Nonmember Price: $54.99

Understanding and Auditing Corporate Culture: A Maturity Model Approach

This book uses a maturity model to illustrate different levels of corporate governance infrastructure and internal audit function maturity at an organization and the associated and advisory services. The book covers: The Basics of Culture, Building the Knowledge Base, The Maturity Model: Know What’s Then, Now, and Next

SKU: 44050.PUB.BK01.00539.00.01
Member Price: $47.99
Nonmember Price: $59.99
Greek philosopher Heraclitus noted that nothing is permanent except change. But in the twenty-first century, change — dynamic, technology-driven, explosive — has the power to disrupt or discover, interrupt or innovate, bankrupt or bankroll...all at lightning speed.

In *Agents of Change: Internal Auditors in an Era of Disruption*, Richard F. Chambers makes a compelling case that internal auditors must embrace change and strive to create value within their organizations. Combining data from a global survey of chief audit executives, as well as tapping into more than four decades of learning, observation, and experience, Chambers lays out the necessary steps for practitioners to improve processes, mindsets, and skillsets. Whether you are new to internal auditing or a seasoned professional, Chambers provides clear and convincing direction to elevate your skills and put you on the path toward becoming an Agent of Change.

Richard F. Chambers, CIA, QIAL, CGAP, CCSA, CRMA, is one of the leading voices and thought leaders in the internal audit profession. He has spent more than 40 years in internal audit and association management, including serving as president and CEO of The Institute of Internal Auditors (IIA) from 2009 to 2021. Prior to leading The IIA, Richard was national practice leader in Internal Audit Advisory Services at PwC; inspector general of the Tennessee Valley Authority; deputy inspector general of the U.S. Postal Service; and director of the U.S. Army Worldwide Internal Review Organization at the Pentagon. A prolific blogger and highly sought-after speaker, Richard has authored two award-winning books: *Trusted Advisors: Key Attributes of Outstanding Internal Auditors* (2017) and *Lessons Learned on the Audit Trail* (2014), which is currently available in five languages. His third book, *The Speed of Risk: Lessons Learned on the Audit Trail*, 2nd Edition, was released in 2019. He has been consistently listed among the 100 Most Influential People in Accounting by Accounting Today, and among the most influential leaders in corporate governance by the National Association of Corporate Directors. Richard and his wife, Kim, reside in New Smyrna Beach, Florida, and have three grown daughters, Natalie, Christina, and Allison.
The Speed of Risk: Lessons Learned on the Audit Trail, 2nd Edition

In this second edition of Lessons Learned on the Audit Trail, author Richard Chambers shares fresh perspectives on the lessons in the first edition and imparts new lessons learned during the last few years. He has invested more than four decades serving the profession. His belief and motivation in writing this new edition is that if he can help just one internal auditor to be better prepared for the challenges and opportunities that lie ahead, then the effort will have been worth it.

SKU: 4050.PUB.BK02.00489.02.01 (Paperback)
SKU: 4050.PUB.BK03.00491.02.01 (Hardcover)
Member Price: $19.99-$23.99


New insights from the SEC, PCAOB, and The IIA prompted award-winning author Norman Marks to update his book, Management’s Guide to Sarbanes-Oxley Section 404. The new edition includes information intended to keep CEOs, CFOs, and anyone who signs off on required internal control-related reports from running afoul of guidelines.

SKU: 4050.PUB.BK02.00399.04.01
Member Price: $44.99
Nonmember Price: $59.99

COSO Internal Control – Integrated Framework: 2013 (Framework)

Issued by the Committee of Sponsoring Organizations of the Treadway Commission (COSO), the 2013 Internal Control – Integrated Framework (Framework) is expected to help organizations design and implement internal control in light of many changes in business and operating environments since the issuance of the original Framework in 1992. The new Framework retains the core definition and five components of internal control, and it continues to emphasize the importance of management judgment in designing, implementing, and conducting a system of internal control, and in assessing its effectiveness.

SKU: 4050.PUB.BK02.00210.00.01
Member Price: $140.00
Nonmember Price: $175.00

A Journey Into Auditing Culture

The relatively new topic of auditing culture is a challenging one for internal auditors, as the risks and controls are more difficult to identify, assess, and audit. There are a number of emerging approaches to this type of audit, and this book provides both suggested approaches and a framework of areas to consider when examining the topic.

SKU: 4050.PUB.BK03.00493.00.01
Member Price: $29.59
Nonmember Price: $36.99

Visit www.theiia.org/Bookstore for more details and additional resources.
CAE Strategic Relationships: Building Rapport with the Executive Suite
Position yourself for success by revitalizing relationships with the executive suite. Case studies show you how to enhance communications and build better rapport, helping you to achieve better organizational outcomes.
SKU: 4050.PUB.BK03.00047.00.01
Member Price: $47.99
Nonmember Price: $59.99

COSO Internal Control - Integrated Framework: Turning Principles Into Positive Action
Updated for the first time since 1992, the framework provides direction for all levels of management in internal control. This high-level overview will help internal auditors to quickly identify implications for their organizations.
SKU: 4050.PUB.BK03.00065.00.01
Member Price: $66.39
Nonmember Price: $82.99

Data Analytics: Elevating Internal Audit’s Value
Today’s audit leader struggles with creating an integrated, efficient approach to data mining that maximizes the impact and value the audit department delivers. Data Analytics: Elevating Internal Audit’s Value is the result of a research project that aimed to lead to the design of a data analytics framework to help internal audit functions.
SKU: 4050.PUB.BK03.00243.00.01
Member Price: $29.59
Nonmember Price: $36.99

Powering Audit Committee Outcomes: The Essential Guide
This book showcases audit committee leading practices and shares contemporary examples that can immediately be understood and applied. Each page provides audit committees (and incidentally those who support them) with today’s best practices to enhance and protect organizational value through effective processes. Powering Audit Committee Outcomes explores the symbiotic relationship between internal audit and the audit committee. Each derives its strength from the other. The role and responsibilities of audit committees, and the techniques for running effective audit committees, continue to evolve.
SKU: 4050.PUB.BK02.00574.00.01
Member Price: $59.99
Nonmember Price: $74.99
CIA AND OTHER EXAM PREPARATION

The IIA’s CIA Learning System Version 7.0 - 3-part Online Study System

The IIA’s CIA Learning System® Version 7.0 is a comprehensive and interactive CIA review program that teaches and reinforces the updated CIA exam syllabi in a flexible, on-demand format. It combines online quizzes, study tools, and reading materials (printed books available as an optional add-on). Prepare for the CIA exam with confidence and convenience and gain skills to excel in your internal audit career.

SKU: 4050.PUB.BK09.00555.00.01
Member Price: $795.00
Nonmember Price: $895.00
Optional printed books: $100.00

CRMA® Exam Study Guide and Practice Questions, 2nd Edition

The CRMA® Exam Study Guide and Practice Questions, 2nd Edition, compiles the comprehensive review material you need to prepare for the Certification in Risk Management Assurance® (CRMA®) exam. Crucial information is presented in this one-of-a-kind study guide for each of the three official exam domains:

Domain I: Internal Audit Roles and Responsibilities
Domain II: Risk Management Governance
Domain III: Risk Management Assurance

SKU: 4050.PUB.BK02.00572.02.01
Member Price: $159.99
Nonmember Price: $199.99

CIA Exam Practice Questions - Certified Internal Auditor® 2019

CIA Exam Practice Questions is intended to familiarize interested parties with the content and format of the Certified Internal Auditor® exam. The questions in this guide are representative of those that a CIA candidate can expect to see on future exams.

SKU: 4050.PUB.BK02.00463.00.01
Member Price: $51.99
Nonmember Price: $64.99
**Gleim Premium CIA Review System**

Gleim Premium CIA Review System includes everything a busy CIA candidate needs to pass the CIA exam on the first try. The system integrates all available materials into an easy-to-use, 3-step approach so candidates can diagnose weak areas, study from review materials written by professional educators, and apply knowledge with the most realistic, exam-emulating multiple-choice questions on the market.

SKU: 4050.PUB.BK09.00474.00.01
Member Price: $949.00
Nonmember Price: $949.00

---

**Gleim Traditional CIA Review System**

Our smart course is powered by adaptive technology that prepares a learning path specifically for you while delivering tools and quizzes designed to help you study more effectively. All this is enhanced with detailed visual analytics and includes your interactive Study Planner, exam-emulating quizzes, the Gleim digital book, and more.

SKU: 4050.PUB.BK09.00478.00.01
Member Price: $799.00
Nonmember Price: $799.00

---

**Gleim CIA Review Test Bank**

Our renowned bank of multiple-choice questions is the most refined collection of sample problems available on the market. Practice from thousands of questions that emulate the exam environment and provide our signature detailed answer explanations for both incorrect and correct answer choices.

SKU: 4050.PUB.BK09.00483.00.01
Member Price: $447.00
Nonmember Price: $447.00

---

Visit www.theiia.org/Bookstore for more details and additional resources.
GOVERNANCE, RISK, AND CONTROL

Managing Risk in Uncertain Times: Leveraging COSO’s New ERM Framework

In today’s business environment, risk can come from all directions and knowing where to turn for guidance can be challenging. Author and ERM expert Paul Sobel helps internal auditors and risk managers understand the implications of COSO Enterprise Risk Management – Integrating with Strategy and Performance.

Sobel explains how to use the COSO ERM Framework as an evaluation tool and highlights the alignment of the updated Framework with The IIA’s latest IPPF and implementation guidance.

SKU: 4050.PUB.BK03.00406.00.01
Member Price: $47.99
Nonmember Price: $59.99

COSO Enterprise Risk Management - Integrating with Strategy and Performance

The updated Framework helps organizations stay several moves ahead of the game in today’s volatile, complex, and ambiguous ERM landscape by focusing on ERM challenges and evolving expectations that business leaders and boards face, highlighting the importance of ERM in strategic planning, and emphasizing the embedding of ERM throughout an organization.

SKU: 4050.PUB.BK02.00387.00.01
Member Price: $129.99
Nonmember Price: $169.99

Fraud Risk Management Guide

Issued by COSO, this guide offers guidance that defines principles and points of focus for fraud risk management and describes how organizations of various sizes and types can establish their own effective and efficient fraud risk management program. It also includes examples of key program components and resources that organizations can use as a starting place to develop their own program.

SKU: 4050.PUB.BK02.00333.00.01
Member Price: $59.00
Nonmember Price: $69.00

The IIA Bookstore Spotlights

Visit www.theiia.org/Bookstore for more details and additional resources.
Assessing and Managing Strategic Risks: What, Why, How for Internal Auditors

Designed to provide internal auditors with sound, practical advice that can better enable them to meet the critical challenge of today’s dynamic risk environment. The primary focus is on two key processes: the assessment of strategic risks and strategic risk management. Content outlines frameworks on strategy, strategic risks, and a basic strategic risk assessment methodology.

SKU: 4050.PUB.BK03.00401.00.01
Member Price: $43.99
Nonmember Price: $54.99

Performance Auditing: Measuring Inputs, Outputs, and Outcomes, Third Edition

Gleaning leading standards from the U.S. Government Accountability Office (GAO), the International Organization of Supreme Audit Institutions (INTOSAI), and The IIA, this valuable resource provides concepts and best practices to execute a performance assessment. Developed for the practitioner and trainer, it emphasizes the use of measurement and comparison in performance assessment.

SKU: 4050.PUB.BK03.00345.03.01
Member Price: $111.99
Nonmember Price: $139.99

Internal Audit Capability Model (IA-CM) for the Public Sector

Designed for the public sector, this book serves as the global model for communicating what is meant by effective internal auditing and how it serves an organization and its stakeholders — and for advocating the importance of internal auditing to decision makers. It is also a framework for assessing the capabilities of an internal audit activity against professional internal audit standards and practices, either as a self-assessment or an external assessment.

SKU: 4050.PUB.BK02.00396.00.01
Member Price: $31.99
Nonmember Price: $39.99


It’s critical that professionals continuously refine their skills and knowledge to assess risk. Internal auditors at all levels and functions will gain confidence, be prepared to conduct risk assessments, receive step-by-step guidelines on how to use a risk assessment to create an audit plan, and understand the merits of alignment with business objectives. Purchase includes a comprehensive resource package with customizable templates and other useful elements.

SKU: 4050.PUB.BK03.00420.00.01
Member Price: $95.99
Nonmember Price: $119.99

Visit www.theiia.org/Bookstore for more details and additional resources.
Moving Internal Audit Deeper Into the Digital Age: Part 3

The Internal Audit Foundation and Deloitte collaborated to create the final in a three-part series, “Moving Internal Audit Deeper Into the Digital Age: Part 3, Beyond Theory — Scaling Automation Capabilities in Internal Auditing.” The report outlines a six-step approach designed to assist internal audit leaders in overcoming common hurdles to move analytics and automation beyond theory, toward actualization.

Member Price: Free
Nonmember Price: Free

Wayfinding: Stories of Internal Audit Leaders Navigating to Success

There is no formula for success, but there is a method. Many of the stories in this book describe life courses navigated by an ancient method: wayfinding. They tell the experience each of the leaders had finding their way through a unique combination of chance and choice.

SKU: 4050.PUB.BK02.00438.00.01
Member Price: $19.99
Nonmember Price: $24.99

Privacy and Data Protection, Part 2: Internal Auditors’ Views on Risks, Responsibilities, and Opportunities

The second in a three-part series, this report serves as a how-to guide to assist internal auditors in assessing their current level of preparedness regarding privacy and data protection issues, particularly as their approaches relate to the present state of the profession overall.

Member Price: Free
Nonmember Price: Free

Defining, Measuring, and Communicating the Value of Internal Audit: Best Practices for the Profession

This new Internal Audit Foundation report, based on the findings of a global survey and in-depth interviews with world-class internal audit functions, addresses the question, “What is the added value of internal auditing?” It provides advice on how to define and measure your added value, and how to communicate that value to stakeholders.

Member Price: Free
Nonmember Price: Free
10 Key Techniques to Improve Team Productivity: A Guide to Developing Your Team’s Full Potential

A team’s effectiveness is greatly impacted by its leaders. For internal auditors, who typically spend most of their time working on teams, this book serves as a useful reference guide to increasing team productivity and energizing performance.

SKU: 4050.PUB.BK02.00020.00.01
Member Price: $47.99
Nonmember Price: $59.99

Collaborative Auditing

Collaborative Auditing provides guidance through an understanding that internal audit’s responsibility goes beyond individual audit engagements to ensuring that key stakeholders get the knowledge and tools they need to improve processes and address the root causes of issues within their organizations.

SKU: 4050.PUB.BK02.00266.00.01
Member Price: $39.99
Nonmember Price: $49.99

Trusted Advisors: Key Attributes of Outstanding Internal Auditors

Trust is crucial to internal audit’s success. It is how we are able to be heard and effect positive change on critical issues. Award-winning author Richard Chambers, former president and CEO of The IIA, taps the insights of an array of leading internal auditors and, from their experiences, provides insights into the traits that characterize outstanding internal auditors and those who have also earned a “seat at the table.”

SKU: 4050.PUB.BK02.00342.00.01 Paperback
SKU: 4050.PUB.BK03.00359.00.01 Hardcover
Member Price: $19.99-$24.99
Nonmember Price: $23.99-$29.99