



## CHAPTER BY-LAWS

### ARTICLE I – NAME

- Section 1. This chapter of The Institute of Internal Auditors shall be known as The Institute of Internal Auditors - Edmonton Chapter (herein referred to as “the Chapter”).
- Section 2. The Chapter operates as a Chapter of The Institute of Internal Auditors, whose Global Headquarters is located in Lake Mary, Florida, USA and is subject to all policies, practices, procedures, regulations, and bylaws made applicable by The Institute of Internal Auditors to its Chapters. These include but are not limited to: the Compact, North American Chapter Manual, the Guiding Principles for Effective Chapter Governance, and Chapter Treasurer’s Manual. In these Bylaws, all articles and sections pertain to the Chapter unless specifically designated by The Institute of Internal Auditors.
- Section 3. The geographical area covered by the Chapter shall include those areas as defined when chartered by The Institute of Internal Auditors.

### ARTICLE II - ADHERENCE TO CORPORATE CHARTER

The IIA Edmonton Chapter is empowered to perform any and all acts which are defined in the North American Chapter Compact and Bylaws of The Institute of Internal Auditors and shall do nothing which is inconsistent with the provisions and with the pronouncements and resolutions incorporated in the minutes of The Institute of Internal Auditors meetings and those of the Board of Directors

### ARTICLE III – DEFINITIONS

In these Bylaws:

- 1) **Board** means the Board of Directors of the Institute of Internal Auditors, Edmonton Chapter defined under Article VI
- 2) **Bylaw** means these Bylaws
- 3) **Chapter** means the Institute of Internal Auditors - Edmonton Chapter; located in the city of Edmonton, of the Province of Alberta, Canada.
- 4) **Executive** means collectively the officers holding the positions of President, Vice-President Program, Vice President Membership, Secretary and Treasurer.
- 5) **Institute** means the Institute of Internal Auditors, Inc.; as incorporated in 1941 under the laws of the State of New York
- 6) **Director** means any member of the Board of **Directors**

### ARTICLE IV - BOARD OF GOVERNORS

- Section 1. The governing body of the Chapter shall be the Board of Governors. The Board is responsible for reviewing and approving the Chapter’s budget and strategic plan. Approval of any unbudgeted expenditures must also be approved by the Board. The Board in its entirety oversees the financial integrity and monitors performance against achievement of strategy and long-term vision. The Board may establish such policies as it deems appropriate to the conduct of its affairs/or the affairs of their operation. Such

policies shall be recorded in the Chapter Board Policy Manual. Such policies shall not conflict with these Bylaws.

Section 2. The Board of Governors (the Board) shall be constituted as follows:

i. **Governors of the Chapter:** The Board of Governors shall have up to 15 members and not fewer than 7 members. The board of Directors of the Chapter shall be elected each year and shall hold office until the election of a successor unless the term of office is terminated as provided in the Bylaws of The IIA Edmonton Chapter. There will be a maximum of 15 voting members on the Board, inclusive of Chapter Officers who have voting rights. The Governors of the Chapter shall be elected in staggered terms to allow for continuity of leadership. Each Governor shall have voting rights and fiduciary oversight. Each Governor will be required to have an active role by overseeing a committee on the Board. Governors shall provide strategic oversight and input to the Chapter officers. The Immediate Past President, who will sit on the Board of Governors, will also have voting rights and fiduciary oversight. With the exception of the Immediate Past President, the Board of Governors shall be elected annually by the members affiliated with the chapter and as prescribed by The Bylaws of The Institute of Internal Auditors. No individual shall serve in a voting capacity for more than 10 consecutive years of service, including time in an officer role.

ii. **The Officers of the Chapter:** The Officers of the Chapter at a minimum shall be a President, Treasurer, Secretary, a Certification/Memberships Officer, and Programming Officer, as well as any additional officers deemed necessary by the Chapter Board of Governors. Each elected officer shall take office on June 1 and serve for a term of one, with the ability to serve an additional terms established for each Officer position. All Officers may serve on the Board of Governors for ten consecutive years adhering to the term limits established for each board position. At a minimum, the President, Treasurer and Secretary will also have voting rights and fiduciary oversight, in addition to any other officers deemed appropriate by the Chapter (not to exceed a total of 15 voting members, inclusive of the Governors.) All chapter officers will conduct the day-to-day business of the chapter to help it achieve its strategic objectives.

Section 3. The President-Elect is not a unique position on the Board, but will be any current Officer based on succession planning.

Section 4. Any member in good standing of The IIA whose affiliation is with the Chapter is eligible for nomination and election to any office. It is preferred the member has served as an active volunteer on a committee for a minimum of one year prior to serving on the Board.

Section 5. Nominations will be made in accordance with these Bylaws and shall be made by the Nominating Committee. The Nominating Committee shall consist of a Nominations Chair with no fewer than 2 additional members. Elections shall be conducted in accordance with IIA Bylaws with designated chapter members having the ability to approve or contest the slate prior to elections. A slate of elected officers will be submitted to The Institute of Internal Auditors Global Headquarters by May 1 annually.

Section 6. Nominations shall be made by the Nominating Committee. In addition, nominations may be made from the floor.

Section 7. The Board of Governors shall meet a minimum of four times, at a time and place determined by the Board and will be open for attendance by any IIA member in good standing whose primary affiliation is with this Chapter.

- Section 8. Any act of the majority of the Board members present or participating at a meeting via teleconferencing, video conferencing or other means which Board members are audible at a meeting where a quorum is present shall be a valid act of the Board. For the purposes of conducting a Board meeting, a majority of currently serving Board members shall constitute a quorum.
- Section 9. Special meetings (votes or resolutions) of the Board may be called by or at the request of the President or any two members of the Board via mail or electronic mail.
- Section 10. Notice of meetings of the Board of Governors shall be sent by mail or electronically by the Secretary or Chapter Administrator, or as the Board may otherwise direct, thirty (30) days prior to each regular meeting or ten (10) days prior to each special meeting. Such notice may be mail or electronically mailed.
- Section 11. Voting rights of the Board of Governors shall not be delegated to another.

#### **ARTICLE V – OFFICERS AND THEIR ELECTION**

- Section 1. Each elected Chapter President shall take office June 1 and may serve for a (1) year term with the potential to serve an additional (1) year term as deemed appropriate by the membership. Chapter Presidents shall be Certified Internal Auditors.
- Section 2. Each elected Chapter Officer other than the Chapter President shall take office on June 1 and serve for a term of one (1) year.
- Section 3. Nominations shall be made by the Nominating Committee, and in addition, may be made electronically, virtually, or from the floor at a meeting called for this purpose. In the event of electronic vote, a voting window must be established not to exceed two (2) weeks leading up to the Annual Meeting and shall close at 5:00PM the day prior to the Annual Meeting. Proxy voting is not permitted. The Chapter year is the fiscal year beginning June 1. The Officers elected at each annual meeting will be included on the official Slate of Officers provided to The IIA on May 1 annually and take office on June 1 following their election with terms ending the following May 31.
- Section 4. No person shall hold more than one office at a time, unless deemed appropriate by the Board of Governors.
- Section 5. Officers shall be elected by a majority vote of members through a virtual meeting or present at an in person meeting called for this purpose.
- Section 6. The Secretary, Certification/Membership Officer and Programming Officer may serve one (1) full term and shall be eligible for re-nomination and re-election to serve two (2) additional one (1) year terms in the same office. After serving three (3) consecutive one (1) year terms, they will not be eligible to serve in the same office until one (1) year has elapsed from the expiration of their prior term.  
The Treasury Position may serve one (1) full term and shall be eligible for re-nomination and re-election to serve four (4) additional one (1) year terms in the same office. After serving five (5) consecutive one (1) year terms, they will not be eligible to serve in the same office until one (1) year has elapsed from the expiration of their prior term.
- Section 7. An Officer may be removed by a two-thirds vote of the members of the Board provided such Officer was granted an opportunity for a hearing before the Board.
- Section 8. If the position of any Officer shall become vacant by reason of resignation, death or otherwise, except as provided in Section 6 of this article, The Board of Governors is empowered to fill this office for the unexpired term. However, a vacancy in the office of the President shall be filled for the balance of the term by any Officer or the Immediate Past President, which is determined by the majority vote of the Officers. In the event an Officer or the Immediate Past President is unable to serve in this capacity, the remainder of the term may be filled by a qualified candidate determined by the majority vote of the Board of Governors.

- Section 9. If an officer's IIA membership terminates for any reason, the office shall automatically become vacant.
- Section 10. The resignation of any Officer shall be tendered to the Board and may be acted on at any regular or special meeting of the Board.

#### **ARTICLE VI – BOARD OF GOVERNORS' ELECTION**

- Section 1. Each elected Governor shall take office June 1 and shall serve for a term of one (1), two (2) or three (3) years as deemed appropriate for each chapter to allow for continuity. Governors shall serve staggered terms. The Governors elected at each annual meeting take office on June 1 following their election with terms ending on May 31.
- Section 2. Nominations shall be made by the Nominating Committee
- Section 3. Governors shall be elected by a majority vote of members electronically, virtually or present at a meeting called for this purpose. In the event of an electronic vote a voting window must be established not to exceed two weeks leading up to the Annual Meeting and closing by 5:00PM the day before the Annual Meeting. Proxy voting is not permitted.
- Section 4. A Governor may be removed by a two-thirds vote of the members of the Board provided such Governor was granted an opportunity for a hearing before the Board.
- Section 5. If the office of any Governor shall become vacant by reason of death, resignation, or otherwise, except as provided in Section 2 of this article, the Board of Governors is empowered to fill this office for the unexpired term.
- Section 6. If a Governor's IIA membership terminates for any reason, the office shall automatically become vacant.
- Section 7. The resignation of any Governor shall be tendered to the Board and may be acted on at any regular or special meeting of the Board.
- Section 8. The Board of Governors shall have the power to establish the time and place for each annual and special meeting of the Chapter.

#### **ARTICLE VII – CONFLICT OF INTEREST**

Officers and Governors should act at all times in the best interest of their chapter and not for personal or third-party gain or financial enrichment. When encountering potential conflict of interest, Officers or Governors shall identify the conflict and, as required, remove themselves from all discussion and voting on the matter. Specifically, members of the Board of Governors shall not:

- a. Place (and the appearance of placing) one's own self-interest or any third party interest above that of the chapter.
- b. Provide goods or services to their chapter as a paid vendor. This may be waived after full disclosure to, and advance approval by the Board of Governors.
- c. Engage in any outside business, professional or other activities that conflict with, and/or would directly or indirectly materially adversely affect the chapter.
- d. Abuse their position by improperly using the Chapter's staff, membership information, service, equipment, resources, property, or events for their personal or third-party gain.
- e. Use IIA chapter training events, such as Leadership, to promote their services while attending in the role as chapter leader or officer.
- f. Use IIA conferences, for which they are speaking or being compensated to provide training, to promote their services beyond the use of exhibitor space to do so.

## ARTICLE VIII - DUTIES OF OFFICERS

- Section 1. The Chapter's President is the executive head of the chapter and, when present, shall preside at all meetings of the Chapter and of the Board of Governors. The President shall be responsible for:
- Enforcement of the Bylaws of The Institute of Internal Auditors and the Bylaws of The Chapter and the resolutions and proceedings.
  - Keeping the Board of Directors of The Institute of Internal Auditors and the Board of Governors of the chapter fully informed of the affairs of the chapter and shall also consult with the Board of Directors of The Institute of Internal Auditors and the Board of Governors of the chapter, whenever necessary, concerning the business of the Chapter and its activities.
- Section 2. In the absence or disability of the President, an Officer or the Immediate Past President shall perform the Chapter President's duties. The Chapter's Officers will be responsible for managing various committees and will have duties and powers as prescribed by the Board of Governors or delegated by the Chapter's President through normal course of business.
- Section 3. The Chapter's Treasurer shall be responsible for:
- The custody of the financial reports and funds of the Chapter.
  - Proper disbursement of Chapter funds, and the establishment of proper accounting procedures (including segregation of duties) for the handling of Chapter funds under the rules prescribed by The Institute of Internal Auditors and the Chapter's Board of Governors.
  - Making a report to the Board of Governors a minimum of twice (2) annually. Additional reports may be required of the Treasurer by the Board of Governors.
- The Treasurer shall be designated as the disbursing officer of the Chapter and have no authority to receive application fees or dues, as this authority is reserved for the Treasurer of The Institute of Internal Auditors. The Board of Governors of the Chapter may authorize the bonding of the Chapter Treasurer.
- At the termination of the Treasurer's term of office, the Treasurer shall immediately turn over to the Board of Governors all funds, records, papers, books, documents and all other property of the Chapter having to do with the financial or other transactions or business of the Chapter which might have come into his/her possession or might have been compiled or created during his/her term of office.
- Section 4. The Certification Officer shall be responsible for at least:
- Delivery of the chapter's strategy to promote continuing professional development programs to certified professionals, both members and nonmembers in collaboration with IIA headquarters.
  - Develop an annual campaign to reengage the non-member individuals whose certifications become inactive so they can reinstate their certifications and reactivate membership.
  - Growing the total number of certified members and advancing the profession.
- Section 5. The Membership Officer shall be responsible for at least:
- Delivering and overseeing the chapter's strategy specific to new member recruitment, new member onboarding, member recognition, and member

retention in coordination and collaboration with IIA HQ and any other responsibilities as delegated by the President or Board of Governors.

- The Membership Officer will access membership and certification reports through The Institute of Internal Auditor's database and report to the Board of Governors on a regular basis the current membership and certification growth/decline.

Section 6. The Programming Officer shall be responsible for at least:

- Overseeing all educational offerings of the Chapter, to include program planning and education alignment with The Institute of Internal Auditors.
- Adhering to chapter standards to deliver eight quality CPE hours per chapter year.
- Maintaining the integrity of CPE pricing, including a member/non-member price differential.
- Overseeing registration, logistics, and content management for all member programs including protection of IP, integrity of brand standards, and fulfillment of sponsorship.
- The Programming Officer will report to the Board of Governors on a regular basis regarding the strategic plan for chapter programming and the success of these programs.

Section 7. The Officers and the Board of Governors of the Chapter shall receive no salaries for their services, with the exception of a Chapter's paid staff position. Officers and Governors may be reimbursed for their expenses incurred in the performance of their duties subject to such approval as may be determined by the Board of Governors.

Section 8. Other Officer positions other than those specified in these Bylaws may be established, and their duties specified by the Chapter's Board of Governors.

Section 9. In order to volunteer for the Chapter an individual must be in good standing as a member of The IIA. If a volunteer's IIA membership terminates, the volunteer role, including Officers and Governors, will automatically become vacant.

## **ARTICLE IX – COMMITTEES**

Section 1. There shall be a minimum of these standing committees appointed by the Board of Governors:

- a. Audit Committee of at least two (2) members, who are not serving as a current Chapter Officer or Governor. The Audit Committee must be independent of any Chapter Officer from a day-to-day employment perspective.
- b. Nominating Committee shall consist of a Nominations Chair with no fewer than two (2) additional members. The recommended composition would include the immediate Past President (serves as Chair of the committee) and a minimum of two additional members not serving in an officer or board position.

It is recommended that chapters have the following standing committees appointed by the Board of Governors:

- c. Advocacy Committee shall consist of an Advocacy Chair with no fewer than two (2) additional members.
- d. Academic Relations Committee shall consist of an Academic Relations Chair with no fewer than two (2) additional members.

Section 2. There may be other committees authorized and directed by the Board of Governors or by the Members in regular or special meetings. The members of these committees are appointed by the President, the Officers, or the Members. All committee members must be in good standing with The IIA and in affiliation with the chapter.

Section 3. Any Member of any committee can be removed at the discretion of those appointing the Member, with the exception of the Audit Committee.

Section 4. The majority of each committee constitutes as quorum.

Section 5. The Board of Governors acting with the President may institute any procedure which it deems necessary to appoint committees to carry on the activities of the Chapter.

#### **ARTICLE X – PAID STAFF**

Paid staff such as Administrators, Executive Directors, and/or Events Managers, etc., may be employed by the Chapter to serve at its discretion. Duties and compensation shall be determined by the Board of Governors. Paid staff shall not have voting privileges and must adhere to the standards and qualifications established by The Institute of Internal Auditors. Paid staff must be provided a performance assessment annually.

#### **ARTICLE XI – RULES OF DISBURSEMENTS**

Section 1. The Chapter Treasurer may make disbursements for any preauthorized budget item without obtaining prior written or verbal approval from the Board of Governors. All disbursements must have signed approval from the Chapter President.

Section 2. The Chapter Treasurer must obtain written approval from the Chapter President for non-budget items that are \$200 or less, before making disbursements for such chapter-connected expenses.

Section 3. The Chapter Treasurer must obtain written approval from the Board of Governors for non-budget items that are more than \$200, before making disbursements for such chapter-connected expenses. The voting Officers and Governors must base the written approval on a majority approval, under Robert's Rules.

#### **ARTICLE XII – MEETINGS OF MEMBERS AND VOTING**

Section 1. Regular meetings will be held at times and places as determined by the Chapter's Board of Governors.

Section 2. Special meetings may be called by the President or by any two Board of Governor members within thirty (30) days of receipt of a written or electronic request signed by twenty (20) percent of the Chapter members. The business to be transacted at any special meeting shall be stated in the notice thereof. At special meetings, a quorum shall

consist of ten (10) percent of members entitled to vote. In the event the quorum is not present, those in attendance may adjourn the meeting without further notice. If a quorum is present, the majority vote shall be the act of the members.

- Section 3. All meeting notices shall be sent by mail, electronic mail, or electronic media to each member a minimum of twenty (20) days preceding the meeting.
- Section 4. Each member shall have one (1) vote and may take part in a vote in person or by participation in an electronic vote (determined by the Board of Governors). Proxy voting is not permitted. Unless otherwise specified within these Bylaws, a majority vote of members present and voting, in person or electronically, shall govern.
- Section 5. Proposals to be offered to the membership for a vote via mail or electronic mail rather than in person shall be first approved by the Board of Governors unless the proposal has been endorsed by a minimum of twenty (20%) percent of the voting members, in which case, Board approval shall not be necessary.
- Section 6. The Board of Governors, with a two-thirds majority, with or without cause, may cancel any meeting.

### **ARTICLE XIII - ANNUAL BUSINESS MEETING**

An annual meeting of the members shall take place at a date, time and place designated by the Board of Governors. At the annual meeting, the members shall elect Officers, Governors, and conduct required chapter business. The slate of candidates should be announced to all members at least 30 days prior to the election. Chapters shall plan their annual business meeting accordingly to allow time for new officer(s) to schedule their attendance to the annual training conference in April and to meet the required submission of officer slates due May 1 annually.

### **ARTICLE XIV – RULES OF PROCEDURE AT MEETINGS**

- Section 1. The rules of procedure at meetings of The Institute of Internal Auditors, the Board of Governors, and of committees shall be according to Robert's Rules of Order so far as applicable and when not inconsistent with these Bylaws.
- Section 2. The rules of procedure may be suspended by two-thirds vote of those present and voting at any meeting.

### **ARTICLE XV - CHAPTER MEMBERSHIP**

- Section 1. The Chapter membership shall consist of those duly admitted to any of the classes of membership as defined in the Rules of Eligibility and Bylaws of the Institute, and who are located in the Chapter's area and any others formerly located in the Chapter's area who, by written request, elect to remain affiliated with the Chapter.
- Section 2. Membership in the Chapter shall terminate on transfer to another chapter or because of resignation or termination for any of the causes set forth in the Bylaws of the Institute.
- Section 3. The Board may confer or deny the status of Honorary Member upon any former member (regular or associate) of the Chapter at their discretion. The Board may also, from time to time, determine the privileges of and duties attached to Honorary Membership. Honorary Members may not vote at any meeting of the Chapter nor hold any office in the Chapter.



Nominations for honorary membership may also be made to the Board with a minimum of two signatures from any chapter member in good standing however the Board will make the final determination.

#### **ARTICLE XVI – BORROWING POWERS**

For the purpose of carrying out its objects, the Chapter may borrow or raise or secure the payment of money in such manner as it thinks fit, and in particular by the issue of debentures, but this power shall be exercised only under the authority of the Chapter, and in no case shall debentures be issued without the sanction of a special resolution of the Chapter.

#### **ARTICLE XVII – AUDITING**

Section 1. The books, accounts and records of the Secretary and Treasurer shall be audited at least once each year by external accountant appointed by the Audit Committee. A complete and proper statement of the standing of the books for the previous year shall be submitted by such auditor at the Annual General Meeting. The fiscal year end of the Chapter in each year shall be May 31st.

Section 2. The books and records of the Chapter may be inspected by any member of the Chapter at the Annual General Meeting or at anytime upon giving reasonable notice and arranging a time satisfactory to the Board member having charge of same. Each Board member shall at all times have access to such books and records.

#### **ARTICLE XVII- DISSOLUTION**

The chapter shall use its funds only to accomplish the objectives and purposes specified in these Bylaws. No part of said funds shall inure or be distributed to the members of the chapter. On dissolution of the chapter, any funds remaining shall be forwarded to The Institute of Internal Auditors Global Headquarters in Lake Mary, Florida.

#### **ARTICLE XVIII – INDEMNIFICATION**

Nothing herein shall constitute members of The Institute of Internal Auditors as partners for any purpose. No member, Officer, Governor, agent, or employee of this organization shall be liable for the acts or failure to act on the part of any member, Officer, Governor, agent, or employee of The Institute. Nor shall members, Officers, Governors agents or employees be liable for their acts or failure to act under these Bylaws, excepting only acts or omissions to act arising out of their willful misfeasance.

#### **ARTICLE XIX – ADOPTION OF BYLAWS**

ADOPTED AND APPROVED by the Board of Governors on this 9 day of January 2024.