



**IIA - NORTHERN CALIFORNIA
EAST BAY (NCEB) CHAPTER**

**YEAR-END REPORT
CHAPTE YEAR 2020-2021
(June 2020 – May 2021)**





MEMBERSHIP

- 323 Members
- Member organizations with 10 or more members include the following:

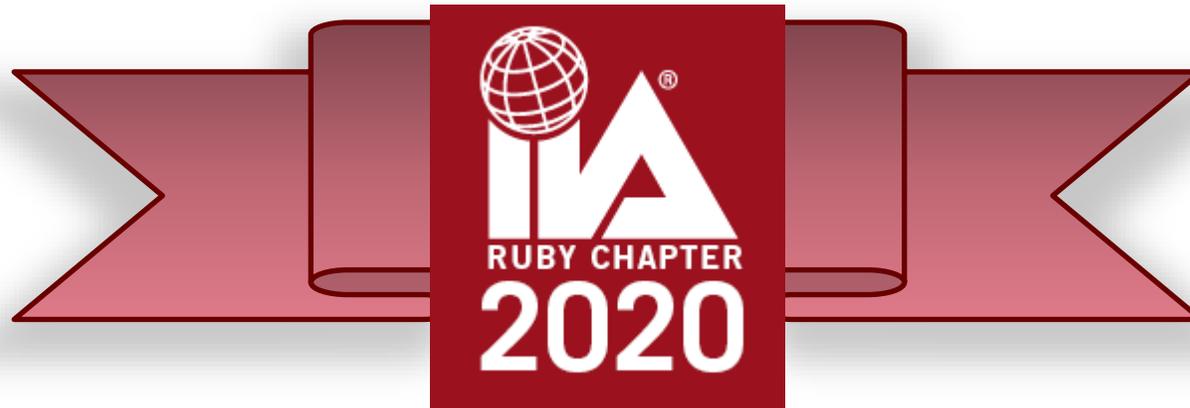


- Industry Code Counts with 20 or more members include the following:
 - Executive, Legislative, and Other General Government Support
 - Oil and Gas Extraction
 - Professional, Scientific, and Technical Services
 - Banking & Financial Institutions



RUBY CHAPTER

NCEB is “Ruby Chapter” (20 Years of Gold achievement) through earning Chapter Achievement Points (CAP)⁽¹⁾ which are credits earned by the Chapter throughout the year.



⁽¹⁾ CAP credits are used to determine whether Chapters are active enough to earn special designations like Bronze, Silver, Gold, Platinum (10 of 11 years with Gold achievement), Ruby (20 years of Gold achievement), Sapphire (25 years of Gold achievement), and Diamond (35 years of Gold achievement).



PROGRAMS / SEMINARS

13 programs and seminars totaling **40 Continuing Professional Education (CPE) hours were offered** virtually due to the COVID-19 pandemic in 2020-2021.

5 Programs (8 CPE)

1. California Consumer Privacy Act and Supporting Data Privacy (September 17, 2020) (2 CPE)
2. Emerging IT Trends, Threats and Risks (January 14, 2021) (2 CPE)
3. Agents of Change: Internal Auditors in an Era of Disruption (Richard Chambers): IIA-NCEB, IIA-San Francisco, IIA-San Jose joint Program to celebrate May International Internal Audit Awareness Month (May 13, 2021) (No CPE)
4. Critical Thinking Fundamentals (May 27, 2021) (2 CPE)
5. Supply Chain Risk Management (May 27, 2021) (2 CPE)

8 Seminars (32 CPE)

1. The New Psychology of Fraud (October 21, 2020) (4 CPE)
2. Fraud Case Studies (October 22, 2020) (4 CPE)
3. Various topics: IIA-NCEB, IIA-San Jose, and IIA-San Francisco joint Seminar (December 9, 2020) (4 CPE)
4. Various topics: IIA-NCEB, IIA-San Jose, and IIA-San Francisco joint Seminar (December 10, 2020) (4 CPE)
5. The 21st Century Successful Auditor (February 24, 2021) (4 CPE)
6. Resolving Conflict While Successfully Holding People Accountable (February 25, 2021) (4 CPE)
7. Auditing Virtually & Agile Auditing (April 8, 2021) (4 CPE)
8. Auditing Culture & Ethical Values in an Organization (April 9, 2021) (4 CPE)



ACADEMIC RELATIONS

- Virtual Meet the Firms Events using Brazen at California State University East Bay (September 18, 2020)
- Virtual Meet the Firms at using HandShake St. Mary's College (September 30, 2020)



- Student networking event via Zoom with the San Francisco State University's Accounting Students Organization (October 22, 2020)
- Launch of the Student Liaison Program (March 11, 2020)



OTHER HIGHLIGHTS

- Joint events (15 CPEs) with IIA-San Francisco & San Jose were offered “complimentary” to NCEB members.
 - December 9 & 10, 2020 (Deloitte Speakers – 8 CPE)
 - April 14, 21, & 28, 2021 (KPMG Speakers – 6 CPE / *Note: This event was an IIA-San Francisco program and was offered to NCEB members complimentary*)
 - May 13, 2021 (Richard Chambers – No CPE)
 - May 19, 2021 (Kristen Moninger – 1 CPE / *Note: This event was an IIA-San Jose program and was offered to NCEB members complimentary*)
- Offered “complimentary” Program to all NCEB members on September 17, 2020 (2 CPE) and 50% discount on May 27, 2021 (4 CPE) .
- Offered all NCEB Programs/Seminars “complimentary” to NCEB members who were experiencing hardship due to the COVID-19 pandemic.
- Offered scholarships (individual membership, CIA application/exam fee/study materials) to NCEB members who were experiencing hardship due to the COVID-19 pandemic. Scholarship was awarded to one applicant for CIA exam study materials and exam application fees.



OTHER HIGHLIGHTS

- 29 new members joined NCEB chapter.
- 6 members earned Certified Internal Auditor (CIA) designation. Of the six, 1 member also earned Certified Financial Services Auditor (CFSA) designation.
- 8 new leaders (Certification Officer, Membership Officer, Academic Relations Chair, Hospitality Chair, Audit Committee Chair, Chapter Achievement Program Administrator, Academic Relations Member, and one Governor) were elected to IIA-NCEB Leadership Team for 2021-2022 chapter year.
- 9 Chapter Leaders attended the IIA Leadership Academy virtually during April 26-29.
- IIA-NCEB Newsletter was issued monthly: <https://chapters.theiia.org/northern-california-east-bay/Pages/Newsletters.aspx>
- 10 Board meetings were held virtually.



2020-2021 LEADERSHIP TEAM

OFFICERS

CHAPTER PRESIDENT

VICE PRESIDENT OF PROGRAMS

VICE PRESIDENT OF SEMINARS

TREASURER

ASSISTANT TREASURER

SECRETARY

CERTIFICATION OFFICER

MEMBERSHIP OFFICER

AHMAD GHAFOURI

PHIL CAOILE

FERDINAND ERHARD

MICHELLE DIZON

COREY MANTLE

ANYA GIVENTAL

MELISSA RICE

JING LI

TERM GOVERNORS

EXPIRING IN 2021

AHMED TANTAWY

BRENDA ROBERTS

NANCY YUEN

EXPIRING IN 2022

WILLIAM WARNER

VIN TANEJA

TRACY WEST

EXPIRING IN 2023

CORI ROTHE

ZIAD HITTI

DANIELLE RITTER



COMMITTEE CHAIRS & MEMBERS

ACADEMIC RELATIONS CHAIR

ACADEMIC RELATIONS COMMITTEE MEMBER

HOSPITALITY CHAIR

HOSPITALITY COMMITTEE MEMBER

HOSPITALITY COMMITTEE MEMBER

SEMINARS/PROGRAMS COMMITTEE MEMBER

SEMINARS/PROGRAMS COMMITTEE MEMBER

WEBSITE

NEWSLETTER EDITOR

CHAPTER ACHIEVEMENT PROGRAM

CHAPTER SPECIALTY CENTERS

NOMINATING COMMITTEE

RECORD RETENTION COMMITTEE CHAIR

RECORD RETENTION COMMITTEE MEMBER

ACADEMIC BOARD ADVISOR

ROMMEL VENTURA

JOHN PINGATORE

BOBBIE AUFDERMAUER

SUSAN WALSH

SHANNON WILHITE

CAROL BLANCO

MIGUEL BARAJAS

BILL WARNER

COREY MANTLE

PHILLIP POMA

BILL WARNER

AHMED TANTAWY

AHMAD GHAFOURI

PAUL BECKER

CONNIE CHIN

CORI ROTHE

ERICA BAINES



CONTACT INFORMATION / GET INVOLVED

THANK YOU!

TO ALL NCEB CHAPTER LEADERS/VOLUNTEERS AND MEMBERS FOR MAKING
2020-2021 ANOTHER SUCCESSFUL CHAPTER YEAR!

**INTERESTED IN VOLUNTEERING OR
LOOKING FOR ADDITIONAL INFORMATION?**

INFO@IIA-NCEB.COM

[HTTPS://CHAPTERS.THEIIA.ORG/NORTHERN-CALIFORNIA-EAST-BAY](https://chapters.theiia.org/northern-california-east-bay)