

A Year in Review June 2021 - May 2022

Annual Report

THE

Atlanta Chapter



Scott Stevenson 2021 – 2022 Chapter President

66 During a transformational year, we continued to fulfill our mission and support our members through relevant programming and personal development opportunities at a great value. Through our DE&I committee, we have established the infrastructure to accelerate our impact on individuals and our " profession.

OUR MISSION

To further the professional practice of internal auditing through advocacy and education

OUR DIVERSITY, EQUITY, & INCLUSION PHILOSOPHY

To integrate DE&I into the fabric of our organization and pursue opportunities that raise awareness and increase diverse representation in our membership, leadership, and profession

Gustavo Lugo - 2022-2023 Chapter President

Dear Fellow IIA Atlanta Chapter Members,

It is my honor and privilege to serve as President of our Chapter for the 2022 - 2023 term.

This year we have chosen 'Resilience' as the theme for our biggest event, The Atlanta Conference, and I want to carry that theme throughout the year. 'Resilience' is defined as '**the capacity to recover quickly from difficulties; toughness**'. The COVID pandemic has forced all of us to learn to be resilient in our own way, with our personal and professional lives. Our Chapter has also had to be resilient. With COVID forcing us to hold all our meetings and events virtually and the loss of Bill Mulcahy, our Chapter has also had difficulties to face, but we are tough, and we are determined.

I want to thank our outgoing President, Scott Stevenson, for his leadership and for helping me transition into this role. His vision and commitment to our Chapter were instrumental in successfully navigating a challenging year.

My goal for the upcoming year is to continue our recovery and demonstrate our resilience. During my term I will strive to do the following:

- grow our membership
- transition to a mix of in-person and virtual meetings and events
- provide relevant learning and networking opportunities to our members
- continue our outreach to students
- promote diversity, equity, and inclusion in our Chapter, Profession, and community
- increase mentoring efforts; and
- grow awareness of the Profession.

These are ambitious goals, so I encourage all our members to get involved. Please support us by sharing your ideas, talents, and time to make us an even better chapter and advocate of the Profession.

I look forward to serving our members and working with our officers, committee leaders, Board of Governors, Advisory Board, and volunteers to make this year an outstanding one. I also look forward to your feedback throughout the year on how I can serve you and our Chapter better.

Best regards,

Gustavo Lugo



Fulfilling Our Mission and Extending our Reach During a Transformational Year

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2021 - 2022 Chapter Highlights

Fulfilling Our Mission and Extending our Reach During a Transformational Year

2021 – 2022 Highlights

2.7K	Event Registrations	22	CPE Events
6	Conferences	58	CPE Hours
21	Newly Certified Auditors (CIA and CRMAs)	1	The Bill Mulcahy Internal Audit Memorial Endowment awarded first student scholarship
7	Bill Mulcahy Leadership Award Recipients	1	Joint Meetings (ISACA)
70	Board Members (16 Officers and Committee Chairs)	15	Mentoring Pairs

2021-2022 Chapter Highlights (continued)

Highlights of the past year include the following:

- The Atlanta Chapter continues to have Platinum status
- The Atlanta Conference (TAC)
- Mentoring Program
- The Diversity, Equity, and Inclusion Committee (initiated last year)
- 1st International Women's Day conference
- CAE Roundtables
- Scholarships for internal auditing students
- Industry Conferences: Financial Services, Government and Not For Profit Auditors, and Healthcare
- The IT Conference
- CAE Appreciation Day
- Joint Meeting with ISACA
- Relaunched the student case competition (2020 event was cancelled due to COVID)
- April Chapter meeting was first in-person event since start of COVID in March 2020

Chapter Events

Programs

Where We Have Come From

In Person Meetings Transitioned to 100% Virtual

Where We Are Headed

- Gradually moving to more in-person Meetings
- April Chapter Meeting and CAE Appreciation **was a success**. A total of 75 people attended In-person
- In calendar year 2022, Chapter Meetings will be hosted virtually with at least one In-person meeting per quarter
- Meetings & Events Scheduled
 through May 2023
- Final determination between virtual vs. in person meetings will be made during upcoming BOD meetings.
- Ongoing coordination with sponsors to confirm venue for Chapter Meetings

	Month	Date	Event	Sponsor	Delivery
01	May	5/13/22	May Chapter Meeting	RGP	Virtual
	May	5/20/22	IT Audit Conference	-	Virtual
	June	6/10/22	June Chapter Meeting	KPMG	Virtual
	July	7/15/22	July Chapter Meeting	Frazier & Deeter	In person
	August	8/12/22	August Chapter Meeting	Emory	Virtual
Q2	September	9/16/22	September Chapter Meeting	EY	Virtual
	October	10/25/22	17th Annual TAC	Multiple	In person
	November	11/11/22	November Chapter Meeting	BDO	Virtual
	November	-	IIA Case Competition	Multiple	In person
23	December	12/16/22	December Chapter Meeting	Rausch Advisory	In person
	December	12/9/22	Healthcare Conference	DHG / Emory	TBD
	January	-	Annual NFP Conference	Dekalb County	TBD
	February	-	Annual Fin. Serv. Conf.	Truist	TBD
	February	2/10/23	February Chapter Meeting	Deloitte	In-person
4	March	3/10/23	March Chapter Meeting	Jefferson Wells	Virtual
	March	-	Int. Women's Day	DHG	In-person
	April	4/21/23	April Chapter Meeting	Grant Thornton	TBD
	May	5/19/23	May Chapter Meeting	RGP	TBD
	May	-	Mentoring Meeting	-	TBD

Chapter Meeting Registration Fee:

In-person: \$85 (pastries & light lunch) / Virtual: \$25 (members) \$35 (Non-Members)

Chapter FY21-22 CPE Events

Meeting Date	Title	Meeting Type	Registrations	Time	CPE Hours
6/11/2021	June Chapter Meeting	Monthly	122	9AM - 11AM	2
7/9/2021	July Chapter Meeting – Memorial Honoring Bill Mulcahy	Monthly	50	9AM - 11AM	0
8/13/2021	August Chapter Meeting	Monthly	80	9AM - 11AM	2
8/20/2021	Fortune 500 CAE Roundtable	CAE Roundtable	15	9AM - 11AM	2
8/27/2021	Mentoring Meeting	Mentoring	50	9AM - 10AM	1
9/10/2021	The Atlanta Conference 2021 (Day 1)			9AM - 12PM	3
9/17/2021	The Atlanta Conference 2021 (Day 2)	Conference	514	9AM - 12PM	3
9/24/2021	The Atlanta Conference 2021 (Day 3)			9AM - 12PM	3
10/22/2021	October Chapter Meeting	Monthly	73	9AM - 11AM	2
11/12/2021	Auditing and Advisory Case Competition	Competition	60	10AM - 3PM	0
11/15/2021	November Chapter Meeting	Monthly	93	8:30AM - 10:30AM	2
12/3/2021	29th Annual Healthcare Conference	Conference	110	9AM - 3PM	6
12/10/2021	December Chapter Meeting	Monthly	135	9AM - 11AM	2
1/22/2022	Government & NFP Conference (Day 1)	Conference	110	9AM - 12PM	3
1/28/2022	Government & NFP Conference (Day 2)	Conterence	IIU	9AM - 12PM	3
2/18/2022	February Chapter Meeting w/ISACA	Monthly - Joint	134	9AM - 11AM	2
2/26/2022	Financial Services Conference	Conference	113	9AM - 12PM	3
3/8/2022	International Women's Day Conference	Conference	70	9AM - 3 PM	6
3/11/2022	March Chapter Meeting	Monthly	189	9AM - 11AM	2
3/18/2022	Mentoring Meeting (Free CPE - open to non-program attendees)	Mentoring	160	9AM - 11AM	2
4/22/2022	17th Annual CAE Appreciation Day (in-person)	Monthly	100	9AM - 11AM	2
4/22/2022	CAE Roundtable with IIA CEO, Anthony Pugliese	CAE Roundtable	40	12PM - 1:30PM	1.5
5/13/2022	May Chapter Meeting - Internal Audit Awareness Month Event	Monthly	170*	9AM - 11AM	2
5/20/2022	IT Audit Conference - Internal Audit Awareness Month Event	Conference	214*	9AM - 12PM	3
			2,602		

Fulfilling Our Mission and Extending our Reach During a Transformational Year

Chapter CPE Events and Key Topics



TAC 2021

General Information

- September 10, 17, and 24, 2021
- Virtual with 9 CPEs
- Members \$125; Non-Members \$150; Students \$25 (free to Case Competition and Mentoring Program Students)
- <u>Registration</u> is open

September 10, 9AM – 12PM (3 CPEs)

- Charlie Wright, 2021-22 Global Chairman of the Board (IIA)
- Panel The Future of Internal Audit (moderator: Ana Amato; panelists: Nataly Marks, Charlie Wright, Shalim Matos, Julie Hower)
- Steve Jackson, EY Sr. Manager, and Alvin Aulakh, EY Manager Strengthening Trust with 3rd Parties

September 17, 9AM – 12PM (3 CPEs)

- Chris Green, Organizational Consultant & Founding Partner of Arch + Tower (F&D company) – Leveraging the Experience Economy for Stronger Professional Relationships
- Young Professionals in Internal Audit Panel (Moderator: Jhamir Figueroa; panelists: Ana Toomer, Ning Ding, Biancia Sarrach, Thomas Sherill)
- Amanda Jo Erven, Audit.Consulting.Education.LLC: Becoming the Everyday Ethicist

September 24, 9AM – 12PM (3 CPEs)

- Delatorro McNeal, Motivational Speaker, "Performance Beyond Gold" (75-minutes)
- Richard Chambers, former President and CEO of the IIA Global, to discuss book, "Agents of Change," and facilitate panel discussion (panel: Emily Ormsby, Brad Knight, Angelina Kankam, Shalim Matos)

SPEAKER SPOTLIGHT





Charlie Wright

Incoming Global Chair of the Board of The Institute of Internal Auditors (IIA) Amanda Jo Erven

President and Founder Audit Consulting Education LLC





Delatorro McNeal

Peak Performance Expert, Renowned Keynote Speaker & Best Selling Author and CEO of Platinum Performance Global, LLC **Richard Chambers**

CIA, QIAL, CRMA, CGAP, CFE, CGFM, Richard F. Chambers and Associates, Past-President and CEO of The Institute of Internal Auditors (IIA)

TAC 2022

General Information

- October 25, 2022
- In-person with 7 CPEs
- Crowne Plaza Ravinia
- Members \$280 (early bird), \$330 (full); Non-Members \$405; Students \$155
- Sponsorships announced via LinkedIn and sponsor solicitation is underway
- Registration will be open in May
- See FY 2022-2023 Budget for TAC-specific budget

Keynote Speakers

- <u>Kamel Hothi</u> Dr Hothi is passionate about creating a level playing field for all. Alongside sharing her story, Dr Hothi sets out the business case for, why does inclusion matter? How does this impact the bottom line? How can we get more out of our teams if as leaders we're showing an empathetic example?
- <u>Amanda "Jo" Erven</u> TAC Planning Committee to decide on topic; however, Jo's wheelhouse seems to be ethics. You may remember her from last year's TAC.
- TBD We have reached out to IIA HQ.



Case Competition



2021 Case Competition

• 1st Place - KSU

Sarah Fandrey, Kaylee Hogan, Kayla Smith

- 2nd Place KSU
- 3rd Place Mercer



Schools—Be on the lookout for more information and pass this information along to your students. Sponsors—This is a great opportunity to meet the best and brightest students in Georgia.

Mentoring

160 + ATTENDEES■EXTENDED CONTENT15 + PAIRINGS93%SATISFACTION

REVISED PROGRAM

MATCHING YEAR AROUND



VIRTUAL MEETINGS

NEW LEADER : Dominique Williams

Chapter Leadership

IIA Bylaw Changes

- IIA Atlanta was required to submit a digitally signed copy of the IIA's "Chapter Minimum Bylaws" by 3/31/22
- We submitted the Bylaws with a reference to adoption and approval by our Board on 5/13/22, at this meeting (refer to <u>Bylaws and FAQs</u>)
- To enhance consistency across all chapters, the IIA has implemented new requirements (effective chapter year 2022-2023) that affect our Board:
 - Maximum of 19 voting members on the Board, inclusive of Chapter Officers
 - Limit of 10 <u>consecutive</u> years to serve in a voting capacity

IIA Bylaw Changes

- All existing BOG members will be added to new "Advisory Board"
 - Advisory Board will continue to meet at least twice annually to receive updates from the officers and provide feedback/recommendations.
 - Members will continue to be elected for 3-year terms on the Advisory Board (Nomination Committee will continue to submit the slate of recommendations annually).
 - There are no term limits.
- The proposed IIA Atlanta board will initially consist of 16 individuals who hold the following officer or committee chair positions:

President, President-Elect, Treasurer/CFO, Secretary, Membership, Certifications, Programs (Officer & Co-Officer), Past President, Sponsorship, CAP, Nominating, DE&I, Academic Relations, Case Competition, Mentoring

The number and composition of the new board can change as needed/determined by the existing board members.

• This new structure became effective June 1, 2022

2021 – 2022 Chapter Officers & Committee Chairs

Office / Committee Chair	Member Name	Organization
President/Chair 16 th The Atlanta Conference (TAC)	Scott Stevenson	Emory University (CAE)
President Elect	Gustavo Lugo	GE Power (CAE)
CFO and Treasurer	Emily Ormsby	Cousins Property (CAE)
VP Chapter Secretary / Communications / Mentoring	Shalim Matos	Serta Simmons (CAE)
VP Programs	Megan Beeston Panella	Rausch Advisory Service
VP Membership	Toks Olabisi	Concentrix (CAE)
Academic Relations	Carley Ferguson	Mohawk Industries (CAE)
Chapter Achievement Program (CAP) / VP Sponsorships	Barbara Jesup	Asbury Automotive (Retired CAE)
Governance & Nominating Committee	Carl Schulman	United Parcel Service (Group Manager)
VP Certifications Officer	Alicia Wilson	Southwire (CAE)
Immediate Past President/ VP Diversity, Equity and Inclusion Committee	Julie Hower	Federal Reserve Bank of Atlanta (CAE)
Government and Not For Profit Conference	John Greene	DeKalb County (CAE)
Financial Services Conference / Bill Mulcahy Audit and Advisory Case Competition	Christy Beers	Truist (VP Audit Manager)
Fortune 500 CAE Roundtable	David Underwood	Intercontinental Exchange (ICE) (CAE)
ISACA Joint Meeting	Ian Burnett/ Brittany Long	RGP/Deloitte

2022 – 2023 Chapter Officers & Committee Chairs

Office / Committee Chair	Member Name	Organization Name
President	Gustavo Lugo	GE Power (CAE)
President Elect/ 17 th TAC on 10/25/22	Emily Ormsby	Cousins Property (CAE)
CFO and Treasurer	Shalim Matos	Serta Simmons (CAE)
VP / Chapter Secretary / Communications	Nancy Thomas	IIA Atlanta Chapter (Director) (Non-Voting)
Mentoring Program	Domonique Williams	Protiviti (Director)
VP / Programs	Nataly Marks	KPMG (Principal)
Programs Assistant to VP	Thomas Sherrill	Home Depot (Senior Manager)
VP Membership	Toks Olabisi	Concentrix (CAE)
Academic Relations	Carley Ferguson	Mohawk Industries (CAE)
Chapter Achievement Program (CAP)	Barbara Jesup	Asbury Automotive (Retired CAE)
Sponsorships	Lori Kaczynski	Graphic Packaging (CAE)
Governance & Nominating Committee	Carl Schulman	United Parcel Service
VP / Certifications Officer	Alicia Wilson	Southwire (CAE)
Immediate Past President	Scott Stevenson	Emory University (CAE)
VP / DEI	Jamal Redding	JC Jones Advisory Service (Director)
Financial Services Conference / Bill Mulcahy Audit and Advisory Case Competition	Christy Beers	Truist (VP Audit Manager)

Advocacy and Education

Chapter Achievement Program (CAP)

- The Chapter reports its activities to IIA Headquarters and is awarded CAP Points based on how well it supports the Global IIA.
- Chapters are distinguished at certain levels based on the number of points earned for a period of years.
- The IIA Atlanta Chapter has maintained the Platinum Level since 2013. We are well on our way to the Ruby level (10 years at the Platinum Level).



Academic Relations

- Activities
 - Support Internal Audit education in the state
 - Provide support for KSU and Georgia State IA Center of Excellence programs
 - Provide speakers to professors as requested for classes and organization meetings promoting Internal Audit
- Events
 - Student Days: September (GSU Virtual) and February (KSU)

Support event by hosting a table

Advertise events to members to encourage company participation

Certifications

- Certification activity during the 2021–2022-chapter year (through April 30, 2022):
 - Certified Internal Auditors (CIA) 14
 - Certification in Risk Management Assurance (CRMA) –
 7

- The chapter offered discounted access to our members on the IIA's CIA Learning System sessions in November and December.
- Needed 17 certified individuals to maintain CAP Certification Growth target, we attained 21.





PARTNER



Congratulations to newly certified members!

Certi	CRNA Certification in Risk Management Assurance"	
Brittany Daugherty	Latona Thomas	Ana Toomer
Cathy Wilder	Murine op de Ehze	Bernadette Smith
Corey Cross	Nia Young	Bradford Garvey
Isimenmen Onyemenem	Nora Molev	James Beck
Jennifer Burton Taylor	Russell Sites	Jill Young
Johnathan Williams	Timothy Foster	Lisa Simon
Jordan Fulbright	Zachary Wisniewski	Nnennaya Anyaebosi



Diversity, Equity, & Inclusion (DE&I)

Our DE&I Philosophy

To integrate DE&I into the fabric of our organization and pursue opportunities that raise awareness and increase diverse representation in our membership, leadership, and profession

DE&I Committee Members

Organization
JC Jones
Federal Reserve
Federal Reserve
The Coca-Cola Company
Southwire
Jefferson Wells
General Electric
Graphic Packaging
Serta Simmons

FY22 Accomplishments

Education & Outreach:

- Spelman: Conducted virtual 'Masterclass' event with Brandi Thomas and Alicia Wilson; shared our Board members' internships and other open positions with students and alumni
- **Morris Brown:** Entered our Case Competition for the first time! "Everyone's always counted us out and you counted us in!"



- **Other Speaking Engagements:** POSSE and Georgia Society of CPAs (events focused on underserved high school students)
- o **Mentoring Program:** Invited Spelman & Morris Brown students to participate

<u>Programming</u>: Coordinated with chapter programming chair to apply a DE&I lens to our content, speakers, etc.; March Women's Day Event featured panels on DE&I, Unconscious Bias, and Women's Empowerment

Metrics: Created impact-focused chapter DE&I metrics

Marketing: Updated DE&I page on IIA Atlanta website

<u>2022-2023 DE&I Budget</u>: Allocated DE&I-specific funds in 2022-2023 budget; incorporated DE&I language into KSU scholarship recipient selection criteria

DE&I Event Spotlight

2021



 Committee Member, Alicia Wilson, participated in The Georgia Society of CPAs' (GSCPA) Rising Professionals Program, educating high school students on the benefits of a career in the accounting profession



 Committee Chair, Julie Hower, served as a panelist during POSSE Atlanta's Summer Career Week, speaking directly with students to highlight diverse career paths.



The Institute of Internal Auditors Atlanta





Women's Day Event – March 8, 2022

Fireside Chat with Kim Rometo Vice President, CIO of the Miami Dolphins *Moderator:* Stefanie Chambers, DHG Risk Advisory Manager

Women's Empowerment Panel Discussion Moderator: Kayla Brown, DHG Risk Advisory Manager Ana Amato, Managing Director & Atlanta Market Leader at Protiviti Beth Medford, Digital Transformation and Technology at Chick-fil-A Janice Piacente, VP Audit Services at The Aaron's Company, Inc Natalie Poulos, Vice President of Internal Audit at ModivCare **Diversity and Inclusion Panel Discussion** Moderator: Sara Ayres, DHG Risk Advisory Manager Kristin Cornish, Director Global Cybersecurity Risk at The Coca-Cola Company Shalim Matos, Senior Director/Head of Internal Audit at Serta Simmons Bedding Kevin Price, DHG Senior VP Inclusion and Diversity Mentorship/Career Development Panel Discussion Moderator: Mary Fortney, DHG Risk Advisory Consultant Ebony Carey, TIAA Bank Chief Audit Executive Pamela Chally, Interim President for the University of North Florida Nadia Farah, PWC Senior Manager Taron Ragan, Audit Associate at Deloitte & Touche Unconscious Bias Panel Discussion Moderator: Jamie Amos, DHG Risk Advisory Manager Brett Gaines, Diversity Recruiting Advisor at BKD CPAs & Advisors Virginia Garcia, Assistant City Auditor, former Chair of DE&I (ALGA) Ashley Parker-Ozier, DEI Program Manager and African American Staff Resource

Group Program Lead at Plante Moran

Note: The organizations above, GSCPA and POSSE, are interested in partnering for future event opportunities benefitting students from underserved communities.

2022 Mulcahy Leadership Awards

Name	Organization	IIA Atlanta Accomplishments
Nancy Thomas	IIA Atlanta	 Lifetime achievement award for unparallel dedication to the chapter by helping to manage events and other duties to create great experiences for volunteers and members.
Alicia Wilson, CPA, CIA, CISA, CRMA	Southwire	 Actively involved in many aspects of the chapter: Certifications Officer, strong contributor to DE&I, and event speaker.
Brad Schafer, PhD, CIA	Kennesaw State University	 Creates fantastic learning environment for students at KSU bringing students and professionals together.
Domonique Williams, CISM, CISA, PMP	Protiviti	Mentoring Program Leadership
Syandene´ N. Hymon, CBCP, PMP, CFE	Federal Reserve Bank of Atlanta	• DE&I leadership within the chapter and with HBCUs.
Fred Masci, MBA, CPA, CIA, CFE	Kennesaw State University, MASCI Internal Audit Placement Services	 Lifetime achievement award for board member who helped to shape the direction of the chapter. Motivates and encourages students to consider Internal Audit as a career though teaching and coaching.
Barbara Jesup, CPA	Retired, formerly with Asbury Automotive Group	• Lifetime achievement award for board member who helped to shape the direction of the chapter. Most notable was managing sponsorships and the Chapter Achievement Program.

Bill Mulcahy Internal Audit Memorial Scholarship Award

Atlanta IIA Chapter established the Bill Mulcahy Internal Audit Memorial Foundation at KSU in January 2022.

In April, Tiankun Zhao became the first KSU student to be a recipient of a \$1,000 Bill Mulcahy Internal Audit Memorial Scholarship Award.

Current endowment balance is \$7,325

Over time, would like endowment balance to reach \$40,000. This level would support an annual \$2,000 award. To donate: <u>22AGOBMIAMS (blackbaud.com)</u>



THANK YOU! Our Board, volunteers, sponsors, and members have made this another successful year and we are grateful for your involvement

Connect With Us







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