



The Institute of  
**Internal Auditors**  
Springfield

# IIA Springfield Chapter

Annual Report for Chapter Year Ending May 31, 2025

## Annual Report 2024-25 Chapter Year

### Message from the President

We are happy to close the book on the 2024-2025 Chapter Year! During this Chapter Year, we were able to provide a total of 59 CPE hours of training to our members. We were able to bring in some new speakers for our members and had success with some of our Chapter's recent favorite speakers.

We have some dedicated Board Members and Officers who have decided to continue their roles for the upcoming Chapter Year, which includes \_\_\_\_\_ as a Co-Treasurer, Katrina Woodcock back as Programming Chair, Kristen Drainer as Secretary, and myself as the President; and one Board member, Casey Evans. The various levels of experience and guidance from returning Officers and Governors is paramount to the success of this Chapter. I am grateful for your willingness to continue to provide your time and energy to this Chapter and to be able to lead this Chapter into a more successful year.

We also have a few new faces to the Board. \_\_\_\_\_ has stepped up to take on the role of the 2<sup>nd</sup> treasurer. I'm sure he will bring a fresh perspective on our Budget and provide excellent stewardship over our Chapter's funds. Additionally, Charla Bond-Jones has taken on the role of Academic Relations Chair and Social Media Chair. She is excited for the new role and being able to spread the word about our Chapter far and wide. Lastly, we have an addition of another Governor, Sally Burton, who is excited to come back after a few years away to help the Chapter prepare for success in the coming years. Getting new volunteers on the Board is the best way to help this Chapter grow and succeed, so I'm very excited to have all of you on-board!

I would like to thank a few long-time members of the Board who have fulfilled both Officer and Governor roles with such care. We have four members rolling off the Board this year that I would like to recognize: Leighann Manning for 10 consecutive years of service in many roles for this Chapter, Satu Allen for 9 consecutive years of service with many roles for this Chapter, Tassi Maton for 6 consecutive years of service to the Board of Governors, and Matt Ulmer for 3 consecutive years of service as one of our Treasurers. We will do our best to continue growing the Chapter you have spent many years serving.

The biggest "thank you" I have is to all of our members. Your continued participation in the training sessions have made our Chapter what it is. I am looking forward to continuing to lead this Chapter and trying my best to create a lasting, positive impact on our Chapter.

Warm Regards,  
Kayla Routh

# Become an IIA Volunteer

Volunteers help to maintain the Chapter and keep services available for members. There are many ways you can get involved with the IIA. Whether you are an experienced leader or just entering the field of internal auditing, there is a place for you at the IIA. If you are interested in volunteering on a large or small scale, please email the Springfield IIA at [Chapter145@iiachaptercommunications.org](mailto:Chapter145@iiachaptercommunications.org).

## Chapter Achievement Program (CAP)

CAP is an achievement/recognition program for chapters that uses points to recognize chapter activities that align with the North American Strategic Plan in four to five service categories:

1. Chapter Health. Focuses on items from your reporting calendar and chapter standards.
2. Service to Members. Focuses on growing your chapter's membership, volunteers, certification holders, and accounts for the programming your chapter offers their members.
3. Professionalism. Focuses on giving back to the profession.
4. HQ Partnership. Focuses on active promotion of IIA HQ conferences and webinars.
5. Advocacy. Focuses on engaging with stakeholders at the local, regional, and national levels and promotion of internal audit profession.

The CAP program awards chapters with Bronze, Silver, Gold, Platinum, Ruby, Sapphire, and Diamond recognitions. Springfield Chapter has always held the highest level of Chapter Achievement possible and has been a Sapphire Chapter since 2017 – first year the Sapphire achievement was available. We have no doubt we will continue this pattern for the years to come.

## Springfield Chapter Board of Governors Meetings

The Board had 4 meetings during the Chapter year, plus the Annual Meeting:

June 3, 2024  
August 26, 2024  
November 18, 2024  
March 12, 2025  
April 16, 2025 (Annual Meeting)

# 59 CPE Hours of Training Provided During the Year

Throughout this past year, the Springfield Chapter worked with the Central Illinois Chapter of the IIA to co-host an in-person event. Event descriptions are noted below.

We look forward to getting back to the previous year's successes in building relationships and collaborating with other IIA Chapters in the District as well as finding new and engaging speakers to present for our members. We would like to thank the Central Illinois IIA Chapter for co-hosting with us this past year, which is noted next to the event below.

## **09/24/24 Transformational Audit Reporting by Neil Frieser (4 CPE)**

In this dynamic session, participants will learn techniques to transform their audit reports by learning about the following topics:

- Understanding Report Issuance Challenges,
- Navigating a transformation initiative,
- Maximizing the effectiveness of Audit Observations, and
- Ensuring the appropriateness of management action plan development.

## **09/25/24 Upgrade your soft skills by Neil Frieser (4 CPE)**

In this dynamic session, participants will learn techniques to upgrade their soft skills, including:

- Managing,
- Coaching and Mentoring,
- Project Management skills,
- Communication and Presentation skills, and
- Career acceleration moves.

## **10/22/24-10/23/24 SIAAB Fall Government Auditing Conference (14 CPE)**

The State Internal Audit Advisory Board (SIAAB) held the annual Fall Government Auditing Conference in person. Presentations included:

- Auditor General Update by Honorable Frank J. Mautino, Auditor General
- Information Technology in the State of Illinois by Jason Bowen, Chief Information Security Officer (CISO, DoIT)
- Fraud: From Good to Guilty by Chris Kalafatis, Baker Tilly
- Know When to Hold 'em & Know When to Fold 'em: Keeping Local Records Straight & Suited Without Going Bust! by Jennifer Flores, Lauterbach & Amen, LLP
- Inspector General Update: A Focus on Conflicts of Interest by Susan Haling, Executive Inspector General
- Building Public Trust – The Role of GRC in the Public Sector by Kyle O' Roarke, Macias, Gini & O'Connell, LLP
- Vendor Fraud, Chris Kalafatis, Baker Tilly
- Central Management Services (CMS) Bureau of Internal Audit (BIA) by Butch Stilwell, Chief Internal Auditor, CMS
- Global Internal Auditing Standards (GIAS) In the State of Illinois by Panel of SIAAB members
- Ethics in the Real World by Dr. Toby Groves
- Untapped: Next Level Thinking for Auditors by Dr. Toby Groves

### **10/24/24 SIAAB Roundtables (4 CPE)**

Participants broke out to the following small groups to discuss various topics affecting the internal audit world: Chief Internal Auditor Roundtable Group, IT Roundtable Group, and Staff Internal Auditor Roundtable Group.

### **10/24/24 Fraud and Pop Culture by Kelly Paxton (4 CPE), co-hosted by Central IL IIA Chapter**

Hollywood knows fraud is a big money maker for them. They make movies about fraud all the time. Some of the biggest blockbuster movies, Wolf of Wall Street, Catch Me If You Can, are about fraudsters.

Attend Fraud and Pop Culture and learn:

- Why do fraudsters make such great characters in movies? Do people relate to the fraudsters?
- What motivates the fraudster in these movies? Is it money? Is it the lifestyle?
- Themes about fraud in pop culture such as whistleblowing, greed, how they started, how they got caught.
- Learn not only about movies but books, podcasts and crazy stories that you can't believe to be true but unfortunately are true.

### **01/22/25 Artificial Intelligence 101 for Auditors by Elizabeth McDowell (4 CPE)**

This AI 101 session was developed just for internal auditors and will demystify Artificial Intelligence, providing a comprehensive understanding that empowers participants to engage with AI technologies confidently. In this session, participants will learn:

- The fundamentals of AI, including history, key concepts, and milestones
- Considerations for internal auditors and AI best practices, including for risk management and governance
- How AI technologies can be applied to streamline audit processes and enhance risk assessments
- Ethical Issues in AI and ethical challenges posed by AI technologies, including bias, fairness, interpretability, and accountability

### **01/23/25 Next Level Excel Skills by Elizabeth McDowell (4 CPE)**

This hands-on training will teach actionable data analytics techniques using Excel that anyone can implement. In this session, participants will learn:

- How to perform data analytics in all steps of the audit process
- The essentials of Power Query - what it is and how to start using it
- All about dynamic, beautiful dashboards and how to build them with Excel
- How to create simple automations and improve executive reporting

### **02/27/25 Fraud in the Government Sector by Francine McKenna (3 CPE)**

This hands-on training will discuss relevant fraud topics and cases currently evolving around the United States including Macy's, Boeing and ComEd.

### **03/27/25 FBI presents Forensic Accounting and Fraud Schemes by Supervisory Forensic Accountant Schmid, FBI (2 CPE)**

Supervisory Forensic Accountant Schmid has agreed to present on a few interesting topics related to the role of forensic accounting and forensic accountants at the FBI, an overview of investigation steps from case inception through indictment/conviction, emerging fraud trends, and two case examples (including a traditional White-Collar crime and one crypto pig butchering scheme).

#### **04/16/25 Critical Thinking and Everyday Ethics by Jan Beckmann (4 CPE)**

Ethics don't just come into play when large frauds and crimes are perpetrated. Ethics considerations come up every day, all day. When your personal and professional ethics come into conflict, awareness that it's happening, and being clear on your own personal code of ethics helps you to make the choice that is right for you.

Using case studies and descriptions of ethical dilemmas, you will learn:

- To identify your personal ethics code
- How your personal ethics code was created
- Effects of personal ethics code violations when professional ethics come in conflict.

Critical thinking was ranked as the most important skill employees will need in the next 5 years, according to a recent survey of HR hiring professionals. For auditors specifically, critical thinking is a key skill to identify the root cause of any audit issue, which is the most powerful way to develop recommendations that will make an impact on your organization. You will learn to:

- Understand how critical thinking impacts your work and your life.
- Use the components of critical thinking to provide more value to your auditees.
- Get clarity on how unidentified bias can cloud your analytical skills.
- Apply specific critical thinking techniques to improve your skills.

#### **04/17/25 Conflict Management by Jan Beckmann (4 CPE)**

It's nice when everyone gets along, and everything goes smoothly. But, since we are humans on planet Earth, that's not the way most days go. In the business world, conflict can feel almost constant on some level, rather than hoping to avoid conflict and then being frustrated because that approach didn't work. In this class you will learn to:

- Understand the root cause of conflicts so you know you are addressing the right problem.
- Identify your triggers and develop an approach to reducing unnecessary conflict.
- Determine what your goals are when dealing with conflict and how to adjust, if necessary, to create an optimal solution.
- Appreciate conflict for what it provides.

#### **05/28/25 – 05/29/25 Designing (and performing) risk-based audits by Raven Catlin (8 CPE)**

Risk-based auditing is the leading approach for conducting value-driven internal audits. This course equips participants with the practical skills and knowledge needed to design and execute effective, efficient risk-based audits.

Through hands-on activities, participants will learn how to develop a risk-based audit program—from scoping audits based on risk to identifying and testing controls. The course delves into advanced topics such as audit sampling strategies, leveraging technology (including data analytics and artificial intelligence), and driving corrective actions that address root causes.

In this intensive course, participants will explore and apply the eight key distinctions between risk-based and traditional audit approaches. By the end, you'll be able to identify which elements of risk-based auditing are most relevant to your organization and how to integrate them into your internal audit methodology.

At the end of this program, attendees will be able to:

- Determine a risk-based audit scope
- Prepare an effective audit program
- Differentiate between risk based testing and standard testing
- Test controls in risk-based auditing for operational, financial, and compliance audits
- Communicate residual risk-based audit results

# Chapter Officials 2024-25 (June 1, 2024 – May 31, 2025)

## Officers

### President

Administration  
Audit  
Nominating Committee

### Kayla Routh

[Kayla.Routh@illinois.gov](mailto:Kayla.Routh@illinois.gov)  
[Chapter145President@iiachaptercommunications.org](mailto:Chapter145President@iiachaptercommunications.org)

### First Vice President

Programs and Seminars  
Certifications Program  
Nominating Committee

### Alex Deal (through March 2025)

[ADeal@surs.org](mailto:ADeal@surs.org)  
[Chapter145Events@iiachaptercommunications.org](mailto:Chapter145Events@iiachaptercommunications.org)

### Second Vice President

Academic Relations  
Memberships

### Leighann Manning, CIA, CGAP, CFE

[LManning@illinoistreasurer.gov](mailto:LManning@illinoistreasurer.gov)

### Secretary

Seminar Registration  
Directory, Distribution, PR  
Newsletter

### Kristen Drainer

[KDrainer@isbe.net](mailto:KDrainer@isbe.net)  
[Chapter145support@iiachaptercommunications.org](mailto:Chapter145support@iiachaptercommunications.org)

### 1<sup>st</sup> Treasurer

[Chapter145@iiachaptercommunications.org](mailto:Chapter145@iiachaptercommunications.org)

### 2<sup>nd</sup> Treasurer

Matt Ulmer

## Governors

2022-2025

Satu Allen, MS, CISA  
Tassi Maton, CPA, CIA  
Casey Evans

[Satu.Allen2@illinois.gov](mailto:Satu.Allen2@illinois.gov)  
[TMaton@isbe.net](mailto:TMaton@isbe.net)  
[Casey.Evans@srs.illinois.gov](mailto:Casey.Evans@srs.illinois.gov)

2023-2026

Angela Bartlett, CPA, CIA  
Butch Stilwell  
Candice Long, CPA, CIA, CHC  
David Brink

[Angela.Bartlett@illinois.gov](mailto:Angela.Bartlett@illinois.gov)  
[Butch.Stilwell@illinois.gov](mailto:Butch.Stilwell@illinois.gov)  
[Candice.Long@illinois.gov](mailto:Candice.Long@illinois.gov)  
[David.Brink@Illinois.gov](mailto:David.Brink@Illinois.gov)  
[Nicholas.Barnard@illinois.gov](mailto:Nicholas.Barnard@illinois.gov)  
[Charla.V.Bond-Jones@Illinois.gov](mailto:Charla.V.Bond-Jones@Illinois.gov)

2024-2027

Nick Barnard  
Charla Bond-Jones  
Jackie Hohn  
Nikki Lanier, CPA

[JHohn@surs.org](mailto:JHohn@surs.org)  
[Nikki.Lanier@illinois.gov](mailto:Nikki.Lanier@illinois.gov)

Past Presidents

Katrina Woodcock, CPA  
Staceyann Cabey-Kaufmann

[KatrinaAnne.Woodcock@illinois.gov](mailto:KatrinaAnne.Woodcock@illinois.gov)  
[Staceyann.Cabey-Kaufmann@illinois.gov](mailto:Staceyann.Cabey-Kaufmann@illinois.gov)

## Other Volunteers

Newsletter

Dani Berrien

Financial Statements Reviewer

Spokane Washington IIA Chapter

# Chapter Officials 2025-26 (June 1, 2025 – May 31, 2026)

## Officers

### President

Administration  
Audit  
Nominating Committee

### Kayla Routh

[Kayla.Routh@illinois.gov](mailto:Kayla.Routh@illinois.gov)  
[Chapter145President@iiachaptercommunications.org](mailto:Chapter145President@iiachaptercommunications.org)

### First Vice President

Programs and Seminars  
Certifications Program  
Nominating Committee

### Katrina Woodcock, CPA

[KatrinaAnne.Woodcock@illinois.gov](mailto:KatrinaAnne.Woodcock@illinois.gov)  
[Chapter145Events@iiachaptercommunications.org](mailto:Chapter145Events@iiachaptercommunications.org)

### Second Vice President

Academic Relations  
Memberships

### Charla Bond-Jones

[Charla.V.Bond-Jones@Illinois.gov](mailto:Charla.V.Bond-Jones@Illinois.gov)

### Secretary

Seminar Registration  
Directory, Distribution, PR  
Newsletter

### Kristen Drainer

[KDrainer@isbe.net](mailto:KDrainer@isbe.net)  
[Chapter145support@iiachaptercommunications.org](mailto:Chapter145support@iiachaptercommunications.org)

### 1<sup>st</sup> Treasurer

[Chapter145@iiachaptercommunications.org](mailto:Chapter145@iiachaptercommunications.org)

### 2<sup>nd</sup> Treasurer

[Chapter145@iiachaptercommunications.org](mailto:Chapter145@iiachaptercommunications.org)

## Governors

|           |   |  |
|-----------|---|--|
| 2023-2026 | Angela Bartlett, CPA, CIA               | <a href="mailto:Angela.Bartlett@illinois.gov">Angela.Bartlett@illinois.gov</a>                   |
|           | Butch Stilwell                          | <a href="mailto:Butch.Stilwell@illinois.gov">Butch.Stilwell@illinois.gov</a>                     |
|           | Candice Long, CPA, CIA, CHC             | <a href="mailto:Candice.Long@illinois.gov">Candice.Long@illinois.gov</a>                         |
|           | David Brink                             | <a href="mailto:David.Brink@Illinois.gov">David.Brink@Illinois.gov</a>                           |
| 2024-2027 | Nick Barnard                            | <a href="mailto:Nicholas.Barnard@illinois.gov">Nicholas.Barnard@illinois.gov</a>                 |
|           | Jackie Hohn                             | <a href="mailto:JHohn@surs.org">JHohn@surs.org</a>   |
| 2025-2028 | Nikki Lanier, CPA                       | <a href="mailto:Nikki.Lanier@illinois.gov">Nikki.Lanier@illinois.gov</a>                         |
|           | Casey Evans                             | <a href="mailto:Casey.Evans@srs.illinois.gov">Casey.Evans@srs.illinois.gov</a>                   |
|           | Sally Burton                            | <a href="mailto:Sally.E.Burton@Illinois.gov">Sally.E.Burton@Illinois.gov</a>                     |
|           | Past President Staceyann Cabey-Kaufmann | <a href="mailto:Staceyann.Cabey-Kaufmann@illinois.gov">Staceyann.Cabey-Kaufmann@illinois.gov</a> |

## Other Volunteers

|                               |                              |
|-------------------------------|------------------------------|
| Newsletter                    | Dani Berrien                 |
| Financial Statements Reviewer | TBD – Pending Board Approval |