



PLATINUM
CHAPTER
2019

Derby City

Disclosures

MARCH 2022

Louisville IIA
Chapter News



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MESSAGE FROM THE PRESIDENT

Welcome to March! At this month's meeting, we will be voting on the Officers' slate for the 2022-2023 fiscal year. At our meeting in February, we heard the message from Marni Rock Gibson about risks associated with investment interests, fraud and loss. As we think about applying those concepts within our organization, the biggest message that I took away was that anything can be a commodity, even this message to you. If something seems wrong, incorrect or too good to be true, ask questions. Ask them over and over until you get to the root of the situation.



Should you have any questions, at any time, you are always welcome to contact the chapter officers and Board of Governors members at any time. We look forward to seeing you soon!

Lastly, we have opportunities for chapter members to volunteer, including membership co-chair. Volunteering for the IIA is easy and a great way to meet fellow members and promote our profession. If you are interested in volunteering or have questions on what specific responsibilities include, please reach out to me, [Shawn Stevison](#), or the chapter email chapter17@theiia.org.

Shawn Stevison
President, Louisville IIA 2021-22

MONTHLY CAP UPDATE

As you know, our Chapter has a goal to meet the requirements for Gold status each year. Below is a list of some of the things your Chapter leadership is doing to ensure we meet that goal.

- Deliver a minimum of eight (8) quality CPE hours to membership
- Offer chapter seminars
- Promote IIA certifications to achieve 2% certification growth
- Grow membership by 2%
- Highlight milestone member anniversaries in the newsletter
- Recruit CAEs to the IIA
- Hold monthly CAE roundtable meetings
- Hold events within the Chapter & members within their organizations to celebrate IIA awareness month in May

NEWSLETTER SUBMISSIONS

The newsletter will be published monthly from September 2021 through May 2022. If you have a request for a submission please email the information to brimyers@publicbank.com and your submission will be included in the next month's issue.

MARCH MONTHLY MEETING—HYBRID

Date: Tuesday, March 8th, 2022
Meeting Time: 12:00 - 1:00 p.m.
Presenter(s): Tucker Oldham & Andrew Koon, Advanced Business Solutions.

CPE credits: 1 hour

Cost:	Virtual Member Price:	\$10
	Virtual Non-Member Price:	\$15
	In-Person Member Price:	\$20
	In-Person Non-Member Price	\$25

Location: Virtual — Register below to receive call-in details
In-Person @ Dean Dorton (ShelbyHurst Campus)
435 N Whittington Pkwy Suite 400,
Louisville, KY 40222

Registration: [Here](#)

PRESENTATION SUMMARY

Topic: Cybersecurity in the Modern World

Description: The presentation will focus on ways cybersecurity is evolving today. How the new workplace creates new cybersecurity threats and how organizations can be proactive in addressing these concerns. The presentation will concentrate on ways an organization can develop a layered methodology to security that is unique to their needs and how they can mature their security posture over time.

Please register for this session by Monday, March 7th.

PRESENTER PROFILE



Tucker Oldham — Director of Business Consulting and vCIO services

Tucker Oldham has been in the IT industry for 24 years, helping Louisville businesses with their technology needs. As Advanced Business Solutions' Director of Business Consulting and vCIO services, Tucker and his team advise other organizations' senior leadership on ways technology can be adapted to help meet their business goals. These can be through short-term security, cloud, and application consulting engagements or long-term engagements where customers have outsourced their organization's CIO position to Advanced Business Solutions. Today, more and more of these engagements involve an organization's security posture. Coupled with the Advanced Business Solutions cyber security team, Tucker works with customers to create long-term compliance and security plans for their customers.



Andrew Koon — Sales team Lead

As a Sales Team Lead at Advanced Business Solutions, I'm responsible for overseeing the sales department, new business development, and ongoing sales training. I'm particularly involved in consulting with business leaders on IT and Cyber Security Management strategy, as my primary goal is to introduce Advanced Business Solution as an industry leading IT Managed Services Provider to business leaders through the region. I am very fortunate to be in my 8th year with ABS and part of a team that has grown from 35 employees when I started to over 130 today.

LUNCH MENU

The in-person monthly meeting includes your choice of one City Barbeque lunch box selection, including side and dessert option:

Lunch Boxes

Chipotle BBQ

Black Bean Burger

Pulled Chicken

Pulled Pork

Smoked Turkey

(Bun or No Bun)

Sides (pick two)

Baked beans w/brisket

Corn pudding

Corn bread

Creamy coleslaw

Green beans w/bacon

Mac & cheese

Potato salad

Dessert

Cookie bars

Note that there will also be soft drinks and water available on-site.

CALL FOR NEW MEMBERS TO JOIN OUR LOUISVILLE CHAPTER

The IIA Louisville Chapter offers numerous benefits for members, and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to [Lee Taylor](#) for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

CAREER OPPORTUNITIES

Please be sure to visit the IIA Louisville Chapter's [career section](#) to see any current job opportunities including position details in the area.

If you have a job to post, please contact the newsletter editor and/or Webmaster to have it included in newsletter and website.

IIA ACADEMIC RELATIONS

We will be hosting a Student Event on April 14th from 3pm to 5pm at Dean Dorton. Please encourage your current Interns to attend this event. We are also looking for volunteers to attend, discuss their professional journey, and field questions from students. If you're interested, please reach out to Lesley Burnette (LeBurnette@republicbank.com). Our Chapter will continue to strengthen our connection with our local Universities (Bellarmine, IUS, and UofL) over the coming years by:

1. Offering a scholarship annually to each University.
2. Volunteering to attend student networking events, present to classes, and participate in panel discussions.
3. Communicating open internship opportunities to the Universities' Career Services Departments.

From time to time, we will reach out to our Membership directly to ask for help with student events and speaking engagements. Please consider participating in one or more events. This is a rewarding experience and a chance to connect with future Accounting, Audit, Data Analytics, and Finance Professionals.

Contact Lesley Burnette (LeBurnette@republicbank.com) for more information on volunteering or to provide information on open Internship opportunities within your company.

INTERNSHIPS

If your company has an Internship position open in any audit-related field (Accounting, Audit, Computer Sciences, Data Analytics, Finance, etc.), please forward the job posting to [Lesley Burnette](#). We can help spread the word about your Internship by reaching out to faculty at the local universities.

ACADEMIC RELATIONS VOLUNTEER OPPORTUNITIES

Please check your calendars and consider participating in one or more student events. This is a rewarding experience and a chance to connect with future Internal Audit, Accounting, Finance, and Data Analytics Professionals. Contact Lesley Burnette (LeBurnette@republicbank.com) for more information on volunteering or to provide information on open Internship or entry-level employment opportunities within your company.

LOCAL IIA NEWS

Drive Your Career Forward with Certification

Wherever your journey takes you, there's an IIA credential that moves you faster through your internal audit career roadmap. Earning a professional internal audit credential is a critical step to being distinguished from your peers by:

- Sharpening skills and proficiencies.
- Enhancing credibility and respect.
- Increasing advancement and earning potential.
- Demonstrating understanding and commitment.

The IIA makes studying and sitting for the exam simple. IIA certification exams are self-study exams that do not require prescribed curriculums. Candidates may choose their own methods of preparing for the exams and several options are available. In addition, online testing enables you to test from home.

Please visit the [IIA Certifications page](#) for more information.

CIA Study Materials

CIA Exam IIA Louisville Members Discount on Gleim Study Materials

IIA Louisville members are eligible for up to a 20% discount on Gleim CIA study materials. Visit the [Members Only](#) page of the Louisville Chapter site for additional details. You will need to sign in with your IIA credentials to see the page.

UPCOMING CIA PREP SEMINARS

Seminar: [CIA Exam Preparation Instructor-led Course Part 3: Business Knowledge for Internal Auditing](#)

Date: March 1-10

Location: Online

CPE Hours: 20

ATTENTION CAE'S—QAR REVIEW

CAEs, if you are interested in obtaining a QAR Self Assessment with Independent Validation in 2022 please contact Ann Bauer at abauer@republicbank.com so that we can match you up with one of our QAR team members.

NATIONAL IIA NEWS

IIA ONLINE

UPCOMING CONFERENCES

Conference: General Audit Management
Date: March 14-16
Location: Las Vegas, NV & Virtual
Registration
Information: [here](#)

Conference: Data Privacy & Ethics
Date: April 28
Location: Virtual
Registration
Information: [here](#)

Conference: 2022 International Conference
Date: July 17-20
Location: Chicago, IL & Virtual
Registration
Information: [here](#)

Cybersecurity in Turbulent Times. Click [Here](#) for the full article.

Organizations aren't focused on cyber risk amid pandemic. Here is an excerpt from the article:

"Over the last year, organizations have had to transform at an accelerated speed that would have been thought impossible just a short time ago. However, many organizations did not involve risk management functions or cybersecurity in the decision-making process, not necessarily due to oversight but rather the urgency of the need to adapt for survival. As a result, these organizations need to address the risks and potential vulnerabilities that were introduced during their transformation efforts at the height of the pandemic while also ensuring cybersecurity resilience for the next major event."

FUTURE IIA SEMINARS AND CONFERENCES

CPE credit is available for those attending live broadcasts of IIA members-only webinars and guidance briefings, and completing polling questions. Registration is required.

Seminars/Conferences	Date	Location
Internal Audit's Guide to Ransomware	Mar. 4, 2022	Online CPE Hours: 4
Agile Auditing	Mar. 7-10, 2022	Online CPE Hours: 16
Auditing the Cloud	Mar. 11, 2022	Online CPE Hours: 4
Developing Audit Observations	Mar. 14-15, 2022	Online CPE Hours: 8
Enterprise Risk Management: A Driver for Organizational Success	Mar. 15-24, 2022	Online CPE Hours: 16
The Effective Auditor: Understanding and Applying Emotional Intelligence	Mar. 15-24, 2022	Online CPE Hours: 16
High Impact Audit Reporting	Mar. 16-17, 2022	Online CPE Hours: 16
Examining Cybersecurity Concepts	Mar. 22-25, 2022	Online CPE Hours: 8

UPCOMING IIA EVENTS

MARCH MONTHLY MEETING

Topic: Cybersecurity in the Modern World

Date: 3/8/2022

Speaker: Andrew Koon and Tucker Oldham

Location: Hybrid

CPE Hours: 1

APRIL MONTHLY MEETING

Topic: Next Gen Internal Auditing

Date: 4/12/2022

Speaker: Protivity

Location: Hybrid

CPE Hours: 1

AUDIT ROUNDTABLE AND RECEPTION — STUDENT EVENT

Topic: Roundtable and Reception event for students

Date: 4/14/2022

Speaker: Chapter CAEs

Location: Hybrid

CPE Hours: 2

IIA Seminar and Social Event

Topic: Ask Better Questions, Get Better Answers, Perform Better Audits.

Date: 5/12/2022 - 5/13/2022

Speaker: Robert Berry

Location: Hybrid

CPE Hours: 16

UPCOMING IIA SEMINAR AND SOCIAL EVENT

Mark your calendars for 16 hours of CPE and a Social Event !

When: May 12 – 13, 2022 8:30 a.m. – 5:00 p.m.

What: Ask Better Questions, Get Better Answers, Perform Better Audits

Where: Dean Dorton training facility, 435 N Whittington Parkway, Suite 400

Social: May 12, 2022 – 5:15 – 7:00 p.m. Social event TBD

Who: Robert Berry, is an author, podcast host, corporate and audit trainer, business consultant and International Speaker. A former Internal Auditor, Rob has relevant experience to share and does so in a fun and energetic style. A graduate of Auburn University at Montgomery with a Bachelor of Science in Accounting and University of North Florida with a Master's in Business Administration.

He has written three books: Ask, Get, Perform; Business Bullcrap; Creating Wonderful Workpapers. He currently hosts two audit related podcasts: Audit Bites and the Friday Fraudster (with Kelly Paxton and Jo Ervin). He is witty and informative.

Cost includes meal and social activity.

	IIA Member	IIA Non-Member
In person		
Early Bird (before April 15)	\$350	\$400
After April 15	\$400	\$450
Virtual		
Early Bird (before April 15)	\$250	\$300
After April 15	\$300	\$350

Meals will be provided by:

May 12 – Qdoba

May 13 – Red Robin

Register [here](#).

OFFICERS AND COMMITTEE CHAIRPERSONS

PRESIDENT AND NOMINATING

COMMITTEE CHAIR

[Shawn Stevson](#)

Humana, Inc.

VICE PRESIDENT & PROGRAM CHAIR

[Jodi Renn](#)

Jefferson County Public Schools

SECRETARY AND CHAPTER ACHIEVEMENT
PROGRAM (CAP) CHAIR[Jenni Schelling](#)

Louisville Water Company

TREASURER

[Marcie Smitha](#)

Park Community Credit Union

MEMBERSHIP CHAIR

[Lee Taylor](#)Commonwealth Bank and Trust
Company

MEMBERSHIP CO-CHAIR

OPEN

ACADEMIC RELATIONS

[Lesley Burnette](#)

Republic Bank & Trust Company

ADVOCACY/DIVERSITY

[London Lopez](#)

Humana, Inc.

[Myhanh Pham](#)

Farm Credit Mid-America

AUDIT

[Lesley Burnette](#)

CERTIFICATIONS

[Bob Aemmer](#)

LG&E and KU Energy

CHIEF AUDIT EXECUTIVE (CAE)

ROUNDTABLES

[Shawn Stevson](#)

Humana, Inc.

GOVERNMENT RELATIONS

[May Porter](#)

Louisville Metro Government

JUNIOR ACHIEVEMENT

[Andrea Higgins](#)

Robert Half Management Resources

[Myhanh Pham](#)

Farm Credit Mid-America

NEWSLETTER EDITOR

[Brittany Myers](#)

Republic Bank & Trust Company

SEMINARS

[Jodi Renn](#)

Jefferson County Public Schools

QUALITY ASSESSMENT REVIEWS

[Ann Bauer](#)

Republic Bank & Trust Company

SOCIAL ACTIVITIES

[Andrea Higgins](#)

Robert Half Management Resources

WEBMASTER

[Sonja Heath](#)

Humana, Inc.

SOCIAL MEDIA

[Aaron Fruit](#)

BOARD OF GOVERNORS

ELECTED MEMBER TERMS

[Chris Elmore](#) (Thru 12/31/2022)

Churchill Downs

[London Lopez](#) (Thru 12/31/2022)

Humana, Inc.

[Oksana Smallwood](#) (Thru 12/31/2021)

GE Appliances, a Haier Company

[Robert Stutsman](#) (Thru 12/31/2021)

Park Community Credit Union

PAST PRESIDENTS TERMS

[Erin Bukowski](#) (Thru 5/31/2022)

Citizens Union Bank

[Catie Knable](#) (Thru 5/31/2023)

Caesars Entertainment

ADVERTISEMENT POLICY

Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: \$50 – ½ page; \$100 – full page. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter President or Secretary.



The Institute of
Internal Auditors
Louisville Chapter

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