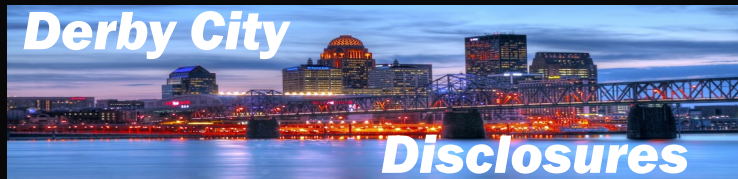




The Institute of
Internal Auditors
Louisville

Derby City



Disclosures

DECEMBER 2023

Louisville IIA
Chapter News

[Chapter Homepage](#)



[LinkedIn](#)



[Instagram](#)



SPECIAL POINTS
OF INTEREST

[December Chapter
Event](#)

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NEWSLETTER
SUBMISSIONS

The newsletter will be published monthly from September 2023 through May 2024. If you have a request for a submission please email the information to brimyrs@republicbank.com and your submission will be included in the next month's issue.

MESSAGE FROM THE PRESIDENT

Happy December, everyone! I hope everyone had a lovely Thanksgiving celebration with food, family, and fun. The December holidays have arrived, and I hope your celebrations are merry and bright!



Last month we heard a fantastic presentation by Andy Lemon, AML Manager with Rush Street Interactive. He shared his insights into online gaming operations, internal controls to prevent money laundering, and emerging trends in financial crime.

This month we are happy to host Steve Haffner, magician and mentalist with experience as an IT professional, business analyst, and executive. Steve will be helping us elevate our decision-making during times of stressful disruption and change.

We are always happy to accept any feedback you have, and we're always looking for new volunteers to help our Chapter. If you have any suggestions for new presentation topics or speakers, or if you're interested in volunteer opportunities, please reach out to one of our Officers: [Lesley Clark](#), [Myhanh Pham](#), or [Robert Stutsman](#).

Thank you!

Lesley Clark
President, Louisville IIA 2023-2024

CAP UPDATE

We are steadily making progress to reach our goal of achieving Gold status for the year by submitting required documentation, offering chapter sponsored programs, promoting IIA conferences and events, and growing our membership. Below is a list of some of the things your Chapter leadership is doing to ensure we meet that goal.

- Deliver a minimum of eight (8) quality CPE hours to membership
- Offer one (1) or more chapter seminars
- Promote IIA certifications to achieve 2% certification growth
- Grow membership by 2%
- Participate in academic outreach events with local universities and colleges
- Recruit CAEs to the IIA
- Highlight milestone member anniversaries in the newsletter
- Hold events within the Chapter & members within their organizations to celebrate IIA awareness month in May

LUNCH MENU

The in-person monthly meeting includes your choice of one Panera lunch box selection, including sides and dessert option:

Lunch Boxes

Smokehouse BBQ Chicken Sandwich

Roasted Turkey & Avocado BLT Sandwich

Bacon Turkey Bravo Sandwich

Napa Almond Chicken Salad Sandwich

Tuna Salad Sandwich

Deli Turkey Sandwich

Green Goddess Cobb Salad (No Meat)

Green Goddess Cobb Salad with Chicken

Caesar Salad

Caesar Salad with Chicken

Fuji Apple Salad

Fuji Apple Salad with Chicken

Greek Salad

Greek Salad with Chicken

Sides

Chips

Baguette

Apple

Dessert

Cookie

Note that there will also be soft drinks and water available on-site.

DECEMBER MONTHLY MEETING — HYBRID

Date: Tuesday, December 12th, 2023

Meeting Time: 12:00 p.m. - 1:00 p.m.

Presenter(s): Steve Haffner

CPE credits: 1 hour

Cost:

Member Virtual Price:	\$15
Member In-Person Price:	\$25
Non-Member Virtual Price:	\$20
Non-Member In-Person Price:	\$30
Student Virtual Price:	\$Free
Student In-Person Price:	\$10

Location: Virtual — Register below to receive call-in details

In-Person @ Dean Dorton (ShelbyHurst Campus)
435 N Whittington Pkwy Suite 400, Louisville, KY 40222

Registration: [Here](#)

PRESENTATION SUMMARY

Topic: Disruptions, Deceptions and Decisions—Elevate Your Decision Making During Times of Stressful Disruption and Change

Description: Stressful disruptions have adverse effects on our thinking, focus, decision making and problem solving. They amplify our cognitive illusions and make it difficult to think clearly, make optimal decisions and adapt to change.

In this program, Steve demonstrates how the mental distortions that make magic tricks look real also affect how we think and decide in our work as professionals. He provides tactics for recognizing and overcoming their effects so we can perform at our best during times of stressful change.

Please **register for this session by Monday December 11th.**

PRESENTER PROFILE



Steve Haffner is a mind performance strategist, helping professionals elevate their performance in decision making, problem solving and goal achievement. Steve had a successful 30-year corporate career as an I.T. professional, business analyst and executive before launching his own business in 2011 as a magician, mentalist, and speaker.

Combining his personal experience with insights from the fields of psychology, behavioral science, and magic, Steve's programs help participants break through their cognitive illusions—the hidden mental shortcuts, distortions and subconscious biases that can sabotage clear and effective thinking.



NEW INSTAGRAM PAGE

Sharing exciting news! We have a new Instagram account to be used to post pictures, videos, announcements, etc. Also, we created it to help increase knowledge about what IA is with the goal of better engagement and increase membership to our chapter. Here is a link below: [IIA Louisville Chapter \(@iialouisville\) • Instagram photos and videos](#)

As you all have any information, photos, etc., please send to [London](#) and [Myhanh](#) so they can post all the exciting things we are doing. Some ideas can be pictures of members and/or students receiving certification, students receiving scholarships, volunteer work we are doing, social events, etc.

CAE ROUNDTABLE

Calling all CAEs and Audit Shop Leaders—reach out to [Shawn Stevison](#) to connect regarding resumption of the CAE Leader roundtable.

IIA ACADEMIC RELATIONS

Student Memberships

FREE IIA Student Memberships!

Students can register [HERE](#) for a Free Student Membership, then choose the Louisville Chapter once enrolled. Please continue to encourage your Interns and junior associates to join our IIA Chapter. IIA Student Memberships are now free of charge for any student enrolled in a minimum of 6 credit hours.

Student Membership Benefit

For the December meeting, students will have the opportunity to attend for the discount price of \$10 if they choose to attend in person and **FREE** if they choose to attend virtually.

Academic Relations Volunteer Opportunities

Occasionally, we may reach out directly to our members to ask for volunteers to participate in various student events. Please consider participating in student events for any of our local Universities. [Zack Estes](#) is working with local Colleges and Universities to arrange for guest speakers. Please reach out to Zack if you would be interested in getting involved with these schools.

Internships

If your company has an open internship position, please share the following with your HR/ Recruiting departments. The Universities require that internships be posted to their respective Career Services sites to satisfy their Equal Opportunity requirements. Handshake and Cardinal Careers are the sites used by the schools. Here's the link for [Cardinal Careers Employer Registration](#), which is used by UofL's School of Business. Here's the link for [Handshake](#), which is used by both Bellarmine and IUS. Please forward any open internship postings to [Zack Estes](#). We can help spread the word further about your internship by reaching out to faculty at the local universities.

LOCAL IIA NEWS

New Members &
AnniversariesMEMBER
ANNIVERSARY

5 Years

Erin Banet

Megan Brim

15 Years

Priscilla Capes

Timothy Howell

Ted Wahl

CPE Quizzes

Need a quick CPE before year end? To keep pace with developing trends and the ever-changing risk landscape, it is essential for IIA certification holders to regularly enhance their knowledge, skills, and competencies through continuing professional development. Now it's easier than ever to quickly demonstrate your continued competence and earn CPE with The IIA's new CPE Quizzes. Take these 10-question quizzes anytime, anywhere.

Certification holders receive two attempts at each question. Plus, we'll provide links to additional resources that dive deeper into today's most relevant issues, emerging trends, and latest techniques. Scoring for each quiz is as followed:

With a score of 50% or higher, earn 0.5 CPE credits.

With a score of 80% or higher, earn 1 full CPE credit.

To take the quiz or for other training go to the IIA's [CPE Opportunities](#) page which can be found under Certifications.

Annual Certification Renewal Period is Now Open

Maintain Certified Internal Auditor credibility by staying active. The IIA requires certification [holders](#) to report CPEs by December 31st to maintain Active status. Failure to renew will result in moving to a Grace Period status and may result in the CIA designation being Revoked.

Please consult The IIA's Annual Certification Renewal Policy for more information. The policy, renewals, and a list of eligible CPE opportunities are available on the [CCMS](#) Resources page.

Certification Renewal Policy

With the new Certification Renewal Policy that took effect 1 SEP 2023, individuals in Expired or Grace status must reinstate their designations this year or risk losing them. Those currently in an Expired status will have an amnesty period from 01-SEP-2023 to 31-DEC-2023 to restore their designations to Active status. On 01-JAN-2024 any designation in an Expired status will be revoked and require holders of the CIA and CRMA to recertify.

Those holding CCSA, CFSA, CGAP and QIAL will not have the option to restore their designations since these programs are no longer supported.

Additionally, updated Certification Renewal pricing has been released. Please navigate to <https://www.theiia.org/en/certifications/new-to-certification/Pricing/> to view the new pricing structure.

OFFICERS AND COMMITTEE CHAIRPERSONS

PRESIDENT AND NOMINATING
COMMITTEE CHAIR

[Lesley Clark](#)
Constellation Insurance, Inc

VICE PRESIDENT & PROGRAM CHAIR

[Myhanh Pham](#)
Synchrony

CHAPTER ACHIEVEMENT PROGRAM (CAP)
CHAIR

[Jenni Schelling](#)
Louisville Water Company

TREASURER

[Marcie Smitha](#)
Park Community Credit Union

SECRETARY

[Robert Stutsman](#)
Credit First National Association
(CFNA)

MEMBERSHIP CHAIR

[Catie Knable](#)
Caesars Entertainment

ACADEMIC RELATIONS

[Zack Estes](#)
Republic Bank & Trust Company

ADVOCACY/DIVERSITY

[London Lopez](#)
Humana, Inc.

[Myhanh Pham](#)
Synchrony

AUDIT CHAIR

[Brittany Myers](#)
Republic Bank & Trust Company

CERTIFICATIONS CHAIR

[Bob Aemmer](#)
LG&E and KU Energy

CHIEF AUDIT EXECUTIVE (CAE)

ROUNDTABLE CHAIR

[Shawn Stevison](#)
Humana, Inc.

GOVERNMENT RELATIONS CHAIR

[May Porter](#)
JCPS

JUNIOR ACHIEVEMENT CHAIR

[Andrea Higgins](#)
Robert Half Management Resources

NEWSLETTER CHAIR

[Brittany Myers](#)
Republic Bank & Trust Company

SEMINAR CHAIR

[Sonja Heath](#)
Humana, Inc.

QUALITY ASSESSMENT REVIEW CHAIR

[Ann Bauer](#)
Republic Bank & Trust Company

SOCIAL ACTIVITIES CHAIR

[Andrea Higgins](#)
Robert Half Management Resources

WEBMASTER

[Sonja Heath](#)
Humana, Inc.

SOCIAL MEDIA

[London Lopez](#)
Humana, Inc.

BOARD OF GOVERNORS

ELECTED MEMBER TERMS

[Chris Elmore](#) (Thru 5/31/2024)
Churchill Downs

[London Lopez](#) (Thru 5/31/2024)
Humana, Inc.

[Ariana Campbell](#) (Thru 5/31/2025)
Fannie Mae

[Zack Estes](#) (Thru 5/31/2025)
Republic Bank & Trust Company

[May Porter](#) (Thru 5/31/2025)
Louisville Metro Government

PAST PRESIDENTS TERMS

[Catie Knable](#) (Thru 5/31/2024)
Caesars Entertainment

[Shawn Stevison](#)
Humana, Inc. (Thru 5/31/2025)

ADVERTISEMENT POLICY

Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IE at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: **\$50 – ½ page; \$100 – full page**. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter [President](#) or [Secretary](#).



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