



Louisville IIA Chapter News



Message From The President



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Dear IIA Louisville Chapter Members,

As we arrive at December and the close of another calendar year, I want to extend a heartfelt thank you for your continued dedication to our chapter and to the internal audit profession. Your participation in meetings, willingness to share knowledge, and support for one another truly make the IIA Louisville Chapter a strong and vibrant community.

I am continuing to serve as acting president while our Chapter President, Myhanh Pham, remains on medical leave. On behalf of the entire board and membership, I want to again offer our thoughts, support, and best wishes to her during this time. We look forward to welcoming her back when she is ready, and we remain committed to carrying forward the strong foundation she and the chapter leaders before her, have helped build.

Our recent sessions have underscored just how quickly our profession is evolving, particularly in

areas of technology and innovation. Building on that momentum, I'm excited to share that our upcoming December event will focus on "*Auditing Artificial Intelligence*." This session will explore the resources and capabilities needed to develop skills for auditing AI, provide high-level walkthroughs of audit programs across various AI domains, and highlight key risks associated with the use of AI in organizations.

Whether your organization is early in its AI journey or already deeply invested in AI-enabled processes, this topic is increasingly critical to risk management, governance, and internal audit's role as a trusted advisor. I encourage you to join us, come with questions, and consider how you can begin integrating AI-related considerations into your audit plans and discussions with stakeholders.

As we head into the holiday season, I also want to recognize the incredible contributions of our volunteers, officers, and committee members. Your behind-the-scenes efforts, from coordinating speakers and events to managing communi-

cations and membership outreach, makes everything we do possible. If you've been thinking about getting more involved, there will be many opportunities in the coming year for you to share your time and talents.

On behalf of the board, I wish each of you a safe, restful, and joyful holiday season. May you enjoy meaningful time with family and friends and find time to recharge as we prepare for a new year of learning, growth, and professional impact together.

Warmest holiday wishes,

K. Robert Stutsman
Vice President (Acting Chapter President)
IIA Louisville Chapter

NEWSLETTER SUBMISSIONS

The newsletter will be published monthly from September 2025 through May 2026. If you have a request for a submission please email the information to stthomas@republicbank.com and your submission will be included in the next month's issue

December Monthly Meeting—Hybrid

Date: Tuesday, December 9, 2025

Please register for this session by Noon on December 8th to secure your spot.

Meeting Time: 12pm—1pm (All IIA Louisville Chapter Events are held on Eastern Time.)
Networking and Check-In 11:30a-12p

CPE Credits: 1 hour

Cost:	IIA Member Virtual:	\$15
	Non-Member Virtual:	\$20
	IIA Member In-Person:	\$25
	Non-Member In-Person:	\$30
	Student In-Person:	Free
	Student Virtual:	Free

Location: Virtual — Register below to receive call-in details

In-Person @ Dean Dorton (ShelbyHurst Campus)
435 N Whittington Pkwy Suite 400, Louisville, KY

VENUE UPDATE: Dean Dorton now has a visitor policy where all visitors must check-in and receive a visitor's badge upon arrival. All in-person attendees should arrive no later than 11:45am Eastern to allow enough time to complete the check-in process.

Registration: [Here](#)

Speaker: Kevin Cornwell, CPA, CISA

Organization: Dean Dorton

Session Title: Auditing Artificial Intelligence

Session Description: During this presentation we will address some AI basics but spend more time on how to audit AI and covering resources to develop the skills needed to audit AI. We will also walk-through high-level audit programs for auditing various AI areas, but most importantly identify risks to using AI. This will include reviewing case studies demonstrating AI risks that were either ignored or not understood.

Speaker Bio: Kevin Cornwell, CPA, CISA, of Dean Dorton, has been in public accounting in the areas of audit and IT systems since 1991. He currently manages and performs System and Organization Controls (SOC) engagements, outsourced and co-sourced IT internal audit engagements, SOX/JSOX compliance testing, cybersecurity assessments, HIPAA security risk assessments, PCI gap assessments, IT risk assessments, as well as other IT compliance and regulatory engagements.

Lunch Option: Jimmy John's (Drinks will be provided)

IIA Academic Relations

IIA Academic Relations

Student Memberships

FREE IIA Student Memberships—Easier Enrollment

The IIA now offers an easier way for students to enroll in a Student Membership. If you have any interns or know of any students who would like to join the IIA, please contact Zack Estes at zestes@republicbank.com for assistance with enrollment.

Academic Relations Volunteer Opportunities

Occasionally, we may reach out directly to our members to ask for volunteers to participate in various student events. Please consider participating in student events for any of our local Universities. Please reach out to [Zack Estes](#) to discuss opportunities.

Internships

If your company has an open internship position, please share the following with your HR/ Recruiting departments. The Universities require that internships be posted to their respective Career Services sites to satisfy their Equal Opportunity requirements. Handshake and Cardinal Careers are the sites used by the schools. Here's the link for [Cardinal Careers Employer Registration](#), which is used by UofL's School of Business. Here's the link for [Handshake](#), which is used by both Bellarmine and IUS. Please forward any open internship postings to [Zack Estes](#). We can help spread the word further about your internship by reaching out to faculty at the local universities.



LOUISVILLE IIA INSTAGRAM PAGE

Let's start sharing! We have an Instagram account to be used to post pictures, videos, announcements, etc. Also, we created it to help increase knowledge about what IA is with the goal of better engagement and increase membership to our chapter. Here is a link below: [IIA Louisville Chapter \(@iialouisville\) • Instagram photos and videos](#)

As you all have any information, photos, etc., please send to [Sean Byrnes](#) so they can post all the exciting things we are doing. Some ideas can be pictures of members and/or students receiving certification, students receiving scholarships, volunteer work we are doing, social events, etc.

Certification News

Certification Recognition



Lauren Olgine and Kyle Sipes obtained the Certified Internal Auditor designation

CPEs to Help You Finish the Year Strong

- The end of the year is almost here — and if **ethics CPEs** are still on your checklist, we've prepared something special
- Enjoy a hand-picked selection of high-value webinars, bundles, and on-demand offerings to help you finish the year strong and stay aligned with your professional requirements.

[Explore the new CPE Hub](#)

December Ethics Webinar (1 CPE)

[Responsible AI: Navigating Privacy, Security, and Accountability in Modern AI Systems](#)

Date: December 16

A practical look at the ethical risks of AI — and how to ensure privacy, security, and responsible accountability.

Main Course: Ethics Essentials Bundle (2.8 CPEs)

Two courses, one discounted bundle:

[Ethical Behavior + Ethical Scenarios for Internal Auditors](#)

Bundle price: **\$129** vs. \$148 when purchased separately

On-Demand Special (2.2 CPEs)

[Ethical Applications of the Global Internal Audit Standards](#)

Flexible on-demand learning option you can take any time.

Virtual Instructor-Led Training Offering (4 CPEs)

[Assessing Ethics in Your Organization](#)

Date: November 25

Learn the core elements of organizational ethics and how internal audit can assess ethics programs, evaluate culture, and report meaningful findings.

Don't wait until the year-end rush. Plan your path to renewal now and [earn your CPEs](#) with ease.

Certification News Cont.

Internal Audit Practitioner: Affordable, accessible, achievable

The Internal Audit Practitioner™ (IAP™) is designed for students and entry-level professionals and is a cost-effective way to validate your skills and gain credibility.

- Demonstrates command of globally recognized Standards
- Earn your permanent designation — add 'IAP' to your name
- No bachelor's degree required — accessible to all
- Skip the Part 1 exam when pursuing your CIA

Already passed CIA Part 1? [Apply for your IAP](#)

New Members & Anniversaries

New Members

Dylan Davis

Amanda Irish

Candace Schaller

Alec Simpson

Caleb Steele

Benjamin Tangang

Rachel Verkuilen

CAE Roundtable

**"Calling all Auditors—
Audit Roundtables"**

The Louisville Chapter of the IIA will begin offering roundtable discussions for Staff level and for Senior level auditors to facilitate networking, open dialogue, and upon establishment of interests of the attendees, begin to include training topics in the roundtable meetings.

If you are interested, please contact Shawn Stevison at shawnstevison@yahoo.com.

Volunteer Opportunities

The IIA Louisville Chapter is looking for volunteers for a few open committee positions. Positions currently open are:

- Advocacy Chair & Diversity Chair
- Government Relations Chair
- QAR Chair
- Seminar Chair

For more information please reach out to [Robert Stutsman](#), IIA Louisville Chapter President (Acting)

Call for New Members

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to [Catie Knable](#) for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

Career Opportunities

Contract Audit Role: Contact Andrea Higgins at andrea.higgins@roberthalf.com for more information about the role.

Upcoming Other IIA Chapter Events

December Member Appreciation CPE Event - Save the Date!

- **Topic:** The State of Internal Audit with SOX Responsibilities: 2025 Benchmarking Insights

- **Time/Date:** December 10, 2025; 3:00 - 4:00 PM (ET)

- **Description:** This session presents findings from The State of Internal Audit with SOX Responsibilities benchmarking study, which analyzes 125 data points across Internal Audit, SOX compliance, and Connected Risk activities. Drawing on responses from a broad range of industries and team sizes, the report identifies five key themes that distinguish high-performing Internal Audit functions from their peers. Participants will gain data-driven insights into how teams are structuring their time and resources between audit and SOX, trends in SOX deficiencies, and how Internal Audit is progressing in its maturity toward Connected Risk. The discussion will translate benchmarking results into practical strategies that participants can apply to strengthen their own team's performance and influence.

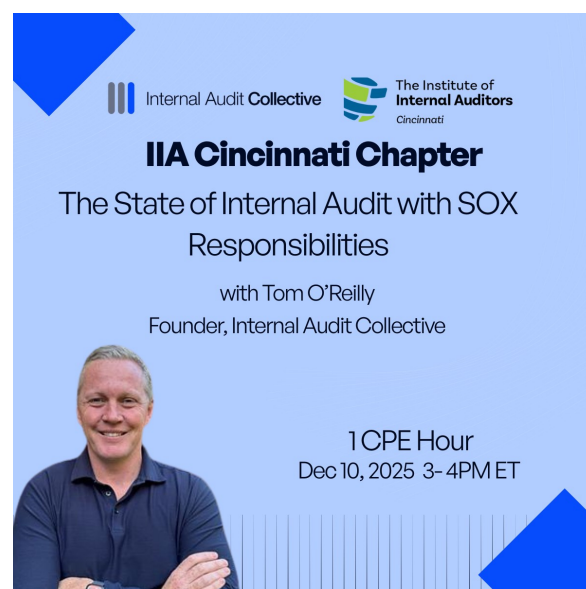
- **Presenter:** Tom O'Reilly is the Founder of the Internal Audit Collective, the #1 online, private community and training company for Internal Audit and SOX compliance professionals. Drawing on nearly two decades of audit leadership and industry experience, Tom has built the Internal Audit Collective into a trusted hub where practitioners and leaders can ask questions, provide answers and network with a community of like-minded peers around the globe. Before launching the Internal Audit Collective, Tom was a 1st 30 employee at AuditBoard, where over 7.5 years he met with more than 1,000 internal audit and SOX teams. In that role, he helped leaders refine their strategies, improve processes, and adopt technology to uplevel team performance. Prior to AuditBoard, Tom served as Chief Audit Executive at Analog Devices, a Fortune 500 semiconductor company, where he led the execution of a risk-based audit plan, oversaw SOX 404 compliance, and facilitated the company's enterprise risk management program. Through the Collective, Tom continues to connect thousands of auditors worldwide with the tools, insights, and community they need to lead in a rapidly evolving risk environment. He is a frequent speaker, facilitator, and connector of people and ideas - always focused on enabling positive change in the audit profession.

- **CPE:** 1 hour

- **Cost:** Member: FREE; Non-member: \$10

- **Event Format:** Virtual

Register [Here](#)



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Synchrony

VICE PRESIDENT

[Robert Stutsman](#)Credit First National Association
(CFNA)

TREASURER

[London Lopez](#)

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[Zack Estes](#)

Republic Bank & Trust Company

PROGRAM CHAIR

[Lesley Clark](#)

Federal Reserve Bank of St.Louis

[Joseph Gause](#)

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[Catie Knable](#)

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[Roy Holden](#)

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[Sonja Heath](#)

Humana, Inc.

SOCIAL MEDIA

[Sean Byrnes](#)

Humana, Inc.

BOARD OF GOVERNORS

ELECTED MEMBER TERMS

[Roy Holden](#) (Thru 5/31/2026)

Kentucky Lottery

[Jennifer Fritz](#) (Thru 5/31/2027)

Churchill Downs

[John LeMaster](#) (Thru 5/31/2027)

JCPS

[Kayla Thomas](#) (Thru 5/31/2027)

Humana

[Grace Wilson](#) (Thru 5/31/2026)

Humana

PAST PRESIDENTS TERMS

[Shawn Stevison](#)

Humana, Inc. (Thru 5/31/2026)

[Lesley Clark](#)Federal Reserve Bank of St.Louis
(Thru 5/31/2027)