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April 2026

Message From The President

Louisville IIA Chapter News

Dear IIA Louisville Chapter Members,

As we move into April, I want to thank each of you for your continued engagement and support of our chapter. It has been an energizing start to the year, and I am grateful for the momentum we continue to build together through strong participation, meaningful discussions, and a shared commitment to advancing our profession.

It has been a privilege to step into the role of Chapter President, and I appreciate the encouragement and support many of you have shared. I also want to again recognize our past leadership for the foundation they have built. Our chapter's continued success is a direct reflection of their dedication and service.

Our March event, *"2026 Top Risks and Opportunities – An Internal Audit Perspective,"* provided valuable insight into the evolving risk landscape and sparked thoughtful dialogue around how internal audit can proactively respond. Thank you to everyone who joined us and contributed to another highly engaging session.

Looking ahead, I'm excited to share details about our upcoming

and seminar in May at Churchill Downs. We are incredibly excited about this event and the opportunity it presents to combine professional development with networking in a truly unique setting. We understand how busy schedules can be, so our goal is to share registration details with you early to allow for ample planning. Stay tuned, more information will be coming soon.

April program, **"Navigating the IIA's Third-Party Topical Requirement."** As organizations continue to increase their reliance on third parties, effective oversight of third-party risk has become a critical priority for internal audit leaders. This session will explore what the IIA's Third-Party Topical Requirement means for audit strategy, planning, and execution, including key governance, risk management, and control expectations. Attendees will gain practical insights to help prepare for mandatory conformance ahead of the 2026 effective date.

We are especially proud to have this session led by two of our own, Joseph Gause and Lesley Clark, who have both played important leadership roles within our chapter. Their experience and continued involvement are a testament to the strength of our professional community, and we look forward to the perspectives they will share.

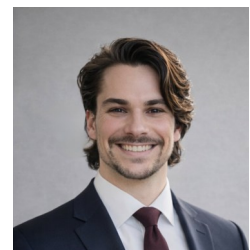
As always, I encourage you to attend, engage, and bring your questions. These opportunities to learn from one another and from experienced leaders are what continue to elevate our chapter and the value we bring to our organizations.

I also want to continue to highlight our upcoming social event

and seminar in May at Churchill Downs. We are incredibly excited about this event and the opportunity it presents to combine professional development with networking in a truly unique setting. We understand how busy schedules can be, so our goal is to share registration details with you early to allow for ample planning. Stay tuned, more information will be coming soon.

Thank you again for your continued support of the IIA Louisville Chapter. I look forward to seeing many of you at our April meeting and at our upcoming events as we continue to grow and strengthen our professional community together. And as always, please don't hesitate to contact me to discuss ways in which you can be more involved in the Chapter.

Warm regards,



K. Robert Stutsman
President
IIA Louisville Chapter

NEWSLETTER SUBMISSIONS

The newsletter will be published monthly from September 2025 through May 2026. If you have a request for a submission please email the information to stthomas@republicbank.com and your submission will be included in the next month's issue

DERBY CITY DISCLOSURES



April Monthly Meeting—Hybrid

Date: Tuesday, April 14, 2026

Please register for this session by Noon on April 13th to secure your spot.

Meeting Time: 12pm—1pm (All IIA Louisville Chapter Events are held on Eastern Time.)
Networking and Check-In 11:30a-12p

CPE Credits: 1 hour

Table with 2 columns: Cost and Price. Rows include IIA Member Virtual (\$15), Non-Member Virtual (\$20), IIA Member In-Person (\$25), Non-Member In-Person (\$30), Student In-Person (Free), and Student Virtual (Free).

Location: Virtual (Zoom)— Register below to receive details

In-Person @ Dean Dorton (ShelbyHurst Campus)
435 N Whittington Pkwy Suite 400, Louisville, KY

VENUE UPDATE: Dean Dorton now has a visitor policy where all visitors must check-in and receive a visitor's badge upon arrival. All in-person attendees should arrive no later than 11:45am Eastern to allow enough time to complete the check-in process.

Registration: Here

Speakers: Joseph Gause
Lesley Clark

Presentation Title: Navigating the IIA’s Third-Party Topical Requirement

Presentation Summary: As organizations increasingly rely on third parties, effective oversight of third-party risk has become a priority for internal audit leaders. This session examines the IIA’s Third-Party Topical Requirement and what it means for audit strategy, planning, and execution.

Speaker Bios: Joseph Gause is a Vice President of IT Audit at GM Financial with over 15 years of global experience driving audit excellence and effective risk management practices within the Financial Services industry.

Lesley Clark is a Risk Specialist in the Safety & Soundness Supervision division of the Federal Reserve Bank of St. Louis, focusing on audit in Regional and Community Banking Organizations.

Lunch Option: City BBQ (Drinks will be provided)

IIA Academic Relations

Student Memberships

FREE IIA Student Memberships—Easier Enrollment

The IIA now offers an easier way for students to enroll in a Student Membership. If you have any interns or know of any students who would like to join the IIA, please contact Zack Estes at zestes@republicbank.com for assistance with enrollment.

Academic Relations Volunteer Opportunities

Occasionally, we may reach out directly to our members to ask for volunteers to participate in various student events. Please consider participating in student events for any of our local Universities. Please reach out to Zack Estes to discuss opportunities.

Internships

If your company has an open internship position, please share the following with your HR/ Recruiting departments. The Universities require that internships be posted to their respective Career Services sites to satisfy their Equal Opportunity requirements. Handshake and Cardinal Careers are the sites used by the schools. Here's the link for [Cardinal Careers Employer Registration](#), which is used by UofL's School of Business. Here's the link for [Handshake](#), which is used by both Bellarmine and IUS. Please forward any open internship postings to Zack Estes. We can help spread the word further about your internship by reaching out to faculty at the local universities.



LOUISVILLE IIA INSTAGRAM PAGE

Let's start sharing! We have an Instagram account to be used to post pictures, videos, announcements, etc. Also, we created it to help increase knowledge about what IA is with the goal of better engagement and increase membership to our chapter. Here is a link below: [IIA Louisville Chapter \(@iialouisville\) • Instagram photos and videos](#)

As you all have any information, photos, etc., please send to Sean Byrnes so they can post all the exciting things we are doing. Some ideas can be pictures of members and/or students receiving certification, students receiving scholarships, volunteer work we are doing, social events, etc.

Certification News

Certification Recognition



Amy Gumlaw obtained the Certified Internal Auditor designation

CIA Challenge Exams – CPAs and CISAs

Take the next step and apply for the CIA Challenge Exam. The next application window opens April 1, 2026. Mark your calendar and don't miss the chance to enhance your internal audit credibility by adding CIA to your credentials.

Active CPA and CISA designation holders can earn the Certified Internal Auditor® (CIA®) designation through an expedited process. The CIA Challenge Exam is your way to expedite obtaining the CIA designation by successfully passing this one-part examination.

The CIA Challenge Exam only includes content not covered on your CPA or CISA exam, saving you time and money.

Program Timeline

Application window: April - September

Testing Windows: June, September, November, or February

Once your application is approved, please note you have 180 days to register, schedule, and sit for the exam.

Certification Information

It's an exciting time for internal audit certifications! The IAP is permanent, the CRMA has no prerequisite, and the CIA challenge exam is available for those with a CPA or CISA designation.

Ignite your professional impact by exploring the IIA's [Certifications](#) page, where each credential represents a commitment to integrity, insight, and continuous improvement. Discover the certification that aligns with your aspirations and supports the value you bring to your organization. You'll find everything you need to move forward with confidence—from getting started and applying, to preparing with purpose and managing your designation as you advance in your auditing career.

Internal Audit Practitioner: Affordable, accessible, achievable

The Internal Audit Practitioner™ (IAP™) is designed for students and entry-level professionals and is a cost-effective way to validate your skills and gain credibility.

- Demonstrates command of globally recognized Standards
- Earn your permanent designation — add 'IAP' to your name
- No bachelor's degree required — accessible to all
- Skip the Part 1 exam when pursuing your CIA

Already passed CIA Part 1? [Apply for your IAP](#)

New Members & Anniversaries

New Member

Stephanie Dooley—Humana Inc
Timothy Lyle—University of Louisville

Student Members

Sierra Cook—Western Kentucky University
Bailey Hamilton—Western Kentucky University
Dennis Mahmutovic—Western Kentucky University
Tanner Massie—Western Kentucky University
Andrew Smith—Western Kentucky University
Jorge Barradas—Western Kentucky University
Luke Cummins—Western Kentucky University
Kaleb Daniel—Western Kentucky University
Brynn DeGraff—Western Kentucky University
Sawyer Edmunds—Western Kentucky University
Addison Littlefield—Western Kentucky University
Peggy Reno—Western Kentucky University
Chloe Vissman—Western Kentucky University
John Wilkerson—Western Kentucky University

25 Year Anniversary

Scott Shelton

5 Year Anniversary

Haley Reinhardt



CAE Roundtable

**“Calling all Auditors—
Audit Roundtables”**

The Louisville Chapter of the IIA will begin offering roundtable discussions for Staff level and for Senior level auditors to facilitate networking, open dialogue, and upon establishment of interests of the attendees, begin to include training topics in the roundtable meetings.

If you are interested, please contact Shawn Steverson at shawnsteverson@yahoo.com.

Volunteer Opportunities

The IIA Louisville Chapter is looking for volunteers for a few open committee positions. Positions currently open are:

- Advocacy Chair & Diversity Chair
- Government Relations Chair
- QAR Chair
- Seminar Chair

For more information please reach out to [Robert Stutsman](#), IIA Louisville Chapter President

Call for New Members

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to [Catie Knable](#) for more information. Some benefits of joining the IIA Louisville Chapter are as follows:



- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

Career Opportunities

Contract Audit Role: Contact Andrea Higgins at andrea.higgins@roberthalf.com for more information about the role.

Upcoming IIA Headquarters Events



2026 IIA International Conference

 June 22–24 |  Marina Bay Sands, Singapore

The global gathering of visionaries, thought leaders, and industry influencers returns to Singapore for an immersive learning experience designed to drive the future of internal audit. Members save \$180 when registered by April 27.

[Mark Your Calendar](#)

2026 Global Student Conference

 April 19-21 |  Disney Yacht Club Convention Center—Orlando, Florida

Be a part of the momentum and consider sponsoring your local students and educators to attend! Learn more about sponsorship opportunities or contributing to the Foundation's GSC Travel Grants and [Talent Pipeline Campaign](#).

Registration is now live! We can't wait to welcome you to Orlando next April!

Take a look back at the excitement and impact of the 2025 conference. [View the 2025 Global Student Conference](#) The global gathering of visionaries, thought leaders, and industry influencers returns to Singapore for an immersive learning experience designed to drive the future of internal audit. Members save \$180 when registered by April 27.

[Mark Your Calendar](#)

Upcoming Other Chapter Events

Nashville IIA Chapter Annual Conference/Symposium

Date: April 22, 2026

Meeting Time: 8am—4:45pm (CT)

Location: Spark—Lipscomb Idea Center
400 Commerce Street, Nashville, TN 37219

[Register Here!](#)

Upcoming Louisville IIA Events

May Seminar is just around the corner!

Speaker: Hollie R Spencer, MS, HROD

Session Description: Emotional Intelligence & Communication for Internal Auditors

Presentation Summary:

“Effective internal auditing requires more than technical expertise—it demands strong emotional intelligence and the ability to communicate with clarity, empathy, and influence.”

Learning Outcomes:

- Understand the core components of Emotional Intelligence and their role in effective auditing.
- Identify your communication color style and recognize strengths and blind spots.
- Learn to read and adapt to others’ communication styles for more productive interactions.
- Apply EI techniques to manage conflict, deliver difficult messages, and foster stronger stakeholder partnerships.

But wait...There's more!!!

Louisville IIA May Social Event

Date: May 12, 2026

Meeting Time: TBA

Location: Churchill Downs

This will be a ticketed event so make sure to register in May.

OFFICERS AND COMMITTEE CHAIRPERSONS

PRESIDENT

Robert Stutsman

Credit First National Association
(CFNA)

CO VICE PRESIDENTS

Shawn Stevison

Humana, Inc.

Lesley Clark

Federal Reserve Bank of St.Louis

TREASURER

London Lopez

Humana, Inc.

SECRETARY

Zack Estes

Republic Bank & Trust Company

PROGRAM CHAIR

Lesley Clark

Federal Reserve Bank of St.Louis

Joseph Gause

GM Financial

MEMBERSHIP CHAIR

Catie Knable

Caesars Entertainment

ACADEMIC RELATIONS

Zack Estes

Republic Bank & Trust Company

Roy Holden

Kentucky Lottery

ADVOCACY/DIVERSITY

AUDIT CHAIR

Brittany Myers

Republic Bank & Trust Company

CERTIFICATIONS CHAIR

Bob Aemmer

LG&E and KU Energy

CHIEF AUDIT EXECUTIVE (CAE)

ROUNDTABLE CHAIR

Shawn Stevison

Humana, Inc.

GOVERNMENT RELATIONS CHAIR

Open

NEWSLETTER CHAIR

Shenikia Thomas

Republic Bank & Trust Company

SEMINAR CHAIR

Open

QUALITY ASSESSMENT REVIEW CHAIR

Open

SOCIAL ACTIVITIES CHAIR

Andrea Higgins

Robert Half Management Resources

WEBMASTER

Sonja Heath

Humana, Inc.

SOCIAL MEDIA

Sean Byrnes

Humana, Inc.

BOARD OF GOVERNORS

ELECTED MEMBER TERMS

Roy Holden *(Thru 5/31/2026)*

Kentucky Lottery

Jennifer Fritz *(Thru 5/31/2027)*

Churchill Downs

John LeMaster *(Thru 5/31/2027)*

JCPS

Kayla Thomas *(Thru 5/31/2027)*

Humana

Grace Wilson *(Thru 5/31/2026)*

Humana

PAST PRESIDENTS TERMS

Shawn Stevison

Humana, Inc. *(Thru 5/31/2026)*

Lesley Clark

Federal Reserve Bank of St.Louis
(Thru 5/31/2027)