



October 2026

Message From The President

Dear IIA Louisville Chapter Members,

As we welcome October, I want to take a moment to thank each of you for your continued support and engagement with the IIA Louisville Chapter. A new chapter year is well underway, and it has been exciting to see the continued participation and enthusiasm from our members as we come together for professional development, networking, and the exchange of ideas.

I also want to continue recognizing **Zack Estes** and **Grace Wilson** for their support while our Treasurer, **London Lopez**, is away on maternity leave. We greatly appreciate their willingness to step in and help maintain continuity for the chapter. We continue to wish London and her family all the best during this special time and look forward to having her back when she is ready.

I also want to offer a sincere apology for the **video and sound issues experienced during our recent luncheon seminar**. We understand how frustrating technical difficulties can be, particularly for those joining us virtually, and we appreciate everyone's patience and understanding. We are grateful to those who remained engaged despite the challenges and are taking steps to improve the experience for future events.

Looking ahead, I'm excited about our upcoming program, "**Navigating the IIA Topical Requirements**." This practical session will explore how the IIA Topical Requirements provide a consistent approach for evaluating specific risk areas when they are applicable to an internal audit engagement. Attendees will learn about the purpose of the requirements, current and upcoming topics, how to determine when a requirement applies, and how to appropriately document those decisions using an Applicability Matrix.

We are pleased to welcome **Jodell Renn** and **Curtis Applebaum** of Dean Dorton as our speakers. Together, they bring extensive experience across internal audit, public accounting, risk assessments, consulting, process improvement, and internal control enhancement. This session will provide practical guidance that can be applied directly to our audit planning and execution, while also reinforcing the importance of professional judgment when determining applicability.

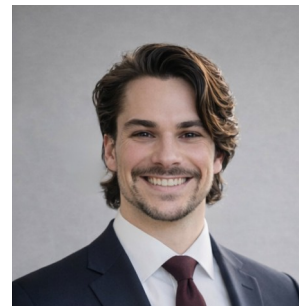
As we head into the fall season, October also brings one of my favorite opportunities to have a little fun, **Halloween!** I encourage everyone to take a break from the audits, risk assessments, and control testing to enjoy the season with family, friends, and colleagues. Whether you're dressing up, handing out candy, or simply enjoying a little Halloween spirit, I hope you have a safe and enjoyable October.

As always, I want to thank our officers, Board of Governors members, committee members, volunteers, and members for everything you do to support the chapter. Your involvement allows us to continue providing meaningful CPE opportunities, relevant programming, and opportunities to connect with fellow professionals.

I look forward to seeing many of you at our October event. Thank you for your continued support of the IIA Louisville Chapter, and as always, please continue to share your ideas and feedback as we work together to make this a great chapter year.

Warm regards,

K. Robert Stutsman
President
IIA Louisville Chapter



NEWSLETTER SUBMISSIONS

The newsletter will be published monthly from September 2026 through May 2027. If you have a request for a submission please email the information to sthomas@republicbank.com and your submission will be included in the next month's issue

Louisville IIA Chapter News

DERBY CITY DISCLOSURES



October Monthly Meeting Hybrid**Date: Tuesday, October 13, 2026**

Please register for this session by Noon on October 12th to secure your spot.

Meeting Time: 12pm - 1pm (All IIA Louisville Chapter Events are held on Eastern Time.)
Networking and Check-In 11:30a-12p

CPE Credits: 1 hour

Cost:	IIA Member Virtual:	\$15	
	Non-Member Virtual:	\$20	
	IIA Member In-Person:	\$25	
	Non-Member In-Person:	\$30	
	Student In-Person:		Free
	Student Virtual:		Free

Location: Virtual (Zoom)— Register below to receive details

In-Person @ Dean Dorton (ShelbyHurst Campus)
 435 N Whittington Pkwy Suite 400, Louisville, KY

REMINDER: Dean Dorton has a visitor policy where all visitors must check-in and receive a visitor's badge upon arrival. All in-person attendees should arrive no later than 11:45am Eastern to allow enough time to complete the check-in process.

Registration: [Here](#)

Speaker(s):

Jodell Renn—Dean Dorton, Assurance Associate Director

Jodi brings over 30 years of experience, including four in public accounting and 25 leading internal audit departments. She excels at helping organizations achieve objectives through process improvement, enhanced compliance, and increased efficiency. Her accomplishments include implementing an enterprise-wide risk management system, streamlining Trustee bond transaction recording from two days to hours, and fostering collaboration across departments. A strategic, mission driven leader, Jodi leverages sound business judgment to eliminate inefficiencies, promote succession planning, and drive meaningful change. Her expertise spans government, non-profit, for-profit, and healthcare sectors, with prior roles as accounting manager and divisional controller.

Curtis Applebaum—Dean Dorton, Assurance Manager

Curtis has several years of public accounting experience, with a focus on providing internal and external audit services to organizations of various sizes and industries. Curtis' internal audit experience includes providing co-sourced and outsourced internal services, internal audit specialist responsibilities, and risk assessments. Curtis also has experience working on consulting engagements which include developing policies and procedures to strengthen internal controls. He has experience in dealing with financial reporting, audit issues, and unusual transactions.

Session Title: Navigating the IIA Topical Requirements

Session Description: The IIA Topical Requirements provide a consistent approach for evaluating specific risk areas when they are applicable to an internal audit engagement. This practical session will explore the purpose of the requirements, current and upcoming topics, and real-world considerations for determining when a requirement applies and documenting that decision. By the end of this session, participants will be able to: Explain the purpose of Topical Requirements, identify the current effective Topical Requirements, determine when a Topical Requirement is required, apply professional judgment in assessing applicability, and document applicability decisions using an Applicability Matrix.

*Lunch will: Qdoba Taco Bar

IIA Academic Relations

Following the revamping of Academic Relations, AR has appointed two volunteer student ambassadors at the University of Louisville and is selecting an additional ambassador for the Indiana University Southeast campus. Academic Relations is looking for a few IIA Louisville Chapter members willing to serve as mentors for our student ambassadors during the upcoming school year. The mentorship is meant to be low-commitment and informal. It is primarily to provide a space for the mentee to ask questions and gain career insights over the occasional coffee or lunch outing. Anyone interested can reach out to Roy Holden at roy.holden@kylottery.com.

Student Memberships

FREE IIA Student Memberships—Easier Enrollment

The IIA now offers an easier way for students to enroll in a Student Membership. If you have any interns or know of any students who would like to join the IIA, please contact Roy Holden at roy.holden@kylottery.com for assistance with enrollment.

Internships

If your company has an open internship position, please share the following with your HR/ Recruiting departments. The Universities require that internships be posted to their respective Career Services sites to satisfy their Equal Opportunity requirements. Handshake and Cardinal Careers are the sites used by the schools. Here's the link for [Cardinal Careers Employer Registration](#), which is used by UofL's School of Business. Here's the link for [Handshake](#), which is used by both Bellarmine and IUS. Please forward any open internship postings to [Roy Holden](#). We can help spread the word further about your internship by reaching out to faculty at the local universities.



LOUISVILLE IIA INSTAGRAM PAGE

Let's start sharing! We have an Instagram account to be used to post pictures, videos, announcements, etc. Also, we created it to help increase knowledge about what IA is with the goal of better engagement and increase membership to our chapter. Here is a link below: [IIA Louisville Chapter \(@ialouisville\) • Instagram photos and videos](#)

As you all have any information, photos, etc., please send to [Sean Byrnes](#) so they can post all the exciting things we are doing. Some ideas can be pictures of members and/or students receiving certification, students receiving scholarships, volunteer work we are doing, social events, etc.

Academic Relations Volunteer Opportunities

Occasionally, we may reach out directly to our members to ask for volunteers to participate in various student events. Please consider participating in student events for any of our local Universities. Please reach out to [Roy Holden](#) to discuss opportunities.

Certification News

Annual Certification Renewal

The annual certification renewal period will begin soon. Don't forget to earn CPEs before the end of the year and renew your IIA certification(s)!

Annual Certification Renewal opens 1 October each year. Log in to the [Certification Candidate Management System \(CCMS\)](#) to begin your renewal.

The renewal deadline is 31 December each year. Should you fail to renew your Active certification, it will enter a Grace status, and as a result, you are no longer approved to represent yourself as a certified individual. Individuals remaining in Grace for 2 years will enter a Revoked status and must submit a recertification application and take the corresponding exam if they wish to become certified again.

As an IIA certified or qualified professional, you are responsible for ensuring that your certification is renewed annually. Get more information on [certification renewal steps](#) for Active and Grace status certification holders.

Turn Your Reading Into CPE

Reading *Internal Auditor* keeps you informed on emerging risks, industry trends, best practices, and the issues shaping the internal audit profession — now it can help you stay certified, too. Pass a five-question quiz and claim 1 CPE credit. [Get your CPE credit.](#)

AI-Enabled Internal Audit Certificate Program

The AI-Enabled Internal Audit Certificate Program, developed in collaboration between The Institute of Internal Auditors (The IIA) and AI CERTs, is an AI audit certification that equips internal audit professionals to apply artificial intelligence across the full audit lifecycle, from risk assessment and planning through fieldwork, reporting, monitoring, follow-up, and quality review. This program is designed for internal auditors looking to use AI for internal audit work in a structured, standards-aligned way.

Register at [AI for Internal Audit Certificate | The IIA](#)

New Members & Anniversaries

New Members

Scott Triplett
McKenzie Raymer
Jennifer Mudd
Sullivan Kosmach
Jordan Hall
Justin Dickerson
Ethan MacGillivray
Jeremy Glassman
Nikki McCoy
Mariia Prikhodko
Benjamin Sebestyen
Connor Tobin
Adeline Cheng
Sarwar Choudhury
Ruchika Deka
Shayan Khurshid
Shivani Raheja
Adrienne Willingham
Sruthi Alle

5 Year Anniversary

James Lyle

20 Year Anniversary

Shawn Steverson
Ryan Pierce

25 Year Anniversary

Michelle Beumel

45 Year Anniversary

Ann M. Bauer

**"Calling all Auditors—
Audit Roundtables"**

CAE Roundtable

The Louisville Chapter of the IIA will begin offering roundtable discussions for Staff level and for Senior level auditors to facilitate networking, open dialogue, and upon establishment of interests of the attendees, begin to include training topics in the roundtable meetings.

If you are interested, please contact Shawn Steverson at shawnsteverson@yahoo.com.

Volunteer Opportunities

The IIA Louisville Chapter is looking for volunteers for a few open committee positions. Positions currently open are:

- Advocacy Chair & Diversity Chair
- Government Relations Chair
- QAR Chair
- Seminar Chair

For more information please reach out to [Robert Stutsman](#), IIA Louisville Chapter President

*For 2027, the Audit Chair role will be open. Anyone interested in taking on that role is welcome to reach out to Brittany Myers at brimyrs@republicbank.com for more information.

Call for New Members

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to [Catie Knable](#) for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

Career Opportunities

Open requisitions at Humana:

IT Internal Auditor 2 - [https://wd5.myworkday.com/humana/d/inst/15\\$158872/9925\\$371791.html](https://wd5.myworkday.com/humana/d/inst/15$158872/9925$371791.html)

Senior Internal Auditor - [https://wd5.myworkday.com/humana/d/inst/15\\$158872/9925\\$372026.html](https://wd5.myworkday.com/humana/d/inst/15$158872/9925$372026.html)

Internal Audit - Nurse Auditor—[https://wd5.myworkday.com/humana/d/inst/15\\$158872/9925\\$374527.html](https://wd5.myworkday.com/humana/d/inst/15$158872/9925$374527.html)

OFFICERS AND COMMITTEE CHAIRPERSONS

PRESIDENT

Robert Stutsman

Credit First National Association
(CFNA)

VICE PRESIDENT

Zack Estes

Republic Bank & Trust Company

TREASURER

London Lopez

Humana, Inc.

CO-TREASURER

Zack Estes

Republic Bank & Trust Company

SECRETARY

Grace Wilson

Humana

PROGRAM CHAIR

Joseph Gause

GM Financial

Shenikia Thomas

Republic Bank & Trust Company

MEMBERSHIP CHAIR

Catie Knable

Caesars Entertainment

ACADEMIC RELATIONS

Zack Estes

Republic Bank & Trust Company

Roy Holden

Kentucky Lottery

ADVOCACY/DIVERSITY

London Lopez

Humana, Inc.

AUDIT CHAIR

Brittany Myers

Republic Bank & Trust Company

CERTIFICATIONS CHAIR

Bob Aemmer

LG&E and KU Energy

CHIEF AUDIT EXECUTIVE (CAE)

ROUNDTABLE CHAIR

Shawn Stevison

Humana, Inc.

GOVERNMENT RELATIONS CHAIR

Open

NEWSLETTER CHAIR

Shenikia Thomas

Republic Bank & Trust Company

SEMINAR CHAIR

Open

QUALITY ASSESSMENT REVIEW CHAIR

Open

SOCIAL ACTIVITIES CHAIR

Andrea Higgins

Robert Half Management Resources

WEBMASTER

Open

SOCIAL MEDIA

Sean Byrnes

Humana, Inc.

BOARD OF GOVERNORS

ELECTED MEMBER TERMS

Joseph Gause (Thru 5/31/2028)

GM Financial

Jennifer Fritz (Thru 5/31/2027)

Churchill Downs

John LeMaster (Thru 5/31/2027)

JCPS

Kayla Thomas (Thru 5/31/2027)

Humana

Shenikia Thomas (Thru 5/31/2028)

Republic Bank & Trust Company

PAST PRESIDENTS TERMS

Shawn Stevison

Humana, Inc. (Thru 5/31/2026)

Lesley Clark

Federal Reserve Bank of St.Louis
(Thru 5/31/2027)

Myhanh Pham

Synchrony (Thru 5/31/2028)