Dear Members,

First, I’d like to wish everyone an upcoming Happy Holiday season! Our executive committee has been very busy planning out our programming calendar, advocating for the internal audit profession at local student career fairs and other risk and internal audit professional conferences such as the Risk Management Association, and advertising and reaching out to professionals to promote the benefits of joining our chapter as a member.

We have recently concluded two joint webinars and want to thank the Long Island and North NJ Chapters for the opportunity to collaborate with them; we hope to continue to collaborate with our local chapters to bring value-added information from professional speakers and further synergies to attendees. We have a packed December event schedule planned. We have opened registration for our three (3) December hands-on analytics webinars. Please register quickly as space is limited.

In addition, we are continuing to plan for our Annual Public Sector Conference which will be held virtually on the 20th of January. This year’s theme will be around technology and innovation. Please be on the lookout for registration information in the coming month.

Other upcoming special interest events also include the February 16th Financial Services Conference and the May Annual Audit Conference which we are planning to bring back as in-person events. Specifically, the Banking/Financial Services (BFS) industry is experiencing disruptive innovation at an unprecedented level. Recognizing the need for specialized training and content for our members in the BFS industry, the Chapter is pleased to present our inaugural NY Financial Services Conference bringing together top experts from industry with diverse perspectives. Our speakers will include leading Chief Audit Executives, Chief Risk Officers, Chief Information Officers, and other Technology experts from organizations in the industry. Registration will begin opening in early 2023. We are starting to build out the agenda for the May Annual Audit Conference and will provide more information in our next newsletter. We would like to thank the contributions of our past sponsors, those that have already reached out to be involved this year, and hope to collaborate with prior and new organizations and speakers in efforts to deliver a valuable event.

Please reach out to any of the Executive Committee members with any other suggestions you have, whether programming related or if you’d like to get involved with the Chapter. We hope you are able to join us at the upcoming events!

Sincerely

Angelina Fridman

NY Chapter President - IIA
See below for upcoming registrations for the December virtual webinars. Due to the nature of the hands-on workshop, spaces are limited! Sign up early to secure your spot!

You've asked for hands-on training and practical application, and we are excited to deliver 11.5 hours of truly hands-on data analytics and data visualization instruction, leveraging Microsoft's Power BI.

This track course is comprised of 3 sessions as follows:

- **Track 1 of 3: December 1, 2022 – 8:30 AM to 12 PM (EST)** – Power BI Essentials Part 1 – Eligible for 3.5 CPEs
  - [https://na.eventscloud.com/718793](https://na.eventscloud.com/718793)
- **Track 2 of 3: December 8, 2022 – 8:30 AM to 12 PM (EST)** – Power BI Essentials Part 2 – Eligible for 3.5 CPEs
  - [https://na.eventscloud.com/721809](https://na.eventscloud.com/721809)
- **Track 3 of 3: December 15, 2022 – 8:30 AM to 1 PM (EST)** - Power BI Data Prep & Modeling – Eligible for 4.5 CPEs
  - [https://na.eventscloud.com/721810](https://na.eventscloud.com/721810)

Before you register, please note that you must download the free Power BI software to participate in the workshop effectively.

This session is designed for the essential Power BI user or those who want to refresh the introduction of Power BI. It is for anyone who works with data – regardless of technical or analytical background. This workshop is designed to help you understand and use Power BI's important concepts and techniques to move from simple to complex visualizations and learn how to combine them in interactive dashboards. At the end of the workshop, you will be able to:

- Use Power BI Designer environment
- Connect to your data
- Select the right visualization type for your use case
- Build a dashboard using the following visualization types: KPIs, static elements, geographic maps, tree maps, line charts, donuts charts, pie charts, bar charts, dual-axis charts, filters, highlight tables, scatter plots.
- You will build a fully interactive dashboard as part of the workshop. Spaces are limited people due to Power BI's hands-on data analytics practices.
The Academic Relations and Advocacy Committee of the IIA NY Chapter continues to support local colleges and universities. We are working with St Johns in developing a mentoring program and a Young Professionals Career Fair. Pace University is now part of IIA’s Academic Awareness Program and plans on pursuing the IA Education Partnership Program in April 2023. We are staying connected with Baruch College, Manhattan College and Queens College in establishing IIA Student Organizations. Mike Lynn, IIA North America and IIA NY Chapter Board member, is working with National in developing a formal Advocacy Plan. Lastly, working with IIA National, the NY IIA Chapter established a Co-sponsorship program with the Risk Management Association (RMA) for national and local events, with the goal of increasing membership within both organizations. In September, RMA hosted a sponsor table at the IIA Financial Exchange event in Washington DC.

Photo Below: L to R - Debi Roth, Tim Ryan and Alicia Borden are representing IIA National and the NY Chapter at the November RMA Internal Audit Conference.
November Highlights from IIA Headquarters

Top headlines from November 2022:

- A promotional code for 25% off several events, both live and virtual
- ‘Send More, Get More’ campaign
- Chapter Toolkits for Advocacy and Fall Seminar, and more!

Members Save 25% on All Seminars and eSeminars

Through November 18, 2022, IIA members save 25% on all Seminars and e-Seminars when using promotional code IIASEM25 at check out!

Upcoming HQ Conferences

The IIA offers a wide variety of dynamic events focused on emerging issues in internal auditing. IIA conferences offered by IIA Headquarters (HQ) are designed to allow for networking with peers, gaining a greater understanding of leading practices, and taking your career to the next level.

The IIA remains committed to the safety and well-being of those attending or participating in our professional development opportunities. With respect to your health and travel concerns, we will continue making adjustments to move your career forward as we expand our training portfolio and provide hybrid event experiences.

The choice remains yours!

<table>
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<th>DATE</th>
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<td>Virtual Conference</td>
<td>2022 Technology Trends Virtual Conference</td>
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<tr>
<td>Feb 23, 2023@10am-4:30pm ET</td>
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<td>2023 Fraud Virtual Conference</td>
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<td>March 13-15, 2023</td>
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Chapter Membership and Affiliation

Over the past three months, chapter teams have been working diligently on membership drives to promote the internal audit profession and attract dynamic audit professionals. It’s an exciting time to join the New York Chapter and we encourage members to have their colleagues join the IIA. As mentioned earlier, new programming is returning to full swing even involving bringing key events back to in-person!

We remind members to log-in to the IIA website and check your Chapter affiliation to make sure it is accurately reflected. This will ensure that members receive updates and materials tailored to their location and chapter preference. When logged in, go to https://myiia.theiia.org/ and click into “My Membership”. You will see an option to check and change your chapter affiliation, and change if needed.

Calling All CAEs! – The Audit Executive Center

An important reminder for our members in audit leadership, that the IIA offers Executive Membership Tiers which allow members to benefit from access to customized tools and content tailored to the seasoned audit executive. Opportunities also exist within for peer networking and training focused on leadership, team development, department performance and problem solving. Pricing ranges between $995 and $8,995 depending on the tier selected that best suits your professional needs. According to current IIA Members, the top ten benefits include:

1. Save up to $250 on IIA Learning — in-person, online, and on-demand
2. Connect with 210K+ colleagues in 170 countries across the globe
3. Gain relevant skills through innovative professional development
4. Stay informed and up-to-date with the latest trends and headlines
5. Gain access to career resources in the Audit Career Center
6. Save up to $460 on the Certified Internal Auditor designation
7. Enjoy exclusive access to guidance on day-to-day issues
8. Develop leadership skills as an IIA volunteer
9. Explore emerging issues in Internal Auditor magazine
10. Save on earning specialty certifications, like the CRMA, and CPE reporting

For more information, see https://www.theiia.org/en/membership/us/become-a-member/executive/.

It continues to be a pleasure to serve as the New York Chapter’s membership officer. If you or your colleagues have any questions, or would even just like to say hi, feel free to reach out at any time.

Mark Solonick
mark.solonick@consensus.com
Congratulations to our Chapter’s newly certified members!

Artur Inderike (CRMA)  Kenneth Cheung (CRMA)  Socrates Guerrero (CIA)
Briana Dincher (CIA)    Kyle Chang (CIA)      Teresa Johnson (CIA)
Daniel Romero (CIA)     Matthew Crumedy (CIA)  Vincent Colletti (CFSA)
Fiona Anthony (CIA)     Nelly Altman (CIA)     William Harris (CIA)
Gregory Richards (CIA)  Olima Hamrock (CIA)   Yumiko Ishii (CIA)
Ilida Ismalaja (CRMA)   Rosalie Ennes (CIA)    
John Coalmon (CIA)     Shannon Murphy (CIA)   

Check out our certification registry here - https://certified.theiia.org/
**Certifications**

**PROGRAM**

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<th>Certification</th>
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Get started today, or contact your New York Chapter Certification Officer – Quan Lin (@nyciacertification@gmail.com)
Certifications

As an active CPA or CA holder from one of the qualified accounting bodies, you can take advantage of this limited opportunity to earn the Certified Internal Auditor® (CIA®) designation through an expedited process. The CIA Challenge Exam only includes content not covered on your CPA or CA exam.

**About the CIA Challenge Exam**

- Comprised of 150 multiple choice questions based on the syllabi.
- Based on the International Professional Practices Framework and Standards.
- Examination time is up to 180 minutes.
- Offered in English only.
- Available via online testing or at a testing center.

**Are you Eligible?**

- CPA license from any US State Board
- Association of Chartered Certified Accountants (ACCA)®
- Chartered Accountants Australia and New Zealand (CA ANZ)®
- Chartered Accountants Ireland®
- Chartered Professional Accountants of Canada (CPA Canada)®
- CPA Australia®
- Hong Kong Institute of Certified Public Accountants (HKICPA)®
- Institute of Certified Public Accountants in Ireland (CPA Ireland)®
- Institute of Chartered Accountants in England and Wales (ICAEW)®
- Institute of Chartered Accountants of India (ICAI)™
- Institute of Chartered Accountants of Scotland (ICAS)®
- Institute of Certified Public Accountants of Uganda (ICPAU)™
- Institute of Singapore Chartered Accountants (ISCA)®
- Koninklijke Nederlandse Beroepsorganisatie van Accountants (NBA)™
- Malaysian Institute of Certified Public Accountants (MICPA)™
- Philippine Institute of Certified Public Accountants (PICPA)™
- Registro Oficial de Auditores de Cuentas (ROAC)™
- Saudi Organization for Chartered and Professional Accountants (SOCPA)™
- South African Institute of Chartered Accountants (SAICA)™

Take Personalized Exam Preparation to the Next Level

Not sure what CIA study option is right for you? The IIA’s CIA Learning System gives you a variety of options to match your personal preferences for instructor support, scheduling, budget, and location. Answer a few simple questions and our wizard will recommend your perfect fit!

Visit: [https://www.learncia.com/my-perfect-fit/](https://www.learncia.com/my-perfect-fit/)

The IIA’s CIA Learning System Version 7.0 is a comprehensive and interactive CIA review program that teaches and reinforces the updated CIA exam syllabi and International Professional Practices Framework (IPPF) in a flexible, on-demand format, tailored to current knowledge gaps, learning style, and schedule.

Online quizzes, study tools and reading materials (printed books available as an optional add-on), provide candidates with the most personalized, flexible, and efficient study experience for express, exam day success.

To provide candidates with the most personalized, flexible, and efficient study experience:

- **More Practice Questions**: We added nearly 400 NEW questions in 2022 to the thousands of online practice questions already available to test your knowledge and build your confidence.
- **Personalized Study Experience**: Leverage an individualized study plan that prioritizes content based on your personal knowledge gaps and follows your progress through quiz scores and activities.
- **Microlearning**: Focus on smaller content segments, with estimated study times, to make the most efficient use of your available time and ensure you have a clear understanding of each topic.
- **Convenience**: Travel light with online access via any mobile device, tablet, or computer. Just log in and continue where you left off. Take advantage of downtime with study tools that are accessible 24/7.
- **IIA Member Benefit**: IIA members can click-through to members-only recommended guidance directly from the Learning System’s online study tools!

More information: [https://www.learncia.com/course-materials/](https://www.learncia.com/course-materials/)
Featured Articles

Making Internal Audit Recommendations that Get Results

While auditors spend a great deal of time and energy scrutinizing processes, analyzing procedures and transactions, and identifying control weaknesses, the real value we add to organizations resides in our audit recommendations.

Management expects auditors to leverage our business knowledge and ability to perform root cause analysis to suggest process improvements that will mitigate risks, improve efficiency, and that are aligned to the business objectives in the short and long term. Read More Here

Is Internal Audit Being Stretched Too Thin?

Internal audit leaders may feel like their departments are being stretched thin these days—well, even thinner than usual.

New research suggest that internal audit budgets have been cut at many companies, even as the function is facing an expanded workload. The pandemic has exposed new and heightened risks that need audit oversight, but budgets have not increased to provide additional resources to complete the expanded workload. Now a handful of surveys and reports are confirming these concerns. Read More Here

What Internal Audit Needs to Do about Greenwashing Risks

Consumer and investor awareness about sustainability is higher than ever, but misleading claims by enterprises to address this can damage an organization’s reputation.

We recently sat down with Ian Beale, VP, Advisory at Gartner, to discuss greenwashing: where organizations intentionally, or not, mislead investors, regulators, and the public about the impact of their products and services on the environment. Read More Here
Been involved in a recent activity, have a success story you would like to share, or want to recognize someone?
Email: sylvia.lin@scotiabank.com

LinkedIn. FOLLOW US. #iianyc