Pg. 2 — A Message From Our President
Pg. 3—IIA NY— Upcoming Workshops
Pg. 4-5—Financial Services Conference
Pg. 6—Upcoming HQ Conferences
Pg. 7—CPE Policy Changes

Pg. 8—Newly Certified Chapter Members
Pg. 9—IQA CIA Global Award Winner
Pg. 10-14—Certifications
Pg. 15—Featured Articles
Pg. 16—IQA Bookstore Highlights
Dear Members,

Happy New Year and hope you are enjoying first days of Spring! The New York chapter started the year strong with two conferences – the Public Sector conference in January and our Financial Services conference in February. The chapter is gearing up for the annual membership meeting on April 10th. January’s Public Sector conference was conducted virtually, centered around the theme of technology and innovation and attended by 122 attendees and opened by keynote speaker Suzette Kent, who served as the Federal Chief Information Officer for the United States from 2018 to 2022. The Financial Services Conference was the first in-person conference since the beginning of the pandemic and was attended by 150 attendees at the Hard Rock Café in New York City and was kicked off by Mike Peppers, current chairman of The IIA International Standards Board who provided an overview of the new IIA Standards as well as presentations and multiple panels from industry professionals, moderated by our own Board members, on hot topics and emerging risks in Financial Services. We’d like to thank all of our speakers and volunteers for organizing and coordinating these events. Refer to pages 4-5 for some photos at the Hard Rock Cafe.

We were also busy in December with three hands-on data analytics webinars and a successful CAE Roundtable. Some sessions of hands-on data analytics were sold out within a few weeks of registration opening, and there has been high demand and interest from members/non-members on these topics. Further, the virtual CAE roundtable enabled chief auditors to discuss hot topics of interest, including post-pandemic changes in audit procedures and related operations, talent retention, and top internal audit risks such as ESG. We plan on having another CAE roundtable in Spring 2023.

We have also recently completed the virtual Fraud workshop on Human Hacking – Identifying Threats and Best Practices, which will be held on March 10th with the well-known speaker Peter Warmka, Senior Intelligence Officer with the CIA (former) in the Industry. We have also opened our April virtual workshop on April 7th on the topic of Cybersecurity and AI (click here to register). Please save the date and register (click here to register) for our special event, the Annual Audit Conference on The Future of Internal Audit which will be held this year in person at the NYC Bar Association in midtown Manhattan on May 4th. We would like to thank the contributions of our past sponsors, those that have already reached out to be involved this year, and hope to collaborate with prior and new organizations and speakers in efforts to deliver a valuable event.

We are continuing to strive to serve as a catalyst for making connections and hope you will join us at a social networking event which is currently being planned for May 2023; stay tuned for more information.

In line with the strategic goals of the chapter, the executive committee has been continuing to advocate for the internal audit profession and has launched the pilot mentoring program at St. John’s University, to allow students to link up with internal audit professionals to provide mentorship and coaching for their career. The chapter is also involved in supporting Pace as they pursue the IA Education Partnership Program in April 2023, and working with other local universities such as Manhattan College, Baruch, Fordham and Queens college in setting up IIA student organizations and offering complementary IIA student memberships.

Thank you to all our volunteers for the many events we hosted and planned for and the great attendance and participation by our membership! As always, please do not hesitate to reach out to any of the Executive Committee with any suggestions or recommendations you may have. The nominating committee has recently vetted nominees for future board seats and Executive Committee seats. If you have any interest in expanding your role with the chapter or have a nominee for future consideration please reach out. We hope you are able to join us at the upcoming events!

Sincerely

Angelina Fridman

NY Chapter President - IIA
Topic - Virtual: Cybersecurity & AI – Internal Audit Roles in Cyber Resiliency & Maturity
Date – April 7, 2023 from 8:30am—1:30pm
CPE Credit: 5

Topic - Annual Audit Conference - The Future of Internal Audit: Let the Exploration Begin…
Date – May 4, 2023 from 8:30 am - 4:00 pm
Registration link - https://na.eventscloud.com/742532
CPE Credit: 7
The Financial Services Conference was held at the Hard Rock Café on February 16th.
The Financial Services Conference was held at the Hard Rock Café on February 16th.
Upcoming HQ Conferences

The IIA offers a wide variety of dynamic events focused on emerging issues in internal auditing. IIA conferences offered by IIA Headquarters (HQ) are designed to allow for networking with peers, gaining a greater understanding of leading practices, and taking your career to the next level.

The IIA remains committed to the safety and well-being of those attending or participating in our professional development opportunities. With respect to your health and travel concerns, we will continue making adjustments to move your career forward as we expand our training portfolio and provide hybrid event experiences. The choice remains yours!

<table>
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<td>April 16-18, 2023</td>
<td>In-person @Orlando, FL</td>
<td>2023 Global Student Conference</td>
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CPE Policy Changes effective September 1st, 2023:

**Key Changes:**

- Any surplus of CPE acquired during a calendar year may be used for the following calendar year reporting cycle (a maximum of 20 hours for CIA and 10 hours for other IIA designations can be rolled over).
- Grace period increased from 1 year to 2 years.
- A certification will be revoked if the holder goes 3 years without reporting CPE.
- A certification in Revoked status cannot be reinstated; an individual with revoked certifications will need to retake the exams.
- For more details, visit [CPE Policy Changes for 2023 (theiia.org)](http://theiia.org)
Congratulations to our Chapter’s newly certified members!

Artur Inderike (CRMA)  Kenneth Cheung (CRMA)  Socrates Guerrero (CIA)
Briana Dincher (CIA)  Kyle Chang (CIA)  Teresa Johnson (CIA)
Daniel Romero (CIA)  Matthew Crumedy (CIA)  Vincent Colletti (CFSA)
Fiona Anthony (CIA)  Nelly Altman (CIA)  William Harris (CIA)
Gregory Richards (CIA)  Olima Hamrock (CIA)  Yumiko Ishii (CIA)
Ilda Ismalaja (CRMA)  Rosalie Ennes (CIA)
John Coalmon (CIA)  Shannon Murphy (CIA)

Check out our certification registry here - https://certified.theiia.org/
Congratulate **Briana Dincher** for earning the **Dr. Glenn Sumners Student Medal Award** for her outstanding performance on the Certified Internal Auditor (CIA) examination in 2022! This means that, in addition to successfully completing all parts of the CIA exam within one year, she also achieved the third highest overall exam score of all candidates during this exam period.

The Student Medal is one of the highest of the prestigious awards for outstanding CIA examination performance. The Student award is named in honor of Dr. Glenn Sumners who has worked tirelessly for more than 25 years with students at Louisiana State University in the US to promote the CIA program and foster future leaders of the profession. Consideration for the Sumners award is based on a candidate’s performance on the core parts of the CIA exam (Parts I, II, and III).

Once again, congratulations to Briana on earning this most prestigious award!
Certifications

The Internal Audit Practitioner program is a way for new and rotational auditors to quickly demonstrate internal audit aptitude as they endeavor to take the first step on their journey into internal auditing.

Certified Internal Auditor® (CIA®)
CIA is the only globally recognized internal audit certification. Becoming a CIA is the optimum way to communicate knowledge, skills, and competencies needed to effectively carry out professional responsibilities for any internal audit, anywhere in the world.

Certification in Risk Management Assurance® (CRMA®)
The CRMA is the only risk management assurance certification for internal auditors. The CRMA demonstrates their ability to focus on strategic risks, ensure and enhance value to their organization through risk assurance on core business processes, and educate management and the audit committee on risk and risk management concepts.

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Get started today, or contact your New York Chapter Certification Officer – Quan (@nyciia certification@gmail.com)
As an active CPA or CA holder from one of the qualified accounting bodies, you can take advantage of this limited opportunity to earn the Certified Internal Auditor® (CIA®) designation through an expedited process. The CIA Challenge Exam only includes content not covered on your CPA or CA exam.

**About the CIA Challenge Exam**

- Comprised of 150 multiple choice questions based on the syllabi.
- Based on the International Professional Practices Framework and Standards.
- Examination time is up to 180 minutes.
- Offered in English only.
- Available via online testing or at a testing center.

**Are you Eligible?**

- CPA license from any US State Board
- Association of Chartered Certified Accountants (ACCA)®
- Chartered Accountants Australia and New Zealand (CA ANZ)®
- Chartered Accountants Ireland®
- Chartered Professional Accountants of Canada (CPA Canada)®
- CPA Australia®
- Hong Kong Institute of Certified Public Accountants (HKICPA)®
- Institute of Certified Public Accountants in Ireland (CPA Ireland)®
- Institute of Chartered Accountants in England and Wales (ICAEW)®
- Institute of Chartered Accountants of India (ICAI)™
- Institute of Chartered Accountants of Scotland (ICAS)®
- Institute of Certified Public Accountants of Uganda (ICPAU)™
- Institute of Singapore Chartered Accountants (ISCA)®
- Koninklijke Nederlandse Beroepsorganisatie van Accountants (NBA)™
- Malaysian Institute of Certified Public Accountants (MICPA)™
- Philippine Institute of Certified Public Accountants (PICPA)™
- Registro Oficial de Auditores de Cuentas (ROAC)™
- Saudi Organization for Chartered and Professional Accountants (SOCPA)™
- South African Institute of Chartered Accountants (SAICA)™

Take Personalized Exam Preparation to the Next Level

Not sure what CIA study option is right for you? The IIA’s CIA Learning System gives you a variety of options to match your personal preferences for instructor support, scheduling, budget, and location. Answer a few simple questions and our wizard will recommend your perfect fit!

Visit: [https://www.learncia.com/my-perfect-fit/](https://www.learncia.com/my-perfect-fit/)

The IIA’s CIA Learning System Version 7.0 is a comprehensive and interactive CIA review program that teaches and reinforces the updated CIA exam syllabi and International Professional Practices Framework (IPPF) in a flexible, on-demand format, tailored to current knowledge gaps, learning style, and schedule.

Online quizzes, study tools and reading materials (printed books available as an optional add-on), provide candidates with the most personalized, flexible, and efficient study experience for express, exam day success.

To provide candidates with the most personalized, flexible, and efficient study experience:

- **More Practice Questions**: We added nearly 400 NEW questions in 2022 to the thousands of online practice questions already available to test your knowledge and build your confidence.

- **Personalized Study Experience**: Leverage an individualized study plan that prioritizes content based on your personal knowledge gaps and follows your progress through quiz scores and activities.

- **Microlearning**: Focus on smaller content segments, with estimated study times, to make the most efficient use of your available time and ensure you have a clear understanding of each topic.

- **Convenience**: Travel light with online access via any mobile device, tablet, or computer. Just log in and continue where you left off. Take advantage of downtime with study tools that are accessible 24/7.

- **IIA Member Benefit**: IIA members can click-through to members-only recommended guidance directly from the Learning System’s online study tools!

More information: [https://www.learncia.com/course-materials/](https://www.learncia.com/course-materials/)
CIA Practice Questions provide candidates the ability to practice their skills and knowledge with retired questions from previous CIA exams. Practice questions offer the rationale for correct and incorrect responses.

Candidates receive two practice sessions per part. The number of questions in a practice session matches that of the actual CIA exam. Time allotted for each practice session is double that of the actual exam, allowing time for candidates to respond to each question and review response rationales.

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*Introductory price of 50% off valid through 31 December 2022.

CIA Practice Questions may be purchased individually by part or as a 3-part bundle. Access is granted for one year starting from the date of purchase.

**Discounted price will be applied during checkout.**

ONLINE TESTING REMAINS AVAILABLE FOR IIA CERTIFICATIONS

The IIA has adopted online testing to enable candidates to take the Certified Internal Auditor® (CIA®), Certification in Risk Management Assurance® (CRMA®), Internal Audit Practitioner, and CIA Challenge exams from home. This flexible testing option will be available for a limited time.

Online testing is currently available in the following languages:

- CIA (All Parts): Arabic, Chinese Traditional, English, French, German, Hebrew, Indonesian, Italian, Japanese, Polish, Portuguese, Russian, Slovenian, Spanish, Thai, and Turkish
- CIA Specialty Challenge Exam: English only
- CRMA (candidates approved into the program on or before 31 March 2021): English
- Internal Audit Practitioner

Visit our online testing page for more information: https://www.theiia.org/en/certifications/currently-enrolled/certification-online-testing/
The Seven Worst Words Internal Auditors Use

Let’s face it. Internal audit still suffers from some rather negative stereotypes. There are plenty of companies or units where internal auditors are not welcomed with open arms. Audit clients may view internal audit with suspicion, expecting a “gotcha” mentality or may feel like they are under surveillance.

Sure, it’s often undeserved and some of it comes with the territory, but we may even be perpetuating such negative views with the words we use. Words and phrases that internal auditors consider just a normal part of the profession’s vocabulary may actually be words that trigger negative reactions in our audit clients. And often, internal auditors don’t realize they are contributing to the hostility by using them. Read More Here

Group Urges Internal Auditors to Embrace Data Analytics

With businesses facing the strongest economic headwinds in years, the Chartered Institute of Internal Auditors is urging internal auditors to embrace data analytics to navigate more risky, uncertain, and volatile times ahead.

To support their call to action the Chartered IIA, a professional organization for internal auditors in the U.K. and Ireland, in partnership with AuditBoard has published a new report “Embracing data analytics: Ensuring internal audit’s relevance in a data-led world.” The report is aimed at encouraging internal auditors to fully embrace data analytics in the age of systemic risk. Read More Here

The Machines are Coming! Will Internal Audit Be Ready?

There is a common joke among physicists that fusion energy is 30 years away … and always will be. You could say something similar about artificial intelligence (AI) and robots taking all our jobs. The risks of AI and robotics have been expressed vividly in science fiction by the likes of Isaac Asimov as far back as 1942 and in news articles and industry reports pretty much every year since. “The machines are coming to take your jobs!” they proclaim. And yet, most of us internal auditors still head to the office or log in from home each weekday morning. Read More Here
IIA Bookstore Highlights

Been involved in a recent activity, have a success story you would like to share, or want to recognize someone?

Email: sylvia.lin@scotiabank.com

LinkedIn. FOLLOW US. #iianyc