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Dear Members of the IIA New York Chapter,

It is my great honor and pleasure to welcome you to the 2023-2024 chapter year of the Institute of Internal Auditors New York Chapter.

As we embark on this new journey together, I am filled with pride for the incredible strides we have made as a community and excitement for the opportunities ahead. It is an honor and a privilege to lead this exceptional community into a year that promises growth, collaboration, and meaningful connections.

Our chapter has a rich history of fostering professional growth, knowledge sharing, and networking among internal auditors across New York. In the face of ever-evolving challenges and complexities, our role as internal auditors has never been more crucial. Our commitment to upholding the highest standards of ethics, transparency, and accountability remains unwavering.

This chapter year, we are focusing on three key pillars that will serve as the foundation for our success:

- **Knowledge Enhancement**: We are offering a diverse range of webinars and conferences led by experts who will equip you with the latest tools and insights. Our continuous learning journey will empower you to excel in your roles and navigate the complexities of our profession with confidence. Some of the exciting events planned for this year include a series of webinars on the latest trends in internal auditing, specialized conferences focused on Public and Financial Services sectors, and Annual Audit Conference with top-notch speakers on hot topics.

- **Collaboration and Networking**: Our chapter is a diverse community of professionals with a wealth of experience and insights to share. I encourage you to actively engage with your peers and exchange ideas. Let's stay connected not only during our formal gatherings but also through social media platforms like LinkedIn, where we can post and share our thoughts, fostering ongoing conversations and connections. We will also be hosting a variety of networking and social events throughout the year, serving as platforms for building lasting relationships that transcend professional boundaries.

- **Advocacy and Campus Outreach**: Advocacy is essential in elevating the recognition of our profession's value. We will champion internal auditing within organizations and the business community at large. As part of our commitment to nurturing future auditors, we will engage in campus outreach programs, inspiring students to join our ranks and guiding them toward fulfilling careers. Some of the events planned for this year include a presentation to students at a local university about the importance of internal auditing and a scholarship program for students interested in pursuing a career in internal auditing.

I invite all of you to actively participate in our chapter's activities and contribute your unique perspectives. Whether you are a seasoned professional or new to the field, your presence and engagement are invaluable in shaping the success of our chapter.

I also want to take a moment to express my deep appreciation for our dedicated volunteers. As we are run entirely by volunteers, they are the heart of our chapter, and their hard work is essential to our success. I am genuinely grateful for their unswerving commitment.

Thank you for entrusting me with the privilege of leading this esteemed community. Your active involvement will be the driving force behind our shared success. Here's to a year filled with growth, learning, and shared achievements.

Sincerely,

Min Kim

NY Chapter President - IIA
# Upcoming Conferences

The IIA offers a wide variety of dynamic events focused on emerging issues in internal auditing.

<table>
<thead>
<tr>
<th>DATE</th>
<th>EVENT TYPE</th>
<th>EVENT NAME</th>
<th>CPE CREDIT</th>
</tr>
</thead>
<tbody>
<tr>
<td>September 22, 2023</td>
<td>Virtual Conference</td>
<td>Hot Topics and Audit Soft Skills</td>
<td>8</td>
</tr>
<tr>
<td>October 20, 2023</td>
<td>Virtual Conference</td>
<td>Cybersecurity</td>
<td>4</td>
</tr>
<tr>
<td>December 1, 2023</td>
<td>Virtual Conference</td>
<td>Hands-on Data Analytics for Intelligent Auditing - PowerBI (Track Course): Essentials 1</td>
<td>3.5</td>
</tr>
<tr>
<td>December 8, 2023</td>
<td>Virtual Conference</td>
<td>Hands-on Data Analytics for Intelligent Auditing - PowerBI (Track Course): Essentials 2</td>
<td>3.5</td>
</tr>
<tr>
<td>January 12, 2024</td>
<td>Virtual Conference</td>
<td>Data Prep/Modeling (Intermediary)</td>
<td>4.5</td>
</tr>
<tr>
<td>January 19, 2024</td>
<td>Virtual Conference</td>
<td>Best Practices for Data Visualization (Beginner)</td>
<td>3.5</td>
</tr>
<tr>
<td>January 26, 2024</td>
<td>Virtual Conference</td>
<td>Public Sector Conference</td>
<td>5</td>
</tr>
<tr>
<td>February 22, 2024</td>
<td>In Person</td>
<td>Financial Services Conference</td>
<td>7</td>
</tr>
<tr>
<td>April 5, 2024</td>
<td>Virtual Conference</td>
<td>Fraud &amp; Ethics</td>
<td>5</td>
</tr>
<tr>
<td>May 2, 2024</td>
<td>In Person</td>
<td>Annual Conference</td>
<td>7</td>
</tr>
</tbody>
</table>

*Later events in 2024 are subject to change.*
Dear IIA NY Members,

We are thrilled to announce The IIA NY Chapter’s 2023-2024 VIP Prepayment Plan.

We understand that value and affordability are of utmost importance to our members, especially with uncertain economic outlook. With that in mind, we are delighted to announce that **we will be maintaining the same pricing** for the 2023-2024 VIP Prepayment Plan. Yes, you read that right – **no price increase**! We believe that this decision will ensure that all members can continue to benefit from our programs and activities without any financial concerns.

The VIP Prepayment Plan allows IIA members to get an **additional 20% discount** on CPEs on webinars offered by the chapter. This results in an incredibly low fee of **$12 per CPE hour**. Our standard webinar prices are already very competitive at $15 per credit hour for IIA members ($25 for nonmembers), so this is quite a bargain! If you are an IIA member, you can purchase webinar hours in advance in increments of 20 hours each (i.e., minimum purchase of $240 – one bundle - is required). These 20-hour bundles can be used for any of the **virtual** webinars offered during the 2023-2024 chapter year.

In addition, this year, we are delighted to offer you the chance to prepay for two of our highly anticipated in-person conferences: the Financial Services Conference and the Annual Audit Conference. As a special incentive, we are introducing a 10% discount off the member price for those who choose to prepay.

Here’s a quick breakdown of the pricing:

<table>
<thead>
<tr>
<th>VIP Plan</th>
<th>Description</th>
<th>Price*</th>
<th>Savings</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bundle – Virtual Webinars</td>
<td>20 CPEs</td>
<td>$240.00</td>
<td>20% off member price</td>
</tr>
<tr>
<td>Add-on #1</td>
<td>Financial Services Conference - In Person</td>
<td>$225.00</td>
<td>10% off member price</td>
</tr>
<tr>
<td>Add-on #2</td>
<td>Annual Audit Conference - In Person</td>
<td>$315.00</td>
<td>10% off member price</td>
</tr>
</tbody>
</table>

*Price reflects VIP Plan discount

If you are not yet an IIA member please reach out and we can help you subscribe to your IIA membership. If you have any questions, please contact Bill Morello at: wrmorello7@gmail.com

Only credit card payments are accepted. **Pre-purchased CPEs are interchangeable among any IIA member of your organization, and there is no limit on how many can be used for a single webinar.**

**Important Items to Note:**

- Intended for active IIA members only
- Covers only the virtual webinars and conferences in the attached schedule
- Must be used during our chapter year ending May 31, 2024
- Must be purchased by credit card only.
- Non-refundable for registered “no shows”, but can be self-cancelled prior to the date of the webinar

Thank you once again for your ongoing support and participation. We can't wait to see you at our upcoming events and to create unforgettable memories as a united chapter community.
Michael J. Barrett Doctoral Dissertation Grant Opportunity

Are you a doctoral candidate with a focus on internal auditing studies? The Internal Audit Foundation is excited to support your research through the Michael J. Barrett Doctoral Dissertation Grant:

- Up to $10,000 grant.
- Apply by Oct 15, 2023 (results by Dec 1).
- Future deadlines: April 15 annually.
- Eligibility, topics, and application details inside.

Don't miss this chance to advance your research and contribute to internal auditing! Apply now.

For inquiries, email: foundation@theiia.org.
Congratulations to CUNY Baruch College!

We're thrilled to announce that CUNY Baruch College has applied for the IIA Awareness Program. Your commitment to enhancing awareness and understanding of internal auditing is commendable. We wish you every success on this impactful journey! #Proudly Advancing Internal Audit Awareness

Student Membership:

Let's applaud 15 students from CUNY Medgar Evers and 60 students from CUNY Baruch for taking the exciting step of signing up for student membership. Congratulations to these students who are proactively shaping their careers! # Empowering Future Auditors
Congratulations to our Chapter’s newly certified members, including our board members, Min Kim and Elrene Wittlinger!

Anthony Chimassa (CIA)  
Artsiom Eikhart (CIA)  
Ashley Molitor (CIA)  
Christopher John Pinto (CIA)  
Danielle Pino (CIA)  
Deepa Ramsunder (CIA)  
Edward Belanoff (CIA)  
Eldar Hasic (CIA)  
Ella Zhou (CIA)  
Elrene Wittlinger (CIA)  
Evan Battisti (CIA)  
Francisco A. Roca (CIA)  
Huey Kein Tan (CIA)  
Ismail Hussein (CIA)  
Jillian Bautista (CIA)  
Jingyan Kang (CIA)  
Lindsay Patterson (CIA)  
Mabel Poon (CIA)  
Matthew Meisel (CIA)  
Michael Callino (CIA)  
Min Kim (CIA)  
Mohamed El ghandour (CIA)  
Myungsuk Yoon (CIA)  
Nicole Buck (CIA)  
Petya Chakarova (CIA)  
Purvi Shah (CIA)  
Ramella Ramdass (IAP)  
Raquel Marin (CIA)  
Russell Miller (CIA)  
Sameh Abdelrassoul Hendawi  
Mohamed (CIA)  
Smriti Nagpal (CIA)  
Thomas Dalomba (CRMA)  
William Greene (CIA)

Check out our certification registry here - [https://certified.theiia.org/](https://certified.theiia.org/)
CPE Policy Changes effective September 1st, 2023:

**Key Changes:**
- Any surplus of CPE acquired during a calendar year may be used for the following calendar year reporting cycle (20 hours max for CIA and 10 hours for other IIA designations can be rolled over)
- Grace period increased from 1 year to 2 years.
- A certification will be “revoked” if the holder goes 3 consecutive years without reporting CPE. A certification in “revoked” status cannot be reinstated; an individual with “revoked” certifications needs to retake the exams.
- If a certification holder enters into grace status, the holder may no longer use their designation. They may begin using the designation again when their status is returned to active.
- Once revoked, there is no provision to recertify for discontinued certification programs (CCSA, CFSA, CGAP, QIAL).
- A designation must be in Active status to be moved to Retired status. Individuals remaining in Retired status for more than two years will be required to recertify to restore their CIA or CRMA designation to an Active status.
- For more details, visit [CPE Policy Changes for 2023 (theiia.org)](http://theiia.org)
**What Does ChatGPT Really Think About Internal Audit?**

Author: Richard Chambers

Generative artificial intelligence (AI) is taking the world by storm. Since its launch in November 2022, ChatGPT (an AI chatbot developed by OpenAI) has been used by hundreds of millions of people worldwide. In a recent seminar I taught in Rome, more than half of the internal auditors in attendance indicated that they already use it in their professional roles.

Like many, I dipped my toe in the water and tried ChatGPT early on. Unfortunately, I asked questions that it did not answer accurately, so it lost my confidence. However, following some tips from participants in my recent seminar, I reengaged with ChatGPT – this time with more favorable results.

Read More [Here](#)

**Is a Crucial Internal Audit Skill in Decline?**

For more than a decade, chief audit executives have consistently rated critical thinking as one of the top three skills sought when recruiting internal audit staff. In fact, critical thinking often ranks higher than accounting, risk management or even technology skills.

So, if critical thinking is vital for internal auditing, what should we make of recent alarm bells showing this skill on the decline? The decline may not be limited to Millennials and Gen Z workers. In a twist of irony, generative AI tools such as ChatGPT, which laud the power of critical thinking, may themselves be a threat. Moreover, the erosion of our ability to think for ourselves leaves us vulnerable to manipulation and deception.

So, what should we do to preserve critical thinking? Read More [Here](#)

**Internal Auditors: You Can’t Be a Change Agent if They Don’t Know What You Do**

While change agents in our profession do possess all of the foregoing traits, they still face a major hurdle to success if their contributions to their organization and potential to create additional value are unknown. It is for that reason that the truly successful change agents in our profession are extraordinarily proficient in telling their stories.

So, how does internal audit communicate its value? Read More [Here](#)
IIA Bookstore Highlights

 Been involved in a recent activity, have a success story you would like to share, or want to recognize someone? Email: sylvia.lin@scotiabank.com

LinkedIn. FOLLOW US. #iianyc

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QUALITY ASSESSMENT MANUAL

FALL 2023