



## ***The Audit Voice***

### **Co-Presidents' Message**



Dear Members & Friends,

Four Monthly Meetings are in the books, with five to go as we get ready to break for the holidays and celebrate a New Year! Our recently-completed fourth event, the IIA/ISACA Technology Capstone – Cybersecurity joint meeting, was held virtually with about 100 people attending. Special thanks to our December speakers: Stephen Head ("Storm Clouds on the Horizon – The Changing Risk Landscape") and Larry Boettger ("Cybersecurity Threats, Countermeasures & How They Impact Cyber Insurance") of Jefferson Wells as well as our December IIA speakers: Scott Peyton & Vikrant Rai ("Cybersecurity Preparedness") of Grant Thornton. Thank you to all who joined us!

Next up is our Monday, January 9, 2022, virtual-only Monthly Meeting, featuring a virtual presentation on professional ethics by the Ohio Society of CPAs. This presentation will fulfill the 3-credit Professional Standards & Responsibilities (PSR) requirement for CPAs in Ohio and will once again be led by Tiffany Crosby from the OSCP. It will run from 8:00 a.m. to 10:45 a.m. If you find yourself in need of this requirement, or just want to get it done early, we hope you can join us!

Looking further ahead, we continue to offer a mix of virtual, hybrid, and in-person events and as always, we are pleased to continue the tradition of holding our annual Fraud Day event. This year's keynote speaker on February 13, 2023, will be Robert Bilott – a prominent environmental attorney and the inspiration behind the critically acclaimed film "Dark Waters", starring Academy Award-winning actor Mark Ruffalo as Mr. Bilott. Mr. Bilott was a corporate defense attorney for eight years until he took on an environmental suit that upended his entire career—and exposed a brazen, decades-long history of chemical pollution. He shares the story of his epic 20-year legal battle against DuPont that consumed his life and exposed the worst case of corporate coverup and environmental contamination in modern history.

We are also happy to announce that we are hosting, in conjunction with the Ohio Society of CPA's, an all-new in-person meeting, networking, and entertainment event...all rolled into one...for March. It will take place Monday afternoon, March 6 at Rocket Mortgage Fieldhouse, offer 4 CPEs, and will be followed by dinner and our very own CLEVELAND CAVALIERS playing the Boston Celtics. Tip-off is at 7:00 p.m. The meeting, networking event, and game will all take place under one registration. Further details on this will be forthcoming in our next newsletter; however, please mark your calendars as there will be a limited capacity for this event and tickets will go quick!

Finally, as mentioned in our last newsletter, we will once again host our golf outing and new auditor training early this coming summer.

In addition to attending our chapter's events, we also encourage you to consider attending any of the IIA's flagship events – including the Financial Services Exchange, IIA International Conference, and GAM – in the year ahead. Please visit the IIA website for dates and updates on conference formats.

To help ensure our chapter's future success, please consider serving the chapter as a volunteer. Back in Spring 2021, we posted the chapter's new strategic plan within the "members only" section of the NEO IIA website. Please refer to committee descriptions in that document as well as the "Get Involved" section of this newsletter for more information.

Thank you for your continued support of the Northeast Ohio Chapter. We can't wait to see you again soon!

Best regards, [David Golias](#) and [Melissa Banfield](#), Co-Presidents

## Events Calendar

Date	Time	Event	Location	CPE
<b>1/9/23</b>	8:00am – 10:45am	CPA Ethics	Virtual only	3
<b>2/13/23</b>	8:00am – 4:30pm	Joint IIA/ACFE Fraud Day	Embassy (In-person event with virtual option)	8
<b>3/6/23</b>	Time TBD	Joint IIA/OSCPA Monthly Meeting	Rocket Mortgage Fieldhouse (followed by Cavaliers vs. Celtics – 7:00 pm tipoff)	4
<b>April '23</b>	Date/Time TBD	Monthly Meeting	TBD	4
<b>5/8/23</b>	12:45pm – 4:30pm	CAE Roundtable	Embassy (In-person event; no virtual option)	4
<b>June '23</b>	Date TBD 8:00am – 4:30pm	New Auditor Training	N.E. Ohio Regional Sewer District (In-person event; no virtual option)	8
<b>June '23</b>	Date/Time TBD	Annual Golf Outing	Coppertop (Valley City, OH)	--

Note: If you have an audit, ethics, business improvement or human resources topic and are interested in presenting at a future NEO IIA event, please contact [Nick Jorz](#) or [Stephy Gao](#).

## Chapter Achievement Program (CAP)

Members can contribute to the chapter's success through completion of the following activities:

- Conducting unpaid speaking engagements related to Internal Audit topics – including presenting at local universities to inspire the next generation of internal auditors!
- Hosting an Internal Audit event at work (i.e., lunch and learn)
- Publishing articles in professional journals, including the Internal Auditor magazine
- Obtaining an IIA certification (i.e., CIA, CRMA, etc.)

Should you accomplish/complete any of the above items, please provide this information to [David Golias](#) for inclusion in the CAP Report.

# Meeting Announcement

## Monday, January 9, 2023 – [OSCPA Ethics Event](#)

Northeast Ohio IIA is excited to announce our first training of the upcoming new year! For our first event of the year, we are excited to welcome back the OSCPAs to present an ethics session that will fulfill the 3-credit Professional Standards & Responsibilities (PSR) requirement for CPAs in Ohio! Tiffany M. Crosby, CPA, CGMA, MBA is the Chief Learning Officer for The Ohio Society of CPAs and will once again be leading this session for us.

**This meeting will be held via the Zoom platform.** A Zoom meeting link will be sent shortly after registration. There will also be an email sent a few days prior to the event with the Zoom link. Polling questions are required for CPE credit. Polling questions may not work with tablets or cellphones; please use a computer to ensure you can answer polling questions. If you have any questions or technical issues the day of the meeting, please email [Stephy Gao](#) or [Nick Jorz](#).

### **Session Summary (Virtual only):**

8:00 a.m. – 10:45      The Ohio Society of CPAs  
Topic: Professional Ethics

This 2022-23 Northeast Ohio monthly meeting will be held **virtually only**. A ZOOM link will be sent subsequent to registration.

**Cost for this event is \$65 for members, \$85 for non-members**

**3 CPE**

Payment by cash will not be accepted at this time. For anyone that needs to pay for an event by check, please send to the following address:

NEO Institute of Internal Auditors  
PO Box 311008  
Independence, OH 44131

We are pleased to offer this event to our retired and in-transition members free of charge. Retired and in-transition members should contact [Jonathan Sanfilippo](#) to register.

**Register Online at:**

**[January 2023 – OSCPAs Ethics Event](#)**

## NEO IIA & ACFE Fraud Day 2023 Preview – Mark Your Calendar!

The Northeast Ohio IIA and Northeast Ohio ACFE welcome Robert Bilott, prominent environmental attorney and inspiration behind the critically acclaimed film *Dark Waters*, as our keynote speaker for Fraud Day on February 13, 2023.

Robert Bilott is the tenacious environmental lawyer who became “DuPont’s worst nightmare,” according to *The New York Times*. The story in his book, *Exposure: Poisoned Water, Corporate Greed, and One Lawyer’s Twenty-Year Battle Against DuPont*, inspired the major motion picture, *Dark Waters* (November 2019), featuring Academy-Award nominee Mark Ruffalo as Rob Bilott.



Bilott was a corporate defense attorney for eight years until he took on an environmental suit that upended his entire career—and exposed a brazen, decades-long history of chemical pollution. He shares the story of his epic 20-year legal battle against DuPont that consumed his life and exposed the worst case of corporate cover-up and environmental contamination in modern history.

Bilott recounts the day a farmer came to his firm, convinced the creek on his property had been poisoned by runoff from a nearby DuPont landfill. Audiences will hear the remarkable legal journey that ultimately revealed massive chemical contamination of unprecedented scale and scope, now impacting virtually every living thing on this planet.

Bilott’s story is an unforgettable legal drama about malice and manipulation, perseverance against the failings of environmental regulation, and one lawyer’s quest to expose the truth about a previously unknown and still unregulated chemical—presenting one of the greatest human health crises of the 21<sup>st</sup> century.

To date, Bilott—a partner at Taft Stettinius & Hollister LLP—has helped secure over \$1 billion in benefits for his clients exposed to contaminated drinking water. Among his many honors, Bilott was selected as one of the best lawyers in America for several years running and in 2017 received the Right Livelihood Award, commonly known as the “Alternative Nobel Prize.”

## Employment Opportunities

The chapter’s Employment Coordinator serves as a clearinghouse for members seeking positions and organizations seeking personnel by maintaining a current listing of local internal audit employment opportunities. All inquiries are confidential. If you are interested in viewing the most up-to-date opportunities list, visit our LinkedIn page at <https://www.linkedin.com/groups/3072042/>.

Company	Opportunity
Farmers National Banc Corp	<a href="#">Sr. Internal Auditor</a>
Cleveland Clinic	<a href="#">Internal Auditor</a>
FirstEnergy	<a href="#">Lead Auditor</a>
FirstEnergy	<a href="#">Staff Auditor</a>
Ohio Turnpike Commission	<a href="#">Internal Audit Manager</a>
Protiviti	<a href="#">Internal Audit &amp; Financial Advisory Senior Manager</a>
Westfield	<a href="#">Senior IT Internal Auditor</a>

If you are an employer trying to fill a position, please forward the job description to [Patrick Brogan](#).

## Important Updates & News for Members

Ensure your [National IIA](#) profile is up-to-date, particularly your e-mail address.

**ALL-OR-NOTHING:** If you choose to opt out of e-mails within this master profile, you will NO LONGER RECEIVE local communications such as meeting announcements and the *NEO IIA Audit Voice* monthly newsletter.

### News from the IIA

Access the IIA website for important information related to [news releases](#), [certifications](#), [scholarships](#), and the IIA's [Learning Conferences](#) – including GAM, International Conference, GRC, Financial Services, and All-Star. Also make sure to take advantage of The IIA's Chapter Partnership program! New [online courses](#) are added every day.

### Join the Northeast Ohio LinkedIn Group

Request access to the group [here](#).

## Academic Relations News

As of August 1, 2022, IIA Student Membership will be free of charge for eligible students. To be eligible, an undergraduate student must be enrolled in at least 12 semester hours or equivalent and a graduate student must be enrolled in at least 9 semester hours or equivalent. Although student membership is free, each student will need to complete an application through the IIA's website and submit an official transcript for the current semester.

The following statement was released by the IIA in conjunction with their announcement:

*"Our highest priority is to add value back to the member, and we know that making student membership more accessible will open opportunities for your students, and your chapter, to thrive. This initiative is a great way to showcase Internal Audit as a global profession to students of all academic areas, and we are encouraging chapters to broaden their reach to students outside of solely accounting majors."*

As we consider the future of the IA profession, the Northeast Ohio IIA Academic Relations Team encourages you to give back to your alma maters by volunteering your time to speak with current students regarding careers in internal auditing. If you'd like to make a presentation, please contact one of our Academic Relations Co-Chairs: [Scott Miller](#) or [Dan Schrag](#). They can provide you with a PowerPoint template that you can modify to your liking for your presentation. But ultimately the students just love to hear about the career experiences of someone who has worked in the field!

## GET INVOLVED!

Please reach out to any NEO IIA representative via email to get involved! The chart on the last page of this newsletter contains their e-mail addresses.

Committee	Objectives
<b>Professional Development</b>	Deliver programming to members that supports maintaining professional certifications and continuous learning designed to enhance internal audit professionals' value to stakeholders. This committee also handles all meeting arrangements, registrations, CPE, etc.
<b>Knowledge-Sharing</b>	Structure opportunities for members to connect, share knowledge, promote the internal audit profession, and advance thinking on matters of local importance.
<b>Leadership</b>	Create opportunities for members to enhance their leadership capabilities while providing effective chapter governance.
<b>Audit</b>	Act on behalf of the Board of Governors in fulfilling its oversight responsibilities for the financial reporting process, certain internal control processes, the independent audit/review process, and the chapter's process for filing IRS Form 990 and other relevant IRS information.
<b>Nominating</b>	Identify and submit to the Board and/or chapter members the formal nominations for all member-elected Board and officer positions, as required in chapter by-laws. It also identifies and appoints members to other officer and committee chair positions that do not require election by the chapter members on behalf of the Board of Governors.
<b>Strategic Planning</b>	Assess current and future chapter member needs and to recommend long-range objectives for the chapter to maximize chapter member benefits.
<b>Academic Relations</b>	Develop and support relationships through educational programs among practitioners, educators, students, educational institution administrators, and business/government communities, which enhance knowledge, skills, understanding and value of internal auditing as a profession in the marketplace.
<b>Technology</b>	Connect members through social media presence and maintain chapter website; Evaluate technology options as the chapter navigates the ever-changing climate.

## Officers, Committee Leaders, and Governors

Should anyone want to contact any of our Officers, Committee Leaders, or Chapter Governors, please do so via e-mail by clicking on a name listed below:

Officers	Title
<a href="#">David Golias</a>	Co-President
<a href="#">Melissa Banfield</a>	Co-President
<a href="#">Nick Jorz</a>	1 <sup>st</sup> Vice President
<a href="#">Jonathan Sanfilippo</a>	2 <sup>nd</sup> Vice President
<a href="#">Margo Cejer</a>	Treasurer
<a href="#">Stephy Gao</a>	Assistant Treasurer
<a href="#">Brad DeBusk</a>	Secretary

Leaders/Committee Chairs	Role
<a href="#">Scott Miller</a> & <a href="#">Dan Schrag</a>	Academic Relations Committee Co-Chairs
<a href="#">Ken Koncilja</a>	Audit Committee Chair
<a href="#">David Golias</a>	Chapter Achievement Program Lead
<a href="#">Jerry Mancinetti</a>	Chapter Administrator
<a href="#">Jonathan Sanfilippo</a>	Hospitality Coordinator
<a href="#">David Golias</a> & <a href="#">Melissa Banfield</a>	Nominating Committee Co-Chairs
<a href="#">Nick Jorz</a> & <a href="#">Stephy Gao</a>	Professional Development Committee Co-Chairs
<a href="#">Johanna Davison</a>	Publications Coordinator
<a href="#">Bill Quinn</a>	Specialty Centers Liaison
<a href="#">Scott Miller</a> & <a href="#">Laurence Talley</a>	Strategic Planning Committee Co-Chairs
<a href="#">Patrick Brogan</a>	Technology/Website Coordinator

Board of Governors	
<a href="#">Christy Gardner</a>	<a href="#">Dan Schrag</a>
<a href="#">Ken Koncilja (A)</a>	<a href="#">Randal Slifer</a>
<a href="#">John Krueger</a>	<a href="#">Amanda Sterling (A)</a>
<a href="#">Scott Miller</a>	<a href="#">Laurence Talley</a>
<a href="#">Bill Mitchell</a>	<a href="#">Ed Vargo (A)</a>
<a href="#">Richard Molina</a>	<a href="#">Chrissy Walters</a>
<a href="#">Bill Quinn</a>	

Note: "(A)" designates a member of the Audit Committee.