



The Audit Voice

Co-Presidents' Message



Dear Members & Friends,

Eight Monthly Meetings are now in the books, with one to go as we are now in the final five weeks of our Chapter year! Our monthly meeting at Progressive Field featured 87 attendees, an excellent interactive Mystery Fraud presentation by Tim and Cheryl Hungerford (back by popular demand), an excellent venue, and six innings of great baseball by our CLEVELAND GUARDIANS against the New York Yankees. Unfortunately, baseball games go nine innings, not six, although sunny skies and 80 degrees certainly served as a great consolation prize. A good time was had by all, and like the prior month, several have requested that we do this again! Who are we to say no?

Looking ahead, we will close out our Chapter year with our fifth annual CAE Roundtable at the Embassy Suites in Independence. It will begin at 11:45 a.m. with lunch, followed by announcements and the program at 12:45 p.m., and offer four CPE credits. Further details on this can also be found on [Page 3](#) of this newsletter, along with the registration link. Please note that there is no virtual option for this event. We hope to see you there and finish the year strong!

We want to remind everyone that May is Internal Audit Awareness Month, so please send us reasons why you enjoy working in audit and we will read some of the responses during our May meeting! Your emails should be sent to [Johanna Davison](#).

Our annual New Auditor Training will be held on [Friday, June 9](#) at the Northeast Ohio Regional Sewer District and registration is now open. Eight spots currently remain. Please email [David Golias](#) if you are or have a new auditor who can benefit from this training. Also, our annual Northeast Ohio IIA Member Appreciation Golf Outing will be held on [Friday, June 23](#) at Coppertop in Valley City, OH, with registration and doughnuts and coffee beginning at 7:30 a.m. You can obtain balls and tees from the clubhouse at that time. We continue to seek sponsorships for this eagerly awaited event and are planning to open registration for foursomes on May 15. An event flyer will go out at that time; please keep an eye open for it!

In addition to attending our chapter's events, we also encourage you to consider attending any of the IIA's flagship events including the Financial Services Exchange, IIA International Conference, and GAM – in the year ahead. Please visit the IIA website for dates and updates on conference formats.

Thank you for your continued support of the Northeast Ohio Chapter, and we thank you for the privilege of your time and participation.

Best regards,

[David Golias](#) and [Melissa Banfield](#), Co-Presidents

Events Calendar

Date	Time	Event	Location	CPE
5/8/23	12:45pm – 4:30pm	CAE Roundtable	Embassy (In-person event; no virtual option)	4
6/9/23	8:00am – 4:30pm	New Auditor Training	N.E. Ohio Regional Sewer District (In-person event; no virtual option)	8
6/23/23	Registration begins at 7:30 am	Annual Golf Outing	Coppertop (Valley City, OH)	--

Note: If you have an audit, ethics, business improvement or human resources topic and are interested in presenting at a future NEO IIA event, please contact [Nick Jorz](#) or [Stephy Gao](#).

Chapter Achievement Program (CAP)

Members can contribute to the chapter's success through completion of the following activities:

- Conducting unpaid speaking engagements related to Internal Audit topics – including presenting at local universities to inspire the next generation of internal auditors!
- Hosting an Internal Audit event at work (i.e., lunch and learn)
- Publishing articles in professional journals, including the Internal Auditor magazine
- Obtaining an IIA certification (i.e., CIA, CRMA, etc.)

Should you accomplish/complete any of the above items, please provide this information to [David Golias](#) for inclusion in the CAP Report.

Meeting Announcement

Monday, May 8, 2023 – 2023 Chief Audit Executive Roundtable & ESG Update

The NEO IIA chapter is partnering with KPMG and local Chief Audit Executives (CAEs) to bring you our 5th Annual CAE Roundtable on Monday, May 8!

Please join us at the CAE Roundtable during Internal Audit Awareness Month for a very engaging and interactive session where attendees will be provided with valuable information pertaining to developments in the Internal Audit profession. Members will have the opportunity to interact directly with local Internal Audit leadership and peers on current issues. This year we are pleased to welcome the following local CAEs for the panel discussion:

David Cutri – University of Toledo
Melissa Fashinpaur – Materion Corporation
Allyson Kidik – KeyBank
James Lambo – The Timken Company
Michael Montaque – FirstEnergy

In addition, we are bringing back Luke Wilson of KPMG for an update on ESG!

The 2023 Chief Audit Executive Roundtable & ESG Update will be held in-person at the Embassy Suites in Independence (5800 Rockside Woods Blvd N, Independence, OH 44131).

Cost for this event is \$65 for IIA members, \$85 for non-IIA members - 4 CPE

If you are a CAE / Internal Audit Director in the local area and would like to participate in this event for this chapter year or future chapter years, please reach out to Stephy Gao to learn more at tgao@kpmg.com.

We are pleased to offer training at this event to students and to our retired and in-transition members free of charge. Retired and in-transition members should contact [Jonathan Sanfilippo](#) to register.

Register Online at:

[2023 CAE Roundtable](#)

Employment Opportunities

The chapter's Employment Coordinator serves as a clearinghouse for members seeking positions and organizations seeking personnel by maintaining a current listing of local internal audit employment opportunities. All inquiries are confidential. If you are interested in viewing the most up-to-date opportunities list, visit our LinkedIn page at

<https://www.linkedin.com/groups/3072042/>.

Company	Opportunity
Cleveland Clinic	Sr. Internal Auditor
Farmers National Banc Corp	Sr. Internal Auditor
Ohio Turnpike Commission	Internal Audit Manager

If you are an employer trying to fill a position, please forward the job description to [Patrick Brogan](#).

Important Updates & News for Members

Ensure your [National IIA](#) profile is up-to-date, particularly your e-mail address.

ALL-OR-NOTHING: If you choose to opt out of e-mails within this master profile, you will NO LONGER RECEIVE local communications such as meeting announcements and the *NEO IIA Audit Voice* monthly newsletter.

News from the IIA

Access the IIA website for important information related to [news releases](#), [certifications](#), [scholarships](#), and the IIA's [Learning Conferences](#) – including GAM, International Conference, GRC, Financial Services, and All-Star. Also make sure to take advantage of The IIA's Chapter Partnership program! New [online courses](#) are added every day.

Join the Northeast Ohio LinkedIn Group

Request access to the group [here](#).

Academic Relations News

As of August 1, 2022, IIA Student Membership became free of charge for eligible students. To be eligible, an undergraduate student must be enrolled in at least 12 semester hours or equivalent and a graduate student must be enrolled in at least 9 semester hours or equivalent. Although student membership is free, each student will need to complete an application through the IIA's website and submit an official transcript for the current semester.

The following statement was released by the IIA in conjunction with their announcement:

"Our highest priority is to add value back to the member, and we know that making student membership more accessible will open opportunities for your students, and your chapter, to thrive. This initiative is a great way to showcase Internal Audit as a global profession to students of all academic areas, and we are encouraging chapters to broaden their reach to students outside of solely accounting majors."

As we consider the future of the IA profession, the Northeast Ohio IIA Academic Relations Team encourages you to give back to your alma maters by volunteering your time to speak with current students regarding careers in internal auditing. If you'd like to make a presentation, please contact one of our Academic Relations Co-Chairs: [Scott Miller](#) or [Dan Schrag](#). They can provide you with a PowerPoint template that you can modify to your liking for your presentation. But ultimately the students just love to hear about the career experiences of someone who has worked in the field!



IIA Quality Services

IIA Quality Services helps validate and strengthen your internal audit activity and enhances your effectiveness, efficiency, and successful practice implementation.

As a trusted source in the profession, we have helped hundreds of organizations in various industries and around the world by providing qualified audit professionals, a global benchmarking database, and a repository of successful practices. We assist CAEs in gaining support from the audit committee, enhance understanding of why an external assessment is necessary and valuable, and describe the different approaches.

IIA Quality Services can help move your Quality Assurance and Improvement Program (QAIP) to the next level with a range of services and expert practitioners.

Want to learn more? Please visit the IIA Quality Services web page for more information and a Request for Proposal link. [IIA Quality Services web page](#)

GET INVOLVED!

Please reach out to any NEO IIA representative via email to get involved! The chart on the last page of this newsletter contains their e-mail addresses.

Committee	Objectives
Professional Development	Deliver programming to members that supports maintaining professional certifications and continuous learning designed to enhance internal audit professionals' value to stakeholders. This committee also handles all meeting arrangements, registrations, CPE, etc.
Knowledge-Sharing	Structure opportunities for members to connect, share knowledge, promote the internal audit profession, and advance thinking on matters of local importance.
Leadership	Create opportunities for members to enhance their leadership capabilities while providing effective chapter governance.
Audit	Act on behalf of the Board of Governors in fulfilling its oversight responsibilities for the financial reporting process, certain internal control processes, the independent audit/review process, and the chapter's process for filing IRS Form 990 and other relevant IRS information.
Nominating	Identify and submit to the Board and/or chapter members the formal nominations for all member-elected Board and officer positions, as required in chapter by-laws. It also identifies and appoints members to other officer and committee chair positions that do not require election by the chapter members on behalf of the Board of Governors.
Strategic Planning	Assess current and future chapter member needs and to recommend long-range objectives for the chapter to maximize chapter member benefits.
Academic Relations	Develop and support relationships through educational programs among practitioners, educators, students, educational institution administrators, and business/government communities, which enhance knowledge, skills, understanding and value of internal auditing as a profession in the marketplace.
Technology	Connect members through social media presence and maintain chapter website; Evaluate technology options as the chapter navigates the ever-changing climate.

Officers, Committee Leaders, and Governors

Should anyone want to contact any of our Officers, Committee Leaders, or Chapter Governors, please do so via e-mail by clicking on a name listed below:

Officers	Title
David Golias	Co-President
Melissa Banfield	Co-President
Nick Jorz	1 st Vice President
Jonathan Sanfilippo	2 nd Vice President
Margo Cejer	Treasurer
Stephy Gao	Assistant Treasurer
Brad DeBusk	Secretary

Leaders/Committee Chairs	Role
Scott Miller & Dan Schrag	Academic Relations Committee Co-Chairs
Ken Koncilja	Audit Committee Chair
David Golias	Chapter Achievement Program Lead
Jerry Mancinetti	Chapter Administrator
Jonathan Sanfilippo	Hospitality Coordinator
David Golias & Melissa Banfield	Nominating Committee Co-Chairs
Nick Jorz & Stephy Gao	Professional Development Committee Co-Chairs
Patrick Brogan & Johanna Davison	Knowledge Sharing Committee
Bill Quinn	Specialty Centers Liaison
Scott Miller & Laurence Talley	Strategic Planning Committee Co-Chairs
Laurence Talley & Andrew Scott	Technology Committee

Board of Governors	
Christy Gardner	Dan Schrag
Ken Koncilja (A)	Randal Slifer
John Krueger	Amanda Sterling (A)
Scott Miller	Laurence Talley
Bill Mitchell	Ed Vargo (A)
Richard Molina	Chrissy Walters
Bill Quinn	

Note: "(A)" designates a member of the Audit Committee.