



The Audit Voice

Co-Presidents' Message

Dear Members & Friends,

Welcome to the 2023-2024 Chapter Year! We have another great year planned for the Northeast Ohio Chapter of the IIA.

We will start the year on Wednesday, September 6, 2023, with a joint IIA/ISACA event featuring an in-person meeting, lunch/networking event followed by a Guardians game at Progressive Field. Presentations will be given by Matt Beckwith from the Federal Bureau of Investigation and Randall Romes from CliftonLarsonAllen.

Future events include the annual Cleveland State University Extravaganza planned for November 20, 2023, and Fraud Day on February 12, 2024. Chris Tarbell, a former FBI agent who specializes in cyber investigations will be our featured speaker at Fraud Day.

During the past chapter year, the Northeast Ohio IIA was recognized by the IIA as a Chapter of Excellence and earned enough Chapter Achievement Program (CAP) points to attain the IIA's highest recognition level. Thank you to everyone who volunteered and attended meetings during the last year and helped us achieve this recognition.

To help ensure our chapter's future success, please consider serving the chapter as a volunteer. Please refer to committee descriptions in that document as well as the "Get Involved" section of this newsletter for more information.

Thank you for your continued support of the Northeast Ohio Chapter. We can't wait to see you again soon!

Best regards,

[Melissa Banfield](#) and [Nick Jorz](#), Co-Presidents



Events Calendar

Date	Time	Event	Location	CPE
9/6/23	8:35am – 12:05pm	Joint IIA/ISACA	Progressive Field – Terrace Club	4
10/9/23	12:45pm – 4:30pm	October Meeting	Deloitte (Key Tower) and Virtual Option	4
11/20/23	8:00am – 4:30pm	CSU Extravaganza	Cleveland State University and Virtual Option	8
12/11/23	12:45pm – 4:30pm	December Meeting	Virtual	4
2/12/24	8:00am – 4:30pm	Fraud Day	Embassy and Virtual Option	8
TBD	TBD	March Meeting	Rocket Mortgage Fieldhouse	4
4/22/24	12:45pm – 4:30pm	April Meeting	Embassy Suites	4
TBD	TBD	May Meeting	TBD	4
TBD	TBD	New Auditor Training	Northeast Ohio Regional Sewer District	8
TBD	Registration begins at 7:30am	Annual Golf Outing	Coppertop Golf Club	–

Note: If you have an audit, ethics, business improvement or human resources topic and are interested in presenting at a future NEO IIA event, please contact [Marvin Tarry](#) or [Chasey Rotondo](#).

Chapter Achievement Program (CAP)

Members can contribute to the chapter’s success through completion of the following activities:

- Conducting unpaid speaking engagements related to Internal Audit topics – including presenting at local universities to inspire the next generation of internal auditors!
- Hosting an Internal Audit event at work (i.e., lunch and learn)
- Publishing articles in professional journals, including the Internal Auditor magazine
- Obtaining an IIA certification (i.e., CIA, CRMA, etc.)

Should you accomplish/complete any of the above items, please provide this information to [Melissa Banfield](#) for inclusion in the CAP Report.

Wednesday, September 6th
Northeast Ohio IIA & ISACA Joint Kick-Off Meeting

Northeast Ohio IIA is happy to announce that we are hosting a joint kick-off meeting with the Northeast Ohio ISACA chapter for the 2023-2024 chapter year for both organizations. Please join us for an in-person meeting, lunch/networking, and entertainment event as we welcome Matt Beckwith from the Federal Bureau of Investigation and Randall Romes from CliftonLarsonAllen. Attendees will receive training in Cybersecurity and insight from an FBI Special agent related to the basics of how cryptocurrency works, the cryptocurrency ecosystem, some trends and techniques for how it can be used from criminal purposes and money laundering, and some case examples. **(4 CPEs)**

Time: 8:35 a.m. – 12:05 p.m.
Location: Progressive Field Terrace Club (2401 Ontario Street, Cleveland, OH 44115)
Pricing: IIA/ISACA Members: \$85/in-person only (Breakfast, Lunch & Guardians ticket)
Non-Members: \$105/in-person only (Breakfast, Lunch & Guardians ticket)
Family & Friends Tickets Available: \$62 (Lunch & Guardians ticket)

The IIA/ISACA joint meeting will be held in-person. Attendees will enter the training through the Media Gate and receive their ticket as they sign-in for the training. The Media Gate is located in between the Left Field Gate and Box office windows. Refer to page 4 for venue map. Family & friends tickets will be made available at the Will Call window prior to the game.

The meeting will be followed by lunch/networking and includes a ticket to see the Cleveland Guardians vs. Minnesota Twins. First pitch for the game is scheduled for 1:10 PM EST. The Terrace Club will also be made available after the training should attendees wish to sit indoors to watch the game rather than in their seats in section 181.

Register Online at [IIA/ISACA Joint Kick-Off Meeting](#)



The Institute of
Internal Auditors
Northeast Ohio



Registration closes at noon on Tuesday, September 5th!

Monday, February 12th
NEO IIA Fraud Day 2024 Preview – Mark Your Calendar!

The Northeast Ohio IIA welcomes Chris Tarbell as our keynote speaker for Fraud Day on February 12, 2024!

CHRIS TARBELL

One of the All-Time Most Successful Cyber Security Law Enforcement Officials
Former FBI Cyber Security Special Agent
Founding Partner, NAXO



Former FBI special agent Christopher Tarbell is one of the most successful cyber security law enforcement officials of all time—so successful, in fact, that books and movies are being made about his legendary career. Dubbed “the Eliot Ness of online crime” by Newsweek, he is the man responsible for infiltrating the hacker group Anonymous and taking down the notorious dark web drug trafficking site Silk Road, called “the most sophisticated and extensive criminal marketplace on the Internet.” He led the tracking and arrest of two of the most infamous figures

in cyberspace: Sabu, who was at one point the most influential hacker in the world, and Dread Pirate Roberts, who was later convicted for his involvement with Silk Road.

With 17 years in law enforcement—including time in the FBI’s preeminent cyber crime squad—and extremely rare insight into the minds of the hacker community, Tarbell is the nation’s preeminent voice on cyber security, and the man that gives even the most notorious cybercriminals nightmares. Exclusively represented by Leading Authorities speakers bureau, he shares adrenaline-pumping stories detailing growing cyber threats and challenges modern businesses face. His hair-raising anecdotes prove that just because you cannot see your adversary, or maybe even know his or her real name, it doesn’t mean you can’t protect yourself.

Capturing Criminals in Cyberspace. Tarbell was the lead investigator on a number of cutting-edge cyber cases that spanned multiple countries and continents. He infiltrated Anonymous and turned Sabu (née Hector Monsegur) into an informant who helped implicate and capture other members of the hacker group and its offshoot LulzSec, which was responsible for an unprecedented reign of Internet terror in 2011 and 2012 and of which Sabu was also a member. Tarbell also took down Dread Pirate Roberts (né Ross William Ulbricht), the operator of Silk Road, which generated more than \$1.2 billion in drug, firearm, and counterfeit documents and currency sales since launching in 2011. According to court documents, some users even hired hit men through the site. In connection with the case, Tarbell seized more than \$150 million in Bitcoins, the largest such seizure in history. Technology author Nick Bilton wrote a New York Times best-selling book based on the Silk Road case, and a movie chronicling the rise and fall of Silk Road was released in 2021.

FBI Career and Technical Expertise. Tarbell honed his investigative talents with two police departments, where he worked on computer-related crimes. The experience led to a nine-year career with the FBI, where he worked as a global computer forensic examiner. He is a certified FBI subject matter expert on cell phones, mobile computing devices, Windows, and Unix/Linux. Tarbell developed deep working relationships with international law enforcement and is regularly called upon to teach cyber investigative techniques to law enforcement officials. He also lectures to business and governmental groups. Following his illustrious FBI career, he founded NAXO, an investigative firm delivering real solutions to your most complex cybersecurity needs.

Employment Opportunities

The chapter's Employment Coordinator serves as a clearinghouse for members seeking positions and organizations seeking personnel by maintaining a current listing of local internal audit employment opportunities. All inquiries are confidential. If you are interested in viewing the most up-to-date opportunities list, visit our [Institute of Internal Auditors \(IIA\) - Northeast Ohio Chapter](#) page on LinkedIn.

Company	Opportunity
Erie Insurance	IT Internal Auditor II
Farmers National Banc Corp	Sr. Internal Auditor
Greater Cleveland Regional Transit Authority	Internal Audit Manager
Ohio Turnpike Commission	Internal Audit Manager
Signet Jewelers	Sr. Internal Auditor
Timken Steel	Internal Audit Professional II

If you are an employer trying to fill a position, please forward the job description to [Patrick Brogan](#).

Important Updates & News for Members

Ensure your [National IIA](#) profile is up-to-date, particularly your e-mail address.

News from the IIA

Access the IIA website for important information related to [news releases](#), [certifications](#), [scholarships](#), and the IIA's [Learning Conferences](#) – including GAM, International Conference, GRC, Financial Services, and All-Star. Also make sure to take advantage of The IIA's Chapter Partnership program! New [online courses](#) are updated regularly.

Join the Northeast Ohio LinkedIn Group

Request access to the group [here](#).

Academic Relations News

- Last spring, Northeast OH IIA awarded scholarships to Kenzie Davis of Akron, Sarah Holmes of Baldwin Wallace, Mackenzie Davis of Cleveland State, Americo Milani of John Carroll, and Rodolfo Martinez Molina of Kent State. The chapter extends its congratulations to all of the deserving recipients!
- IIA Student Membership is free of charge for eligible students. To be eligible, an undergraduate student must be enrolled in at least 12 semester hours or equivalent and a graduate student must be enrolled in at least 9 semester hours or equivalent. Although student membership is free, each student will need to complete an application through the IIA's website and submit an official transcript for the current semester.
- As we consider the future of the IA profession, the Northeast Ohio IIA Academic Relations Team encourages you to give back to your alma maters by volunteering your time to speak with current students regarding careers in internal auditing. If you'd like to make a presentation, please contact one of our Academic Relations Co-Chairs: [Scott Miller](#) or [Dan Schrag](#). They can provide you with a PowerPoint template that you can modify to your liking for your presentation. But ultimately the students just love to hear about the career experiences of someone who has worked in the field!



IIA Quality Services

IIA Quality Services helps validate and strengthen your internal audit activity and enhances your effectiveness, efficiency, and successful practice implementation.

As a trusted source in the profession, we have helped hundreds of organizations in various industries and around the world by providing qualified audit professionals, a global benchmarking database, and a repository of successful practices. We assist CAEs in gaining support from the audit committee, enhance understanding of why an external assessment is necessary and valuable, and describe the different approaches.

IIA Quality Services can help move your Quality Assurance and Improvement Program (QAIP) to the next level with a range of services and expert practitioners.

Want to learn more? Please visit the IIA Quality Services web page for more information and a Request for Proposal link. [IIA Quality Services web page](#)

GET INVOLVED!

Please reach out to any NEO IIA representative via email to get involved! The chart on the last page of this newsletter contains their e-mail addresses.

Committee	Objectives
Professional Development	Deliver programming to members that supports maintaining professional certifications and continuous learning designed to enhance internal audit professionals' value to stakeholders. This committee also handles all meeting arrangements, registrations, CPE, etc.
Knowledge-Sharing	Structure opportunities for members to connect, share knowledge, promote the internal audit profession, and advance thinking on matters of local importance.
Leadership	Create opportunities for members to enhance their leadership capabilities while providing effective chapter governance.
Audit	Act on behalf of the Board of Governors in fulfilling its oversight responsibilities for the financial reporting process, certain internal control processes, the independent audit/review process, and the chapter's process for filing IRS Form 990 and other relevant IRS information.
Nominating	Identify and submit to the Board and/or chapter members the formal nominations for all member-elected Board and officer positions, as required in chapter by-laws. It also identifies and appoints members to other officer and committee chair positions that do not require election by the chapter members on behalf of the Board of Governors.
Strategic Planning	Assess current and future chapter member needs and to recommend long-range objectives for the chapter to maximize chapter member benefits.
Academic Relations	Develop and support relationships through educational programs among practitioners, educators, students, educational institution administrators, and business/government communities, which enhance knowledge, skills, understanding and value of internal auditing as a profession in the marketplace.
Technology	Connect members through social media presence and maintain chapter website; Evaluate technology options as the chapter navigates the ever-changing climate.

Officers, Committee Leaders, and Governors

2023-2024

Should anyone want to contact any of our Officers, Committee Leaders, or Chapter Governors, please do so via e-mail by clicking on a name listed below:

Officers	Title
Melissa Banfield	Co-President
Nick Jorz	Co-President
Jonathan Sanfilippo	1 st Vice President
Brad DeBusk	2 nd Vice President
Margo Cejer	Treasurer
Stephy Gao	Assistant Treasurer
Bill Allen	Secretary

Leaders/Committee Chairs	Role
Scott Miller & Dan Schrag	Academic Relations Committee Co-Chairs
Ken Koncilja	Audit Committee Chair
Melissa Banfield	Chapter Achievement Program Lead
Jerry Mancinetti	Chapter Administrator
Jonathan Sanfilippo	Hospitality Coordinator
Melissa Banfield & Nick Jorz	Nominating Committee Co-Chairs
Marvin Tarry & Chasey Rotondo	Professional Development Committee Co-Chairs
Patrick Brogan & Johanna Davison	Knowledge Sharing Committee
Bill Quinn	Specialty Centers Liaison
Scott Miller & Laurence Talley	Strategic Planning Committee Co-Chairs
Laurence Talley & Andrew Scott	Technology Committee

Board of Governors	
Christy Gardner	Dan Schrag
Ken Koncilja (A)	Randal Slifer
John Krueger	Amanda Sterling (A)
Scott Miller	Laurence Talley
Bill Mitchell	Ed Vargo (A)
Richard Molina	David Golias
Bill Quinn	Patrick Brogan
Joseph Nejman	

Note: "(A)" designates a member of the Audit Committee.