



## *The Audit Voice*

### Co-Presidents' Message



Dear Members & Friends,

Five Monthly Meetings are in the books, with four to go as we gear up for our first in-person Fraud Day in three years! There is a virtual option for this event as well, and you will find the registration link on [Page 4](#) of this newsletter. Our recently-completed fifth event, the annual professional ethics presentation by the Ohio Society of CPAs, was held virtually with 67 people attending. This presentation fulfills the three-credit Professional Standards & Responsibilities (PSR) requirement for CPAs in Ohio and also the annual two-hour IIA requirement. Special thanks to the OSCPA for their long and rewarding association with us on this event. Thank you to all who joined us!

Next up is our annual Fraud Day on February 13, 2023; our first in-person offering of this event in three years! There is a virtual option as well. Those who attend the entire event are eligible for eight CPE credits. This year's keynote speaker will be Robert Bilott – a prominent environmental attorney and the inspiration behind the critically acclaimed film “Dark Waters”, starring Academy Award-winning actor Mark Ruffalo as Mr. Bilott. Mr. Bilott was a corporate defense attorney for eight years until he took on an environmental suit that upended his entire career—and exposed a brazen, decades-long history of chemical pollution. He shares the story of his epic 20-year legal battle against DuPont that consumed his life and exposed the worst case of corporate coverup and environmental contamination in modern history. In addition to Mr. Bilott, Fraud Day will also feature Gene Cahill and Michael Sullivan from Grant Thornton; Jeff Dressler, FBI Supervisory Special Agent; Jennifer Roberts, Emerson Brown, and Mary Kornelakis from the Federal Reserve Bank of Cleveland; Michael Smith, Christopher Keim, and Christopher Koehler from Frantz Ward LLP; and Alisanne Allen and Jaime Gutierrez from RGP.

Looking ahead, we are hosting, in conjunction with the Ohio Society of CPA's, an all-new in-person meeting, networking, and entertainment event...all rolled into one...for March. It will take place Monday afternoon, March 6 at Rocket Mortgage FieldHouse, offer four CPE credits, and will be followed by dinner and our very own CLEVELAND CAVALIERS playing the Boston Celtics. Tip-off is at 7:00 p.m. The meeting, networking event, and game will all take place under one registration. At this moment, capacity for 85 people is available. Further details on this can also be found on [Page 5](#) of this newsletter. Once the registration goes live in early February, you will want to sign up quickly as tickets won't last long!

We can finally announce our plans for our April event. Like the March event before it, it will be a combined meeting, networking, and entertainment event, this time with the Cleveland Guardians at Progressive Field on Wednesday, April 12. It will begin at 8:00 a.m., offer four CPE credits, food and beverage service beginning at 12:00 noon in the Terrace Club, followed by the game with a first pitch at 1:10 p.m. Please mark your calendars as there will be a limited capacity for this event as tickets will go quickly!

Finally, as mentioned in our last newsletter, we will once again the New Auditor Training on June 9 at the Northeast Ohio Regional Sewer District. We will also announce a date for the upcoming annual Northeast Ohio IIA Member Appreciation Golf Outing in our next Newsletter.

In addition to attending our chapter's events, we also encourage you to consider attending any of the IIA's flagship events – including the Financial Services Exchange, IIA International Conference, and GAM – in the year ahead. Please visit the IIA website for dates and updates on conference formats.

To help ensure our chapter's future success, please consider serving the chapter as a volunteer. Back in Spring 2021, we posted the chapter's new strategic plan within the "members only" section of the NEO IIA website. Please refer to committee descriptions in that document as well as the “Get Involved” section of this newsletter for more information.

Thank you for your continued support of the Northeast Ohio Chapter. We can't wait to see you again soon!

Best regards, [David Golias](#) and [Melissa Banfield](#), Co-Presidents

## Events Calendar

Date	Time	Event	Location	CPE
<b>2/13/23</b>	8:00am – 4:30pm	Joint IIA/ACFE Fraud Day	Embassy (In-person event with virtual option)	8
<b>3/6/23</b>	1:45 pm – 5:30 pm	Joint IIA/OSCPA Monthly Meeting	Quicken Loans Arena (followed by Cavaliers vs. Celtics – 7:00 pm tipoff) – no virtual option	4
<b>4/12/23</b>	8:00 am – 12:00 pm	Monthly Meeting	Progressive Field Terrace Club (followed by Guardians vs. Yankees – 1:10 pm first pitch) – no virtual option	4
<b>5/8/23</b>	12:45pm – 4:30pm	CAE Roundtable	Embassy (In-person event; no virtual option)	4
<b>6/9/23</b>	8:00am – 4:30pm	New Auditor Training	N.E. Ohio Regional Sewer District (In-person event; no virtual option)	8
<b>June '23</b>	Date/Time TBD	Annual Golf Outing	Coppertop (Valley City, OH)	--

Note: If you have an audit, ethics, business improvement or human resources topic and are interested in presenting at a future NEO IIA event, please contact [Nick Jorz](#) or [Stephy Gao](#).

## Chapter Achievement Program (CAP)

Members can contribute to the chapter's success through completion of the following activities:

- Conducting unpaid speaking engagements related to Internal Audit topics – including presenting at local universities to inspire the next generation of internal auditors!
- Hosting an Internal Audit event at work (i.e., lunch and learn)
- Publishing articles in professional journals, including the Internal Auditor magazine
- Obtaining an IIA certification (i.e., CIA, CRMA, etc.)

Should you accomplish/complete any of the above items, please provide this information to [David Golias](#) for inclusion in the CAP Report.

## Meeting Announcement

### Monday, February 13, 2023 – Fraud Day

Northeast Ohio IIA is excited to announce our return to Embassy Suites for Fraud Day! The full day session will be held in-person; however, a virtual option will be available through our hybrid programming. We are very proud to announce an exciting lineup of fraud-focus programming this year, which will include fraud risk assessment, fraud investigation tactics & techniques, current fraud schemes, litigation considerations, and discussion of local and prominent cases.

Our presenters this year include:

- Gene Cahill & Michael Sullivan – Grant Thornton
- Jeff Dressler – FBI Supervisory Special Agent
- Jennifer Roberts, Emerson Brown & Mary Kornelakis – The Federal Reserve Bank of Cleveland
- Robert Bilott – The tenacious environmental lawyer who became “DuPont’s Worst Nightmare”
- Michael Smith, Christopher Keim, and Christopher Koehler – Frantz Ward LLP
- Alisanne Allen & Jaime Gutierrez – RGP

We look forward to seeing you there bright and early!

### Meeting Agenda:

Times	Presenter & Topic
8:00 AM - 8:05AM	Opening Announcements
8:05 AM - 9:45 AM Session 1	<b>Gene Cahill &amp; Michael Sullivan (Grant Thornton)</b> Topic: Fraud and Investigation Tactics and Techniques
9:45 AM - 10:00 AM	Break
10:00 AM - 10:50 AM Session 2	<b>Jeff Dressler (Federal Bureau of Investigation)</b> Topic: FBI Health Care Fraud Overview and Case Brief
10:50 AM - 11:40 AM Session 3	<b>Jennifer Roberts, Emerson Brown &amp; Mary Kornelakis (The Federal Reserve Bank of Cleveland)</b> Topic: The Federal Reserve - Who We Are and How We Fight Fraud
11:40 AM - 12:45 PM	Lunch
12:45 PM - 2:25 PM Session 4	<b>Robert Bilott (Taft Stettinius &amp; Hollister LLP)</b> Topic: Dark Waters - The Story Behind the Environmental Legal Battle Exploiting Corporate Coverup
2:25 PM - 2:45 PM	Break
2:45 PM - 3:35 PM Session 5	<b>Michael E. Smith, Christopher C. Koehler, and Christopher G. Keim (Frantz Ward LLP)</b> Topic: Litigation Considerations in Internal and External Investigations
3:35 PM - 4:25 PM Session 6	<b>Alisanne Allen &amp; Jaime Gutierrez (RGP)</b> Topic: Optimizing Fraud Risk Management - Culture, Tone, and Tips from the Trenches

**The virtual option for this meeting will be held via the Zoom platform.** A Zoom meeting link will be sent shortly after registration. There will also be an email sent a few days prior to the event with the Zoom link. Polling questions are required for CPE credit. Polling questions may not work with tablets or cellphones; please use a computer to ensure you can answer polling questions. If you have any questions or technical issues the day of the meeting, please email [Stephy Gao](mailto:Stephy.Gao@theiia.org) or [Nick Jorz](mailto:Nick.Jorz@theiia.org).

Cost for this event is \$115 for IIA/ACFE members, \$135 for non-IIA/ACFE members

8 CPE

Payment by cash will not be accepted at this time. For anyone that needs to pay for an event by check, please send to the following address:

NEO Institute of Internal Auditors  
PO Box 311008  
Independence, OH 44131

We are pleased to offer this event to our retired and in-transition members free of charge. Retired and in-transition members should contact [Jonathan Sanfilippo](#) to register.

**Register Online at: [2023 NEO IIA Fraud Day](#)**

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**Monday, March 6, 2023 – [IIA/OSCPA Monthly Meeting with the Cleveland Cavaliers](#)**

Northeast Ohio IIA is happy to announce that we are hosting, in conjunction with the Ohio Society of CPA's, an all-new in-person meeting, dinner/networking, and entertainment event. Please join us for an engaging and interactive session as we welcome Ben Tomins from Deloitte and Kyle Bickhart from Ohio Society of CPA's to present a Sarbanes-Oxley 20 Years Refresh and an update on professional issues.

**The NEOIIA/OSCPA Joint Meeting will be held in-person at Rocket Mortgage Fieldhouse (1 Center Court, Cleveland, OH 44115).**

**The meeting will be followed by dinner/networking and include a ticket to see the Cleveland Cavaliers vs. Boston Celtics. Tip-off for the game is scheduled for 7:00 PM EST.**



VS.



**Cost for this event is \$115 for IIA/OSCPA members, \$135 for non-IIA/OSCPA members**

**4 CPE**

We are pleased to offer this event to our retired and in-transition members free of charge. Retired and in-transition members should contact [Jonathan Sanfilippo](#) to register.

**Registration for this event will open sometime in early February. Stay tuned!**

## April Meeting 2023 Preview – Mark Your Calendar!



The Northeast Ohio IIA will be holding an all-new, in-person only monthly meeting in the Terrace Club at Progressive Field on Wednesday, April 12, 2023, beginning at 8:00 a.m. This will be followed by a luncheon at 12:00 noon and then first pitch against the New York Yankees at 1:10 p.m. Again, stay tuned on this one!

### Employment Opportunities

The chapter's Employment Coordinator serves as a clearinghouse for members seeking positions and organizations seeking personnel by maintaining a current listing of local internal audit employment opportunities. All inquiries are confidential. If you are interested in viewing the most up-to-date opportunities list, visit our LinkedIn page at <https://www.linkedin.com/groups/3072042/>.

Company	Opportunity
Farmers National Banc Corp	<a href="#">Sr. Internal Auditor</a>
FirstEnergy	<a href="#">Lead Auditor</a>
FirstEnergy	<a href="#">Staff Auditor</a>
KeyCorp	<a href="#">Senior Lead Auditor</a>
Ohio Turnpike Commission	<a href="#">Internal Audit Manager</a>
Progressive	<a href="#">Internal Auditor - Senior or Lead</a>
Westfield	<a href="#">Senior IT Internal Auditor</a>

If you are an employer trying to fill a position, please forward the job description to [Patrick Brogan](#).

## Important Updates & News for Members

Ensure your [National IIA](#) profile is up-to-date, particularly your e-mail address.

**ALL-OR-NOTHING:** If you choose to opt out of e-mails within this master profile, you will NO LONGER RECEIVE local communications such as meeting announcements and the *NEO IIA Audit Voice* monthly newsletter.

### News from the IIA

Access the IIA website for important information related to [news releases](#), [certifications](#), [scholarships](#), and the IIA's [Learning Conferences](#) – including GAM, International Conference, GRC, Financial Services, and All-Star. Also make sure to take advantage of The IIA's Chapter Partnership program! New [online courses](#) are added every day.

### Join the Northeast Ohio LinkedIn Group

Request access to the group [here](#).

## Academic Relations News

As of August 1, 2022, IIA Student Membership will be free of charge for eligible students. To be eligible, an undergraduate student must be enrolled in at least 12 semester hours or equivalent and a graduate student must be enrolled in at least 9 semester hours or equivalent. Although student membership is free, each student will need to complete an application through the IIA's website and submit an official transcript for the current semester.

The following statement was released by the IIA in conjunction with their announcement:

*"Our highest priority is to add value back to the member, and we know that making student membership more accessible will open opportunities for your students, and your chapter, to thrive. This initiative is a great way to showcase Internal Audit as a global profession to students of all academic areas, and we are encouraging chapters to broaden their reach to students outside of solely accounting majors."*

As we consider the future of the IA profession, the Northeast Ohio IIA Academic Relations Team encourages you to give back to your alma maters by volunteering your time to speak with current students regarding careers in internal auditing. If you'd like to make a presentation, please contact one of our Academic Relations Co-Chairs: [Scott Miller](#) or [Dan Schrag](#). They can provide you with a PowerPoint template that you can modify to your liking for your presentation. But ultimately the students just love to hear about the career experiences of someone who has worked in the field!

## GET INVOLVED!

Please reach out to any NEO IIA representative via email to get involved! The chart on the last page of this newsletter contains their e-mail addresses.

Committee	Objectives
<b>Professional Development</b>	Deliver programming to members that supports maintaining professional certifications and continuous learning designed to enhance internal audit professionals' value to stakeholders. This committee also handles all meeting arrangements, registrations, CPE, etc.
<b>Knowledge-Sharing</b>	Structure opportunities for members to connect, share knowledge, promote the internal audit profession, and advance thinking on matters of local importance.
<b>Leadership</b>	Create opportunities for members to enhance their leadership capabilities while providing effective chapter governance.
<b>Audit</b>	Act on behalf of the Board of Governors in fulfilling its oversight responsibilities for the financial reporting process, certain internal control processes, the independent audit/review process, and the chapter's process for filing IRS Form 990 and other relevant IRS information.
<b>Nominating</b>	Identify and submit to the Board and/or chapter members the formal nominations for all member-elected Board and officer positions, as required in chapter by-laws. It also identifies and appoints members to other officer and committee chair positions that do not require election by the chapter members on behalf of the Board of Governors.
<b>Strategic Planning</b>	Assess current and future chapter member needs and to recommend long-range objectives for the chapter to maximize chapter member benefits.
<b>Academic Relations</b>	Develop and support relationships through educational programs among practitioners, educators, students, educational institution administrators, and business/government communities, which enhance knowledge, skills, understanding and value of internal auditing as a profession in the marketplace.
<b>Technology</b>	Connect members through social media presence and maintain chapter website; Evaluate technology options as the chapter navigates the ever-changing climate.

## Officers, Committee Leaders, and Governors

Should anyone want to contact any of our Officers, Committee Leaders, or Chapter Governors, please do so via e-mail by clicking on a name listed below:

Officers	Title
<a href="#">David Golias</a>	Co-President
<a href="#">Melissa Banfield</a>	Co-President
<a href="#">Nick Jorz</a>	1 <sup>st</sup> Vice President
<a href="#">Jonathan Sanfilippo</a>	2 <sup>nd</sup> Vice President
<a href="#">Margo Cejer</a>	Treasurer
<a href="#">Stephy Gao</a>	Assistant Treasurer
<a href="#">Brad DeBusk</a>	Secretary

Leaders/Committee Chairs	Role
<a href="#">Scott Miller</a> & <a href="#">Dan Schrag</a>	Academic Relations Committee Co-Chairs
<a href="#">Ken Koncilja</a>	Audit Committee Chair
<a href="#">David Golias</a>	Chapter Achievement Program Lead
<a href="#">Jerry Mancinetti</a>	Chapter Administrator
<a href="#">Jonathan Sanfilippo</a>	Hospitality Coordinator
<a href="#">David Golias</a> & <a href="#">Melissa Banfield</a>	Nominating Committee Co-Chairs
<a href="#">Nick Jorz</a> & <a href="#">Stephy Gao</a>	Professional Development Committee Co-Chairs
<a href="#">Patrick Brogan</a> & <a href="#">Johanna Davison</a>	Knowledge Sharing Committee
<a href="#">Bill Quinn</a>	Specialty Centers Liaison
<a href="#">Scott Miller</a> & <a href="#">Laurence Talley</a>	Strategic Planning Committee Co-Chairs
<a href="#">Laurence Talley</a> & <a href="#">Andrew Scott</a>	Technology Committee

Board of Governors	
<a href="#">Christy Gardner</a>	<a href="#">Dan Schrag</a>
<a href="#">Ken Koncilja (A)</a>	<a href="#">Randal Slifer</a>
<a href="#">John Krueger</a>	<a href="#">Amanda Sterling (A)</a>
<a href="#">Scott Miller</a>	<a href="#">Laurence Talley</a>
<a href="#">Bill Mitchell</a>	<a href="#">Ed Vargo (A)</a>
<a href="#">Richard Molina</a>	<a href="#">Chrissy Walters</a>
<a href="#">Bill Quinn</a>	

Note: "(A)" designates a member of the Audit Committee.