



The Audit Voice
Co-Presidents' Message



Dear Members & Friends,

Our Chapter year kicked off with a return to Progressive Field for a joint kick-off meeting with ISACA that featured Randy Romes (CliftonLarsonAllen) and Matt Beckwith (FBI) speaking on two very relevant IT related topics. Thank you to all who joined us and to ISACA for partnering with us on this joint kick-off event!

Next up at our Monday, October 9, 2023, meeting please join us for an engaging and interactive workshop hosted by Deloitte as we welcome Ben Tomins, Sam Strano, and Michael Rogers to discuss trending topics related to foundational Internal Audit skills including building business chemistry, Pillar Two (Tax) Overview & Internal Controls Considerations, and developing an inquisitive mindset, which is essential for every auditor. This meeting will be offered as a hybrid style meeting with an in-person option hosted by Deloitte at their corporate offices in downtown Cleveland or virtually via Zoom.

Looking further ahead, we continue to offer a mix of virtual, hybrid, and in-person events and remain excited to once again host our CSU event, the golf outing, and new auditor training this year. And as always, we're excited to continue the tradition of holding our annual Fraud Day event. This year's keynote speaker on February 12, 2024, will be Chris Tarbell – a former FBI special agent Christopher Tarbell is one of the most successful cyber security law enforcement officials of all time—so successful, in fact, that books and movies are being made about his legendary career. Dubbed “the Eliot Ness of online crime” by Newsweek, he is the man responsible for infiltrating the hacker group Anonymous and taking down the notorious dark web drug trafficking site Silk Road, called “the most sophisticated and extensive criminal marketplace on the Internet.” He led the tracking and arrest of two of the most infamous figures in cyberspace: Sabu, who was at one point the most influential hacker in the world, and Dread Pirate Roberts, who was later convicted for his involvement with Silk Road.

In addition to attending our chapter's events, we also encourage you to consider attending any of the IIA's flagship events – including the Financial Services Exchange, IIA International Conference, All-Star Conference, and GAM – in the year ahead. Please visit the IIA website for dates and updates on conference formats.

To help ensure our chapter's future success, please consider serving the chapter as a volunteer. Back in Spring 2021, we posted the chapter's new strategic plan within the "members only" section of the NEO IIA website. Please refer to committee descriptions in that document as well as the “Get Involved” section of this newsletter for more information.

Thank you for your continued support of the Northeast Ohio Chapter. We can't wait to see you again soon!

Best regards,

[Melissa Banfield](#) and [Nick Jorz](#), Co-Presidents

Events Calendar

| Date | Time | Event | Location | CPE |
|------------|-------------------------------|-----------------------------|---|-----|
| 10/9/23 | 12:45pm – 4:30pm | October Meeting | Deloitte (Key Tower) and Virtual Option | 4 |
| 11/20/23 | 8:00am – 4:30pm | CSU Extravaganza | Cleveland State University and Virtual Option | 8 |
| 12/11/23 | 12:45pm – 4:30pm | December Meeting | Virtual | 4 |
| 2/12/24 | 8:00am – 4:30pm | Fraud Day | Embassy and Virtual Option | 8 |
| March 2024 | TBD | IIA/OSCPA/FEI Joint Meeting | Rocket Mortgage Fieldhouse | 4 |
| 4/22/24 | 12:45pm – 4:30pm | April Meeting | Embassy Suites | 4 |
| 5/16/24 | TBD | CAE Roundtable | Kent State University – Meonske Professional Development Conference | 3 |
| June 2024 | 8:00am – 4:30pm | New Auditor Training | Northeast Ohio Regional Sewer District | 8 |
| June 2024 | Registration begins at 7:30am | Annual Golf Outing | Coppertop Golf Club | -- |

Note: If you have an audit, ethics, business improvement or human resources topic and are interested in presenting at a future NEO IIA event, please contact [Marvin Tarry](#) or [Chasey Rotondo](#).

Chapter Achievement Program (CAP)

Members can contribute to the chapter’s success through completion of the following activities:

- Conducting unpaid speaking engagements related to Internal Audit topics – including presenting at local universities to inspire the next generation of internal auditors!
- Hosting an Internal Audit event at work (i.e., lunch and learn)
- Publishing articles in professional journals, including the Internal Auditor magazine
- Obtaining an IIA certification (i.e., CIA, CRMA, etc.)

Should you accomplish/complete any of the above items, please provide this information to [Melissa Banfield](#) for inclusion in the CAP Report.

Monday, October 9th
October Meeting hosted by Deloitte

Time: 12:45 pm – 4:45 pm
Location: In-person and Virtual Option
Pricing: IIA Members: \$65/In-person (Lunch & Networking included) or virtual
Non-Members: \$85/ In-person (Lunch & Networking included) or virtual

Register Online at:
October 2023 NEOIIA Meeting – In-Person
October 2023 NEOIIA Meeting – Virtual

Please join us for an engaging and interactive workshop hosted by Deloitte as we welcome Ben Tomins, Sam Strano, Michael Rogers, and Liz Voskuhl to discuss trending topics related to foundational Internal Audit skills including building business chemistry, Pillar Two (Tax) Overview & Internal Controls Considerations, and developing an inquisitive mindset, which is essential for every auditor.

This meeting will be offered as a hybrid style meeting with an in-person option hosted by Deloitte at their corporate offices in downtown Cleveland or virtually via Zoom. We are offering the following two (2) registration options:

- **In person (limited to 35 attendees) at Deloitte corporate offices located in Key Tower, 127 Public Square Suite 3300, Cleveland, OH 44114, which will include lunch, training and will be followed by a Happy Hour/Networking event hosted by Deloitte.**
- **For those who select the virtual option (limited to 300 attendees), a Zoom meeting link will be sent shortly after registration and an additional option for Networking session attendance will be made available. There will also be an email sent a few days prior to the event with the Zoom link. Polling questions are required for CPE credit. Polling questions may not work with tablets or cellphones; please use a computer to ensure you can answer polling questions. If you have any questions or technical issues the day of the meeting, please email [Marvin Tarry](#) or [Nick Jorz](#).**

Registration closes at 5pm eastern on Thursday, October 5th!

Monday, February 12th
NEO IIA Fraud Day 2024 Preview – Mark Your Calendar!

The Northeast Ohio IIA welcomes Chris Tarbell as our keynote speaker for Fraud Day on February 12, 2024!

CHRIS TARBELL

One of the All-Time Most Successful Cyber Security Law Enforcement Officials
Former FBI Cyber Security Special Agent
Founding Partner, NAXO



Former FBI special agent Christopher Tarbell is one of the most successful cyber security law enforcement officials of all time—so successful, in fact, that books and movies are being made about his legendary career. Dubbed “the Eliot Ness of online crime” by Newsweek, he is the man responsible for infiltrating the hacker group Anonymous and taking down the notorious dark web drug trafficking site Silk Road, called “the most sophisticated and extensive criminal marketplace on the Internet.” He led the tracking and arrest of two of the most infamous figures

in cyberspace: Sabu, who was at one point the most influential hacker in the world, and Dread Pirate Roberts, who was later convicted for his involvement with Silk Road.

With 17 years in law enforcement—including time in the FBI’s preeminent cyber crime squad—and extremely rare insight into the minds of the hacker community, Tarbell is the nation’s preeminent voice on cyber security, and the man that gives even the most notorious cybercriminals nightmares. Exclusively represented by Leading Authorities speakers bureau, he shares adrenaline-pumping stories detailing growing cyber threats and challenges modern businesses face. His hair-raising anecdotes prove that just because you cannot see your adversary, or maybe even know his or her real name, it doesn’t mean you can’t protect yourself.

Capturing Criminals in Cyberspace. Tarbell was the lead investigator on a number of cutting-edge cyber cases that spanned multiple countries and continents. He infiltrated Anonymous and turned Sabu (née Hector Monsegur) into an informant who helped implicate and capture other members of the hacker group and its offshoot LulzSec, which was responsible for an unprecedented reign of Internet terror in 2011 and 2012 and of which Sabu was also a member. Tarbell also took down Dread Pirate Roberts (né Ross William Ulbricht), the operator of Silk Road, which generated more than \$1.2 billion in drug, firearm, and counterfeit documents and currency sales since launching in 2011. According to court documents, some users even hired hit men through the site. In connection with the case, Tarbell seized more than \$150 million in Bitcoins, the largest such seizure in history. Technology author Nick Bilton wrote a New York Times best-selling book based on the Silk Road case, and a movie chronicling the rise and fall of Silk Road was released in 2021.

FBI Career and Technical Expertise. Tarbell honed his investigative talents with two police departments, where he worked on computer-related crimes. The experience led to a nine-year career with the FBI, where he worked as a global computer forensic examiner. He is a certified FBI subject matter expert on cell phones, mobile computing devices, Windows, and Unix/Linux. Tarbell developed deep working relationships with international law enforcement and is regularly called upon to teach cyber investigative techniques to law enforcement officials. He also lectures to business and governmental groups. Following his illustrious FBI career, he founded NAXO, an investigative firm delivering real solutions to your most complex cybersecurity needs.

Employment Opportunities

The chapter's Employment Coordinator serves as a clearinghouse for members seeking positions and organizations seeking personnel by maintaining a current listing of local internal audit employment opportunities. All inquiries are confidential. If you are interested in viewing the most up-to-date opportunities list, visit our [Institute of Internal Auditors \(IIA\) - Northeast Ohio Chapter](#) page on LinkedIn.

| Company | Opportunity |
|--|--|
| Erie Insurance | IT Internal Auditor II |
| Farmers National Banc Corp | Sr. Internal Auditor |
| Greater Cleveland Regional Transit Authority | Internal Audit Manager |
| Ohio Turnpike Commission | Internal Audit Manager |
| Third Federal Savings & Loan | Sr. Auditor II - Hybrid |
| Timken Steel | Internal Audit Professional II |

If you are an employer trying to fill a position, please forward the job description to [Patrick Brogan](#).

Important Updates & News for Members

Ensure your [National IIA](#) profile is up-to-date, particularly your e-mail address.

News from the IIA

Access the IIA website for important information related to [news releases](#), [certifications](#), [scholarships](#), and the IIA's [Learning Conferences](#) – including GAM, International Conference, GRC, Financial Services, and All-Star. Also make sure to take advantage of The IIA's Chapter Partnership program! New [online courses](#) are updated regularly.

Join the Northeast Ohio LinkedIn Group

Request access to the group [here](#).

Academic Relations News

- Last spring, Northeast OH IIA awarded scholarships to Kenzie Davis of Akron, Sarah Holmes of Baldwin Wallace, Mackenzie Davis of Cleveland State, Americo Milani of John Carroll, and Rodolfo Martinez Molina of Kent State. The chapter extends its congratulations to all of the deserving recipients!
- IIA Student Membership is free of charge for eligible students. To be eligible, an undergraduate student must be enrolled in at least 12 semester hours or equivalent and a graduate student must be enrolled in at least 9 semester hours or equivalent. Although student membership is free, each student will need to complete an application through the IIA's website and submit an official transcript for the current semester.
- As we consider the future of the IA profession, the Northeast Ohio IIA Academic Relations Team encourages you to give back to your alma maters by volunteering your time to speak with current students regarding careers in internal auditing. If you'd like to make a presentation, please contact one of our Academic Relations Co-Chairs: [Scott Miller](#) or [Dan Schrag](#). They can provide you with a PowerPoint template that you can modify to your liking for your presentation. But ultimately the students just love to hear about the career experiences of someone who has worked in the field!



IIA Quality Services

IIA Quality Services helps validate and strengthen your internal audit activity and enhances your effectiveness, efficiency, and successful practice implementation.

As a trusted source in the profession, we have helped hundreds of organizations in various industries and around the world by providing qualified audit professionals, a global benchmarking database, and a repository of successful practices. We assist CAEs in gaining support from the audit committee, enhance understanding of why an external assessment is necessary and valuable, and describe the different approaches.

IIA Quality Services can help move your Quality Assurance and Improvement Program (QAIP) to the next level with a range of services and expert practitioners.

Want to learn more? Please visit the IIA Quality Services web page for more information and a Request for Proposal link. [IIA Quality Services web page](#)

GET INVOLVED!

Please reach out to any NEO IIA representative via email to get involved! The chart on the last page of this newsletter contains their e-mail addresses.

| Committee | Objectives |
|---------------------------------|--|
| Professional Development | Deliver programming to members that supports maintaining professional certifications and continuous learning designed to enhance internal audit professionals' value to stakeholders. This committee also handles all meeting arrangements, registrations, CPE, etc. |
| Knowledge-Sharing | Structure opportunities for members to connect, share knowledge, promote the internal audit profession, and advance thinking on matters of local importance. |
| Leadership | Create opportunities for members to enhance their leadership capabilities while providing effective chapter governance. |
| Audit | Act on behalf of the Board of Governors in fulfilling its oversight responsibilities for the financial reporting process, certain internal control processes, the independent audit/review process, and the chapter's process for filing IRS Form 990 and other relevant IRS information. |
| Nominating | Identify and submit to the Board and/or chapter members the formal nominations for all member-elected Board and officer positions, as required in chapter by-laws. It also identifies and appoints members to other officer and committee chair positions that do not require election by the chapter members on behalf of the Board of Governors. |
| Strategic Planning | Assess current and future chapter member needs and to recommend long-range objectives for the chapter to maximize chapter member benefits. |
| Academic Relations | Develop and support relationships through educational programs among practitioners, educators, students, educational institution administrators, and business/government communities, which enhance knowledge, skills, understanding and value of internal auditing as a profession in the marketplace. |
| Technology | Connect members through social media presence and maintain chapter website; Evaluate technology options as the chapter navigates the ever-changing climate. |

Officers, Committee Leaders, and Governors

2023-2024

Should anyone want to contact any of our Officers, Committee Leaders, or Chapter Governors, please do so via e-mail by clicking on a name listed below:

| Officers | Title |
|-------------------------------------|--------------------------------|
| Melissa Banfield | Co-President |
| Nick Jorz | Co-President |
| Jonathan Sanfilippo | 1 st Vice President |
| Brad DeBusk | 2 nd Vice President |
| Margo Cejer | Treasurer |
| Stephy Gao | Assistant Treasurer |
| Bill Allen | Secretary |

| Leaders/Committee Chairs | Role |
|--|--|
| Scott Miller & Dan Schrag | Academic Relations Committee Co-Chairs |
| Ken Koncilja | Audit Committee Chair |
| Melissa Banfield | Chapter Achievement Program Lead |
| Jerry Mancinetti | Chapter Administrator |
| Jonathan Sanfilippo | Hospitality Coordinator |
| Melissa Banfield & Nick Jorz | Nominating Committee Co-Chairs |
| Marvin Tarry & Chasey Rotondo | Professional Development Committee Co-Chairs |
| Patrick Brogan & Johanna Davison | Knowledge Sharing Committee |
| Bill Quinn | Specialty Centers Liaison |
| Scott Miller & Laurence Talley | Strategic Planning Committee Co-Chairs |
| Laurence Talley & Andrew Scott | Technology Committee |

| Board of Governors | |
|----------------------------------|-------------------------------------|
| Christy Gardner | Dan Schrag |
| Ken Koncilja (A) | Randal Slifer |
| John Krueger | Amanda Sterling (A) |
| Scott Miller | Laurence Talley |
| Bill Mitchell | Ed Vargo (A) |
| Richard Molina | David Golias |
| Bill Quinn | Patrick Brogan |
| Joseph Nejman | |

Note: "(A)" designates a member of the Audit Committee.