



April 2024

## *The Audit Voice*

### Co-Presidents' Message



Dear Members & Friends,

Thank you to everyone who joined us in-person for our April OSCP Ethics training. This event featured CPA PSR requirement compliant ethics presentation by Laura Hay from the Ohio Society of CPAs and a panel discussion organized by MCPC on IT Asset Management (ITAM) and Cybersecurity. Thank you to our moderator Jess Walpole (Fortress Security Risk Management) and panelists Lori Sechio (MCPC), Chuck Mackey (Fortress Security Risk Management), and Miles Wayne (EY).

We will be closing our chapter year by partnering with KPMG and local Chief Audit Executives (CAEs) to bring you our 6th Annual CAE Roundtable on May 16. This year the event will be held in conjunction with the Meonske Professional Development Conference on the campus of Kent State University in Kent, OH. NEO IIA and other local professional organizations have been invited to participate in the conference and make it one of the largest CPE events in Northeast Ohio in 2024! The Meonske Conference is two days, May 16 and 17, with opportunities to earn additional CPE outside of the IIA training offered.

Our annual New Auditor Training will be held on Friday, June 7, at the Northeast Ohio Regional Sewer District. An event announcement will go out next week. Registration for this event will be limited to 40 attendees so if you plan on sending a summer intern or new staff auditor please make sure you prioritize early registration.

Additionally, our annual Northeast Ohio IIA Member Appreciation Golf Outing will be held on Friday, June 28 at Coppertop in Valley City, OH, with registration and doughnuts and coffee beginning at 7:30 a.m. You can obtain balls and tees from the clubhouse at that time. We are in the process of seeking sponsorships for this eagerly awaited event! Please contact [Jonathan Sanfilippo](#) for questions about sponsorship opportunities.

We want to remind everyone that May is Internal Audit Awareness Month, so please send us reasons why you enjoy working in audit and we will include some of the responses in our May newsletter! Your emails can be sent to [Melissa Banfield](#) and [Nick Jorz](#).

In addition to attending our chapter's events, we also encourage you to consider attending any of the IIA's flagship events including the 2024 International Conference, Financial Services Exchange, and other events in the year ahead. Please visit the [IIA website](#) for dates and conference information.

We now have a new chapter email to be used for communication going forward, [chapter7@iiachaptercommunications.org](mailto:chapter7@iiachaptercommunications.org). Please update your contacts list to reflect the change. Thank you for your continued support of the Northeast Ohio Chapter. We can't wait to see you again soon!

Best regards,

[Melissa Banfield](#) and [Nick Jorz](#), Co-Presidents

## Events Calendar

Date	Time	Event	Location	CPE
5/16/24	11:15am – 4:50pm	CAE Roundtable & Networking Reception	Kent State University – Meonske Professional Development Conference	5
6/7/2024	Registration begins at 7:30am	New Auditor Training	Northeast Ohio Regional Sewer District	8
6/28/24	Registration begins at 7:30am	Annual Golf Outing	Coppertop Golf Club	--

Note: If you have an audit, ethics, business improvement or human resources topic and are interested in presenting at a future NEO IIA event, please contact [Marvin Tarry](#) or [Chasey Rotondo](#).

## Chapter Achievement Program (CAP)

Members can contribute to the chapter's success through completion of the following activities:

- Conducting unpaid speaking engagements related to Internal Audit topics – including presenting at local universities to inspire the next generation of internal auditors!
- Hosting an Internal Audit event at work (i.e., lunch and learn)
- Publishing articles in professional journals, including the Internal Auditor magazine
- Obtaining an IIA certification (i.e., CIA, CRMA, etc.)

Should you accomplish/complete any of the above items, please provide this information to [Melissa Banfield](#) for inclusion in the CAP Report.

Thursday, May 16<sup>th</sup>

## 2024 Chief Audit Executive Roundtable & ESG Update @ The Meonske Conference in Kent, OH

May 16, 2024

Internal Audit-Specific Training:

11:15 a.m. – 4:50 p.m. (5 CPEs)

\$125: Includes lunch, 5 CPEs, and an evening reception!

NEO IIA is partnering with KPMG and local Chief Audit Executives (CAEs) to bring you our 6<sup>th</sup> Annual CAE Roundtable during Internal Audit Awareness Month on May 16<sup>th</sup>! This year the event will be held in conjunction with the Meonske Professional Development Conference on the campus of Kent State University in Kent, OH. NEO IIA and other local professional organizations have been invited to participate in the conference and make it one of the largest CPE events in Northeast Ohio in 2024!

The Meonske conference is two days, May 16<sup>th</sup> and 17<sup>th</sup>, with opportunities to earn additional CPE outside of the internal audit-specific training offered. Please see the Meonske Conference [website](#) for information about the conference, including the full schedule and presenter bios.

The event will be held at the Kent State Student Center (1075 Risman Dr, Kent, OH 44242).

To register for just the internal-audit specific training at a rate of \$125, please go [here](#).

To register for one or both days of the full conference at the standard rate, please go [here](#).

### Session Summary for Internal Audit-Specific Training (In-person only):

11:15 am – 12:45 pm: Lunch & Speaker: Fraud & Cybersecurity Threat Landscape – Alexandra Madonia, FBI

12:45 – 3:00 pm: Chief Audit Executives Roundtable presented by KPMG

3:00 – 3:15 pm: Afternoon Break

3:15 – 4:15 pm: ESG Regulatory Reporting Update – Luke Wilson, KPMG

4:15 – 4:40 pm: Increasing Meeting Productivity: A Systemic Approach – R. Drew Sellers, Kent State

4:40 – 4:50 pm: Closing Remarks

4:50 – 5:50 pm: Networking Reception hosted by Kent State University

Members with questions about registration or the Meonske Conference should contact [Jonathan Sanfilippo](#) or [Scott Miller](#). If you are a CAE/Internal Audit Director in the local area and would like to participate in the CAE Roundtable this year or in a future year, please reach out to [Stephy Gao](#).

# Certification Updates

Congratulations to the 14 new Certified Internal Auditors since the chapter year began on June 1, 2023. The CIA sets the standard for excellence within the Internal Audit profession. Congratulations to: Kevin Fentner, Emma Schneider, Tyler Crocket, Janice Liu, Kimberly Madden, Logan Rishaw, Qije Wang, Benjamin Preston, Matthew Harrison, Heather Dunlap, Carly Chester, Melissa Banfield, Allyson Kidik, and Collin Brooks! Also, congratulations to Rachel Neilsen for earning a Certification in Risk Management Assurance. For more information on certifications, please reach out to [David Golias](#) for details.

## Employment Opportunities

The chapter's Employment Coordinator serves as a clearinghouse for members seeking positions and organizations seeking personnel by maintaining a current listing of local internal audit employment opportunities. All inquiries are confidential. If you are interested in viewing the most up-to-date opportunities list, visit our [Institute of Internal Auditors \(IIA\) - Northeast Ohio Chapter](#) page on LinkedIn.

Company	Opportunity
Cleveland Clinic	<a href="#">Senior IT Internal Auditor</a>
Erie Insurance	<a href="#">IT Internal Auditor II</a>
Farmers National Banc Corp	<a href="#">Sr. Internal Auditor</a>

If you are an employer trying to fill a position, please forward the job description to [Patrick Brogan](#).

## Important Updates & News for Members

Ensure your [National IIA](#) profile is up-to-date, particularly your e-mail address.

Please add the following to your "Safe Senders" to avoid important communications from going into your junk folder:

National Communications	<a href="mailto:IIA-Global.Headquarters@iia-communications.org">IIA-Global.Headquarters@iia-communications.org</a>
Chapter Communications	<a href="mailto:Johanna.Davison@protiviti.com">Johanna.Davison@protiviti.com</a> <a href="mailto:Morgan.Lupica@protiviti.com">Morgan.Lupica@protiviti.com</a> <a href="mailto:Emma.Schneider@protiviti.com">Emma.Schneider@protiviti.com</a>
Registration Confirmations	<a href="mailto:chapter7@iiachaptercommunications.org">chapter7@iiachaptercommunications.org</a>
CPE Certificates	<a href="mailto:noreply@etouches.com">noreply@etouches.com</a>

News from the IIA

Access the IIA website for important information related to [news releases](#), [certifications](#), [scholarships](#), and the IIA's [Learning Conferences](#) – including GAM, International Conference, GRC, Financial Services, and All-Star. Also make sure to take advantage of The IIA's Chapter Partnership program! New [online courses](#) are updated regularly.

Join the Northeast Ohio LinkedIn Group: Request access to the group [here](#).

## Academic Relations News

- During April, Northeast OH IIA presented scholarships to the following students during on-campus awards ceremonies: Richard Black (University of Akron); Elhadj Bah (Baldwin Wallace University); Aleksija Petrovic (Cleveland State University); Matthew Kittle (Heidelberg University); Nathaniel Cummings (John Carroll University); and Akshaya Mamidipalli (Kent State University). The chapter extends its congratulations to each of these deserving recipients!
- IIA Student Membership is free of charge for eligible students. To be eligible, an undergraduate student must be enrolled in at least 12 semester hours or equivalent and a graduate student must be enrolled in at least 9 semester hours or equivalent. Although student membership is free, each student will need to complete an application through the IIA's website and submit an official transcript for the current semester.
- As we consider the future of the IA profession, the Northeast Ohio IIA Academic Relations Team encourages you to give back to your alma maters by volunteering your time to speak with current students regarding careers in internal auditing. If you'd like to make a presentation, please contact one of our Academic Relations Co-Chairs: Scott Miller or Dan Schrag. They can provide you with a PowerPoint template that you can modify to your liking for your presentation. But ultimately the students just love to hear about the career experiences of someone who has worked in the field!



## IIA Quality Services

IIA Quality Services helps validate and strengthen your internal audit activity and enhances your effectiveness, efficiency, and successful practice implementation.

As a trusted source in the profession, we have helped hundreds of organizations in various industries and around the world by providing qualified audit professionals, a global benchmarking database, and a repository of successful practices. We assist CAEs in gaining support from the audit committee, enhance understanding of why an external assessment is necessary and valuable, and describe the different approaches.

IIA Quality Services can help move your Quality Assurance and Improvement Program (QAIP) to the next level with a range of services and expert practitioners.

Want to learn more? Please visit the IIA Quality Services web page for more information and a Request for Proposal link. [IIA Quality Services web page](#)

## GET INVOLVED!

Please reach out to any NEO IIA representative via email to get involved! The chart on the last page of this newsletter contains their e-mail addresses.

Committee	Objectives
Professional Development	Deliver programming to members that supports maintaining professional certifications and continuous learning designed to enhance internal audit professionals' value to stakeholders. This committee also handles all meeting arrangements, registrations, CPE, etc.
Knowledge-Sharing	Structure opportunities for members to connect, share knowledge, promote the internal audit profession, and advance thinking on matters of local importance.
Leadership	Create opportunities for members to enhance their leadership capabilities while providing effective chapter governance.
Audit	Act on behalf of the Board of Governors in fulfilling its oversight responsibilities for the financial reporting process, certain internal control processes, the independent audit/review process, and the chapter's process for filing IRS Form 990 and other relevant IRS information.
Nominating	Identify and submit to the Board and/or chapter members the formal nominations for all member-elected Board and officer positions, as required in chapter by-laws. It also identifies and appoints members to other officer and committee chair positions that do not require election by the chapter members on behalf of the Board of Governors.
Strategic Planning	Assess current and future chapter member needs and to recommend long-range objectives for the chapter to maximize chapter member benefits.
Academic Relations	Develop and support relationships through educational programs among practitioners, educators, students, educational institution administrators, and business/government communities, which enhance knowledge, skills, understanding and value of internal auditing as a profession in the marketplace.
Technology	Connect members through social media presence and maintain chapter website; Evaluate technology options as the chapter navigates the ever-changing climate.

# Officers, Committee Leaders, and Governors

## 2023-2024

Should anyone want to contact any of our Officers, Committee Leaders, or Chapter Governors, please do so via e-mail by clicking on a name listed below:

Officers	Title
<a href="#">Melissa Banfield</a>	Co-President
<a href="#">Nick Jorz</a>	Co-President
<a href="#">Jonathan Sanfilippo</a>	1 <sup>st</sup> Vice President
<a href="#">Brad DeBusk</a>	2 <sup>nd</sup> Vice President
<a href="#">Margo Cejer</a>	Treasurer
<a href="#">Stephy Gao</a>	Assistant Treasurer
<a href="#">Bill Allen</a>	Secretary

Leaders/Committee Chairs	Role
<a href="#">Scott Miller</a> & <a href="#">Dan Schrag</a>	Academic Relations Committee Co-Chairs
<a href="#">Ken Koncilja</a>	Audit Committee Chair
<a href="#">Melissa Banfield</a>	Chapter Achievement Program Lead
<a href="#">Jerry Mancinetti</a>	Chapter Administrator
<a href="#">Jonathan Sanfilippo</a>	Hospitality Coordinator
<a href="#">Melissa Banfield</a> & <a href="#">Nick Jorz</a>	Nominating Committee Co-Chairs
<a href="#">Marvin Tarry</a> & <a href="#">Chasey Rotondo</a>	Professional Development Committee Co-Chairs
<a href="#">Patrick Brogan</a> & <a href="#">Johanna Davison</a>	Knowledge Sharing Committee
<a href="#">Bill Quinn</a>	Specialty Centers Liaison
<a href="#">Scott Miller</a> & <a href="#">Laurence Talley</a>	Strategic Planning Committee Co-Chairs
<a href="#">Laurence Talley</a> & <a href="#">Andrew Scott</a>	Technology Committee

Board of Governors	
<a href="#">Christy Gardner</a>	<a href="#">Dan Schrag</a>
<a href="#">Ken Koncilja (A)</a>	<a href="#">Randal Slifer</a>
<a href="#">John Krueger</a>	<a href="#">Amanda Sterling (A)</a>
<a href="#">Scott Miller</a>	<a href="#">Laurence Talley</a>
<a href="#">Bill Mitchell</a>	<a href="#">Ed Vargo (A)</a>
<a href="#">Richard Molina</a>	<a href="#">David Golias</a>
<a href="#">Bill Quinn</a>	<a href="#">Patrick Brogan</a>
<a href="#">Joseph Nejman</a>	

Note: "(A)" designates a member of the Audit Committee.